SELF-ADAPTATION IN AUTOMOTIVE EMBEDDED SYSTEMS USING A MULTI-LAYERED CONTROL APPROACH

Marc Zeller and Christian Prehofer

Fraunhofer Institute for Communication Systems ESK, Hansastrasse 32, 80686 Munich, Germany

Keywords: Self-adaptation, Automotive, Control Architecture, Networked Embedded Systems.

Abstract: In this work, we present an approach for self-adaptation in automotive embedded systems using a hierarchical, multi-layered control approach. We model automotive systems as a set of constraints and define a hierarchy of control loops based on different criteria. Adaptations are performed at first locally on a lower layer of the architecture. If this fails due to the restricted scope of the control cycle, the next higher layer is in charge of finding a suitable adaptation. We compare different options regarding responsibility split in multi-layered control and a version with centralized control option, in a self-healing scenario with a setup adopted from automotive in-vehicle networks. We show that a multi-layer control architecture has clear performance benefits over a central control, even though all layers work on the same set of constraints. Furthermore, we show that a responsibility split w.r.t. network topology is preferable over a functional split.

1 INTRODUCTION

There has been considerable work on self-adaptive systems which can reconfigure their software configuration at runtime (Kramer and Magee, 1985; Oreizy et al., 1998; Georgiadis et al., 2002). However, applying these techniques to networked, embedded systems poses several new problems due to limitations and reliability requirements of embedded systems (Zeller et al., 2011b). In particular, we focus on automotive embedded systems, where the main constraints are

- limited memory resources,
- heterogeneous hardware platforms,
- different sub-networks connected by a gateway,
- various requirements of different functionalities,
- high demand on safety and reliability.

The focus of this paper is on self-adaptation in automotive embedded systems using a multi-layered control approach implemented by multi-layered control architecture. Today's automobiles consist of an increasing number of interconnected electronic devices - so-called Electronic Control Units (ECUs) - which realize most functionalities of the car by software. This networked embedded system keeps the vehicle running by controlling the engine and the breaks, provides active safety features (e.g. anti-lock breaking system), makes driving more convenient and entertains the passengers with a large number of information and comfort services (e.g. air conditioning, audio player). Especially, modern driver assistance systems, which distribute their functionality over several components, increase the complexity of today's vehicular embedded systems enormously. Managing today's vehicle software systems, means managing over 2,000 software components, running on up to 100 ECUs (Venkatesh Prasad et al., 2010).

Enhancing today's automotive embedded systems with self-adaptation provides a promising solution for the current challenges in automotive embedded systems (Weiss et al., 2009). Self-* properties like selfconfiguration, self-healing, self-optimization or selfprotection (Kephart and Chess, 2003) improve the scalability, robustness and flexibility of the system (Weiss et al., 2011). With the size of automotive systems, it becomes difficult to calculate all configurations and all failure cases in advance. Hence, the adaptation of the system may have to be calculated during runtime. In this work, we focus on the adaptation control of the system after the breakdown of a hardware platform. The actual reconfiguration of the system itself is not the focus here, and can for instance be performed during a (partial) restart of the system.

For realizing systems with these self-managing capabilities a control component is needed (Mühl et al., 2007). This external component supervises the

system and initiates the adaptation during runtime using closed feedback-loops (so-called control loops) (Brun et al., 2009). In the *Autonomic Computing* (*AC*) paradigm the elements of the system are managed by control loops based on the so-called *MAPE-K cycle* (Horn, 2001) which optimizes the operation of the supervised elements and enables the realization of self-* properties. Such a control loop continuously Monitors and Analyzes the system and its environment. Based on this information it **P**lans the next steps and **E**xecutes the planned actions. The different phases have access to a common *Knowledge base* which provides information about the supervised elements or system.

Especially for automotive systems with various requirements and constraints, enabling self-adaptation and building a control architecture is a challenging task. Different aspects of the automotive system like safety issues must be considered by the control components appropriately. Furthermore, the control architecture has to react quickly to changing conditions, either from inside the system (e.g. hardware or software failures) or from outside of the system (e.g. changing environmental conditions).

The control component of a self-adaptive system can be realized in different ways. Either a single centralized control entity may realize the adaptation of a software system, or multiple control components may realize the adaptation of composite of software systems in a decentralized manner (Cheng et al., 2009). While centralized control may not be efficient for realizing adaptation in large, complex, and heterogeneous systems (e.g. automotive embedded systems), fully decentralized approaches may lead to a coordination overhead within the system.

An alternative are hierarchical multi-layered control architectures, as discussed in (Horn, 2001), where multiple control loops cooperate to achieve adaptation. In this paper, we model such automotive systems using a set of constraints as introduced in (Zeller et al., 2011a). Based on this, we can define the operation and responsibility area of each local control cycle in the hierarchy based on different functional criteria. Even though all control cycles work on the same set of constrains, local responsibility means that only some variables can be controlled and the others are fixed. This also means that some constraints are out of scope for some local control cycles. We compare different options, both regarding different splits of responsibility as well as multi-layered control versus centralized control.

