

SMART HOME

From User's Behavior to Prediction of Energy Consumption

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Abstract: This paper concerns a home automation system of energy management. Such a system aims at keeping under control the energy consumption in housing. The expected energy consumption is scheduled over one day. Each hour a total amount of energy is available that is a resource constraint for the expected energy plan. The expected consumption is totally derived from users behavior which are quite different from one housing to another, and rather difficult to predict. This paper proposes a *Learning System* to predict the user's requests of energy. The proposed method relies on Bayesian networks.

1 INTRODUCTION

A *Home Automation System* basically consists of household appliances connected by both energy and communication networks. Smart Home and more generally Smart Building are spreading out. They aim at first increasing comfort and security, second enabling remote access to information about the appliances and the buildings and third managing the appliances. The system addressed in this paper is concerned with energy management in Smart Home. It aims at planning the best energy assignment satisfying the availability energy constraints and the users' requests (Palensky et al., 1997), (HA et al., 2006). In this paper, energy is restricted to the electric consumption. (HA et al., 2006), (Abrás et al., 2007) present a three-layers household energy control system: anticipative layer, reactive layer and device layer. The anticipative layer depicted in (Ha, 2005) and (Abrás et al., 2008) is mainly concerned with the energy plan. The anticipative plan relies on predictions of environmental parameters (weather forecast, solar radiation, ...) and energy consumption.

In order to keep under control the total amount of consumed energy every hour, and then avoid peak consumptions and minimize the energy cost, the *Home Automation System* has to schedule as much as possible the energy consumptions in the most appropriate time periods. For example, the washing machine could be planned before or after the oven in a low energy cost period as far as such a plan satisfies the predicted user's request. The efficiency of

the anticipated plan is as good as the prediction of the user's request. Indeed if the actual user's behavior is far from the predicted one, then the reactive layer has to stop an appliance in order to satisfy the available energy constraint for example, and schedule this appliance later without any energy cost optimization.

This paper focuses on the prediction of the user's behavior. A *Learning System* is proposed to predict the inhabitant's requests for each hour of a 24 hours anticipative time period. The system is based on the use of Bayesian Network to predict the user's behavior. Bayesian Networks (BNs) are a field of Machine Learning, capable of representing and manipulating arbitrary probability distributions over arbitrary random variables (Russell and Norvig, 2003), (Naïm et al., 2004). They are especially well suited for modeling uncertain knowledge in expert systems (Heckerman, 1995). The paper is organized as follows: first, related works concerning the use of household appliances are presented. The next section shows the way how a Bayesian Network can be used. The proposed approach to predict the user's behavior in housing is explained in section 2. A real database concerning 100 houses in France is used to build standard profiles from which the *Learning System* deduces the predicted user's behavior. Finally, some results and perspectives are discussed.

1.1 Related Works

Various works have been done to study the impact of the user's behavior on the energy consumption in

the housings. (Wood and Newborough, 2003), (Wood and Newborough, 2007) study the interaction between the user and the appliances. The appliances are classified into four categories according to their level of automation and the number of settings. For the appliances with low level of automation the user needs to be in the proximity of the appliances to be set. They achieved up to 10~20% reduction in energy consumption of households by changing the user's behavior. Other studies are interested in modeling and simulating the activities of the user (Zimmerman, 2007). The activities of one and several people are integrated into simulators of buildings performances to get more use dependent results. This approach models all users as individual agents with different behaviors. Different functions and functional units such as work places are modelled also. The main results of this work is that the activities of individuals and groups in office environments can be modelled on the basis of communicating agents. (Ha et al., 2006) studies and analyzes the user's behavior in housing to make embodiment of user's interface in Ubiquitous environment. Behavior patterns are analyzed by classifying data into 5W(who, what, where, when and why) and 1H (How). All these works focuses on the design of displays in order to change the user's behavior.

