# Perception-prediction-control Architecture for IP Pan-Tilt-Zoom Camera through Interacting Multiple Models

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Abstract:

IP Pan-Tilt-Zoom cameras (IP PTZ) are now common in videosurveillance areas as they are easy to deploy and can take high resolution pictures of targets in a large field of view thanks to their pan-tilt and zoom capabilities. However the closer the view is, the higher is the risk to lose your target. Furthermore, off-the-shelf cameras used in large videosurveillance areas present important motion delays. In this paper, we suggest a new motion control architecture that manages tracking and zoom delays by an Interacting Multiple Models analysis of the target motion, increasing tracking performances and robustness.

## **1 INTRODUCTION**

Human tracking with fixed cameras is a well-known problem in Computer Vision and especially in video surveillance. Unlike static cameras, PTZ are able to pan and tilt around their center and take close-up shots of the target, matching perfectly needs to cover large areas such as building halls and outdoor surrounding. These devices are mostly deployed in sparse networks, allowing to watch over a large videosurveillance area such as shopping center or station with fewer devices thus reducing cost.

However, these active cameras introduce multiple challenging drawbacks: mobile background, blurring in-going images during motion and important control delays due to network transmissions and actuators. Zoom control may be slower as more actuators are involved than pan or tilt motion. Consequently stateof-the-art methods mainly use one (or more) PTZ cooperating with a fixed camera which assures a robust tracking apart from PTZ motion. Then PTZ are driven by the tracking result to other tasks such as acquiring high resolution pictures of the targets faces (Wheeler et al., 2010), with an additional faces tracking eventually to refine position (Bellotto et al., 2009). Two PTZ may also play alternatively the fixed camera role for more flexibility, as in (Everts et al., 2007), by reducing zoom when it conducts tracking task. However none of these approaches solved the single PTZ tracking problem. A second, wide angle camera with a joined field of view (FoV) is required to deal with global target motion.

For the few state-of-the-art algorithms that use only one PTZ, a specific strategy is needed to keep the target into the FoV, through a pan-tilt control to center the camera on the target and a zoom strategy to maintain the target at a given size. Some used PTZ prototypes to build an ad-hoc law of control (Ahmed et al., 2012; Bellotto et al., 2009) or had access to internal elements such as motor-units to fit PID controllers (Al Haj et al., 2010; Iosifidis et al., 2011). However off-the-shelf PTZ elements are not accessible and the camera has to be considered as a black box. Furthermore, their large execution delay prevents feedback control strategies such as used in (Singh et al., 2008). Another approach, mainly used in multi-PTZ tracking, balances motion delays by anticipating the position of the target with a perception-prediction-action (PPA) loop. Constant motion models are mostly used to anticipate target position, such as constant-velocity (Liao and Chen, 2009; Varcheie and Bilodeau, 2011), maximum-likelihood estimation (Choi et al., 2011), general velocity-direction estimation (Natarajan et al., 2012) or Kalman filtering (Wheeler et al., 2010). However as no other camera can reliably track the target during PTZ motion, prediction accuracy is crucial in such strategies. All state-of-the-art predictions

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Figure 1: Block diagram of system architecture synoptic: ours (left) vs. (Varcheie and Bilodeau, 2011) (right). Improved elements are highlighted in red.

are efficient if target motion is nearly linear, but have troubles when unexpected yet common events appear (e.g. when target turns back or avoids obstacles). In such situation an enhanced prediction model taking into account multiple plausible dynamic behaviours is needed. To our best knowledge, Varcheie et al. (Varcheie and Bilodeau, 2011) is the only single PTZ tracking system managing PTZ delays in that way, but with a basic linear prediction based on the 2D apparent target speed whereas target dynamic models are more accurate in 3D than in 2D.

Two main strategies coexist to control zoom during tracking. The first one, based on geometry, tries to maintain the target at a given size in the image to avoid appearance change, such as in (Dinh et al., 2009; Bellotto et al., 2009; Varcheie and Bilodeau, 2011). While simple and effective, this strategy does not take into account situations where intuitively a lower zoom would be safer, such as complex target motion. On the contrary, (Shah and Morrell, 2005; Tordoff, 2002) use the probability of their tracking filter, e.g. the confidence on tracking position, to select the zoom level. However this last strategy zooms out only when tracking is already failing. In this paper we construct a strategy that combines zoom control with the target position prediction to analyze target behaviour and anticipate potential tracking failure situation.

