

Vocabulary Modifications for Domain-adaptive Pretraining of Clinical Language Models

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Abstract: Research has shown that using generic language models – specifically, BERT models – in specialized domains may be sub-optimal due to domain differences in language use and vocabulary. There are several techniques for developing domain-specific language models that leverage the use of existing generic language models, including continued and domain-adaptive pretraining with in-domain data. Here, we investigate a strategy based on using a domain-specific vocabulary, while leveraging a generic language model for initialization. The results demonstrate that domain-adaptive pretraining, in combination with a domain-specific vocabulary – as opposed to a general-domain vocabulary – yields improvements on two downstream clinical NLP tasks for Swedish. The results highlight the value of domain-adaptive pretraining when developing specialized language models and indicate that it is beneficial to adapt the vocabulary of the language model to the target domain prior to continued, domain-adaptive pretraining of a generic language model.

1 INTRODUCTION

The paradigm of pretraining and fine-tuning language models has become a cornerstone of modern natural language processing. By utilizing transfer learning techniques, language models such as BERT (Devlin et al., 2019) are pretrained using vast amounts of unlabeled text data and subsequently adapted, or fine-tuned, to carry out various downstream tasks using labeled, task-specific data. While pretraining is generally computationally expensive – and therefore typically carried out by resource-rich organizations – fine-tuning is computationally inexpensive. These fine-tuned models have obtained state-of-the-art results in many natural language processing tasks.

Language models are often pretrained using large, readily available corpora in the general domain, e.g. Wikipedia. However, the use of generic language models in specialized domains may be sub-optimal because of domain differences, in terms of, for instance, language use and vocabulary (Lewis et al., 2020, Gururangan et al., 2020). This has motivated efforts to develop domain-specific language models, e.g. SciBERT (Beltagy et al., 2019) and BioBERT (Lee et al., 2020). Specialized language models have been developed either by (i) pretraining a language model with in-domain data from scratch, possibly in combination with out-domain data, or by (ii) contin-

uing to pretrain an existing, generic language model with in-domain data (*domain-adaptive pretraining*), either by using large amounts of in-domain data, if available, or by only using task-related unlabeled data (*task-adaptive pretraining*).

However, the domain of the language model is not only manifested in the pretraining or fine-tuning sessions, but also in the model’s vocabulary. Every language model requires a vocabulary for processing the input text. For transformer-based language models, this vocabulary acts not only as a preprocessing method but for connecting the input text to the model. The vocabulary is used for creating the mapping of the language to the learned – or to-be-learned – representations of the model at its lowest level: the embedding table. This vocabulary can be built using different algorithms, such as WordPiece (Wu et al., 2016), SentencePiece (Kudo and Richardson, 2018) and Byte-Pair encoding (Sennrich et al., 2016). As such, the vocabulary of a language model is determined by the algorithm and the data which was used to generate it.

A drawback of continued, domain-adaptive pretraining of an existing, generic language model is that domain-specific words are often tokenized poorly. For example, when tokenizing the common clinical term *röntgenundersökning* (English: *x-ray investigation*) with a general-domain language model (KBERT), it is split into multiple subtokens: [‘ro’,

‘##nt’, ‘##gen’, ‘##under’, ‘##so’, ‘##kning’]. There have therefore been efforts to adapt the vocabulary to the target domain prior to domain-adaptive pretraining (Tai et al., 2020, Koto et al., 2021).

In this paper, a clinical language model for Swedish is developed by adapting the vocabulary that the model uses. More specifically, a clinical vocabulary is constructed by applying the WordPiece algorithm on large amounts of Swedish clinical text. The clinical language model uses this vocabulary, but also leverages an existing, generic language model for Swedish for parameter initialization, both for the shared vocabulary and for non-overlapping tokens. An empirical investigation shows that this approach, in addition to domain-adaptive pretraining, leads to improved performance on two downstream clinical natural language processing tasks.

2 RELATED WORK

There have been many efforts to develop domain-specific, specialized language models by pretraining with in-domain data, particularly for the biomedical domain. A notable example is BioBERT (Lee et al., 2020), which was initialized using a generic BERT model while inheriting its vocabulary, after which domain-adaptive pretraining was carried out. Another example is BioMegatron (Shin et al., 2020) – a larger model that obtained further improvements by training on even larger in-domain corpora and, in some cases, using a domain-specific vocabulary. In another study (Gu et al., 2021), it was shown that training biomedical language models from scratch – as opposed to domain-adaptive pretraining of a generic language model – may yield improved performance, although requiring substantial computational resources.

