## Generating Features using Burrows Wheeler Transformation for Biological Sequence Classification

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Keywords: Burrows Wheeler Transformation, Machine Learning, Supervised Learning, Feature Selection, Dimensionality Reduction, Biological Sequence Classification.

Abstract: Recent advancements in biological sciences have resulted in the availability of large amounts of sequence data (both DNA and protein sequences). The annotation of biological sequence data can be approached using machine learning techniques. Such techniques require that the input data is represented as a vector of features. In the absence of biologically known features, a common approach is to generate *k*-mers using a sliding window. A larger *k* value usually results in better features; however, the number of *k*-mer features is exponential in *k*, and many of the *k*-mers are not informative. Feature selection techniques can be used to identify the most informative features, but are computationally expensive when used over the set of all *k*-mers, especially over the space of variable length *k*-mers (which presumably capture better the information in the data). Instead of working with all *k*-mers, we propose to generate features using an approach based on Burrows Wheeler Transformation (BWT). Our approach generates variable length *k*-mers that represent a small subset of *k*-mers. Experimental results on both DNA (alternative splicing prediction) and protein (protein localization) sequences show that the BWT features combined with feature selection, result in models which are better than models learned directly from *k*-mers. This shows that the BWT-based approach to feature generation can be used to obtain informative variable length features for DNA and protein prediction problems.

## **1 INTRODUCTION**

Machine learning has been extensively used to address prediction and classification problems in the field of bioinformatics. Advancements in sequencing technologies have led to the availability of large amounts of labeled data, especially in the form of biological sequences. This data can be used to learn classifiers for various sequence classification problems. Most learning algorithms require a vectorial representation of the data in terms of features. Generally, the more informative the features chosen to represent the data, the better the resulting classifier. When available, biologically relevant features (e.g., known motifs or domains) have been successfully used. For example, biologically informative motifs corresponding to intronic and exonic regions of a gene are available for the organism, C. elegans (Rätsch et al., 2005; Xia et al., 2008). The Intronic Regulatory Sequences (IRS) and Exonic Splicing Enhancers (ESE) motifs are used to learn models for predicting alternative splicing events in genes.

However, for many problems such features are

not readily available. Alternatively, we can generate all possible motifs of a fixed length k (*a.k.a.*, *k*mers) using a sliding window approach (Shah et al., 2004; Chor et al., 2009; Melsted and Pritchard, 2011; Caragea et al., 2011). If we want to work with variable-lengths *k*-mers, we can use the sliding window approach repeatedly with different *k* values. One drawback of the sliding window approach is that the number of *k*-mers that it produces is exponential in the length of the *k*-mer. Furthermore, among the resulting features (*k*-mers), many are not informative, sometimes acting as noise and misleading the classifier. To address this problem, feature selection techniques are used to reduce the number of features provided as input to the classifier.

It is computationally expensive to run feature selection algorithms with all *k*-mers. Filtering *k*-mers based on the frequency of occurrence is commonly used to reduce the initial dimension of the feature space. To gain understanding on what filtering criteria may be used for the problem of predicting alternative splicing events, we used existing data from *C.elegans* for which informative features (in the form of IRS and

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Generating Features using Burrows Wheeler Transformation for Biological Sequence Classification. DOI: 10.5220/0004806201960203

In Proceedings of the International Conference on Bioinformatics Models, Methods and Algorithms (BIOINFORMATICS-2014), pages 196-203 ISBN: 978-989-758-012-3

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ESE) are known, and noticed that out of 210 features, 205 occurred at least twice in at least one sequence. This means, we can filter the set of all *k*-mers by removing the *k*-mers that don't satisfy this constraint. The reduced set should presumably include most informative *k*-mers, while excluding many uninformative *k*-mers.

