

Differential-linear Attacks on Permutation Ciphers Revisited: Experiments on Ascon and DryGASCON

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Abstract: Ascon and DryGASCON are very similar designs that were submitted to NIST's lightweight cryptography standardization process. While Ascon made it to the finals, DryGASCON was eliminated in the second round. We analyze these algorithms against truncated, linear and differential-linear distinguishers to compare their security. We correct 2, 3, 3.5-round truncated differentials and 5-round differential-linear distinguishers that were given for DryGASCON-128. Moreover, we provide the longest practical differential-linear distinguisher of DryGASCON-128. Finally, we compare the security of Ascon-128 and DryGASCON-128 against differential-linear cryptanalysis.

1 INTRODUCTION

With the developing technology, the production and usage of resource-constrained devices such as RFID, IoT, and medical implants have increased. Since some of these devices cannot effectively use existing cryptographic standards, algorithms that use less energy and power and are also resistant to side-channel attacks were needed. Therefore, the National Institute of Standards and Technology (NIST) initiated a competition-like process to select one or more lightweight standards (McKay et al., 2016). There were 57 candidates at the beginning and 56 of them were accepted to the first round in April 2019. After the first round, 24 of them were eliminated in August 2019. And finally, 10 of them made it to the finals in March 2021. The competition is expected to last two more years, and some analyses are expected from the cryptography community to help to choose the winner.

We performed this study¹ in order to help the NIST's elimination process. We focused on two competitors: Ascon and DryGASCON to compare their security due to their similar designs. While DryGASCON was eliminated in the second round, Ascon made it to the finals. They have equivalent permu-

tations, but DryGASCON-128's round number is 11 instead of 12. It uses Ascon's 5x5 S-box but represents it in little-endian. But more importantly, it uses a different rotation function than Ascon alongside 2 different rotations. So our main focus was to see if the changes in its permutation made DryGASCON better than Ascon.

In this work, we focused on differential-linear distinguishers, and indirectly truncated differential cryptanalysis and linear cryptanalysis of Ascon (Dobraunig et al., 2016) and DryGASCON (Riou, 2019). There was a 4-round differential-linear distinguisher for Ascon-128 that later turned into a 5-round key recovery attack (Tezcan, 2020). For DryGASCON-128, there was a 5-round theoretical differential-linear distinguisher (Tezcan, 2020). Since there was no practical differential-linear distinguisher for DryGASCON, we decided to provide one to compare it with Ascon's. On our way to do that we realized that the initial 3-round probability one truncated differential distinguisher provided by its designer (Riou, 2019) was erroneous. We also observed that this misinterpretation led to other faulty analyses, which were a 2-round probability one truncated differential distinguisher (Tezcan, 2020) that was used in a 5-round differential-linear distinguisher and an improved 3.5 round probability one truncated differential distinguisher (Tezcan, 2020). We believe the reason for these faulty analyses was the discrepancy between the provided code and the paper of DryGASCON's submission file. The provided 3-round truncated dif-

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ferential distinguisher by its designer (Riou, 2019) and the provided code of DryGASCON have a different approach on handling the rotations; they move in the opposite direction. We corrected these analyses and provide them in our study. Then we used the corrected 5-round theoretical differential-linear distinguisher (Tezcan, 2020) of DryGASCON to provide its practical results. After that, we provide a new 5-round practical differential-linear distinguisher that gives better results in terms of bias and data complexity. The linear approximations used in this analysis were found with *lineartrails tool* (Dobraunig et al., 2015a) that has different search methods for finding characteristics. In the type-I method, it is allowed to have active bits anywhere on the permutation without any limitation. In the type-II method, the active bits are only allowed in the small portion of the cipher which is responsible to produce the ciphertext in sponge constructions. The analysis of Ascon depends on the type-II search method because, in that way, it is possible to turn this distinguisher into an attack. However, the analysis of DryGASCON so far depends on the type-I search method because even though their designs are similar, DryGASCON uses some additional functions which made the attack process more complicated. So instead of using the type-II method, the type-I method was used to understand its general resistance against linear cryptanalysis. In our analysis, we also used the type-I method to improve the existing analysis. But for the sake of comparison, we provide its type-II analysis as well. The analysis results can be seen in Table 1.

According to these results, it is possible to say that the changes to Ascon’s permutation did not make DryGASCON stronger than Ascon. But since Ascon has one more round than DryGASCON, we may say that DryGASCON may be more susceptible to this kind of analysis. But note that this conclusion does not apply to the attack phase.