In summary, the main contributions of this paper are as follows:

• We introduce different multi-layered control ar-

chitectures for automotive embedded systems which hierarchically enforce system requirements on several layers. Therefore, the software components of the system are clustered based on different functional criteria.

• We compare these multi-layered architectures in a self-healing scenario with realistic setups of up to 100 ECUs. It is shown that local repair based on a layered control architecture in such a system is more efficient than a pure central approach. Secondly, it shows that a responsibility split based on locality w.r.t. network topology performs better than a split regarding functional areas.

The structure of this paper is as follows: In Section 2 a brief introduction to automotive embedded systems is given. Section 3 briefly describes our approach for a hierarchical, multi-layered control architecture. Afterwards, we propose a concrete multilayered control architecture for self-adaptive automotive software system. In Section 5, we outline how to realize self-adaptation during runtime using our multi-layered control architecture. Section 6 presents the results of the experiments which we performed to compare our approach with a centralized architecture. Section 7 discusses related work.

2 AUTOMOTIVE EMBEDDED SYSTEMS

An automotive embedded system is a distributed realtime system with heterogeneous hardware platforms (ECUs) interconnected by different network buses (Venkatesh Prasad et al., 2010). Moreover, the automotive embedded system consists of various functionalities which are implemented in software and which must satisfy different requirements.

Thereby, an automotive embedded system A consists of a set of inputs I (sensors), a set of functionalities $F = \{f_1, ..., f_n\}$ (*features*), and a set of outputs O (actuators). The set of functionalities is realized by a set of software components SW, where each feature f_i is implemented by a set of software components SW_{f_i} with $SW_{f_i} \subset SW$. Software components, sensors and actuators are connected to each other in a specified way. This so-called *function network* can be represented by a directed graph $G_f(V_f, E_f)$. The vertices V_f represent software components, actuators or sensors. The directed edges E_f indicate a data flow from one vertex to another by sending messages. Each vertex may have multiple incoming edges and multiple outgoing edges.

The so-called system configuration c describes

the allocation of the software components ($SW = \{s_1, ..., s_n\}$) to the available ECUs ($P = \{p_1, ..., p_m\}$) at time *t*

$$c(t): SW \to P = \{0,1\}^{n \times m}$$
(1)

with $n \cdot m$ variables $x_{i,j}$. If the software component s_i is assigned to ECU p_j , then $x_{i,j} = 1$, else it is 0. The allocation of a specific software component $s_i \in SW$ is represented by φ :

$$\varphi(s_i) = p_j, \ p_j \in P \tag{2}$$

Moreover, *A* consists of a set of constraints $\Psi = \{\psi_1, ..., \psi_p\}$ which represent the requirements of the system during runtime and enable the definition of valid allocations. These constraints are defined in (Zeller et al., 2011a). Abstractly, a linear constraint $\psi_k \in \Psi$ is defined as a Boolean formula:

$$\Psi_k = \left(\sum_{i=1}^n \sum_{j=1}^m a_{i,j} x_{i,j}\right) \circ b \to \{true, false\}$$
(3)

with the Boolean literals $x_{i,j} \in \{0,1\}$ which represent the allocation of the software component s_i to the ECU p_j , the coefficients $a_{i,j}, b \in \mathbb{R}$, and the operator $o \in \{<, \leq, =, \geq, >\}$.

Typical constraints in terms of automotive systems concern hardware platform resources (e.g. volatile, non-volatile memory), network resources (e.g. bandwidth), task dependencies, task schedulability, timing or the network topology. A detailed description of these of equations, called system constraints, which define valid system configurations under realtime constraints, can be found in (Zeller et al., 2011a). This set of equations is optimized to be solved efficiently during runtime in order to enable the computation of valid system configurations in an adaptive system in reasonable time, as shown in (Zeller et al., 2011a).

3 HIERARCHICAL, MULTI-LAYERED CONTROL ARCHITECTURE

In this section, we give a brief overview over hierarchical multi-layered control architectures. For details we refer to (Horn, 2001; Zeller et al., 2009).

To cope with the complexity of modern automotive embedded systems, we propose a hierarchical, multi-layered control architecture (see Figure 1). Resources for enforcing adaptations at runtime are scarce but absolutely inevitable for realizing self-* properties. Therefore, a divide-and-conquer strategy

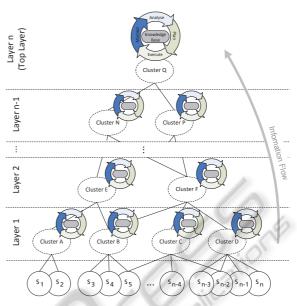


Figure 1: Hierarchical, multi-layered control architecture.

can be applied during design which partitions the automotive embedded system into smaller entities - so-called *clusters*.