This paper presents a visual servoing strategy applied on an off-the-shelf PTZ camera to track a given person, enhanced by two main improvements: an Interacting Multiple Model Kalman Filter (IMM KF) increasing prediction robustness to target unexpected motion and a zoom control strategy based on the dynamic models probabilities used in the IMM KF. Our approach also uses PTZ delays to reinforce prediction accuracy by an online evaluation during camera latency. The efficiency of this combination is illustrated and compared to state-of-the-art method.

We present in section 2 our global algorithm architecture. Our main contributions, IMM KF and its application to PPA and zoom strategy, are described in section 3. Finally both quantitative and qualitative online evaluations on unexpected motions and tracking scenarios are shown in section 4, with a comparison to (Varcheie and Bilodeau, 2011). Section 5 concludes the paper and presents some future works.

## 2 DESCRIPTION OF OUR ARCHITECTURE

### 2.1 Camera Control Model

Four delays could be denoted during a complete perception-prediction-action iteration, similarly to (Varcheie and Bilodeau, 2011), illustrated in Figures 1 and 2:

- Image capture and transmission through network, with delay τ<sub>1</sub> depending on traffic.
- Object tracking with our software implementation, taking τ<sub>2</sub> seconds.
- A latency, as motion order is transmitted to PTZ through network and from internal camera software to hardware. However no motion is made and images can be still acquired and handled during τ<sub>3</sub>.
- An effective PTZ motion, taking τ<sub>4</sub> seconds to complete depending on the motion amplitude.

According to our experiments, we observed that  $\tau_1 \ll \tau_2, \tau_3$  and  $\tau_4$ . Similarly to (Kumar et al., 2009; Mian,

2008), our AXIS 233D PTZ camera<sup>1</sup> shows a global motion delay  $\tau_3 + \tau_4$  of 400 to 550 ms for a 20° pantilt motion, but  $\tau_3$  seems to be independent of the amplitude motion, around 300 ms. This analysis suits on IP PTZ available in our laboratory (AXIS 233D and Q6034) even if delays may differ and seems simple enough to match most of PTZ cameras.

Two more challenges may arise with off-the-shelf camera: internal camera information may not be correlated to real position when it moves and frames are mainly blurred during motion, causing tracking and 3D re-projection error. This leads to use position servoing instead of speed control to command the PTZ and a global image motion detection to evaluate motions delays  $\tau_3$  and  $\tau_4$ .

#### 2.2 Architecture Synoptic

The block-diagram of the system architecture is showed in Figure 1 and highlights differences with (Varcheie and Bilodeau, 2011) which shares a similar approach.

In nominal mode, i.e. if no previous order has been already issued, the new image acquired at time  $t_k$  by the PTZ and sent through the network is processed by a tracking module that detects and extracts 3D target position. Even if it requires a geometrical calibration, a tracking on the ground plane is chosen as target dynamic models are more accurate in 3D than in 2D and 3D information ensures consistency between collaborating networked devices. In this system, only two elements of information are needed from the tracking module: a 3D target position estimation on the ground plane  $Y_t$ , and the posterior state density probability  $p(X_t|Y_t)$  associated to that position. The complete description of the tracking algorithm is out of the paper scope, but most of current tracking algorithms can be used.

The new observation updates a prediction module that then anticipates target position to take into account known processing delays  $\tau_1 + \tau_2$  and the estimated time  $\hat{\tau}_3 + \hat{\tau}_4$  needed to center the PTZ on the position. This predicted position is finally checked in a motion trigger and if some conditions are fulfilled (cf section 3.3), PTZ motion is allowed.