To capture this idea, in this paper, instead of using the sliding window approach to generate k-mers, we present an approach that makes use of the Burrows Wheeler Transformation (BWT) of a sequence to generate a more informative, reduced set of variablelength k-mers (denoted by b-mers), which excludes most of the uninformative k-mers. The b-mers in the BWT reduced set have the property that occur multiple times (at least twice) in each sequence. Experiments are conducted to evaluate the performance of the *b*-mers as compared to the standard *k*-mers, when feature selection is applied on top of either the *b*-mers or the *k*-mers. For a more fair comparison, we also generate features by filtering k-mers based on the frequency of occurrence. We select the k-mers that occur at least twice in a sequence, and we refer to this set of features as frequency-based filtered k-mers, denoted by f-mers. We should note that the set of fmers is different from the set of b-mers, as more filtering is performed by BWT, as will be explained later. The results on two biological sequence classification problems (alternative splicing and protein localization prediction) show better performance for the set of bmers (especially in the case of protein sequence classification). Furthermore, the size of the *b*-mers set is significantly smaller than that of the sets of k-mers and *f*-mers. This suggests that the BWT-based feature generation approach can be successfully used as a dimensionality reduction technique, as it can reduce the initial feature space to a large extent without losing informative features.

The rest of the paper is organized as follows: Section 2 discusses related work in applying Burrows Wheeler Transformation and dimensionality reduction techniques to bioinformatics problems. Section 3.1 explains the process of transforming a sequence using BWT. Further, Section 3.2 focuses on the process of generating variable length motifs using BWT. Section 3.3 provides an overview of the complete approach. In Section 4, we list the research questions and the set of experiments conducted to address the questions. The results for the experiments conducted are presented in Section 5. Finally in Section 6, we present conclusions and ideas for future work.

## 2 RELATED WORK

#### 2.1 **BWT in Bioinformatics**

Burrows Wheeler Transformation was first introduced by Burrows and Wheeler (1994), to address the problem of data compression. The ability of BWT to efficiently identify multiple occurrences of a particular fragment of a sequence generated significant interest in this approach, especially in the field of bioinformatics. Several applications on BWT have been developed for various biological problems.

Ferragina et al. (2000) proposed an approach (FM-index) that uses Burrows Wheeler Transformation along with the suffix array data structure to efficiently find the number of occurrences of a pattern within a compressed text. Besides finding the count, the FM-index also identifies the location of all the patterns in the original sequence. The authors proposed an algorithm whose running time and storage space are sub-linear with respect to the size of the data. Li et al. (2009) developed SOAP2, a tool which is an extension of SOAP (Li et al., 2008), which in turn is an approach for gapped and ungapped alignment of short oligonucleotides. SOAP2 replaced the original seed strategy of SOAP with the Burrows Wheeler Transformation indexing, and thus reduced the memory usage and increased the alignment speed. Langmead et al. (2009) introduced a fast and memory efficient technique (Bowtie) for aligning short DNA sequences to a human genome. Bowtie uses Burrows-Wheeler indexing in the process of aligning the short sequences. Li et al. (2009) also aligned short reads to a larger sequence (specifically genome) using BWT. The proposed approach used the backward search with BWT and performed top-down traversal on the prefix trie of the genome. The approach improves the efficiency of repeat finding for a large sequence when compared to the state-of-the-art suffix array-based implementation (Becher et al., 2009). Repeat finding is one common application of the BWT-based approach in the field of bioinformatics.

#### 2.2 Feature Selection

In sequence-based classification problems and more importantly when we are working with k-mers (or b-mers), there is a fair chance of dealing with features that are not informative. In such cases, using all the features can mislead the classifier, thereby affecting the performance. Feature selection addresses the problem of removing features that are not informative enough, for example by computing the mutual information between each feature and the class variable. S.