A cluster *cl* is defined by a set of *r* software components $\{s_1,...,s_r\} = SW_{cl} \subseteq SW$. The current cluster configuration $c_{cl}(t)$ describes the current allocation φ_{cl} of the software components SW_{cl} to the available ECUs at the time *t* according to Equation 1.

Repeated partitioning of the automotive embedded system results in a hierarchy of clusters, representing the entire system. Thereby, each cluster has at least one *parent cluster* and any number of *child clusters*. The top level of this hierarchy consists of exactly one cluster. Each cluster within the hierarchy is controlled by its own control loop, thus building a multi-layered control architecture. A control loop is an external component which is not included within the cluster itself. It is supervising and controlling (adapting) the clustered elements during runtime, so that the constraints Ψ are satisfied during runtime (see Section 5).

Due to the repeated segmentation of the automotive embedded system, the clusters on the lowest layers of the control architecture are relatively small. They consist of only a few software components. Due to the individual implementation of the control loops at the lowest layers, the control architecture can be tailored individually for the needs of specific functionalities or sub-systems of the automotive embedded system (e.g. safety-critical X-by-wire systems). As a drawback, the clusters on the lower layers have a restricted local scope and are not always capable of determining a new, valid cluster configuration which satisfies all given requirements.

At the higher layers, the number of software components included in a cluster, which must be controlled, is growing. Thus, the possibilities of determining a valid cluster configuration increases but also the complexity of determining a valid configuration is rising with the number of software components which are considered.

At the top layer, a single *root cluster* represents the top element in the hierarchy. It has a global view of the system and supervises the entire automotive embedded system during runtime with all its predefined requirements.

During runtime, the automotive embedded system is supervised by a hierarchy of multiple MAPE-K cycles which adapt the system in response to changes within the system or in the system's environment. Based on an individual knowledge base, each MAPE-K cycle manages its cluster in four stages:

- **Monitor:** Each control component within the control architecture collects, aggregates and filters specific parameters of their managed elements (software components within the cluster). The parameters which need to be monitored are given by the requirements which can by supervised by the scope of the control loop.
- Analyze: During this stage the monitored data are analyzed and compared to the available data in the knowledge base of the control loop. Each control instance evaluates if the predefined requirements, which it supervises, are currently satisfied.
- **Plan:** The plan stage provides mechanisms that create or compose a set of actions to adapt the supervised part of the system. In the context of automotive embedded systems, the planning stage determines a new cluster configuration which fulfills all predefined requirements (see (Zeller et al., 2011a)).
- **Execute:** During this stage the planned actions are executed. In our case, each control loop adapts the allocation of the software components which are included in its cluster in the planned way.

Based on the hierarchy of MAPE-K cycles within our multi-layered control architecture it is possible to enhance the automotive embedded system by adaptation and self-* properties.

4 MULTI-LAYERED CONTROL ARCHITECTURE FOR AUTOMOTIVE EMBEDDED SYSTEMS

In this section, we present two variants of the hierarchical, multi-layered control architecture for automotive embedded systems.

The most intuitive approach is a topology-oriented structuring according to the network topology of today's in-vehicle networks (see Figure 2). For this purpose, the automotive embedded system - Vehicle Cluster on the top layer of the hierarchy - is divided into different clusters according to the physical sub-networks within the in-vehicle network which result from the segmentation of the car's functionalities into functional domains (e.g. power-train, infotainment, chassis, comfort etc.). Thereby, five so-called Network Clusters are created which include all software components defined for a specific sub-network (domain). At the next layer of the hierarchy, each Network Cluster is split up according to the physical hardware platforms connected to the sub-network. The resulting Platform Clusters represent the software components which are initially allocated to a specific ECU and control them by its own MAPE-K cycle. Since this control loop must be able to detect if the specific ECU is faulty and must adapt the allocation of the ECU's software components, it is implemented on one of the other ECUs within the subnetwork.

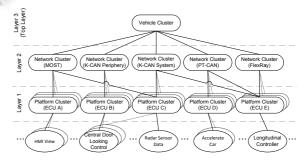


Figure 2: Multi-layered control architecture for automotive embedded systems (variant 1, topology-oriented).

Due to the increasing interconnection of the different vehicle domains (e.g. by advanced driver assistance systems), this kind of partitioning of automotive embedded systems may become less suitable for the realization of a multi-layered control architecture for automotive embedded systems. In other words, a local control loop responsible for a sub-network may not be able to find a local solution due to dependencies with other domains.