Domain-specific language models have also been developed for the clinical domain. Alsentzer et al. (2019) pretrained BERT models using clinical notes from MIMIC-III and showed that initializing the language models with parameters from BioBERT, as opposed to BERT, yielded better downstream performance. Lewis et al. (2020) developed clinical and biomedical RoBERTa-based language models and studied the impact of various training corpora and model sizes, along with a domain-specific vocabulary. Liu et al. (2019) conducted experiments which suggest using a larger, more powerful generic language model may be better than using a smaller, less powerful domain-specific language model. However, it was also shown that using in-domain data may lead to improved performance, in particular in the clinical domain. Learning a domain-specific vocabulary yielded

improvements on sequence labeling tasks, while the impact was less clear for classification tasks. Furthermore, Gururangan et al. (2020) also investigated the potential gains of domain-adaptive pretraining using an existing BERT model and explored a number of different settings: (i) domain-adaptive pretraining for a limited amount of time, (ii) domain-adaptive pretraining on the unlabeled training set of the intended downstream task, and (iii) domain-adaptive pretraining on available unlabeled data directly related to the future downstream task. A clinical language model for Swedish was developed through domain-adaptive pretraining of a generic language model while inheriting its vocabulary, yielding improvements on several downstream tasks (Lamproudis et al., 2021).

Some efforts have focused on adapting a generic language model to a specialized domain by modifying the vocabulary. In exBERT (Tai et al., 2020), the vocabulary of a generic language model was augmented with domain-specific terms, while adding extra parameters for the extended vocabulary. exBERT was then pretrained using in-domain data and shown to outperform its original counterpart on a number of domain-specific tasks. In another relevant study (Koto et al., 2021), albeit not in the biomedical domain, the general-domain vocabulary was replaced with a domain-specific vocabulary, while using the generic language model for initialization, both for overlapping and non-overlapping tokens. The proposed model yielded promising results without further pretraining, while yielding improved results after a further, domain-adaptive pretraining session.

3 DATA & METHODS

In this paper, we report on efforts to improve a clinical language model for Swedish by adapting the model’s vocabulary. A domain-specific, clinical vocabulary is constructed by applying the WordPiece algorithm to large amounts of clinical text. However, rather than pretraining the model with a purely clinical vocabulary from scratch, we leverage an existing, generic language model for Swedish for parameter initialization. The approach is evaluated in two steps: (i) through vocabulary adaptation and inheriting parameters from the generic language model alone (see section 3.2), and (ii) followed by a session of domain-adaptive pretraining (see section 3.3). The resulting language models are subsequently fine-tuned and evaluated on two downstream clinical natural language processing tasks: (i) detection of Protected Health Information (PHI), i.e. a named entity recognition task, and (ii) automatic assignment of ICD-

10 codes to discharge summaries, i.e. a multi-class, multi-label classification task. We save checkpoints during the pretraining session in order to assess the impact of different degrees of domain-adaptive pretraining. The proposed models are compared to two baselines: KB-BERT (Malmsten et al., 2020), which is the generic language model for Swedish that is used for initialization in the proposed approach, and Clinical KB-BERT (Lamproudou et al., 2021), which carries out domain-adaptive pretraining with the general-domain vocabulary of KB-BERT.

The hypothesis is that pretraining a clinical language model with a domain-specific vocabulary will lead to improved performance on downstream tasks in comparison to using a general-domain vocabulary. We describe the evaluation setup in more detail in section 3.4. Finally, differences between the vocabularies are analyzed, in terms of term frequencies of the shared vocabulary in the clinical domain, as well as the impact of the two vocabularies on tokenization of clinical text in terms of the resulting sample lengths.

3.1 Data

The clinical corpus used for domain-adaptive pretraining contains 17.8 GB of clinical text¹ from the research infrastructure Health Bank² – Swedish Health Record Research Bank at DSV/Stockholm University (Dalianis et al., 2015). The clinical text is written in Swedish and encompasses a large number of clinical units. The amount of text is comparable to the size of the general-domain text used for pretraining KB-BERT (Malmsten et al., 2020), a generic language model for Swedish.