In the same time, a trajectory analyzer is also updated with tracking probability and prediction module confidence to evaluate how reliable is the tracking, selecting relevant zoom level that could balance resolution and risk of target loss. To reduce PTZ latency  $\tau_3$ we strive to reduce the number of pan-tilt-zoom orders. Also the camera zoom is never increased alone, but only if a pan and/or tilt motion is also triggered



Figure 2: Perception-prediction-action cycle synoptic: Naive one (up) vs. ours (down). Tracking result position is quoted with red ellipse, predicted position on which PTZ would be centered is in green and further predictions are in blue.

by the predicted position. However if trajectory analyzer detects a potential failure situation, it triggers a zoom decreasing order and avoid failure. If triggered, a pan-tilt-zoom order is given to center the PTZ on the predicted position with the required zoom.

Once an order has been issued and until the PTZ motion is detected, i.e. during the camera latency  $\tau_3$ , the interruption mode is activated. Following static images are handled by the tracking module, new predictions are then evaluated but still at  $t_{k+1}$ , the same time used to make the first prediction which triggered the motion. Made on a shorter period with new target information, this second prediction is more reliable than the previous one.

If the distance between the new prediction and the position where PTZ is supposed to be centered at  $t_{k+1}$  is too large, then a third prediction is made and an interruption order is issued to drive the PTZ to this latter predicted position. Figure 2 shows a complete motion and interruption cycle.

Most of other state-of-the-art strategies do not evaluate the prediction accuracy once the motion order has been issued. This interruption module increases the system reactivity and decreases the risk of losing the target. Furthermore, this also makes use of intermediate frames and reduces the time between consecutive frames when an order is issued, increasing tracking robustness.

# 3 IMM-BASED CONTROL STRATEGY

As explained in introduction, state-of-the-art prediction methods model human behaviour with only lin-

<sup>&</sup>lt;sup>1</sup>http://www.axis.com/fr/products/cam\_233d/index.htm

ear motion, but have troubles when this hypothesis is challenged. To overcome this limitation, an Interacting Multiple Models Kalman Filter (IMM KF), based on a probabilistic competition between multiple Kalman filters with different dynamics, is used as prediction module. Well known from filtering community (Lopez et al., 2010; Rong Li et al., 2005), it has never been studied in PTZ camera state-of-theart algorithms. One great IMM KF advantage is its ability to deal with different dynamics for a low computational cost, as just a few more Kalman filters run, possibly in a parallel architecture.

In the same time, IMM architecture also gives for each model a probability that its dynamic is in use at a given iteration. Well fitted, this property gives information about the target behaviour, in particular how reliable is our prediction. A probabilistic based zoom control is then built from this information and tracking posterior state probability to adapt zoom level to the system tracking confidence, and particularly decrease zoom if a risk situation is detected.

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### 3.1 Target Motion Prediction

An IMM KF is used as prediction module, which consists in recursively approximating the posterior density state of our system by a set of combined Kalman filters corresponding to admissible dynamics.

Notations are following:  $\mathcal{N}(X, \hat{X}, P)$  the Gaussian distribution, defined by  $(\hat{X}, P)$  its mean and covariance. Dynamic models form a set  $\mathcal{M}$  of cardinality  $\mathcal{M}$ . For each time  $t_k, X_k$  denotes the state vector,  $m_k^i$  the event that model  $i \in \mathcal{M}$  is in use during the sampling period  $]t_{k-1}, t_k]$  and  $p(m_k^i) = \mu_k^i$  its probability density function. By definition of IMM methods, models update follows a homogeneous finite-state Markov chain with given transition probabilities  $\pi_{ij} = P(m_{k+1}^j | m_k^i)$ ,  $\forall (i, j) \in \mathcal{M} \times \mathcal{M}$ . For each Kalman Filter evaluated according to model i,  $(\hat{X}_{k|k}^i, P_{k|k}^i)$  denoted the state mean and covariance at  $t_k$ , and  $(\hat{z}_k^i, S_k^i)$  the predicted measurement and measurement prediction covariance.