1.2 Bayesian Networks

A Bayesian Network (BN) is a graphical model for probabilistic relationships among a set of variables (Pearl, 1986). BNs model causal relationships. They are represented as directed acyclic graphs, where each node represents a different random variable. A directed edge from the node X (*cause node*) to the node Y (*effect node*) indicates that X has a direct influence on Y. This influence is quantified by the conditional probability $P(Y|X)$, stored at node Y. The nodes in a network can be of two types: *evidence node* when its value is observed, and *query node* when its value has to be predicted. A Conditional Probability Table (CPT) is assigned to each node in the network. Such probabilities may be set by an expert or using a registered data. BNs are based on the conditional independence; each node is conditionally independent of its non-descendants given its parents. When a node has no parent, its CPT specifies the prior probability. There are two types of learning: 1) *the structure learning* in which the best graph representing the problem is searched; 2) *the parametric learning* in which the structure of the network is known and the conditional probability is estimated at each node. Once the Bayesian Network is constructed, it

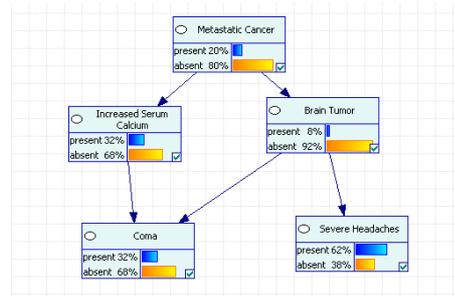


Figure 1: Bayesian Network for Coma problem.

can be used to compute the probability distribution for a query variable, given a set of evidence variables. This operation is called *inference*. For example, one can identify the causes by calculating the most probable cause given some information (Figure 1), or predict the effects of a cause by calculating the most frequent value of a node given some observations. Exact and approximate approaches of inference can be used (Russell and Norvig, 2003), (Naïm et al., 2004).

Bayesian Networks are used in a large range of applications: telecommunications (Ezawa and Schuermann, 1995), display management for time-critical decisions (Horvitz and Barry, 1995), industry (Hart and Graham, 1997), health (Becker et al., 1998), communication (Barco et al., 2002), etc.

2 PROBLEM STATEMENT

The objective of the work presented in this paper is to propose a *Learning System* able to deliver to the *Home Automation System* the useful information about the energy consumption in a given housing. This useful information is the prediction of the user's requests. A preliminary step consists in identifying a set of standard profiles of the users' requests. Then the *Learning System* has two tasks: 1) First, identify the most appropriate standard profile for a given user in order to exhibit the corresponding prediction of the energy consumption at each hour; 2) Second, built the learnt model of the energy consumption. The standard profiles are defined for each appliance and associated service to the inhabitants. They are built using a database. A questionnaire is used to identify the most appropriate standard profile for a given user. The questions concern the appliances in housing, the frequency of their use, etc.

The proposed method is depicted in the figure2. After the standard starting profile has been chosen for each service it is integrated in a Bayesian Network which will predict the actual user's requests. The actual energy consumption of the user is sent

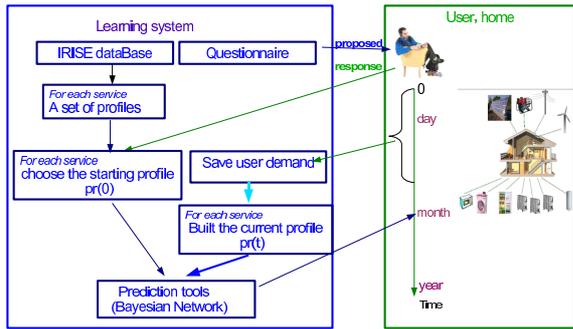


Figure 2: Structure of the Learning System.

to the *Learning System* also. This observation concerns the actual consumption of the appliances, the date, the hour, the consumed energy and the duration. After some time of observation, the *Learning System* can build the new profile dedicated to the actual requests of the user. In this paper, the process to identify the standard profiles and the Bayesian model of the *Learning System* are described.

3 BUILDING THE STANDARD PROFILES

3.1 Energy Database

The project *Residential Monitoring to Decrease Energy Use and Carbon Emissions in Europe (REMODOECE)*¹ provides an energy database. This is a European database on residential consumption, including Central and Eastern European Countries, as well as new European Countries (Bulgaria and Romania).

This database stores the characteristics of the residential electric consumption by country. The *IRISE* project is a part of *REMODOECE*. It has been chosen for our study to identify the standard profiles $pr(0)$. It deals only with houses in France. One database is available for every house; in such a database, the information is recorded every 10 minutes during one year for each appliance in the house. The consumed energy at every time period by every appliance is given in this database. However, these data have to be processed before using.