Two manually annotated data sets, corresponding to two important downstream tasks, are used for fine-tuning and evaluating the language models:

- Identifying Protected Health Information (PHI) in clinical notes, which is a fundamental step in de-identification and is typically approached as a named entity recognition task. *The Stockholm EPR PHI Corpus* comprises 21,653 sample sentences, 380,000 tokens and contains 4,480 annotated entities corresponding to 9 PHI classes: First Name, Last Name, Age, Phone Number, Location, Health Care Unit, Organization, Full Date, and Date Part. Details about the dataset can be found in (Velupillai et al., 2009).
- Assigning ICD-10 diagnosis codes to discharge summaries, which is a document-level multi-

¹This research has been approved by the Swedish Ethical Review Authority under permission no. 2019-05679.

²<http://dsv.su.se/healthbank>

class, multi-label classification task. *The Stockholm EPR Gastro ICD-10 Corpus* contains 6,062 discharge summaries (986,436 tokens) and their assigned ICD-10 diagnosis codes that are gastro-related and divided into 10 groups (classes) with a more coarse granularity compared to the full ICD-10 codes; the groups correspond to different body parts and range from K00 to K99. There is, on average, 1.2 labels per sample. More details about the dataset can be found in (Remmer et al., 2021).

3.2 Vocabulary Adaptation

The vocabulary of KB-BERT (Malmsten et al., 2020) – an existing language model for Swedish, pretrained using general-domain corpora encompassing newspapers, government documents, e-books, social media and Swedish Wikipedia – is adapted to the clinical domain by replacing its general-domain vocabulary with a clinical vocabulary. The clinical vocabulary is constructed by applying the WordPiece algorithm to the clinical corpus described in section 3.1. We set the vocabulary size to be roughly equal to the vocabulary size of KB-BERT, i.e. 50,325 words, and obtain a vocabulary comprising 50,320 words plus the 5 special tokens. A comparison of the general-domain vocabulary of KB-BERT and the obtained clinical-domain vocabulary shows that they have 16,519 words and subwords in common (Figure 1). This means that 33,801 tokens are unique to the clinical domain and do not exist in the vocabulary of KB-BERT.

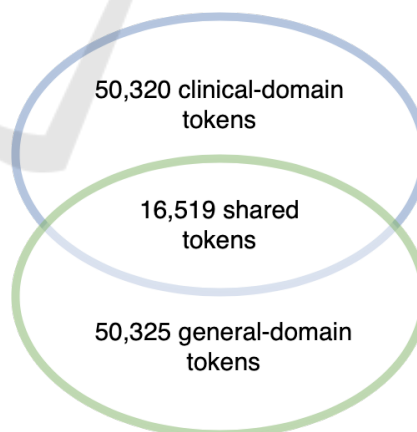


Figure 1: The figure illustrates the two different vocabulary sets. It shows the total amount of words present in each vocabulary along with the number of words or tokens that are shared between the two.

One option would be to pretrain a clinical language model from scratch using the obtained clinical vocabulary. However, in order to leverage the existing language model for Swedish, KB-BERT, it is used for

model initialization. More specifically, a new model with the clinical-domain vocabulary is initialized in which the learned parameters of KB-BERT are transferred. For shared tokens – i.e. tokens that exist in both vocabularies – existing representations are inherited from KB-BERT. Clinical tokens that are not present in KB-BERT are tokenized using the general-domain tokenizer and initialized using the average of the resulting subwords, as this has been shown to lead to better performance compared to random initialization (Koto et al., 2021).

3.3 Domain-adaptive Pretraining

The language model obtained by using a clinical vocabulary and leveraging KB-BERT for model initialization is then further pretrained using clinical text, i.e. it is subjected to domain-adaptive pretraining. To that end, we use masked language modeling, omitting the next sentence prediction task since it has been shown that it is redundant in the development of RoBERTA (Liu et al., 2019). Masked language modeling can be described as randomly masking a percentage of the input tokens, using a special [MASK] token. The model is then required to predict the masked tokens based on the non-masked tokens, in essence being forced to look for and build context in its representations. The task is self-supervised, meaning that it does not require manually annotated data.