Feature selection can be essential in improving the performance of the classifier, in addition to reducing the dimensionality of the input feature space (which affects the efficiency). Various feature selection techniques have been proposed in the past (Ng et al., 1997; Wiener et al., 1995; Battiti, 1994). Most of these traditional feature selection techniques need features to be represented using a set of numerical values. Alternatively, feature selection techniques that are specific to the problem of sequence classification have also been proposed in the past. Due to the dependency between the adjacent positions of a sequence, many Markov models have been developed to address the problem of feature selection. Salzberg et al. (1998) used interpolation between different orders of Markov models, known as interpolated Markov model (IMM) along with a filter ( $\chi^2$  test) to select a subset of features. Sayes et al. (2007) used the Markov blanket multivariate approach (MBF) on top of a combination of different measures of coding potential prediction to retain informative features. Chuzhanova et al. (1998) combined a genetic algorithm with a Gamma test to obtain scores for feature subsets. The optimal subset is then selected based on the scores. Zavaljevsky et al. (2002) used selective kernel scaling for support vector machines (SVM) to compute the weights of the features. Features with low weights are ignored subsequently. Degroeve et al. (2002) addressed the problem of splice site prediction through feature selection, by using a sequential backward method along with an embedded evaluation criterion based on SVM.

In this paper, we present an approach based on BWT that reduces the dimensionality of the input feature space to a large extent by retaining most of the informative features. To the best of our knowledge, such an approach has not been studied before in the context of biological sequence classification.

#### **3** METHODS

#### 3.1 Burrows Wheeler Transformation

Burrows Wheeler Transformation produces a context dependent permutation of an input sequence (set of characters), such that characters adjoining similar contexts are grouped together.

Given an input sequence *S*, we generate all possible rotations of the sequence (obtained by removing the last character of the sequence and appending it as a prefix). For a sequence of length *n*, the rotations can be represented as a square matrix of dimensionality  $n \times n$ . We then sort the matrix alpha-numerically (the resulting matrix is referred to as *R*) and select the

last column of the sorted rotations. This last column will give us the Burrows Wheeler Transformation of *S*, denoted by "*bwt*[]" (an *n* dimensional array of characters).

#### **3.2 Feature Generation using BWT**

BWT internally groups all the characters having the same prefixes and lexicographically similar suffixes. In what follows, we describe how we exploit this property of BWT to generate features of variable length (called *b*-mers). We start with an array of sorted rotations R as input to the procedure for generating *b*-mers. In the BWT transformed sequence (bwt] = last column of R), we search for contiguous occurrences of a character, x. Such a contiguous occurrence is referred to as a *repetition*. If we find a repetition, we select the starting and ending positions (indices: *start,end*) of the repetition in the *bwt*[]. We then select the rotations in R from start to end indices and search for a common prefix among the selected rotations. If we find a match (prefix), we select the prefix, " $\gamma$ ", and append the repeated symbol x in front of  $\gamma$ , producing the feature  $x + \gamma$ . Thus, a repetition of length *l* in the *bwt*[], results in a feature that is repeated at least *l* times in the original sequence. Furthermore, the resulting features have variable length.

As noted in the introduction, in principle we can filter variable length k-mers, based on the frequency of occurrence in individual sequences (by selecting only those that appear at least twice in a sequence, denoted by f-mers). However, the BWT features have additional properties that cannot be obtained by filtering the set of all variable length k-mers. The properties are described in what follows. We should note that these properties are verified implicitly when using the procedure described above, and we need not check them explicitly. To make the presentation precise, we use the following notations.

As before, we denote the original sequence by *S*. Let  $\alpha$  be a sub-sequence of *S*. For a sequence, we refer to the sub-sequence on the left side of the sequence as a left segment, denoted by *leftSeg*, and the sub-sequence on the right side of the sequence as a right segment, denoted by *rightSeg*. For example, for the sequence "tgct", each of "t", "tg", "tgc" etc. can be seen as *leftSeg*, while each of "t", "ct", "gct" etc. can be sequence  $\alpha$ . Features generated with the BWT-based approach have the following properties:

- Each feature occurs at least two times in the sequence.
- If a sub-sequence α of S has a *leftSeg* whose frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency of occurrence in S is greater than the frequency occurrence in S is greater than the

quency of  $\alpha$  in S, then BWT returns that *leftSeg* as a feature, if either start or end indices associated with *leftSeg* are adjacent or between the *start* and end indices associated with  $\alpha$ . Besides that, the *leftSeg* should also satisfy the next two properties. Otherwise, BWT returns  $\alpha$  as a feature, assuming that the next two properties are satisfied by  $\alpha$ .