At initial time,  $\mu_0^i$ ,  $\hat{X}_{0|0}^i$  and  $P_{0|0}^i$  are given, then the IMM recursion for times  $t_k$  to  $t_{k+1}$  consists in a five step cycle:

1 / *Models propagation:* Probabilities are updated according to Markovian transition.

$$orall j \in \mathcal{M}, \hspace{0.2cm} \mu^{j}_{k+1|k} \hspace{0.2cm} \leftarrow \hspace{0.2cm} \sum\limits_{i \in \mathcal{M}} \pi_{ij} \mu^{i}_{k} \ \mu^{j|i}_{k+1} \hspace{0.2cm} \leftarrow \hspace{0.2cm} rac{1}{\mu^{j}_{k+1|k}} \pi_{ij} \mu^{i}_{k} \end{array}$$

2 / Model-conditioned initial mixed state estimation:

$$\begin{array}{lcl} \forall j \in \mathcal{M}, & \hat{X}_{k|k}^{j|0} & \leftarrow & \sum\limits_{i \in \mathcal{M}} \mu_{k+1}^{j|i} \hat{X}_{k|k}^{i} \\ & P_{k|k}^{j|0} & \leftarrow & \sum\limits_{i \in \mathcal{M}} \mu_{k+1}^{j|i} (P_{k|k}^{i} + \\ & & [\hat{X}_{k|k}^{i} - \hat{X}_{k|k}^{j|0}]. [\hat{X}_{k|k}^{i} - \hat{X}_{k|k}^{j|0}]^T \end{array}$$

3 / Model-conditioned filtering: $(\hat{X}_{k|k}^{j|0}, P_{k|k}^{j|0})$  are then used as inputs in the *j*<sup>th</sup> Kalman filter with observation  $Y_{k+1}$ , and produce outputs  $(\hat{X}_{k+1|k+1}^{j}, P_{k+1|k+1}^{j})$ and  $(\hat{z}_{k+1}^{j}, S_{k+1}^{j})$ .

4 / *Models probabilities update:* according to posterior probability.

$$\begin{array}{rcl} \forall j \in \mathcal{M}, & L_{k+1}^j & \leftarrow & \mathcal{N}(\hat{z}_{k+1}^j, 0, S_{k+1}^j) \\ & \mu_{k+1}^j & = & \frac{\mu_{k+1|k}^j L_{k+1}^j}{\sum\limits_{\forall i \in \mathcal{M}} \mu_{k+1|k}^i L_{k+1}^i} \end{array}$$

$$\hat{X}_{k+1|k+1} = \sum_{j \in \mathcal{M}} \mu_{k+1}^j \hat{X}_{k+1|k+1}^j$$
 (2)

IMM KF parameters need to be adapted to our specific system, namely which models to use, their number and the finite-state Markov chain for interaction. Too similar models or too many models will decrease precision as no model will prevail over the others, so our IMM KF uses only five dynamics to model human behaviour: a linear motion with a constant-velocity model and four nearly constant-turn models chosen such that, for  $\Delta t = 200$ ms, rotations correspond to quarter-turns and half-turns in each direction. Here only linear Kalman models with the same dimension have been chosen, extensions exist (Lopez et al., 2010; Rong Li et al., 2005) but have higher computational complexity.

Almost no assumption is made on the Markov model as sudden motion change should be allowed: the target has half chances to remain in the same dynamic, and half to change with equal probability of switching to any model, i.e.  $\forall i \in \mathcal{M}, \pi_{ii} = 0.5$  and  $\forall i, j \in \mathcal{M}, i \neq j, \pi_{ij} = 0.125$  in our case.

During the tracking process, the normal IMM KF cycle is interrupted at step 3/ to evaluate the predicted position  $\hat{X}_{k+1|k}$ . Then once the new target observation  $Y_{k+1}$  is known in the following iteration, we simply resume IMM algorithm steps to where we stopped. Denoting  $\forall i \in \mathcal{M}, (\hat{X}_{k+1|k}^i, P_{k+1|k}^i)$  the result of prediction equation in each model-conditioned Kalman filter:

$$egin{array}{rcl} i_0^k &\leftarrow rgmax_{i\in\mathcal{M}}(\mu_k^i)\ \hat{X}_{k+1|k} &= \hat{X}_{k+1|k}^{i_0^k} \end{array}$$

The most probable model leads to better prediction results than a probabilistic mean like in equation (2) as no observation could balance the predefined transition matrix bias introduced in equation (1).

### 3.2 Probabilistic Zoom Level Selection

Zoom control has to balance the target resolution and the risk of losing the target as it may easily leave of the FoV. We chose here a careful strategy that values tracking continuity over target resolution.