When pretraining, the instructions and hyperparameters used in BERT (Devlin et al., 2019) is followed with only two exceptions. Following RoBERTA, instead of pretraining with a mix of sequence lengths, we only use sequences of maximum length during our pretraining session. To create these sequences, shorter sequences are concatenated using a special [SEP] token to indicate the end and beginning of each sequence. Furthermore, since the aim is to adapt an already trained language model to a domain of interest using limited resources, the pretraining is carried out only for a limited amount of steps, corresponding to one epoch of the data. The pretraining hyperparameters are shown in Table 1.

To evaluate the domain-adaptive pretraining session, the experiments do not rely on the loss computed by a validation set; instead, the resulting models are fine-tuned and evaluated on downstream tasks.

3.4 Fine-tuning & Evaluation

The models are fine-tuned following the general practice introduced by BERT and followed since. This means using each language model as the core of each task-specific model and only changing the last layer

Table 1: Hyperparameters for domain-adaptive pretraining.

<i>hyperparameters</i>	<i>values</i>
learning rate	10^{-4}
batch size	256
Adam optimizer	✓
β_1	0.9
β_2	0.999
L2 weight decay	0.01
warm up steps	10,000
linear learning rate decay	✓
dropout probability	10%
update steps	$\approx 40,000$ equal to 1 epoch
training sequence length	512
Masked language modeling probability	15%

to match the requirements of each task. As input to these task-specific layers, the [CLS] token representations are used for the classification task (ICD-10), while, for the named entity recognition task (PHI), all of the individual token representations are used.

An extensive hyperparameter search is not performed as the goal is not to obtain state-of-the-art results on the downstream tasks, but rather to evaluate and compare the underlying language models. However, in a setup where the goal is to achieve the best possible performance, an extensive hyperparameter search should be conducted. Instead, we perform a narrow hyperparameter search to obtain the ones used in the experiments in this work. These hyperparameters are shown in Table 2.

Table 2: Fine-tuning hyperparameters.

<i>hyperparameters</i>	PHI	ICD-10	Uncertainty
learning rate	$3 \cdot 10^{-5}$	$2 \cdot 10^{-5}$	$3 \cdot 10^{-5}$
batch size	64	32	64

For each experiment, 10% of the dataset is used as a validation or development set and 10% as a held-out test set; the remaining 80% is used for training. In order to obtain more reliable performance estimates, ten experiments for each model and downstream task are performed, in which the held-out test set is randomly selected; we then report the mean performance.

4 RESULTS

The results after fine-tuning and evaluating the language models on the two downstream tasks are shown in Table 3. As can be seen, the best performance is obtained when using a clinical vocabulary in conjunction with domain-adaptive pretraining. On the PHI task, this model obtains an F_1 -score of 0.942, compared to 0.920 when fine-tuning a generic language model without adapting the vocabulary or carrying out domain-adaptive pretraining. Clinical KB-BERT

Table 3: A comparison of pretrained models using different vocabularies and with or without domain-adaptive pretraining with Swedish clinical text for two downstream tasks (F₁-score).

Model	Vocabulary adaptation	Domain-adaptive pretraining	PHI	ICD-10
General Vocabulary (KB-BERT)	No	No	0.920	0.799
General Vocabulary (Clinical KB-BERT)	No	Yes	0.934	0.831
Clinical Vocabulary (ours)	Yes	No	0.911	0.796
Clinical Vocabulary (ours)	Yes	Yes	0.942	0.835

obtains a F₁-score of 0.934 on this task, showing that domain-adaptive pretraining is useful even without vocabulary modifications; however, the performance is improved further when also adapting the vocabulary to the target domain. There is a similar pattern for the ICD-10 task, even if the improvement in performance by adapting the vocabulary prior to domain-adaptive pretraining is rather small (F₁-score of 0.835 vs. 0.831). Compared to KB-BERT, however, the difference is substantial (F₁-score of 0.835 vs. 0.799).

Furthermore, it is evident from the results that modifying the vocabulary and inheriting model parameters from a generic language model alone – without any domain-adaptive pretraining – is not sufficient. In fact, doing so leads to worse downstream performance compared to the generic language model (PHI: 0.911 vs. 0.920; ICD-10: 0.796 vs 0.799 F₁-score).