Another approach for a control architecture for automotive embedded systems is based on a functionoriented structuring of the in-vehicle network (cp. (Broy et al., 2011)). Thereby, the automotive embedded system is divided into five so-called Safety Clusters according to the Safety Integrity Levels (SIL) 0-4 defined by (ICE, 1998) or by the Automotive Safety Integrity Levels (ASIL) A-D as well as Quality Management (QM) defined by (ISO, 2005) which are used to classify the safety requirements of the car's functionalities (see Figure 3). Thus, functionalities with different safety requirements are monitored and controlled by specifically adjusted mechanisms. Safety-critical functionalities are reconfigured using adequate techniques which consider the functions' safety requirements, while other functionalities are adapted during runtime by other, more appropriate techniques. At the next layer of the hierarchy, each Safety Cluster is split up according to the functionalities included into the vehicle's domain. Each of the resulting Feature Clusters represents a functionality of the automobile which is realized by software and controls the functionalities' software components by its own MAPE-K cycle. This represents the logical structure of the automotive embedded system. Hence, interdependencies between different clusters due to interconnected sub-networks may be avoided.

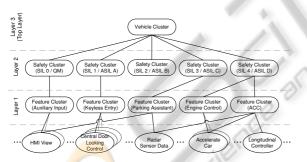


Figure 3: Multi-layered control architecture for automotive embedded systems (variant 2, function-oriented).

In all these approaches the so-called *Vehicle Cluster* forms the top layer of the hierarchical, multilayered control architecture. This cluster includes all software components of the automotive embedded system and its MAPE-K cycle has a global view of the supervised system.

By using a hierarchical, multi-layered control architecture, self-adaptation and different self-* properties can be realized while considering the specific nature of today's automotive embedded systems. Thereby, the various requirements of these systems are monitored by several control components (MAPE-K cycles) which are organized hierarchically. Each MAPE-K cycle adapts the specific part of the networked embedded system which it supervises.

In the following chapter, we describe how selfadaptation is realized in automotive embedded systems using the multi-layered control approach.

5 SELF-ADAPTATION USING THE MULTI-LAYERED CONTROL ARCHITECTURE

In the following, we discuss the operation of multilayered control architectures for our setting with a global set of system constraints. The aim of our hierarchical, multi-layered control architecture is to preserve all predefined requirements of the automotive embedded system during runtime. Thereby, the proper system behavior of the automotive embedded system is guaranteed (see (Zeller et al., 2011b)). In our approach, each MAPE-K cycle within the hierarchy enforces all the requirements specified in the design, which are represented in form of linear, Boolean (in-)equations (see see (Zeller et al., 2011a)). If any of the predefined requirements is not satisfied anymore during runtime (e.g. caused by the breakdown of a hardware platform), the configuration of the system cis adapted to meet these constraints, if possible.

To enable a fast adaptation of the system during runtime, adaptations are performed on the lowest layer of the hierarchy by the cluster which detects the cause for the adaptation. Since all system constraints are considered by each control loop within the hierarchical, multi-layered control architecture, each MAPE-K cycle - even on the lowest layer of the hierarchy - is able to determine a new, valid system configuration. However, the different control cycles have different scope - hence the set of free variables is restricted to the local scope. In other words, the local control cycles are in charge of the placement of the software components of their cluster.

Only if a cluster was not able to reach a new valid cluster configuration, its parent cluster takes over the adaptation process. The root cluster (Vehicle Cluster in Section 4) is only involved in the adaptation process as the last instance. Since the root cluster has the global view and knowledge of the entire system, it is always capable of reaching a new valid system configuration – if one exits.

In the best case, the adaptation of the system is performed by the control loop of one single cluster. In the worst case, up to n control loops are involved in the process of adaptation within an n-layered control architecture. Thereby, constraint checks may be performed multiple times until a new, valid system configuration is found. This results in unnecessary computation overhead – unless a solution is found on the lower layers. On the other hand, on the top level, new placements for all components are to be determined, which is much more complex than solving equations on a lower layer of the hierarchy. For the local scope, only a limited, local number of placements are to be determined. Furthermore, on the local scope, equations may be trivially true for a specific configuration if they are not affected by the free variables of this control cycle.

In the following, we aim to compare the different versions of the hierarchical control architecture with a central control solution. Thus, we have a tradeoff between finding solutions locally with lower cost, but possibly with repeated attempts on different layers, versus a single control loop with a higher cost. Clearly, if the hierarchical solution has to resort to the top layer frequently, the centralized solution will be more efficient.

Control loops at the same layer of the control architecture shall not interfere with each other in order to avoid oscillation during adaptation - hence only one of them can be active at a time. In other words, they must be synchronized/coordinated by a dedicated synchronization mechanism to avoid that several control components adapt the same part of the system simultaneously (see (Lemos et al., 2011)). This needs to be ensured, typically by the next layer.