2 PRELIMINARIES

2.1 Ascon

Ascon (Dobraunig et al., 2016) is a cipher suite that has authenticated encryption with associated data (AEAD) and hashing capabilities. It is currently one of the finalists in the NIST lightweight cryptography competition. It was also the primary choice in the CAESAR competition’s (Bernstein, 2013) lightweight applications category.

Ascon is a substitution-permutation network (SPN) based algorithm. Its mode of operation de-

pends on the MonkeyDuplex structure; hence its security requires the uniqueness of a nonce. Its encryption process contains initialization, processing of associated data, processing the plaintext, and finalization.

Ascon has two variants with different round numbers and data block sizes; Ascon-128 and Ascon-128a. Ascon-128 has a 320-bit state that is formed by 64 words. These are 64-bit IV, 128-bit secret key, and 128-bit nonce. Its permutation process is applied $a = 12$ (during initialization and finalization) and $b = 6$ times (during encryption). In the substitution layer, its 5x5 S-box updates its state 64 times in parallel. Then in the diffusion layer, the function $\Sigma_i(x_i)$ is applied to each word. The permutation layer can be described as follows:

$$\begin{aligned} x_i &\leftarrow \Sigma_i(x_i), 0 \leq i \leq 4 \\ x_0 &\leftarrow \Sigma_0(x_0) = x_0 \oplus (x_0 \ggg 19) \oplus (x_0 \ggg 28) \\ x_1 &\leftarrow \Sigma_1(x_1) = x_1 \oplus (x_1 \ggg 61) \oplus (x_1 \ggg 39) \\ x_2 &\leftarrow \Sigma_2(x_2) = x_2 \oplus (x_2 \ggg 1) \oplus (x_2 \ggg 6) \\ x_3 &\leftarrow \Sigma_3(x_3) = x_3 \oplus (x_3 \ggg 10) \oplus (x_3 \ggg 17) \\ x_4 &\leftarrow \Sigma_4(x_4) = x_4 \oplus (x_4 \ggg 7) \oplus (x_4 \ggg 41) \end{aligned}$$

Ascon is being analyzed since 2014 and the summary of these analyses was presented on Ascon’s official website². In this work, we mainly focused on the differential-linear analysis of Ascon-128. This method was applied in (Dobraunig et al., 2015b), (Bar-On et al., 2019), and (Tezcan, 2020) in terms of key recovery attacks. We used the approach of (Tezcan, 2020) when performing cryptanalysis of DryGASCON, so we will explain their methodology.

2.2 DryGASCON

DryGASCON (Riou, 2019) is a cipher suite that provides AEAD and hashing functionality. It was a candidate in NIST’s Lightweight Cryptography competition but eliminated after the second round. DryGASCON uses a permutation that is a generalized version of Ascon, namely Gascon. It uses a new construction named DrySponge as a mode of operation. DrySponge is based on Duplex Sponge construction, but the combination of the input with the state and the extraction of output from the state is different. DryGASCON has two instances: DryGASCON-128 which was the primary submission, and DryGASCON-256. Like Ascon-128, DryGASCON-128 has a 320-bit state formed by 64-bit words. But unlike Ascon, constant addition depends on the current round instead of a total number

²<https://ascon.iaik.tugraz.at/publications.html>

Table 1: Comparison of differential-linear analysis of Ascon128 and DryGASCON-128.

Algorithm	Round	Type	Theoretical Bias	Data	Practical Bias	Data	Reference
Ascon	4/12	Type-II	2^{-15}	2^{32}	$2^{-1.68}$	2^8	(Tezcan, 2020)
DryGASCON	4/11	Type-II	2^{-15}	2^{32}	$2^{-1.67}$	2^4	Sec. 3.2
DryGASCON	5/11	Type-I	2^{-29}	$2^{61.28}$	-	-	(Tezcan, 2020)
DryGASCON	5/11	Type-I	-	-	$2^{-5.34}$	2^{17}	Sec. 3.2

of rounds. Its round number is 11 instead of 12. It uses Ascon’s 5x5 S-box except represents it in little-endian. In the substitution layer, this S-box updates its state 64 times in parallel. Then in the diffusion layer, the function $\Sigma_i(x_i)$ is applied to each word. The permutation layer can be described as follows:

$$\Sigma_0(x_0) = x_0 \oplus (x_0 \ggg 19) \oplus (x_0 \ggg 28)$$

$$\Sigma_1(x_1) = x_1 \oplus (x_1 \ggg 61) \oplus (x_1 \ggg 38)$$

$$\Sigma_2(x_2) = x_2 \oplus (x_2 \ggg 1) \oplus (x_2 \ggg 6)$$

$$\Sigma_3(x_3) = x_3 \oplus (x_3 \ggg 10) \oplus (x_3 \ggg 17)$$

$$\Sigma_4(x_4) = x_4 \oplus (x_4 \ggg 7) \oplus (x_4 \ggg 40)$$

The linear layer of DryGASCON-128 is similar to the linear layer of Ascon-128. But in DryGASCON two rotations are different, namely Σ_1 and Σ_4 . They were changed into 38 from 39 in Σ_1 and 40 from 41 in Σ_4 . The rotation function is also different. According to (Riou, 2019), every 64-bit word rotates once with an odd shift to make sure that a difference in half of an input word will be propagated to the other half of the matching output word.

DryGASCON was first analyzed in (Tezcan, 2020) in terms of differential-linear cryptanalysis. This analysis focused on the constrained version of DryGASCON. Namely, it did not take into account the *Mix128* function, which is a unique property of DryGASCON. They provided a theoretical 5-round differential-linear distinguisher (Tezcan, 2020) of DryGASCON and in this study, we aimed to improve their results by providing a practical distinguisher. Recently, (Liang et al., 2021) presented a practical forgery attack for DryGASCON without reusing the nonce.

2.3 Undisturbed Bits

Undisturbed bits (Tezcan, 2014) can be used to create longer and in some cases favorable differentials in improbable, impossible, and truncated cryptanalysis. For an S-box, they can be thought of as probability one truncated differentials. An output bit is said to be undisturbed if its difference stays invariant for a certain input difference.

(Tezcan, 2016) showed that Ascon has 23 undisturbed bits in the forward direction and 2 undisturbed

bits in the backward direction. Since Ascon and DryGASCON share the same S-box, the same analysis also applies to it. Then (Tezcan, 2020) used it to provide probability one truncated differential distinguisher of Ascon and DryGASCON. The undisturbed bits of both algorithms can be seen in Table 2.

Table 2: Undisturbed bits of Ascon and DryGASCON.

Input Difference	Output Difference	Input Difference	Output Difference
00001	?1???	10000	?10??
00010	1???	10001	10???
00011	???	10011	0???
00100	??110	10100	0?1??
00101	1???	10101	???
00110	???	10110	1???
00111	0??	10111	???
01000	???	11000	???
01011	???	11100	???
01100	???	11110	?1???
01110	???	11111	???
01111	?1???		

In this study, we are using undisturbed bits to build a probability one truncated differential distinguisher of DryGASCON-128.

2.4 Truncated Differential Cryptanalysis

Differential cryptanalysis (Biham and Shamir, 1991) aims to see how a fixed input difference affects the output difference. There are several methods of applying this technique, one of which is truncated differential cryptanalysis (Knudsen, 1994). In this method, the differences do not have to be fully specified; simply fixing a few bits in the input and output differentials is enough. It can be constructed as probability one for some rounds throughout the cipher by using undisturbed bits.

In (Tezcan, 2016), a 3.5-round probability one truncated differential distinguisher was provided for Ascon. Since the permutation of Ascon and DryGASCON are similar, (Riou, 2019) stated the same approach is acceptable for DryGASCON. Then they provided a 3-round probability one truncated differential distinguisher for DryGASCON and stated that it is the longest one possible (Riou, 2019). Then (Tezcan, 2020) observed the two S-boxes have non-zero output difference after 3.5-round, so they were active. So (Tezcan, 2020) improved Riou’s result by providing a 3.5-round probability one truncated differential distinguisher. After that, they used a 2-round version of

3 DIFFERENTIAL-LINEAR CRYPTANALYSIS

Differential-linear cryptanalysis (Langford and Hellman, 1994) is a method that combines differential cryptanalysis (Biham and Shamir, 1991) and linear cryptanalysis (Matsui, 1993). This way, short differential, and linear characteristics can be combined to obtain long differential-linear distinguishers that may be longer than the longest differential or linear characteristics. In this technique, the cipher E is divided into two parts: E_0 and E_1 . In here, E_0 represents a truncated differential $\lambda_I \rightarrow \lambda_o$ with probability $p = 1$. And E_1 represents a linear approximation $\nabla_I \rightarrow \nabla_o$ with probability $1/2 + q$, where q is the bias. Then their combination $E = E_0 \circ E_1$ is used to find a distinguisher for the algorithm. Note that the masked input bits of the linear approximation should match the zero difference in the output bits of truncated differentials.