- If  $\alpha$  occurs multiple times in S, BWT returns  $\alpha$  as a feature, if there is no other sub-sequence of S,  $\beta$ , such that  $\alpha$  and  $\beta$ , have an identical *leftSeg*. In case  $\alpha$  and  $\beta$  have an identical *leftSeg*, BWT returns the *leftSeg*, that is common to both  $\alpha$  and  $\beta$ as a feature if the remaining properties are satisfied by the common *leftSeg*.
- If  $\alpha$  and  $\beta$  are two sub-sequences of S having an identical *rightSeg*, which is preceded by two different characters in the two sub-sequences  $\alpha$ and  $\beta$ , then BWT returns  $\alpha$  if and only if at least two of the rotations corresponding to  $\alpha$  are grouped together in the sorted rotations (i.e., they are not inter-spread with rotations corresponding to  $\beta$ ) and if no other sub-sequence(s) of S,  $\delta$ , having length greater than  $\alpha$  is associated with the same set of grouped rotations (if rightSeg of  $\delta$ , having length  $|\delta|$ -1 is a common prefix of all the grouped rotations). If there is any such subsequence, BWT returns the sub-sequence of maximum length (longest of all  $\delta s$ ) associated with the grouped rotations as feature, ignoring  $\alpha$ . In either case, the feature that is returned should satisfy all the remaining properties.

Given these properties, if  $N_{k-mers}$  represents the number of variable length k-mers generated using the sliding window approach, N<sub>f-mers</sub> represents the number of features generated by filtering the k-mers that occur at least twice, and  $N_{b-mers}$  represents the number of features generated using BWT, then  $N_{k-mers} \geq$  $N_{f-mers} \geq N_{b-mers}$ .

Example. Figure 1 shows the process of generating the features for a given input nucleotide sequence "acgtcgacgtttacg". The first step is to generate the BWT sequence associated with the given input sequence. We add a delimiter "\$" to mark the end of the sequence. We generate all possible rotations of the sequence. The rotations are sorted alphanumerically and the last column of the sorted rotations is bwt[]. We then look for contiguous occurrences of nucleotides, "x" in the BWT sequence and we observe two repetitions that have length at least 2, "aa" and "cccc". For "aa", indices start=7 and end=8. We then select the rotations at indices 7 and 8 and search for a common prefix between these two rotations. As can be seen, "cgt" is a common prefix  $(\gamma)$  for both sequences. We select "cgt"  $(\gamma)$  and add

\$acgtcgacgtttacg acgSacgtcgacgttt acgtcgacgtttacg acgtttacg\$acgtcg cg\$acgtcgacgttta cgacgtttacg\$acgt cgtcgacgtttacg\$<mark>a</mark> cgtttacg\$acgtcg<mark>a</mark> g\$acgtcgacgttta<mark>c</mark> gacgtttacg\$acgt etcgacgtttacg\$ac etttacg\$acgtcgac tacg\$acgtcgacgtt tcgacgtttacg\$ac ttacg\$acgtcgacg tttacg\$acgtcgacg



Figure 1: The process of generating features for a given input sequence "acgtcgacgtttacg". We generate all possible rotations of the input sequence and sort the rotations alpha numerically. The last column of the sorted rotations is the BWT of the input sequence. Based on the contiguous occurrences of characters (repetitions) in BWT sequence, we extract the features (*b*-mers).

"a" (x) as a prefix to it, resulting in the feature "acgt"  $(x+\gamma)$ . We repeat this process also for the second contiguous sequence, and extract the feature "cg". As a result, the *b*-mers of "acgtcgacgtttacg" are "acgt" (blocked) and "cg" (*italicized*).