During the "normal" operation of the system (monitoring and analysis of the correctly working automotive system) no synchronization is required and hence no additional communication between different control loops within the multi-layered control architecture is performed.

6 EVALUATION

In this section, we illustrate the potential benefits of our approach of a hierarchical, multi-layered control architecture for realizing self-adaptation in automotive embedded systems (see Section 4) w.r.t. efficiency of determining a new, valid system configuration in case of an ECU breakdown (self-healing scenario). At first, an initial assignment of software components to hardware is determined. After this, the adaptation of the system is triggered by simulating the breakdown of a randomly selected ECU.

6.1 Evaluation Setup

In our experiments, we simulate the typical embedded systems of modern automobiles (see (Venkatesh Prasad et al., 2010)). Therefore, we use various setups representing different sizes and variants of automotive in-vehicle networks (see Table 1). While the ratio between the number of software components and the number of ECUs is fixed in the first setups (1.1 - 1.9), we also perform experiments with setups where this ratio is variable (setup 2.1 - 2.10). For all experiments, we assume that each feature of the vehicle consists of about 8 software components as well as a number of sensors and actuators. Moreover, for half of the features an end-to-end timing chain is defined consisting of one sensor input, up to 8 software components, and one actuator. The volatile and the non-volatile memory requirements of each ECU are represented as resource constraints. In our experiments, the in-vehicle network consists of three different kinds of hardware platforms. Each software component can only be executed on one kind of hardware platform (cf. (Zeller et al., 2011a)). Thus, each software component can be allocated on about 33% of the vehicles' ECUs.

Table 1: Experimental setups.

Setup	ECUs	Func-	Sensors /	Subnet-
Setup	Lees	tions	Actuators	works
1.1	20	500	40/40	4
1.2	30	750	50/50	4
1.3	40	1000	60/60	5
1.4	50	1250	70/70	5
1.5	60	1500	80/80	8
1.6	70	1750	90/90	8
1.7	80	2000	100/100	8
1.8	90	2250	110/110	8
1.9	100	2500	120/120	8
2.1	40	200	60/60	5
2.2	40	400	60/60	5
2.3	40	600	60/60	5
2.4	40	800	60/60	5
2.5	40	1000	60/60	5
2.6	40	1200	60/60	5
2.7	40	1400	60/60	5
2.8	40	1600	60/60	5
2.9	40	1800	60/60	5
2.10	40	2000	60/60	5

For reference, we list the number of constraints and literals needed to solve the SAT problem for the given setups in table 2 (see (Zeller et al., 2011a)).

Setup	# Constraints	# Literals
1.1	7,599	60,877
1.2	20,047	197,385
1.3	33,040	397,584
1.4	52,658	781,281
1.5	65,773	1,154,150
1.6	85,081	1,689,251
1.7	126,877	2,771,502
1.8	138,641	3,393,508
1.9	174,434	4,580,388
2.1	6,746	77,396
2.2	12,801	156,798
2.3	17,220	217,867
2.4	27,899	338,935
2.5	33,040	397,584
2.6	35,516	435,196
2.7	45,021	539,373
2.8	54,239	659,452
2.9	60,376	770,882
2.10	69,218	877,051

Table 2: Size of the set of system constraints.

All values for ECUs, interconnection networks, software components and sensors/actuators are randomly chosen from a typical set of automotive parameters and uniformly distributed in the previously given range.

For our experiments, the concrete control architecture variants for automotive embedded systems outlined in Section 4 are implemented. Furthermore, a centralized control architecture (similar to (Dinkel and Baumgarten, 2007)) is implemented to compare our multi-layered approach with this state-of-the-art approach. According to (Zeller et al., 2011a) the SATsolver SAT4J (SAT4J, 2009) is used in all our experiments to determine a valid allocation of software components to ECUs within each MAPE-K cycle.

For each setup in Table 1, we perform tests with each variant for the control architecture 10 times and compare the average effort to determine a new valid system configuration due to very small confidence intervals (less than 10%). All experiments are performed on an embedded platform with an *Intel Atom Processor* @ 1.6 GHz and 1.5 GByte RAM (reference platform for the next generation in-vehicle infotainment systems).

6.2 Results

Figure 4 and 5 show the results of the experiments which are performed with the previously described variants of automotive embedded systems (see Table 1). In all experiments, we have measured the time needed to determine a new, valid allocation of software components after an ECU breakdown occurred

(self-healing scenario).

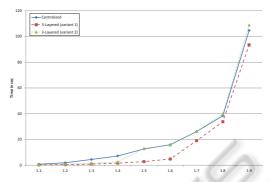


Figure 4: Time needed to determine a new, valid system configuration (Setup 1.1.-1.9).

