## DISTRIBUTED FREQUENCY SORTING IN SPECTRAL VIDEO ANALYSIS OF DNA SEQUENCES

Anca Bucur, Jasper van Leeuwen

Philips Research Europe, High Tech Campus 37, Eindhoven, The Netherlands

#### Nevenka Dimitrova

Philips Research USA, Briarcliff Manor, NY 10510, U.S.A.

- Keywords: Genomic signal processing, DNA spectral analysis, Spectral alignment, Frequency sorting, Distributed computing.
- Abstract: DNA spectral analysis, i.e. the analysis of DNA spectrograms, has been proposed as a method to systematically investigate DNA patterns, which may correspond to relevant biological features. The Frequency Sorting method sorts the sequences in spectral domain based on their frequency content, and detects and groups those sequences exhibiting one or more strong patterns in the same frequencies. In this paper we propose a novel distributed algorithm for Frequency Sorting and report on the performance results of our implementation for the alignment in spectral domain of the human chromosome 21. Distributed Frequency Sorting enables efficient spectral alignment and allows for the easy detection of strong patterns in both single and multiple frequencies.

### **1 INTRODUCTION**

Currently, there are few systematic tools available that may enable the exploration of genomic frequency patterns in biological events. One such tool is spectral analysis. This technique can be used in phylogenetic (evolutionary conservation) studies and potentially for the discovery of sequence properties in the frequency domain that are not detectable by current "string-space" linear alignment methods.

Spectrogram extraction of DNA sequences has been proposed in (Anastassiou, 2000). DNA spectrograms are generated by converting DNA sequences to binary indicator sequences and then applying the short term Fourier transform and mapping to a colour space to visualize the output. It is however not possible to fit the frequency spectrum of a very long DNA sequence, with millions of nucleotides, into a single spectrogram frame. This issue has been addressed by SpectroVideo (Santo, 2007).

The problem with a spectral image is that for long sequences it is very difficult to spot patterns that appear throughout the genome. Automatic methods for mining DNA spectra were also proposed using standard hierarchical clustering algorithms. However, there are several problems related to using these methods for large scale comparisons: 1) large memory space is needed, as each spectral window is compared to all other windows, 2) due to global metrics used, the output may only have global frequency similarity, but strong individual frequency similarity would not be detected, and 3) the algorithms are not conducive to parallelization.

In (Bucur, 2008) we have proposed a method that sorts the sequences in spectral domain based on their frequency content, and detects and groups those sequences exhibiting one or more patterns in the same frequencies. Such a sorting algorithm is more suited for spectral analysis than clustering algorithms because we aim at aligning sequences based on long patterns in individual frequencies. In the case of DNA spectrograms, the content in distinct frequencies needs to be analyzed individually and not combined in a global distance metric, as is the case for DNA sequence alignment. As patterns are searched in individual frequencies, our Frequency Sorting method is also well suited for

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In this paper we propose a distributed algorithm for Frequency Sorting of DNA spectrograms that achieves efficient and scalable distribution of the computation, enabling significant speedup and allowing the processing and analysis of large genomic sequences, such as entire genomes. We report the performance of the distributed Frequency Sorting implementation in terms of speedup and execution time, when applied to the entire human chromosome 21 for several sets of parameters.

### 2 RELATED WORK

In (Anastassiou, 2000) an optimization procedure improving upon traditional Fourier analysis performance in detecting coding regions in DNA sequences is introduced. Color spectrograms of biomolecular sequences are used as visualization tools providing information about the local nature, structure and function of the sequences. Color maps help visually identifying protein coding areas for both DNA strands, but also the coding direction and the reading frame for each of the exons.

In (Sussillo, 2004) a slightly modified version of the spectrogram development tool is applied to explore patterns characteristic in the genomes of various organisms (among which E. coli, M. tuberculosis, C. elegans, D. melanogaster and H. sapiens). Interesting features were detected, some of which are common to all organisms and some are unique to a particular organism.

In (Santo, 2007) the spectral analysis tool was improved with hierarchical clustering in order to optimize the viewing of spectra and to detect patterns in large amounts of sequence data.

# **3** THE FREQUENCY SORTING METHOD

The Frequency Sorting method and several algorithms used for sorting have been described in detail in (Bucur, 2008). Frequency Sorting comprises the following steps:

- Create a Spectrogram
- Apply a Binning Function and Build Frequency Histograms
- Sorting
- Visualization using SpectroVideo

In this paper we apply our Top Down Hierarchical Sorting (TDHS) algorithm to sort the DNA spectrogram. The intuitive visual representation makes it easy to detect patterns. Once interesting patterns have been detected, the actual Fourier values, mapped to colours in the SpectroVideo, should also be taken into account for an accurate analysis.