**Comparison with** *k***-mers.** If we attempt to identify variable length k-mers that occur at least twice in a sequence using the sliding window approach, we will obtain the features "acgt", "cg", "cgt", "acg", "ac", "gt" and "tt". Thus, we have 7 features in the set of fmers, whereas only 2 features in the set of *b*-mers. We should note that the *b*-mers avoid most of the overlaps as opposed to *f*-mers.

#### **3.3** Overview of the Proposed Approach

In this section, we will summarize the details of the complete process of generating the BWT-based features and predicting the unseen test data. The input to the complete process is a set of training sequences (S[1..n]) along with their associated class labels (C[1..n]) (where each class label can take one of the c possible values), and also number of features to select. For each sequence S[i] (i  $\in \{1...n\}$ ), we construct an array of sorted rotations R, which is used to generate BWT features as described in Section 3.2. The features corresponding to each sequence are appended to the total list of features, "F", which aggregates features for the whole dataset. Next, we represent all the sequences using the set F of features, thereby generating instances in vectorial form. Specifically, an instance corresponding to a sequence is a vector of frequency counts for the features F in that sequence. We then apply a feature selection technique to select the most informative features (based

on the number of features provided as an input) from the total available pool of features. For feature selection, we used *Entropy based Category Coverage Difference* (ECCD) (Largeron et al., 2011). Finally, both training and test sequences are represented using only the selected features. A classifier is learned from the training instances, and it is then used to predict the test instances.

## 4 EXPERIMENTAL SETUP

In Section 4.1, we present the research questions addressed through our work. The set of experiments conducted are presented in Section 4.2 and the description of the datasets used in Section 4.3.

#### 4.1 **Research Questions**

Our experiments were motivated by the following research questions:

- How does the number of *b*-mers compare to the number of *k*-mers and *f*-mers?
- For a fixed number of features (selected using ECCD), which feature set performs the best?
- For which type of the base classifier, naive Bayes multinomial (NBM) or support vector machines (SVM), are *b*-mers more effective?
- When used for DNA and protein sequences, in which case are the *b*-mers more effective?

#### 4.2 Experiments

To answer the first question, we simply count the number of variable length k-mers, f-mers and b-mers derived from the datasets used in our study. Specifically, we consider features of length 1 to 8 in the case of DNA sequences, and of length 1 to 4 in the case of protein sequences.

To answer the second question, for each feature set, we vary the number of features to select from 25 to 3000 (specifically, 25, 50, 75, 100, 150, 250, 500, 1000, 1500, 2000, 2500, 3000) using the feature selection technique. For each number of features selected, we learn classifiers based on the three types of feature sets, respectively, and compare their performance on test data. We perform 5-fold cross validation. At each iteration of the cross-validation procedure, features are derived and selected based on the four training folds corresponding to that iteration. Next, classifiers are learned from the same four folds using the three types of representations, respectively. The performance is evaluated on the fifth fold. We use the area under the ROC curve (AUC) to measure the performance. Results over the five folds are then averaged. We trained both NBM and SVM classifiers using the available training data. For SVM, we used default Weka (Hall et al., 2009) parameters. Specifically, a linear kernel is used along with parameters C = 1 and  $\varepsilon = 1.0e^{-12}$  (no tuning is performed).

By performing experiments with both NBM and SVM classifiers, we can compare their results and thus answer the third question. Furthermore, by performing experiments with both DNA and protein datasets, we can analyze the results to understand for which type of data, the *b*-mers representation is more suitable, and thus answer the fourth question.