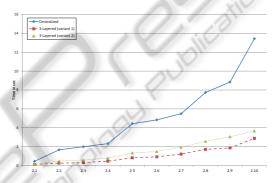


Figure 5: Time needed to determine a new, valid system configuration (Setup 2.1-2.10).

This result shows that when using one of the hierarchical, multi-layered control architectures described in Section 4, less computation time is needed until a new valid system configuration is found, than using a centralized control architecture with one single control loop. For the setups 1.1-1.9 the difference between the centralized approach and the variant 2 (function-oriented) of our approach (see Figure 3) is quite small, especially for larger automotive invehicle networks. But using the variant 1 (topologyoriented) of the hierarchical, multi-layered control architecture (see Figure 2), a significant performance optimization is reached. For the setups 2.1-2.10 significant optimization of the time needed to determine a new, valid system configuration is reached by using our hierarchical, multi-layered approach for controlling the automotive embedded systems. The difference between the two variants for the realization of a multi-layered control architecture is quite small. Yet the topology-oriented variant is performing better in all our experiments.

The results of our experiments clearly show that the complexity of realizing self-adaptation in automotive embedded systems can be reduced significantly by using our hierarchical, multi-layered control architecture. Furthermore, we also see that the topologyoriented variant is significantly better in most cases than variant 2, which clusters along the functional entities. This means in case of an ECU failure, the variant which considers solutions based on the local network has better chances than the second variant, which considers solutions based on functionality. While solutions based on functionality are more natural regarding the functional dependencies, there is the risk that a CPU failure may affect several functional categories - hence a local repair by one control cycle is not possible.

This is also supported by the different results for the setup 1.1-1.9 and 2.1-2.10. Here the topologyoriented variant of the multi-layered control architecture is significantly better compared to functionoriented variant for setups 2.1-2.10, while both are closer in most experiments in setup 1.1-1.9 (except 1.5-1.7). Furthermore, both variants of the hierarchical, multi-layered control architecture are significantly better than the centralized approach for setup 2.1-2.10. Our multi-layered approaches are also much better than the centralized control architecture for smaller automotive embedded systems (setup 1.1-1.4). In case of larger and more complex allocation problems (setup 1.5-1.9), only variant 1 of the hierarchical, multi-layered control architecture enables the calculation of a valid allocation in shorter time. Variant 2 (function-oriented) of the layered control architecture presented in Section 4 does not perform better in average than the central control architecture for these setups. This is because, for large allocation problems with many constraints (cf. Table 2) it is very difficult to find a new valid allocation with the local scope of the control loops on the lower layer of a multi-layered control architecture. The chance to find a new, valid system configuration locally on the lowest layer of the hierarchically is not depending on the number of software components with are supervised by control loop (cf. results for setup 2.1-2.10).

Furthermore, we measured the distance between the current and the newly determined system configuration (lower bound on the number of software component migrations needed to adapt the automotive embedded system) for rating the quality of the solutions. The results (Figure 6 and Figure 7) clearly show, that using a hierarchical, multi-layered control architecture leads to solutions with a shorter distance to the current allocation when determining a new, valid allocation of software functions to ECUs using a SAT-solver based approach. Especially, if it is possible to find a new, valid system configuration by a control loop on the lowest layer of the hierarchy

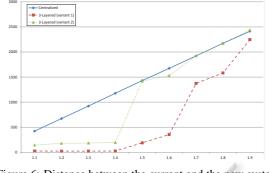


Figure 6: Distance between the current and the new system configuration (Setup 1.1-1.9).

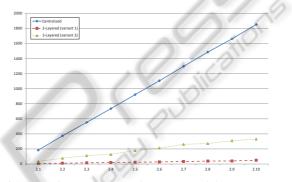


Figure 7: Distance between the current and the new system configuration (Setup 2.1-2.10).

(in our experiments for setup 1.1-1.4 and 2.1-2.10), a significant reduction of necessary migrations can be achieved. For larger automotive embedded systems (setup 1.5-1.9 in our experiments), a valid allocation of software functions to ECUs must often be determined by a control loop on a higher layer of the hierarchy, because it is not possible to find a valid solution for the allocation problem within the local scope of one of the control instances at the lowest layer. In these tests, variant 1 of our hierarchical, multi-layered control approach performs much better than the second variant described in Section 4 (see Figure 6).

Thus, not only the needed time to determine a new, valid system configuration during the planning stage, but also time needed to execute the newly planned configuration is reduced significantly when using the hierarchical, multi-layered control architecture in this self-healing scenario.

7 RELATED WORK

In the past, various approaches for realization of a multi-layered control architecture have been presented.

