

# A Neural Network Model of Cortical Auditory-visual Interactions

## A Neurocomputational Analysis of the Shams-Illusion

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Abstract: The perception of the external world is based on the integration of data from different sensory modalities. Recent theories and experimental findings have suggested that this phenomenon is present since the early low-level cortical areas. The mechanisms underlying these early processes and the organization of the underlying circuitries is still a matter of debate. Here, by using a simple neural network to reproduce and analyse a well-known cross-modal illusion occurring in the visual cortex, we suggest that a fundamental role is played by direct excitatory synapses between visual and auditory regions.

## 1 INTRODUCTION

The ability of the brain to integrate information from different sensory channels is fundamental to perception of the external world (Stein and Meredith, 1993). The classical idea of independent sensory processing streams in the brain is challenged by several recent evidences, which support models of brain organization with multisensory interactions occurring since early processing stages in primary cortices (for a review, see Schroeder and Foxe, 2005).

Recent studies have revealed that even the visual modality can be affected by signals of other sensory modalities: as an example, sound can affect the visual percept qualitatively, even when there is no apparent ambiguity in the visual stimulus (Shams et al., 2002). Several experimental works used a well-known auditory-visual illusion to analyse the mechanisms underlying multisensory interactions in the brain. This is known as the sound-induced flash illusion (or Shams illusion), in which sound alters visual perception: a single flash, accompanied by two auditory beeps, is mis-perceived as two flashes (Shams et al., 2002). Several psychophysical and neuroimaging results indicate that the illusion reflects a perceptual phenomenon, and the auditory interaction corresponding with the visual perceptive illusion is associated with a modulation of the activity in the visual cortex (Watkins et al., 2006).

The mechanisms subtending this phenomenon can be better understood through mathematical models, the use of which allows to put the mass of

data accumulated about this phenomenon and its underlying circuitry into a coherent theoretical structure. The objective of the present endeavour was to develop a neural network model that suggests a possible circuitry underlying cortical multisensory integration, able to explain some audio-visual illusions.

## 2 METHOD

The model consists of two arrays of  $N$  auditory and  $N$  visual neurons, (Figure 1), topologically aligned (i.e., proximal neurons in the array code for proximal positions in space).

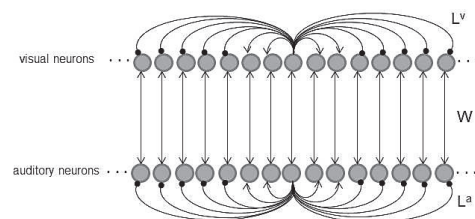


Figure 1: Schematic diagram of the neural network. Each grey circle represents a neuron. Each line represents a synaptic connection: lines ending with an arrow indicate excitatory connections; lines ending with a solid point indicate inhibitory connections.

We assumed a distance of  $1^\circ$  between adjacent neurons and used  $N = 180$ , so that each layer covers an area of  $180^\circ$  in the visual and acoustic space.

Neuron response is described with a first order differential equation, and a steady-state sigmoidal relationship, that simulates the presence of a lower threshold and an upper saturation for neural activation. In the following each element will be denoted with a superscript,  $m$ , referred to a specific cortical area ( $m = a$  or  $v$ , where  $a$  is referred to the auditory area and  $v$  to the visual), and a subscript,  $j$ , which indicates the spatial position within that area.  $u(t)$  and  $y(t)$  are used to represent the net input and output of a given neuron at time  $t$ , respectively. Thus,  $y_j^m(t)$  represents the output of a unit at position  $j$  with modality  $m$ , described by the following differential equation:

$$\tau \frac{dy_j^m(t)}{dt} = -y_j^m(t) + F(u_j^m(t)) \quad (1)$$

where  $\tau$  is the time constant and  $F(u)$  represents a sigmoidal relationship:

$$F(u_j^m) = \frac{1}{1 + e^{-s(u_j^m - \theta)}} \quad (2)$$

$s$  and  $\theta$  are parameters which establish the slope and the central position of the sigmoidal relationship, respectively. The saturation value is set at 1, i.e., all activities are normalized to the maximum.

For the sake of simplicity, in this work the neurons belonging to both areas are described by using the same parameters and the same time constant.

The net input that reaches a neuron (i.e., the quantity  $u_j^m(t)$  in Eq. 1) is the sum of an external input, the contribution of lateral synapses from other neurons in the same area, and an input from the area processing the other sensory modality.

The *external inputs* are simulated by means of a spatial Gaussian function, to mimic the sensory receptive fields, and a second order differential equation, to mimic the temporal evolution of the stimuli on the cortex, as shown in Figure 2.

A fundamental point in the model is that the visual neurons exhibit a smaller spatial receptive field compared with the auditory ones (i.e., better spatial resolution) but a slower time constant (i.e., less accurate temporal precision), as shown in Figure 2. This is the only difference between the two areas.

To simulate the *lateral input*, neurons within each area interact via excitatory and inhibitory lateral synapses, following a classical Mexican-hat disposition (a central excitatory zone surrounded by an inhibitory annulus, see Fig. 3). Thus, each neuron

excites (and is excited by) its proximal neurons, and inhibits (and is inhibited by) more distal neurons.