## 4.3 Datasets

We conducted experiments on both DNA and protein datasets. For DNA, we used the alternative splicing datasets of C. elegans (referred as CEdata) (Rätsch et al., 2005), and a similar dataset constructed in our lab based on mRNA to DNA alignments available through ALEXA (Griffith et al., 2008) for D. melanogaster (referred to as DMdata). The C. elegans dataset consists of 3018 sequences belonging to one of two classes: alternatively spliced (487) and constitutive (2531) exons. The D. melanogaster dataset consists of 1410 sequences labeled as either alternatively spliced (164) or constitutive (1246) exons. For protein sequences, we used PSORTdbv.2.0 Gram-negative and Gram-positive protein sequences (Gardy et al., 2005), for which the location information is experimentally verified. The gram-negative dataset consists of 1444 sequences belonging to one of five classes: cytoplasm (278), cytoplasmic membrane (309), periplasm (276), outer membrane (391) and extracellular (190). The gram-positive dataset consists of 541 sequences belonging to one of four classes: Cytoplasmic (194), CytoplasmicMembrane (103), Cellwall (61) and Extracellular (183). In the case of multi-class classification using SVM classifier, we use the one vs one strategy available in Weka. Specifically, a classifier is learned for each pair of classes. For a test instance, the class is predicted using all pairwise classifiers. The class that is most often assigned to the instance will be assigned as final class to that instance (max-wins strategy).

#### 5 RESULTS

We perform the experiments described in Section 4.2 and report results in this section.

Table 1: Comparison of the number of features generated using k-mers, f-mers and b-mers for the four datasets used, averaged over 5 folds.

Dataset	k-mers	f-mers	<i>b</i> -mers
CEdata	82229	64541	5049
DMdata	80740	11941	1954
Gram-negative	113657	7195	928
Gram-positive	75896	7623	1034

#### 5.1 Feature Space Size

Table 1 presents the number of features generated using all three techniques (k-mers, f-mers, b-mers), averaged over five folds. We notice that the number of features in the set of k-mers is greater than the number of features in the set of f-mers, which is much greater than the number of features in the set of b-mers. Therefore, using k-mers and f-mers will increase the running time of feature selection techniques by a large extent.

# 5.2 Variation of Performance with the Number of Features

Figure 2 plots the AUC values of the SVM (labeled (a) in the graph) and NBM (labeled (b)) classifiers learned using different sets and numbers of features. Each column of the graphs in Figure 2 corresponds to one of the four datasets. Each curve in a graph corresponds to one of the three feature sets (*b*-mers, *k*-mers and *f*-mers), respectively. Given the large number of features, we represent them on the log scale.

As can be seen in Figure 2, *b*-mers outperform kmers and f-mers in about 85% of the cases when used with the SVM classifier and in about 63% of the cases when used with NBM, suggesting that *b*-mers give better results consistently, regardless of the classifier used. For a relatively small number of features (25 to 500), *b*-mers result in better performance for most of the cases considered. However, for a larger number of features, k-mers and f-mers are slightly dominant in the case of NBM, while *b*-mers still give better performance with the SVM classifier. To understand why this is the case, we should first note that for small feature sets, the set of k-mers includes features that are the most informative for the class according to the feature selection criterion, many of these features being variants of each other (i.e., features with overlaps). As a consequence, for a small feature set, while the most informative features will be included, not many of the informative features will be included (in the sense that the variations of an informative feature cover much of the set and other informative features don't make it into that list). As opposed to that, the set of *b*-mers

consists of more informative features (as some variants may be excluded). This is probably why the set of *b*-mers result in better performance for smaller size feature sets. When the size of the feature set is large, many (possibly most) informative features will be included in the *k*-mers set, together with their variants. However, some informative features or their variants may not be included in the *b*-mers set, and thus the performance of *b*-mers is not always better than that of *k*-mers.

## 5.3 SVM versus NBM Classifier

As discussed earlier in Section 5.2, the SVM classifier with *b*-mers outperforms the SVM with *k*-mers and *f*-mers in about 85% of the cases, while that is the case in only 63% cases for the NBM classifier. This suggests that the SVM algorithm is able to make better use of *b*-mers as compared to NBM.

#### 5.4 DNA versus Protein Sequences

We performed t-tests to evaluate the significance of the differences observed when comparing *b*-mers, *k*mers and *f*-mers (results not shown due to space constraints). Given that SVM gave better results, we performed t-tests for the SVM results only.