In Figure 2, downstream performance of the language models at various checkpoints of the domain-adaptive pretraining session is shown. The purpose of this evaluation is to track and detect any possible differences between the two domain-adaptive pretraining sessions, i.e. when using a general vocabulary (Clinical KB-BERT) vs. using a clinical vocabulary with parameters inherited from a generic language model (ours). For reference, the two models without domain-adaptive pretraining are also included, using a general vocabulary (KB-BERT) vs. a clinical vocabulary (ours). As already observed from the final results, the vocabulary-adapted (clinical vocabulary) model starts off with a worse performance compared to Clinical KB-BERT (general vocabulary). However, already after 10% of a single epoch of domain-adaptive pretraining, the results have been overturned. Overall, for 9 (ICD-10) and 7 (PHI) out of 10 checkpoints of domain-adaptive pretraining, respectively, the vocabulary-adapted language model outperforms the general-vocabulary alternative.

In order to gain further insights into domain differences w.r.t. vocabulary and, in particular, the nature of the shared vocabulary, we investigate the distribution of the intersection of the general and clinical vocabularies according to term frequency in the clinical do-

main (Figure 3). This distribution is obtained by sampling 10% of the clinical corpus used for pretraining; in this analysis, we only consider whole words, i.e. not the subwords in the vocabularies, denoted by the ‘##token’. The whole words in the clinical vocabulary (~ 36.000) are ranked according to term frequency in the clinical corpus; the figure shows how the whole words in the shared vocabulary are distributed according to their ranked term frequency in the clinical corpus. Each bin corresponds to 100 words and is ranked from top to bottom, meaning that on the left we have the most frequent words and on the right the least frequent. As each bin corresponds to 100 words, the count value can be interpreted as the percentage of the shared words that belong to the corresponding ranking. The figure shows that that the shared vocabulary is not over-represented among the most frequent clinical terms; if anything, it appears that many of the intersecting terms are among the less frequent clinical terms. The figure also shows that, among the top 100 most frequent clinical terms, only around a third are present in the general-domain vocabulary.

Finally, the impact of using a tokenizer based on a general vs. a clinical vocabulary is investigated. Figure 4 shows this impact in terms of sequence length before and after tokenization on one of the datasets for the downstream tasks (ICD-10). The length of sequences before tokenization corresponds to the number of space-separated tokens, while after tokenization, words may, to different degrees, be split into subwords. The more words are split into subwords, the longer the resulting sequences will be. The figure shows that, in both cases and as expected, the sequences become longer after tokenization. However, when tokenizing clinical text with a general vocabulary the sequences become longer – i.e. more words are split into subwords – compared to when using a clinical vocabulary.

Below are some examples of terms in the clinical vocabulary and how they are split by the general vocabulary tokenizer of KB-BERT:

- rituximab → [‘ritu’, ‘##xi’, ‘##ma’, ‘##b’]
(English: *rituximab*)