State-of-the-art zoom strategies (Shah and Morrell, 2005; Tordoff, 2002) based on tracking probabilities are more robust to tracking failure than strategies which maintain the target at a given size. But none of them takes into account unexpected target behaviour that may deceive the system prediction. As showed in Figure 3.C<sub>2</sub>, the tracking posterior probability is still high even if target is on the edge of the image, so the zoom will be corrected only after that target goes out of FoV. In order to prevent this situation, a trajectory analyzer evaluates the system confidence at each iteration  $C_k$  in the predicted position where the tracking drives the camera on:

$$C_k = P(m_k^l) \cdot P(X_k | Y_k) \tag{3}$$

where  $P(X_k|Y_k)$  is the posterior state density at iteration k given by our tracking module and  $P(m_k^l)$  is the linear model probability.

As long as the target moves, the IMM KF described in the previous section 3.1 gives the probability  $P(m_k^l)$  that linear motion hypothesis is relevant. This model is prevalent most of time as illustrated in Figures 3 and 4 but its probability falls when an unexpected motion occurs as other models are needed to describe real target motion. On the contrary, the other dynamic models do not have so much motion information as target never do exactly the specific rotations we set in our IMM KF. So the probability  $P(m_k^l)$  reflects well how confident the algorithm is about the prediction model in use.

However, IMM KF probabilities accuracy drops to an equiprobable state between every models when the target does not move, as no dynamic can be evaluated. This situation is also showed in Figure 5 when the target stopped its motion, linear model probability drops to  $\frac{1}{5}$  as a five model IMM KF is used. So we introduce an exponential speed based term that filters in equation 3 the linear model probability if not relevant:

$$C_k = \frac{P(m_k^l)}{1 + \alpha \cdot e^{\beta \cdot \bar{V}^2}} \cdot P(X_k | Y_k)$$
(4)

where  $\bar{V}$  denotes the mean of the Euclidean norm of the target speed during a temporal window (1s for instance). This window reduces speed estimation noise and detects more robusity that the target stopped. Parameters  $\alpha$  and  $\beta$  are set such that if the target is stopped,  $C_k$  is only defined by  $P(X_k|Y_k)$  and if she moves, the exponential term has no influence :

$$\begin{array}{ll} \text{if } \bar{V} = 0 \text{ m/s} & \Longleftrightarrow & \frac{P(m_k^t)}{1 + \alpha \cdot e^{\beta \cdot \bar{V}^2}} = 1 \\ \text{if } \bar{V} \ge 0.2 \text{ m/s} & \Longleftrightarrow & |\alpha \cdot e^{\beta \cdot \bar{V}^2}| \le 0.01 \end{array}$$

In our application, IMM probabilities are considered as reliable if target speed is over 0.2 m/s, so parameters becomes  $\alpha = -\frac{4}{5}$  and  $\beta = -110s^2/m^2$ .

This confidence score selects one of the three relative target heights to maintain the target on, namely 20%, 30% and 40% of the image height, depending on the context. If  $C_k < 50\%$ , the required height is reduced and on the contrary, if  $C_k > 85\%$  it is increased. Also, as will be explained in the next section 3.3, a decreasing zoom level triggers a motion in order to react as soon as possible to a potential risk situation.

#### 3.3 Pan-Tilt-Zoom Control

The PTZ camera is driven by the information described in the two last sections. Pan-tilt coordinates are evaluated from the predicted 3D position thanks to calibration and the zoom level selected by the tracking confidence score is converted to focal value and then to zoom value by geometric evaluation.

However the motion strategy has to face opposite goals. First it aims to center the PTZ on the target to assure best resolution and tracking continuity but moving the PTZ to this parameters set on every iteration is not cost efficient, off-the-shelf PTZ latencies accumulate. So, as in (Chang et al., 2010), the target is keeped inside a 2D allowed area around the image center where target motion does not trigger PTZ motion, limiting it to large motions. Margins to the image edges are fitted such that the target is kept into the FoV during latency delay  $\tau_3$ . However, 3D tracking allows a direct tracking continuity, instead of (Chang et al., 2010).