According to the t-tests, differences are statistically significant (p-value≤0.05) mostly in the case of protein sequences, but not so much in the case of DNA sequences. For example, when comparing bmers and f-mers using the SVM classifier, b-mers are significantly better in 20 out of 24 cases, while for DNA sequences, they are better in 3 out of 24 cases (corresponding to the 12 feature set sizes for two DNA/protein datasets). We speculate that the main reason for this behavior stems from the fact that the size of the protein alphabet is larger than the size of the DNA alphabet, and consequently the features have smaller length for protein sequences as compared to DNA sequences. Given the longer DNA features, it is very possible that variations of a feature (obtained by considering mismatches), which are equally important with respect to class, are not all captured by the BWT-based approach. In other words, it is not very probable that a sequence of length say 8 is repeated at least twice in a sequence, while it might be repeated several times when a small number of mismatches is allowed. As opposed to that, in the case of shorter protein features, say length 3, there is a better chance that a feature is repeated several times in a sequence, without any mismatches, which is captured better by BWT-based approach.

Using the t-tests results, we have also observed



Figure 2: Variation of the performance (AUC values) with the number of features (shown on a log scale) for SVM (a) and NBM (b) classifiers.

that the differences between b-mers and f-mers are more significant than those between *b*-mers and *k*mers. In other words, b-mers result in better performance as compared to *f*-mers in more cases than when we compare them with k-mers. A possible explanation for this is that the filtering criterion that we use removes some of the informative features (from *b*-mers and *f*-mers sets), while they are present in the k-mers set. But given that overall the BWT approach manages to retain more informative features that don't overlap much, as opposed to the filtering approach where more overlapping features are present, for the same number of features, the *b*-mers set is generally better than the *f*-mers set. However, it is not always better than the k-mers set, especially for larger features sets, as the k-mers set might include informative features that are not retained in the set of *b*-mers.

## 6 CONCLUSIONS AND FUTURE WORK

#### 6.1 Conclusions

We presented an approach for generating features for sequence classification problems using Burrows Wheeler Transformation (BWT). This approach can be seen as a dimensionality reduction technique, as the features obtained through BWT represent a subset of the set of k-mers, generated using a sliding window-based approach. To the best of our knowledge, Burrows Wheeler Transformation has never been used to generate features that are further used to classify biological sequences. The results of our experiments on both DNA and protein datasets show that this attempt of using BWT to generate features reduces the size of the input feature space, while retaining many of the informative features (especially in the case of protein sequences, where informative features are short and appear more frequently throughout the sequence). Feature selection techniques, applied on *b*-mers are faster and give better results as compared to feature selection techniques applied directly on *k*-mers. Given all the advantages of the BWTbased features, we conclude that the BWT approach can be seen as a powerful tool for generating an initial pool of features for sequence classification problems.

#### 6.2 Future Work

First, given the unsupervised nature of the BWT approach for generating a reduced set of features, it would be interesting to investigate the performance of the features generated using BWT in domain adaptation, semi-supervised and transductive settings.

Second, as BWT approach generates mostly nonoverlapping features and could miss informative feature variations, we would like to investigate the use of a variant of the BWT approach, where we include features with mismatches. By allowing certain mismatches, we expect an increase in the performance of the classifiers (learned using BWT-based features) especially for DNA sequence classification problems.

Furthermore, a comparison of the BWT-based features with *k*-mers that are grouped together into "motifs" based on overlaps, as well as with other dimensionality reduction techniques would be another interesting direction for future work.

Given that the best results were obtained with the SVM algorithm, with default parameters, it would be

interesting to explore different kernels for SVM, and perform tuning for different sets of parameters, in order to further improve the performance.

Another interesting direction could be to analyze the performance of features generated using BWT for big data, with various feature selection techniques, in addition to the ECCD technique used in this paper.

#### ACKNOWLEDGEMENTS

We would like to thank Ana Stanescu in the Department of Computing and Information Sciences at Kansas State University for making the *D. melanogaster* data available for this study. We would also like to acknowledge Dr. Adrian Silvescu for insightful discussions regarding the BWT transform, and Dr. Torben Amtoft for useful discussions regarding time and space complexity of the algorithms studied in this paper.

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