It is possible to know the number of people who live in each house. The presence of the user is important for the energy consumption but it is not explicitly known in the database.

Date	Starting Ho	Duration	Energy	Day	Month	Starting numb
1999-09-29	[18-19]	30	748	Wednesday	"September"	1
1999-10-03	[12-13]	40	481	Sunday	"October"	1
1999-10-03	[13-14]	30	273	Sunday	"October"	1
1999-10-03	[18-19]	40	767	Sunday	"October"	1
1999-10-04	[11-12]	60	1453	Monday	"October"	1
1999-10-05	[14-15]	70	1417	Tuesday	"October"	1
1999-10-06	[11-12]	50	697	Wednesday	"October"	1
1999-10-07	[19-20]	30	1058	Thursday	"October"	1
1999-10-08	[11-12]	50	695	Friday	"October"	1
1999-10-10	[19-20]	40	579	Sunday	"October"	1
1999-10-11	[11-12]	60	605	Monday	"October"	1
1999-10-11	[18-19]	30	809	Monday	"October"	1
1999-10-12	[18-19]	50	1123	Tuesday	"October"	1
1999-10-13	[18-19]	40	1088	Wednesday	"October"	1
1999-10-14	[18-19]	40	1005	Thursday	"October"	1
1999-10-15	[18-19]	30	509	Friday	"October"	1
1999-10-16	[11-12]	80	1981	Saturday	"October"	1
1999-10-17	[12-13]	90	2120	Sunday	"October"	1
1999-10-17	[18-19]	40	767	Sunday	"October"	1
1999-10-18	[18-19]	40	502	Monday	"October"	1

Figure 3: The database after treatment for the Electric-oven.

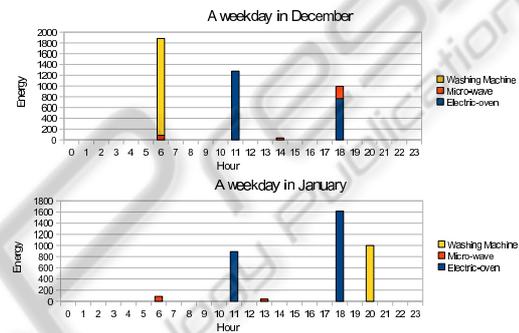


Figure 4: The consumed energy in a weekday in January and in December.

3.2 Data Preprocessing

From the *IRISE* data, a user's request concerns one or more services like *cooking in oven*, *clothe washing*, *water heating*, etc. A standard profile is a structured information derived from these raw data for every service. The *Home Automation System* anticipates the energy consumption from the following information about the user's requests:

- When is the service requested?
- How much energy does the service consume?
- What is the duration of the service?

This information is available in the database except for the duration. The figure 3 shows the preprocessed data for one appliance. Each row is the set of interesting information for one hour in the year: duration, energy, day, month, the number of times that the appliance has been started during the hour is also extracted from the raw data.

Analyzing the data one can notice 1) first the actual diversity of the use of each appliance in a given house and 2) second how difficult it is to characterize this use. The figure 4 shows the mean consumption

¹<http://www.isr.uc.pt/~remodece/>

of the *Electric-oven*, the *Micro-wave* and the *Washing machine* in a weekday in January and in a weekday in December. The consumed energy is not identical at the same hour in the two months. Nevertheless, some similarities exist. A standard profile gives the probability that the appliance will be started at each hour and the associated expected energy consumption for different types of days statistically representative.

Given only one day, for example Monday, the obtained information is very accurate. But the learning of such an information would be long, because an observation and the derived statistical process could be involved every seven days for one given day in the week. On the other hand, the average value obtained over all the year without any differentiation among the days would not be interesting because the derived prediction would be an average very far from each actual request.

3.3 Statistical Picture

A profile is a statistical picture of a service in a housing. This statistical study is performed over a time period that is the largest period allowing to compute significant probabilities. A time period may be the day, the month or the day in a month. For each service, for a chosen time period, the profile consists of:

- The conditional probability that the service starts every hour;
- The average duration every hour;
- The average consumed energy every hour;

The value of the conditional probability, the duration or the energy for a service are calculated from the preprocessing data.