A 3D area is also defined around the image center projected on the ground, typically a two-by-two meter square. The image center corresponds to the last target position where the PTZ has been moved, so this second area guarantees that a target at long distance observed with a small zoom level is still kept close to the image center.

The zoom control strategy is more complexe. In one hand the best resolution available is desirable to take advantage of the device. But in the other hand its motion is much slower than pan and/or tilt only motion, sometimes taking one or more seconds to complete in such a way that the target already left the FoV when the required zoom level is reached. As no other

Module	1 <sup>st</sup> Strategy	2 <sup>nd</sup> Strategy	3 <sup>rd</sup> Strategy		
Prediction	linear dynamic Kalman filter	IMM KF (section 3.1)	Speed average		
Trigger Motion	Areas (sectio	Appearance score			
Zoom control	Confidence-dependent	Maintain target size			
Interruption	Yes		No		

Table 1: Architecture differences for the PPA strategies evaluated.

device may track the target during motion, a strategy that values tracking robustness over target resolution is prefered.

For each PTZ, maximum feasible zoom modification that would not delay the motion for a given pan-tilt amplitude is evaluated in an off-line module and stored. Then during tracking, once the required target size is selected in the trajectory analysis step, zoom amplitude needed to reach this objective is limited to the pan-tilt motion used by motion order. It leads to reach the required target size in few iterations but keeps our tracking system as fast as possible.

Furthermore, if the tracking confidence score falls below a threshold, reducing the required target height, a motion is also triggered to reduce the risk. But if the tracking confidence remains upon that threshold, zoom level is not set up until one of the previous geometrical condition triggers a complete pan-tilt motion. This avoids supplementary motion needed only to increase resolution but which adds more delays and risks to lose the target.

# 4 EXPERIMENTS AND EVALUATIONS

### 4.1 Scenarios

We conduct our experiments on three perceptionprediction-action (PPA) strategies illustrated on Table 1. The first two strategies mainly differ on the prediction module and allow us to evaluate our contributions over the common Kalman filter on unexpected target behaviour. Last strategy is based on (Varcheie and Bilodeau, 2011), illustrated in Figure 1, as it shares a similar PPA loop. Its prediction step is a speed average model and the motion trigger is based on target appearance score given by the tracking module. However to evaluate the strategies influence apart from tracking algorithm results, the same tracking module and appearance model is used for all configurations. Here a sampling-importance-resampling particle filter drived by a HOG-based human detector (Dalal and Triggs, 2005) is used, with a target appearance model based on HSV color and SURF interest points (Pérez et al., 2002) instead of the one from (Varcheie and Bilodeau, 2011).

No public dataset is available for testing a complete PTZ tracking system because of its dynamic nature. Instead, four scenarios were selected and played each five times for every strategy to reduce variance due to online evaluation and the particle filter stochastic nature. This dataset represents more than 7000 frames over 45 sequences, taken by day in a building hall. Details about motions for each scenario are shown in Table 2 and illustrated in Figures 3 to 4. We tried to keep the same experimental conditions for all sequences from a scenario. Trajectories have been marked on the ground and sequences have been made in a row with the same targets. Once recorded, we extracted ground truths manually for further performance evaluation.

The first two scenarios include one specific unexpected motion or trajectory break such as half turns (HT) or quarter-turns (QT), happening when the target is near the allowed area center. These scenarios specifically evaluate the system behaviour on unexpected motions and the influence of the prediction step achieved by the Kalman filter versus IMM KF. A third scenario is conducted to show performances of our method with more than one person in the scene. Finally a longer scenario, illustrated in Figure 4, offers more varied background, complex lighting condition (shadows, over exposition), target acceleration and stop in addition to the previous trajectory breaks. In all scenarios except during the last scenario acceleration, targets are asked to walk normally, around 1 m/s.