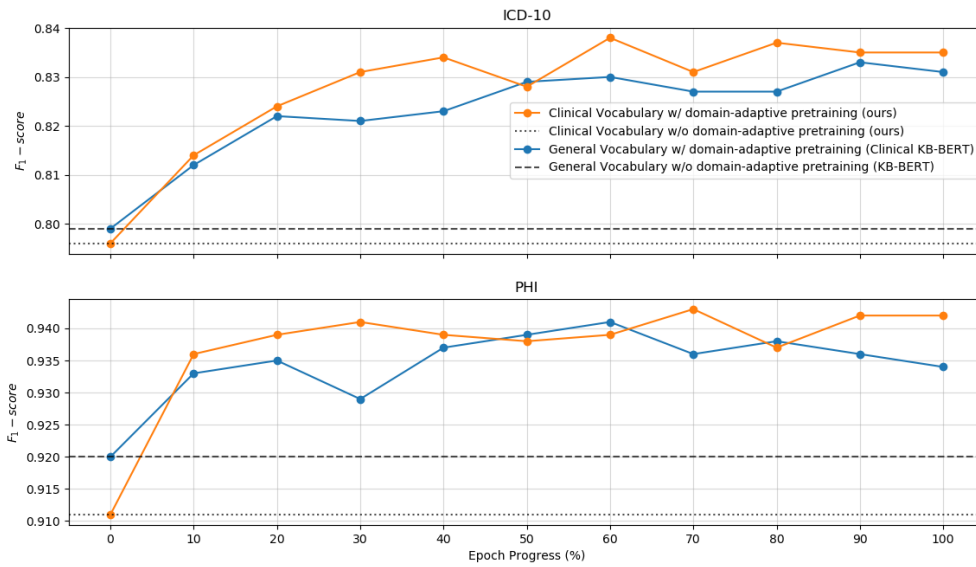


Figure 2: Downstream performance (F₁-score) of various checkpoints during the pretraining process.

- somatiskt → ['som', '##atiskt']
(English: *somatic*)
- mna → ['mn', '##a']
(English: *mna, mini nutritional assessment*)
- parkinson → ['park', '##ins', '##son']
(English: *parkinson*)
- lymfkörtelstationer → ['lym', '##f', '##kort', '##els', '##tat', '##ioner']
(English: *lymph node stations*)
- lungförändringarna → ['lung', '##for', '##and', '##ringarna']
(English: *the lung changes*)
- röntgenundersökning → ['ro', '##nt', '##gen', '##under', '##so', '##kning']
(English: *x-ray examination*)

5 DISCUSSION

In this paper, we have investigated two factors of potential importance when developing a clinical language model based on exploiting the existence of a generic language model: (i) vocabulary adaptation and (ii) domain-adaptive pretraining, i.e. continued pretraining with in-domain data. This situation is important as it potentially allows for developing a specialized language model with limited resources: by adapting the vocabulary to the target domain in conjunction with only one epoch of domain-adaptive pretraining, the performance on downstream tasks is clearly improved. This approach benefits from an existing, albeit generic, language model, which has

been trained for many more epochs. However, the results indicate that vocabulary adaptation alone, i.e. without domain-adaptive pretraining, is not beneficial and, in contrast, degrades performance. This might indicate that the parameter transfer and approximation of the unknown representations disrupt the calibration of the model. However, with only a little bit of domain-adaptive pretraining – as little as 10% of an epoch – the performance of this model outperforms Clinical KB-BERT (Lamproudis et al., 2021), i.e. the alternative model that does not involve any vocabulary modifications. In future work, we plan to compare these two approaches to pretraining a clinical language model from scratch, which would correspond to adapting the vocabulary and carrying out domain-adaptive pretraining, but without resorting to parameter transfer from a generic language model. Furthermore, an alternative to replacing entirely the general vocabulary with a clinical vocabulary would

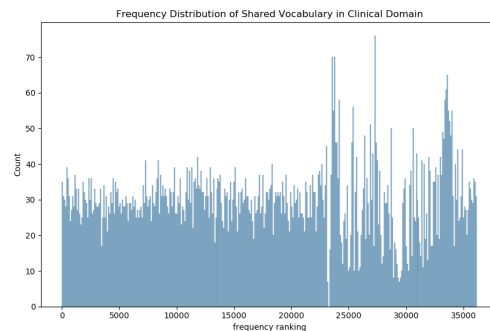


Figure 3: The distribution of the intersection of the general and clinical vocabularies according to term frequency in the clinical domain. Each bin corresponds to 100 words and is ranked from left (most frequent) to right (least frequent).