It can also be useful to calculate the probability that the appliance starts at each hour over all the year without taking into account any time period. This kind of information can be used to briefly depict the profiles and then identify the most appropriate profile to a given user.

Parts of the profile of the *Electric-oven* service taken in example are depicted in the figures 5- 9. These profiles concern the house 2000997 from the *IRISE* database.

The figure 5 represents the probability that the *Electric-oven* starts at each hour for each month over one year, from October to September. For example, the probability that the *Electric-oven* starts at 6 pm in October is 0.41 (figure 6). The figure 7 shows the probability that the *Electric-oven* starts at 6 pm for each weekday. For example, the probability on Sunday at 6 pm is 0.2. The probability that the *Electric-oven* starts at 6 pm in October on Sunday is 0.60 (fig-

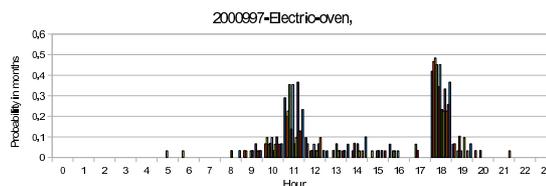


Figure 5: The probability of the Electric-oven in the house 2000997 on months.

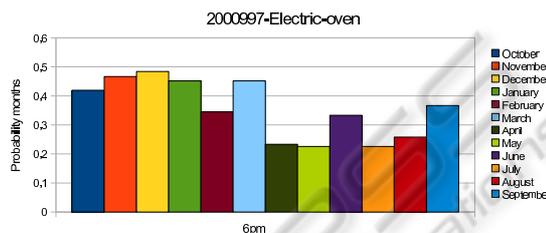


Figure 6: The probability of the Electric-oven in the house 2000997 at 6pm on months.

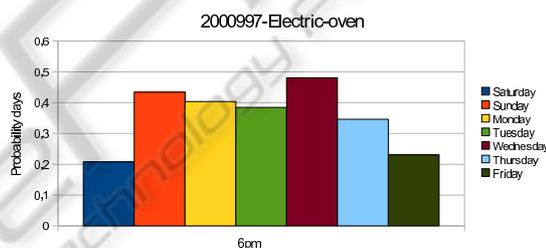


Figure 7: The probability of the Electric-oven in the house 2000997 at 6pm on days.

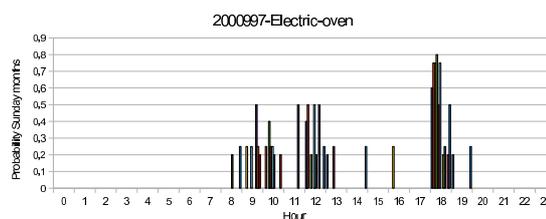


Figure 8: The probability of the Electric-oven in the house 2000997 on Sunday over all the months.

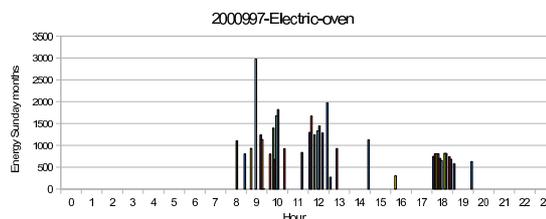


Figure 9: The Energy of the Electric-oven in the house 2000997 on Sunday over all the months.

ure 8). The average energy of the *Electric-oven* at 6 pm in October on Sunday is 751Wh (figure 9). Such profiles can be exhibit for the service duration as well.

4 LEARNING BAYESIAN SYSTEM

The Bayesian Network is used to predict the user’s requests. The Conditional Probability Distribution at each node of the BN is computed from both the standard starting profile and the actual observations of energy consumption in the housing. There are two types of nodes in this network: 1) the probabilistic nodes in which a Conditional Probability Table is associated; 2) the deterministic nodes which values are specified exactly by the values of its parents, with no uncertainty (Russell and Norvig, 2003). For the deterministic nodes, the probability distributions are no longer needed to be specified, but instead only certain states. In this work, all the energetic services in the house like the *cooking service* or the *washing service*, etc are represented in the Bayesian Network. There are three causal nodes in the *Learning System*:

- Hour with 24 values from 0 to 23
- Month with 12 values from January to December
- Day with 7 values from Saturday to Friday

All these nodes are probabilistic.