### 4.2 Metrics

We use four metrics inspired by CLEARMOT (Bernardin and Stiefelhagen, 2008) and Varcheie et al. (Varcheie and Bilodeau, 2011):

*Precision* (P) is the ratio of frames where target is well tracked in the sequence. *Centralization* (C) evaluates how close the target is to the image center. *Track fragmentation* (TF) indicates lack of continuity of the track and *Focusing* (F) evaluates the size of the

Sc.n <sup>o</sup>	Motion type	Duration	Occlusion	Strategy	Р	C	TF	F	Fps	Failure
1	linear, QT	10 s	0 %	1	59 %	95 %	19 %	42 %	6.8	2 / 5
				2	77 %	94 %	0 %	39 %	7	0 / 5
2	linear, HT	20 s	0 %	1	58 %	93 %	20 %	42 %	5.9	4/5
				2	87 %	95 %	1 %	38 %	5.4	0 / 5
3	linear, stop	25 s	>40 %	1	75 %	92 %	2 %	32 %	7.2	1 / 5
	4 people		>5s	2	75 %	95 %	2 %	32 %	6.4	2 / 5
4	linear, QT, stop		>40 %	1	85 %	89 %	13 %	40 %	6.3	1/5
	HT, acceleration	42 s	<1s	2	93 %	91 %	0 %	39 %	6.5	0/5
				3	61 %	85 %	11.3 %	40 %	5.3	2 / 5

Table 2: Quantative evaluations for the different strategies over different scenarios.



Figure 3: System behaviour as target turns back (HT) during the 2<sup>nd</sup> scenario. Red boxes are ground truth and purple ones are tracking results. First curve indicates zoom value during tracking, linear model and tracking probabilities are shown for IMM based strategy.

target in the image. Those metrics are defined by:

$$P = \frac{\#TP}{NF}, \qquad TF = \frac{\#T_{out}}{NF},$$
$$C = \frac{\sum_{i \in TP} D_i}{\#TP}, \qquad F = \frac{\sum_{i \in TP} H_i}{\#TP},$$

*TP* denotes true positive frames set, i.e. frames where target bounding box and ground truth surface coverage is higher than 50%, # denotes the cardinality and *NF* is the total number of frames.  $D_i$  is the Euclidean distance between the 2D target position and the image center,  $T_{out}$  is the number of frames where target is outside the FoV and  $H_i$  is the ratio between the size of the target and the image height. Finally, frame rate (Fps) and the number of sequences where target is finally lost at the end (Failure) are also evaluated.

## 4.3 Unexpected Trajectory Break Evaluation

Results on Table 2 shows that IMM KF based strategy improves tracking performance compared to Kalman based strategy when an unexpected motion occurs, improving precision (P) by 10 to 20 percentage points thus reducing fragmentation (TF) and failures to almost 0. IMM KF prediction accuracy is better and the system can react quicker to the unexpected motion as other dynamics can explain such trajectory than just moving forward.

Figure 3 illustrates a typical failure with a Kalman based strategy. In both configurations (Figure 3.B and 3.C) prediction drives the camera too far as it does not realize that target turns back. But this event is taken into account quicker with IMM KF (Figure  $3.D_2$ ) and failure is avoided thanks to a quick zoom out, allowing a larger area to look for the target. On the con-



Figure 4: 4<sup>th</sup>scenario. Pan-Tilt-Zoom parameters are shown for every strategy. The red boxes are ground truths and the purple ones our tracking results.

trary, even if PTZ camera is also driven by Kalman prediction (Figure  $3.D_1$ ) the dynamic change is not fully taken into account and finally moves the camera according to previous target behaviour, losing the target.

Figure 3 also shows how linear model probability evolves during tracking. It rises from an equiprobable value ( $\frac{1}{5}$  for a five model IMM) to almost 1 when the target moves, increasing zoom level as well. But when the half turn occurs, linear model probability falls quickly, leading to decrease zoom level (between Figure 3.C<sub>2</sub> and 3.D<sub>2</sub>) to avoid target to go out of FoV, even if tracking probability is still good.

On Table 2, quarter turns show similar results on tracking performance but lead less often to failure than half turn. This is due to a less abrupt change of direction, so the target may not leave the PTZ FoV before Kalman prediction assimilates the event.

# 4.4 More Complex Scenarios Evaluation

The 3<sup>rd</sup> scenario (Figure 6) where a target is tracked among many people, shows similar results for both first and second strategies as the trajectory is quite simple. However IMM KF approach causes more camera motions, reducing its framerate (Fps), as occlusions lead the system to detect an risk situation and zooming in or out (between the 7<sup>th</sup> and 12<sup>th</sup> seconds). System failures are mainly due to a shift between target and occluding people while they remain at the same place for a long period, as we see on the tracking probability curve in Figure 6.