Kramer and Magee outline a three-layered ar-

chitecture for self-managed systems in (Kramer and Magee, 2007). On the lowest layer (component layer), a control loop provides self-optimization algorithms as well as mechanisms for event and status reporting to higher layers and operations to support the modification of supervised components. On the next layer (change management layer), a set of predefined configurations is activated in response to state changes of the system below. Finally, on the goal management layer, time consuming computations such as planning are performed to achieve the defined system goals. This three-layered control approach poses a generic reference architecture. The level of abstraction proposed by (Kramer and Magee, 2007) reduces the complexity of self-management during runtime, but does not address the various functional and non-functional requirements of automotive embedded systems. To address this issue, we propose a divide-and-conquer strategy to split up the technical system into clusters according to different criteria.

In (Kluge et al., 2008) a control architecture for embedded real-time systems is outlined. Simple Module Managers are running on each processing node of the system and one single Global Manager controls the whole system. The Module Mangers collect information about the current condition of the system from their local point of view and transfer it to the Global Manager. The Global Manager analyzes the available information and decides which actions should be executed next. In this approach, the Module Managers do not need to implement the complete MAPE-K cycle and thus can run even on small processing nodes. The complex analysis and planning actions are performed by the Global Manager. Although this approach is well suited for the use within embedded systems, it consists of a relatively complex Global Manager component which still poses a single point of failure. Since the Global Manager is the only component to make decisions, its failure cannot be compensated. Within our hierarchical, multi-layered control architecture adaptations are performed by the control component which detects the cause for the adaptation. Only if it is not possible to determine a new, valid system configuration locally, a control loop at the next higher layer is involved in the adaptation process. Moreover, the multiple layers of our approach are able to consider the different aspects of automotive embedded system in contrast to the twolayered approach.

A first approach towards a control architecture for the realization of self-management in automotive embedded systems is presented in (Dinkel and Baumgarten, 2007). He describes a central control architecture for enabling autonomous management. The so-called *System Manager* provides management functionalities on a system-wide basis. The *Selfconfigurator* uses these management functionalities to implement a control loop with a global knowledge base. The central control approach is not able to manage the growing complexity of today's automotive software systems in an adequate way. Our experiments show that our multi-layered approach is performing significantly better in determining a new, valid allocation of software components to ECUs (in case of a self-healing scenario) compared to the central approach (cf. Section 6). Moreover, the central control architecture results in a single point of failure which cannot be tolerated in safety-relevant systems like automobiles.

The concept of multiple control loops which cooperate to achieve a goal was already introduced by IBM (Horn, 2001). To reduce the complexity of self-adaptation or self-management, multiple control loops must be decoupled with respect to time or respect to space and may be hierarchically organized (Brun et al., 2009). Thereby, distinguishing between different time scales and different controlled variables (e.g. (Litoiu et al., 2005; Lapouchnian et al., 2005)). Our hierarchical, multi-layered control architecture is also based on the concepts of decoupling control loops by different controlled variables (software components) and organizing these control loops hierarchically. Thereby, it is possible to handle the complexity of self-adaptation in a networked embedded system which must fulfill various requirements with different scopes (e.g. an automotive in-vehicle network). Such a multi-layered control architecture for automotive embedded systems where each control loop is responsible to supervise certain requirements and to adapt a certain part of the system is introduced in (Zeller et al., 2009). Thereby, adaptation is performed locally on a lower layer first. If this fails, the next higher layer is in charge of finding a suitable adaptation. Yet in this approach no realistic set of system constraints, as done here, is used which enables the hierarchical enforcement of system requirements on several layers.

8 CONCLUSIONS

In this work, we have presented an approach for selfadaptation in automotive systems using a hierarchical, multi-layered control architecture. This control architecture aims to cope with the rising complexity of today's automotive embedded systems by splitting up the system into a set of different clusters. By the segmentation of the system according to different aspects of the automotive system (locality or functionality), a hierarchy of these clusters is built. Based on this hierarchical, multi-layered control architecture including multiple MAPE-K cycles, self-adaptation is realized during runtime by enforcing the systems' requirements. A comparison of our multi-layered approach in a self-healing scenario with realistic setups of up to 100 ECUs shows that local repair based on a layered control architecture in such a system is more efficient than a pure central approach. Moreover, it shows that a responsibility split based on locality w.r.t. network topology performs better than a split regarding functional areas.

Furthermore, the approach presented in this paper is adaptable to enhance other complex and networked embedded systems (e.g. industrial plants, aircrafts or railways) with self-adaptation and self-* properties.