For distinguishing cipher E from a random permutation, a suitable number of plaintext pairs with input difference λ_I is used. The permutation is applied to each pair, and it is checked if the corresponding ciphertexts c_1, c_2 have the same parity of the mask ∇_o . This condition is checked with a suitable number of data. As a result of this, the probability is being approximately $1/2$ shows that the cipher behaves randomly. If not, the cipher might be weak against this technique. The size of this deviation gives an idea about how weak the cipher is.

According to (Biham et al., 2002), this technique is still possible if the masked bits of the first round of linear approximation match with the non-zero but fixed difference at the end of the truncated differential. If p is less than 1, it is still possible to build the distinguisher (Biham et al., 2002). If that is the case, the bias of this distinguisher can be calculated as approximately $2pq^2$ and the data complexity is $O(p^{-2}q^{-4})$ chosen plaintexts approximately, where O is the big O notation. These calculations come from Matsui's Piling-up lemma (Matsui, 1993). If the probability is $p = 1$, these turned into $\theta(q^{-4})$ chosen-plaintext for data complexity and $2q^2$ for the bias.

3.1 Ascon

Differential-linear cryptanalysis was applied to 4,5 rounds of Ascon-128 as a key recovery attack (Tezcan, 2020). To be able to do that, they gave differences to the nonce, namely the words x_3 and x_4 . And since the plaintext is XORed with x_0 to generate the ciphertext, they examined the differences in the output only for x_0 . They provided a 4-round differential-linear characteristic by using the 2-round

linear approximation that comes from (Dobraunig et al., 2015a) and a 2-round probability one truncated differential. In their previous work, they provided a 3.5-round probability one truncated differential distinguisher (Tezcan, 2016) by using the undisturbed bits of Ascon. But they did not use this 3.5 round distinguisher when building the differential-linear distinguisher, because it has contained differences in words x_0, x_3 , and x_4 . So it was infeasible for performing a key recovery attack with this one. Instead, they used a 2-round distinguisher with the combination of a type-II linear approximation with bias 2^{-8} . They used the type-II characteristics because the last round of the approximation should have masks only in word x_0 , and the rest should have been free from any masks. According to this study, the theoretical bias was $2pq^2 = 2 \cdot 1 \cdot 2^{-8} = 2^{-15}$. Then they practically verified these results and found out that the practical results of these biases were $2^{-2.41}, 2^{-1.68}, 2^{-2.41}$ and $2^{-1.68}$ while key bits are (0, 0), (0, 1), (1, 0), and (1, 1) in the activated S-box, respectively. This makes all of the practical biases better than the theoretical bias 2^{-15} . The reason for the gap between theoretical and practical biases was explained with slow diffusion and the existence of multiple linear characteristics (Tezcan, 2020).

To perform this attack, they used 2^{24} random nonces and performed this experiment with 1000 random keys for the 4-round permutation (Tezcan, 2020). They repeated this experiment for 4 possible key pairs using 2^8 samples but they could not distinguish the second key bit because they observed the same biases regardless of the second key bit. So they captured the second key bit using another 2^8 samples by rotating the initial difference. So capturing the whole 128-bit key required $64 \cdot 2 \cdot 2^8 = 2^{15}$ sample (Tezcan, 2020). They extended this attack to 5-rounds using a 3-round probability one truncated differential distinguisher and 2-round linear approximation with a $2^{31.44}$ time complexity. To extend this to a 6-round attack, they used 2^{42} random nonces and repeated the experiment with 128 random keys by rotating the input difference to every possible position. This operation was performed with $2 \cdot 2^{42} \cdot 128 \cdot 64 \cdot 4 = 2^{58}$ complexity.

3.2 DryGASCON

Since Ascon and DryGASCON have similar designs, (Riou, 2019) stated that the cryptanalysis of Ascon can be applied on DryGASCON with some modifications. They indicated the Mix128 function, a unique property of DryGASCON does not really have any effect on DryGASCON's security. So the analysis

- We compared the security of Ascon and DryGASCON for Differential-Linear Cryptanalysis under the same conditions.

We provided the best practical differential-linear distinguisher for DryGASCON-128. We provided a comparison between two ciphers that have similar designs. Moreover, we corrected some analyses in the literature.

Our analysis also showed that the similarity in Ascon and DryGASCON's designs makes the analysis result of one cipher can also be applied to the other with some modifications. But for the attack phase, DryGASCON requires much more examination due to its additional functions.

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