The second strategy still performs better on the last scenario, illustrated in Figure 4, increasing precision by 10% thus reducing fragmentation and failures on unexpected trajectory breaks that perturb Kalman prediction. This scenario includes many large camera motions, so the zoom control does not slow down the framerate as in the previous scenario. The stop and the half turn in trajectory are well detected, as shown between Figures  $5.D_2$  and  $5.G_2$ , leading to a decreas-



Figure 5: Linear model and tracking probabilities, Target mean speed, expected target height in image and zoom value for the  $2^{nd}$  strategy during  $4^{th}$ scenario.



Figure 6: System behaviour during the 3<sup>rd</sup> scenario. Red boxes are ground truths and purple ones are tracking results. First curve indicates zoom value during tracking, linear model and tracking probabilities are shown for IMM based strategy.

ing zoom level between the 12<sup>th</sup> and 14<sup>th</sup> seconds. In particular, the decreasing confidence score (eq. 4) triggers a motion that center the target (Figure 4.D<sub>2</sub>), unlike Kalman-based strategy (Figure 4.D<sub>1</sub>). Then, once the trajectory analyser detects that such unexpected motion is a target stop the zoom level rises again thanks to the exponential speed based term. However the system can not zoom closer until a pantilt motion allows zoom control (green plot on the second graphic on Figure 5, between the 15<sup>th</sup> and 20<sup>th</sup> seconds). This is the main drawback from our method, as we chose to preserve the tracking continuity over target resolution. Furthermore, the IMM KF based strategy is also better than the one based on (Varcheie and Bilodeau, 2011), as shown on Table 2. Precision is increased by over 30 percentage point when centralization is also increased by 5 percentage point, thus reducing tracking failure and increasing framerate (Fps). The main drawback of Varcheie-based third strategy is the motion trigger that leads to small accumulated motions, decreasing framerate. For instance many small motions are triggered as the target stops between Figures 4.C3 and 4.D<sub>3</sub>, while the first strategy does not and the second only once, to adjust zoom parameter after detecting the target stop. Furthermore, camera view angle and scene context may quickly change target appearance during the 4<sup>th</sup> scenario, preventing motion trigger in the third strategy, decreasing performances (P) and (C). Target also goes out of the FoV as trigger condition is not met (Figures 4.B<sub>3</sub> and 4.E<sub>3</sub>) increasing fragmentation (TF). Finally speed average prediction may drive the PTZ in a wrong direction, because of a distractor detection when target goes away from the FoV, such as in Figure  $4.C_3$ .

## **5** CONCLUSIONS

Only a few state-of-the-art systems track a person with a single IP PTZ camera. This device is subject to large and variable motion delays, especially offthe-shelf PTZ that can not be entirely modeled. That slows down the algorithm and increases the risk of losing the target during camera motion. Our approach is focused on managing these delays through a perception-prediction-action strategy relying on three innovative features. First, an improved prediction step updates and anticipates target position such that the camera is centered on the target at the end of its motion. We improved prediction performances with an Interacting Multiple Model Kalman filter which is more resilient to abrupt motion change, improving pan-tilt control accuracy. This prediction filter also gives a probabilistic estimation of the prediction reliability that allows a trajectory enhanced zoom control. Camera motion order is therefore more accurate and possibly corrected by an interruption module that takes advantage of camera control latency. Furthermore, this strategy can be used with most of tracking algorithms that return a target position probability and requires almost no computational time to process.

Experiments we led demonstrate that our strategy performs well on typical tracking situations. Especially our IMM KF based prediction is more efficient than the one based on Kalman filter and leads less often to failure in case of unexpected trajectory breaks. Then we also show that our innovations improve robustness to context and motion change compared to the state-of-the-art method (Varcheie and Bilodeau, 2011) which shares a similar perception-predictionaction strategy. Further investigations will focus on increasing zoom control performance, in particular to increase reactivity to target behaviour. Then we will apply our monocular approach to collaborative PTZ network with partially common FoV.

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