REFERENCES

- Broy, M., Reichart, G., and Rothhardt, L. (2011). Architekturen softwarebasierter Funktionen im Fahrzeug: von den Anforderungen zur Umsetzung. *Informatik-Spektrum*, 34:42–59.
- Brun, Y., Marzo Serugendo, G., Gacek, C., Giese, H., Kienle, H., Litoiu, M., Müller, H., Pezzè, M., and Shaw, M. (2009). Engineering self-adaptive systems through feedback loops. *Software Engineering for Self-Adaptive Systems*, pages 48–70.
- Cheng, B. H., Lemos, R., Giese, H., et al. (2009). Software engineering for self-adaptive systems: A research roadmap. In Cheng, B. H., Lemos, R., Giese, H., Inverardi, P., and Magee, J., editors, *Software Engineering for Self-Adaptive Systems*, pages 1–26. Springer-Verlag, Berlin, Heidelberg.
- Dinkel, M. and Baumgarten, U. (2007). Self-configuration of vehicle systems - algorithms and simulation. In *Proceedings of the 4th Int. Workshop on Intelligent Transportation*, pages 85–91.
- Georgiadis, I., Magee, J., and Kramer, J. (2002). Selforganising software architectures for distributed systems. In *Proceedings of the 1st Workshop on Selfhealing Systems*, pages 33–38.
- Horn, P. (2001). Autonomic Computing: IBM's Perspective on the State of Information Technology.
- ICE (1998). IEC/DIN EN 61508: Functional safety of Electrical/Electronic/Programmable Electronic (E/E/PE) safety related systems: overview. International Electrotechnical Commission (IEC).
- ISO (2005). ISO/WD 26262: Road Vehicles Functional Safety. International Organization for Standardization (ISO). Working Draft.
- Kephart, J. O. and Chess, D. M. (2003). The vision of autonomic computing. *Computer*, 36(1):41–50.
- Kluge, F., Uhrig, S., Mische, J., and Ungerer, T. (2008). A two-layered management architecture for building adaptive real-time systems. In *Proceedings of the 6th*

IFIP Int. Workshop on Software Technologies for Embedded and Ubiquitous Systems, pages 126–137.

- Kramer, J. and Magee, J. (1985). Dynamic configuration for distributed systems. *IEEE Transactions on Software Engineering*, 11(4):424–436.
- Kramer, J. and Magee, J. (2007). Self-managed systems: an architectural challenge. In *Proceedings of Future* of Software Engineering, pages 259–268.
- Lapouchnian, A., Liaskos, S., Mylopoulos, J., and Yu, Y. (2005). Towards requirements-driven autonomic systems design. In *Proceedings of the 2005 Workshop on Design and Evolution of Autonomic Application Software*, pages 1–7.
- Lemos, R. D., Giese, H., Müller, H., et al. (2011). Software Engineering for Self-Adpaptive Systems: A second Research Roadmap. In Software Engineering for Self-Adaptive Systems, number 10431 in Dagstuhl Seminar Proceedings.
- Litoiu, M., Woodside, M., and Zheng, T. (2005). Hierarchical model-based autonomic control of software systems. *SIGSOFT Software Engineering Notes*, 30(4):1–7.
- Mühl, G., Werner, M., Jaeger, M., Herrmann, K., and Parzyjegla, H. (2007). On the definitions of self-managing and self-organizing systems. In KiVS 2007 Workshop: Selbstorganisierende, Adaptive, Kontextsensitive verteilte Systeme.
- Oreizy, P., Medvidovic, N., and Taylor, R. N. (1998). Architecture-based runtime software evolution. In Proceedings of the 20th Int. Conference on Software Engineering, pages 177–186.
- SAT4J (2009). A satisfiability library for Java, Version 2.1. http://www.sat4j.org/.
- Venkatesh Prasad, K., Broy, M., and Krüger, I. (2010). Scanning advances in aerospace and automobile software technology. *Proceedings of the IEEE*, 98(4):510–514.
- Weiss, G., Zeller, M., and Eilers, D. (2011). Towards automotive embedded systems with self-x properties. In New Trends and Developments in Automotive System Engineering. InTech.
- Weiss, G., Zeller, M., Eilers, D., and Knorr, R. (2009). Towards self-organization in automotive embedded systems. In Proceedings of the 6th Int. Conference on Autonomic and Trusted Computing, pages 32–46.
- Zeller, M., Prehofer, C., Weiss, G., Eilers, D., and Knorr, R. (2011a). Towards self-adaptation in real-time, networked systems: Efficient solving of system constraints for automotive embedded systems. In *Proceedings of the 5th IEEE Int. Conference on Self-Adaptive and Self-Organizing Systems*, pages 79–88.
- Zeller, M., Weiss, G., Eilers, D., and Knorr, R. (2009). A multi-layered control architecture for selfmanagement in adaptive automotive systems. In *Proceedings of the 2009 Int. Conference on Adaptive and Intelligent Systems*, pages 63–68.
- Zeller, M., Weiss, G., Eilers, D., and Knorr, R. (2011b). An approach for providing dependable self-adaptation in distributed embedded systems. In *Proceedings of* 2011 ACM Symposium On Applied Computing, pages 236–237.