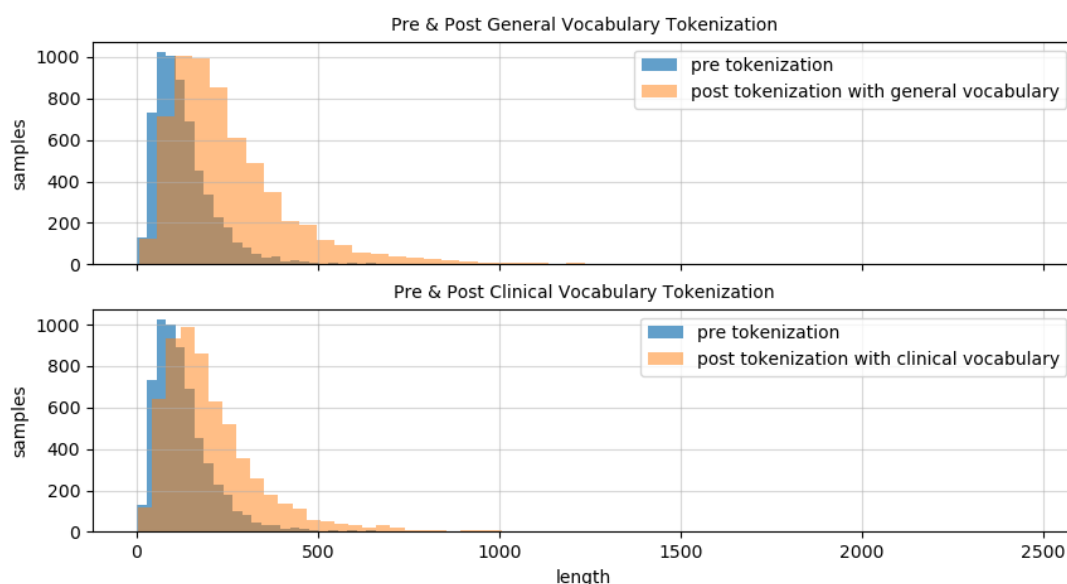


Figure 4: The distribution of sample lengths in terms of number of tokens from the Stockholm EPR Gastro ICD-10 Corpus.

be instead to extend the general vocabulary to include also clinical terms, e.g. by adding the non-overlapping part of the clinical vocabulary, following exBERT (Tai et al., 2020).

The fact that the size of the intersection between the general-domain and clinical-domain vocabularies is only around a third of each respective vocabulary size indicates that there are substantial domain differences between the general domain and the clinical domain in terms of terminology. When looking deeper at the shared vocabulary and how these terms are distributed w.r.t to term frequency in the clinical domain, we observe that they are not over-represented among the most frequent clinical terms. However, it should be noted that both vocabularies are limited to approximately 50,000 tokens, which means that relatively rare words and subwords in both domains have already been excluded. When using a general-domain tokenizer, such as the one in KB-BERT and Clinical KB-BERT, these terms would be split into subwords by the tokenizer. The impact of this is shown in Figure 4. It is, moreover, illustrated by the examples given in section 4, where important clinical terms are split into subwords, often in such a way that the meaning of the term cannot be derived from the subwords. This applies also to abbreviations and acronyms, which are prevalent in clinical text, and illustrated by *mna*, which stands for *mini nutritional assessment* and is split into two subwords: ‘mn’ and ‘##a’. For domain-specific tasks, it would be sub-optimal for the tokenizer to split important domain-specific terms into subwords, especially when done in a manner that is not semantically decomposable. Instead, by using a domain-specific vocabulary prior

to domain-adaptive pretraining, representations for common clinical terms would be learned: it makes sense that this would be beneficial when fine-tuning language models to perform domain-specific tasks.

6 CONCLUSIONS

In this paper, we report on the development of a clinical language model for Swedish that leverages an existing generic language model and adapts it to the clinical domain through vocabulary adaptation and domain-adaptive pretraining. The results on two downstream natural language processing tasks in the clinical domain demonstrate that applying both of these two strategies yields improved performance compared to applying only one or neither. Most of the improvement seems to stem from the domain-adaptive pretraining, while vocabulary adaptation without any domain-adaptive pretraining is counterproductive. However, very little domain-adaptive pretraining – as little as 10% of an epoch – is needed for vocabulary adaptation to be effective and outperform the same amount of domain-adaptive pretraining with the general vocabulary of the existing language model. Furthermore, we have provided some insights into vocabulary-based domain differences in an effort to motivate the results, which demonstrate that the proposed approach outperforms the baselines.

In future work, we plan to compare the approach proposed in this paper, as well as Clinical KB-BERT, with pretraining a clinical language model from scratch, i.e. without relying on KB-BERT for

tokenization or model initialization. We also plan to carry out longer domain-adaptive pretraining sessions and study the impact of this on the respective approaches. Finally, another approach we plan to investigate is to extend and augment the general-domain vocabulary with clinical terms instead of completely replacing the general vocabulary with a clinical vocabulary, as was done here.

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