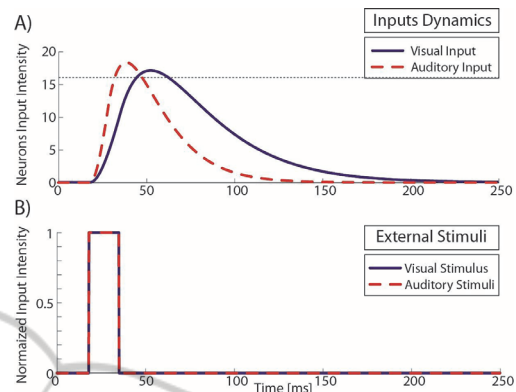


Figure 2: Panel A) reports the temporal evolution of the overall visual (blue line) and auditory (red line) input targeting a neuron, generated respectively by a single visual flash (blue line, panel B) and a single auditory beep (red line) filtered by a second order differential equation.

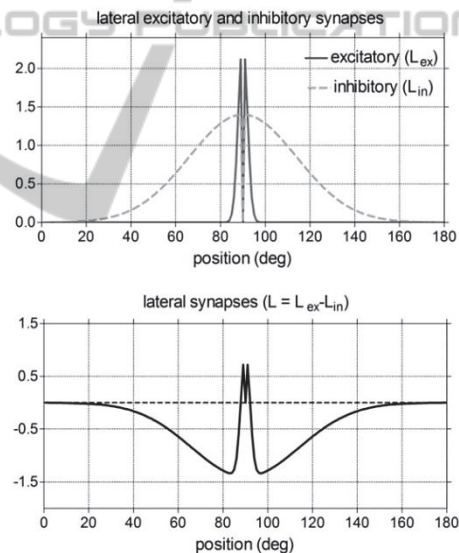


Figure 3: Pattern of the lateral synapses targeting (or emerging from) an exemplary neuron.

Finally, the *cross-modal input* is obtained assuming that each neuron receives an excitation from the neuron of the other modality placed at the same spatial position (i.e., we have a one-to-one reciprocal connection). The weight of this reciprocal excitation is the same for all neurons.

### 3 RESULTS

Simulations were performed to study cortical

multisensory interactions, and to elucidate the mechanisms responsible for the visual illusion.

In a first set of trials, we simulated the case of unisensory stimulation, to check that stimuli of one modality do not evoke any activity in the other modality. Since the Shams illusion is tested by applying two beeps and a single flash, we first mimicked the case of two beeps only (Figure 4a), then the case of a single flash (Figure 4b). The upper panels in these figures represent the evoked activity in the visual and auditory areas, the middle panel represents the net inputs to the corresponding neurons (i.e., the quantity  $u_j^m(t)$  in Eq. 1), and the bottom panels the position and amplitude of the stimuli.

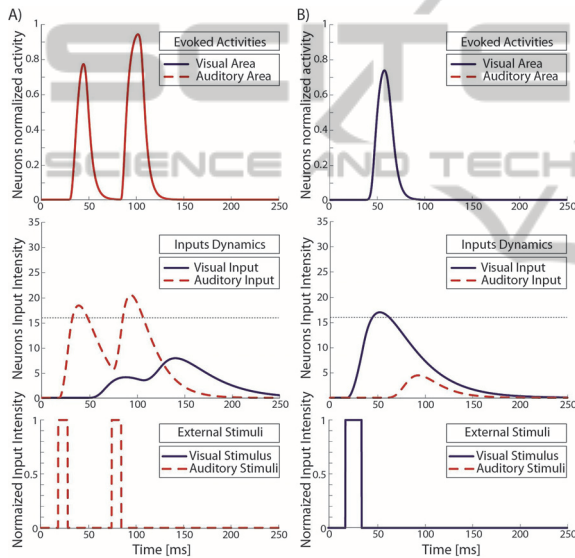


Figure 4: The upper panels show the evoked activities in the auditory (red line) and in the visual (blue line) areas of the model, respectively, in case of a double auditory stimulus (two beeps) and in case of a single visual stimulus (one flash) presented to the network, as depicted in the lower panels. In the middle panels, the temporal dynamics of the overall inputs targeting the visual and the auditory neurons, filtered by a second order differential equation, are compared with the level of the neurons activation threshold (black dotted line).

These figures show that unisensory stimulation does not evoke any cross-modal activity, since the input targeting neurons of the other modality do not reach the threshold for activation (which has the value 16 in our model).

Subsequently, we simulated the conditions leading to a Sham illusion, by applying two auditory beeps and a visual flash, as shown in Figure 5.