Three nodes are associated to each service in the housing:

- Starting of the service with tow values {yes, no}
- Energy which is a deterministic node
- Duration which is a deterministic node

To simplify the presentation of the network, only the *Electric-oven* is dealt with during two days (Saturday and Sunday) in October. The hour values are reduced to 3 which are {11am, 12am, 1pm}. This network is given in the figure 10.

The Conditional Probability Distribution corresponding to the *Starting Electric-oven* node is part of the profile of the *Electric-oven*. Given the hour, the month and the day, the *Home Automation System* uses this network to obtain the probability of starting, the average energy and the average duration of the services. For example, if the hour is Sunday 12am, the Bayesian Network provides the probability 0.4 of starting for the *Electric-oven*.

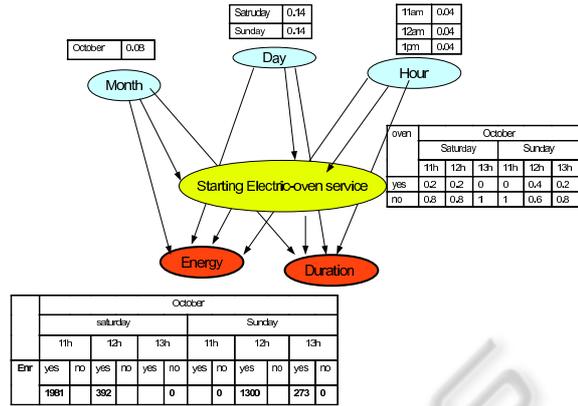


Figure 10: A part of the Bayesian Network.

4.1 Segmentation between Days and Months

In order to exhibit the standard profiles from the database with the best accuracy, the discriminating parameters such as days and months have to be found. For this purpose, a dissimilarity index and a clustering algorithm are defined.

4.1.1 Dissimilarity Index

The probability that a service starts at each hour given the day (figure 7) or the month (figure 5) is used to identify if the days or the months discriminate the service. Comparison between the months all over the year is performed, as well as between the days all over the year. The proposed Dissimilarity index is based on the *Manhattan distance* given in the equation 1. It is used to calculate the difference between two months or two days over 24 hours.

$$Diff(X, Y) = \sum_{i=0}^{23} |x_i - y_i| \tag{1}$$

Where $X=x_i$ is the probability that the service starts in a month (or day) A a each hour i ; $Y=y_i$ is the probability that the service starts in another month (or day) B . The label i represents the hour. Then, the Dissimilarity index is defined as follows:

$$DI(X, Y) = \frac{Diff(X, Y)}{\frac{\sum_i (x_i + y_i)}{2}} \tag{2}$$

$\frac{\sum_i (x_i + y_i)}{2}$ is a normative coefficient from which the Dissimilarity index measures the relative dispersion of the starting probability over the months (or days).

Therefore, when the value of $DI(X, Y)$ is small, X and Y are close together. If $DI(X, Y)$ is large, then

Table 1: Example of the Dissimilarity Index.

Days1	Days2	Diff	$\frac{\sum_i(x_i+y_i)}{2}$	DI	Decision
Sat	Sun	0,79	0,93	0,86	YES
Sat	Mon	0,5	0,72	0,69	NO
Sat	Tues	0,64	0,81	0,79	NO
Sat	Wed	0,83	0,95	0,88	YES
Sat	Thur	0,54	0,78	0,69	NO
Sat	Fri	0,3	0,75	0,39	NO
Sun	Mon	0,71	0,87	0,82	YES
Sun	Tues	0,85	0,96	0,88	YES
Sun	Wed	0,9	1,1	0,82	YES
Sun	Thur	0,91	0,93	0,97	YES
Sun	Fri y	0,85	0,9	0,94	YES
Mon	Tues	0,37	0,76	0,48	NO
Mon	Wed	0,6	0,89	0,67	NO
Mon	Thur	0,39	0,73	0,53	NO
Mon	Fri	0,56	0,7	0,79	NO
Tues	Wed	0,38	0,98	0,39	NO
Tues	Thur	0,21	0,82	0,26	NO
Tues	Fri	0,46	0,79	0,59	NO
Wed	Thur	0,52	0,95	0,55	NO
Wed	Fri	0,69	0,92	0,75	NO
Thur	Fri	0,36	0,76	0,48	NO

X and Y are quite different. A threshold is arbitrary fixed to 0.8.