### 4 THE DISTRIBUTED FS ALGORITHM

Combining Frequency Sorting with SpectroVideo supports the discovery of novel frequency patterns in large genomic repositories of sequences.

Applying Frequency Sorting to a large dataset is very data-intensive, requiring large amounts of computations and memory. Additionally, a large number of experiments, varying the values several parameters (window size, bin size, window overlap, threshold of Fourier values), need to be run in order to detect all relevant patterns. Therefore, an algorithm needs to be designed that allows an efficient distribution of the data and of the computations, exploiting the potential for parallelization.

In each iteration of FS, the bin sizes are computed for each frequency and nucleotide independently. The bin values are then compared across all frequencies and nucleotides, and based on the result of the comparison the domain of windows is split and reordered. As histograms are built per frequency and nucleotide, it is very efficient to split the same way the data domain of Fourier values among several processors and to build the histograms in parallel.

In our algorithm, a distributor node is responsible for distributing the sub-domains of the dataset among several worker nodes. The largest source of overhead in the algorithm is the initial distribution of the Fourier values corresponding to the assigned frequencies to the worker nodes. Each worker node is assigned a set of frequencies (one or more) for which to compute at each iteration step the bin sizes and build the histograms, and receives the Fourier values in those frequencies across all windows. The resulting histograms are compared among the worker nodes and a decision concerning the split of the domain is taken. To minimize the overhead of data transfer, the frequencies are assigned at the beginning of the execution and will not change. The Fourier values are distributed per frequency in a round robin fashion to the worker nodes.

Iteratively, each worker node builds the histograms and chooses the largest bin out of all its assigned frequencies and nucleotides and communicates that information to the distributor.

In our implementation, it is the role of the distributor to choose a global winning bin (i.e. the largest bin in all frequencies) and to communicate the corresponding frequency and the windows contributing to the bin (i.e., the new split into groups) to the worker nodes. Next, all worker nodes split their windows the same way and reiterate the computation of the histograms in each of the new sub-groups of windows for the frequencies assigned to them.

The bins can be computed at each step by scanning the entire set of values corresponding to a frequency and to the four nucleotides, or they can be updated in each iteration based on the current split of the domain. In the implementation used for experiments bins are re-computed at each split.

The distributed FS algorithm enables efficient, flexible and scalable sorting and alignment of increasing sequence sizes, including entire genomes. As with DNA spectrograms the window size influences the size of the patterns that are visualized, the spectral alignment and the analysis need to be carried out for many window sizes. The spectral alignment (and the execution time of the algorithm) is also influenced by the chosen bin size and several bin sizes need to be used in experiments.

### **5 EXPERIMENTAL RESULTS**

We have evaluated our algorithm on a computer cluster with 4 quad-core Intel(R) Xeon(R) CPUs at 2.66GHz and with 16 GB RAM, running Linux. The intra-cluster communication is ensured by standard 1000baseT/Full Ethernet network. Message passing interface (MPI) is used for communication in the parallel implementation.

Figure 1 depicts the speedup of our implementation of distributed FS for chromosome 21, and window sizes of 800 and 2000, and bin sized of 15 and 25, respectively. The source of the sequence and of the annotation, is the NCBI's Human genome assembly of March 2006. For up to

12 worker nodes the application exhibits very good performance and scales linearly with the number of processors, for all window sizes and bin sizes. There is however some communication and synchronization overhead related to the selection of the winning bins. Fast intra-cluster links could additionally improve the performance.

Figure 2 combines four consecutive frames of the spectral video corresponding to the alignment of the human chromosome 21 for a window size of 800 and a bin size of 15, with non-overlapping bins. Each frame represents 200 frequencies for a good visibility of all frequency values. The frames exhibit several long strong patterns combined in two wide bands, including a strong 2.5 periodicity indicating a coding region. These patterns shows best with a bin size of 15, and become weaker for both smaller and larger bin sizes. The patterns also show weaker for a window size of 2000.



Figure 1: Speedup of the distributed FS application.

### 6 CONCLUSIONS AND FUTURE WORK

Clustering algorithms currently used for sequence alignment are not suitable for spectral analysis, where we need to find patterns at individual frequencies throughout a single genome or across known genomes. The spectral sorting approach addresses data intensive genomic applications that have insatiable needs for exploring the available data from the various genome sequencing projects.

Our distributed algorithm uses the characteristics of the DNA spectrogram dataset and of Frequency Sorting to achieve high performance and low overhead. We have run the distributed FS algorithm for the human chromosome 21, for several window and bin sizes. In all cases the speedup of the application was linear.

In our future work, in order to reduce the human



Figure 2: Four consecutive frames combined, exhibiting strong long patterns in several frequencies.

effort required for the analysis of the spectral images, we will investigate the automatic data mining on clusters to detect relevant features. In addition, we will apply distributed Frequency Sorting to align and enable the analysis and comparison of the spectra of several entire genomes.

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