In this simulation as a result of the external flash,

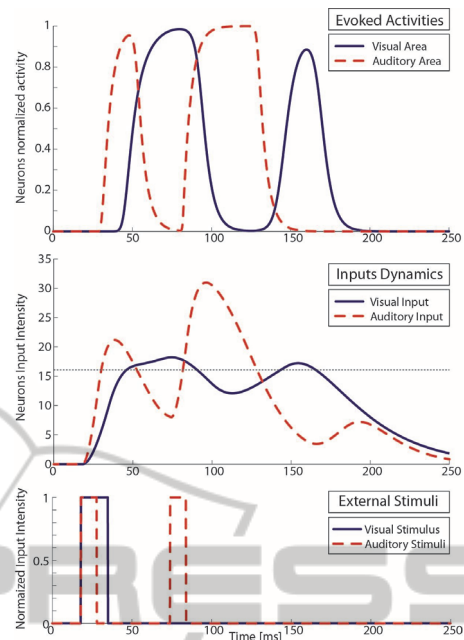


Figure 5: The evoked activities and inputs dynamics in the visual (blue line) and in the auditory (red line) areas of the model, in case of a cross-modal stimulation (a single flash and two beeps presented to the network, as depicted in the lower panel) which caused the Shams illusion.

a peak of activity is elicited in the visual area (at 50ms). This is followed by a second activation (at 150ms) that leads to the illusory perception of a second visual flash.

This second peak is induced by the activity present in the auditory area, as a result of the second beep, and transmitted to the visual area by the excitatory inter-area synapses. As shown by the second panel of Figure 5, describing the temporal profiles of the overall inputs reaching the auditory (red lines) and the visual (blue lines) neurons, the effect of the second beep on the visual area is to strengthen the visual excitatory input, and to push the stimulus targeting the visual neuron over its activation threshold. This leads to the emergence of the second peak of activity in the visual area, associated with the visual illusion.

It is worth noting that the activity in the two cortical areas (upper panel in Figures 4 and 5) depend on the input received by the neurons (middle panels in the same figures) in a complex way: the input is passed through a sharp sigmoidal relationship (Eq. 2) and a low pass filter (Eq. 1) to obtain the activity. Consequently, neural activity depends both on crossing the threshold of the sigmoid, and on the time elapsed above threshold.

Finally, we performed a further simulation (Figure

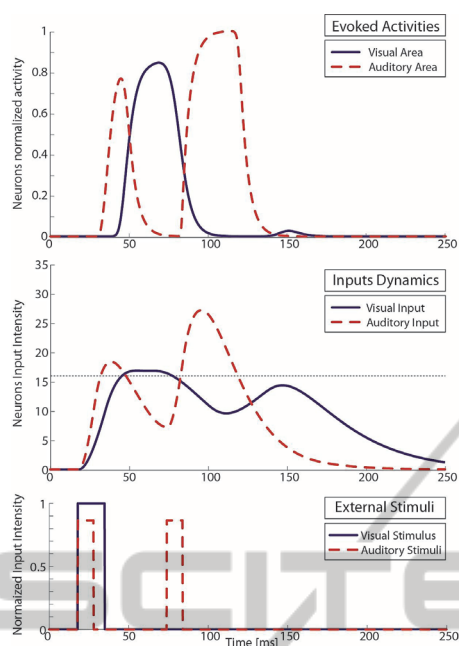


Figure 6: The evoked activities and inputs dynamics in the visual (blue line) and in the auditory (red line) areas of the model, in case of a cross-modal stimulation (a single flash and two beeps presented to the network, as depicted in the lower panel B) but without the visual illusion.

6), in which the network was stimulated with the same pattern of external stimuli, but we used auditory stimuli slightly weaker.

In this case, the second beep is not able to enhance the visual input enough to overcome the visual threshold, and to elicit a sufficient activity to produce the perceptual visual illusion.

## 4 CONCLUSIONS

The present results match with the neuroimaging and psychophysical findings present in literature about the Shams illusion (Watkins et al., 2006, 2007). These works have studied this phenomenon by using the same cross-modal stimulation (one flash, two beeps), and comparing the evoked potentials in the visual area in case of perception of the visual illusion, and in case the illusion was not present (subjects correctly perceived just one flash). The interesting finding was that only in the first case the illusory perception was paired with an increase of the visual cortex activity, in agreement with the results in Fig. 5 and 6. In our model the fundamental point that can lead to the illusory perception is the ability of the auditory activity to enhance the visual input over the activation threshold, to drive an

additional peak of activity in the cortex.

Moreover, by comparing these results it is worth to note that the illusory activity in the visual area is comparable, in terms of strength and duration, with the activity evoked by a real visual stimulus. This result supports the idea this illusion is a perceptual phenomenon involving the primary visual areas.

The model suggests that the mechanisms underlying multisensory interactions in early cortical areas are based on direct excitatory synapses among these regions, and do not need feedback projections from higher-order integrative regions.

Furthermore, model ascribes the Shams illusion to the better temporal resolution of the auditory processing compared with the visual one. Similarly, the better spatial resolution of visual processing can explain the ventriloquism effect (not shown here for brevity), with the same model structure and the same parameter values. Future works will be devoted to analyse if the same neural mechanisms can explain further auditory-visual interactions too, such as the fusion effect and the temporal ventriloquism. Moreover, future model versions may include a more precise characterization of the time delays involved in the visual and auditory pathways, in order to provide an accurate simulation of electrophysiological data.

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