An example is given in the table 1². In this example the difference between two days in the house 2000997 for the *Electric-oven* over all the year is calculated. The difference between two days is significant if the ratio between $Diff(X, Y)$ and $\frac{\sum_i(x_i+y_i)}{2}$ is bigger than the given threshold.

4.1.2 Clustering Algorithm

The Dissimilarity index given in the equation 2 helps the *Learning System* to decide if two days or two months can be merged for a given service. Then the standard profile associated with this service is reduced in size and by the same time it will require less observations to be adjusted to the actual user. This type of treatment is called *Clustering*. *Clustering* can be considered as the most important unsupervised learning problem. It is a *process of partitioning a set of data (or objects) in a set of meaningful sub-classes, called cluster* (Zaane, 1999). A cluster is therefore a collection of *similar* objects that are *dissimilar* to the objects belonging to other clusters. There are some clustering algorithms like *K-means*, *k-Medoid*, *hierarchical algorithm*, etc. In this paper, a clustering algorithm is proposed as follows based on the Dissimilarity index. The objects can be the

²Sat: Saturday, Sun: Sunday, Mon: Monday, Tues: Tuesday, Fri: Friday, Wed: Wednesday, Thur: Thursday.

months or the days.

Segment(*input E: Set of elements, DI: Dissimilarity indexes; Output C: set of clusters C_e*)

1. Find the closest two elements (*e_x, e_y*) ;

$$DI(e_x, e_y) = \text{Min}\{DI(e_i, e_j), e_i \in E, e_j \in E, i \neq j\}$$

Add to C_e if:

$$DI(e_x, e_y) < 0.8, \text{ then } C_e = \{e_x, e_y\}$$

2. If C_e is empty then go to the step 7 else go to the step 3
3. Calculate the set E₁ = E - C_e
4. For each element e_z of E₁ if DI(e_z, e_x) < 0.8 and DI(e_z, e_y) < 0.8 then add e_z to C_e
5. Add the cluster C_e to C
6. **Segment**(E - C_e, DI, C)
7. For each element e_r of E: Add e_r to C
8. Return C

This algorithm takes a set of elements which may be the starting probabilities at each hour over days or the months. It takes also the Dissimilarity index between each two elements (table 1). The first step of this algorithm consists in finding the closest two elements (e_x, e_y) which have the smallest Dissimilarity index. Then, for every remaining element from E, the algorithm finds all the other elements which are closer to e_x and e_y than the given threshold. The obtained set C_e represents the first cluster. This algorithm is recursive. It is iteratively called to find all the clusters. It ends when the remaining Discrimination indexes are all greater than the given threshold.

For example, the clusters obtained by applying this algorithm on the table 1 are: C₁ = {Sat, Mon, Tues, Wed, Thurs, Fri} and C₂ = {Sun}. That means that the use of the *Electric-oven* is different from the other days on **Sunday**.

5 CONCLUSIONS AND PERSPECTIVES

This paper focuses on the prediction of the user's behavior in housing and his derived energy consumption. It is a very important predictive problem in a *Home Automation System*. The objective is to construct a *Learning System* able to predict the user's

behavior in housing with regards to his energy consumption. The proposed system builds a set of profiles from the *IRISE* databases for each appliance. A profile is defined by the probability that the associated service starts, the average consumed energy and the average duration. Also, each profile is characterized by the set of days and the set of months during which the consumption is specific. A questionnaire is proposed to the user concerning the use of its appliances. The comparison between the response of the user and the set of standard profiles allows to provide starting standard profiles to the *Home Automation System*. These values are introduced into a Bayesian Network to be adjusted with the actual consumption of the user. Future works will be dedicated to perform the segmentation to the *IRISE* data in order to identify the standard profiles. Then a questionnaire will be defined and the way how to process the comparison between the response and the standard profiles will be addressed.

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