

# Schedule Two-machine Flow-shop with Controllable Processing Times Using Tabu-search

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Abstract: A two-machine flow-shop scheduling problem with controllable processing times modeled by a non-linear convex resource consumption function is considered in this paper. The objective is to minimize the resource consumption that is needed to control the makespan not to exceed the given deadline. The problem is proved to be strongly  $\mathcal{NP}$ -hard. An optimal resource allocation algorithm is designed to calculate the optimal processing times of the jobs, while the optimal or near-optimal processing sequence of the jobs is determined by a heuristic or a tabu-search algorithm.

## 1 INTRODUCTION

In this paper, we consider a two-machine flow-shop scheduling problem with controllable job-processing times. The problem is stated as follows: A set of jobs  $J = \{1, 2, \dots, n\}$  are to be processed in a two-machine flow-shop. Each job  $j$  contains two operations,  $O_{1,j}$  and  $O_{2,j}$ , processed on machine 1 and machine 2 with preemption not allowed. The processing time of each operation is a non-linear convex decreasing function with respect to the allocated resource, described as follows:

$$p_{i,j}(u_{i,j}) = \left( \frac{\omega_{i,j}}{u_{i,j}} \right)^k, \quad p_{i,j} > 0 \quad (1)$$

$$i = 1, 2$$

$$j = 1, 2, \dots, n$$

where  $\omega_{i,j}$  is the processing parameter (a positive value which represents the workload of the operations),  $u_{i,j}$  is the amount of the resource allocated to operation  $O_{i,j}$  and  $k$  is a constant positive parameter. The objective is to determine the processing sequence of the jobs, and the processing time of the operations, such that the makespan will not exceed the given deadline  $K$ , while the total resource consumption is minimized. Because processing times can be extended arbitrarily, in the optimal schedule operations must be processed continually without idle time between them. Therefore, the problem can be formulated as follows:

$$(P)$$

$$\min \quad U = \sum_{i=1}^2 \sum_{j=1}^n u_{i,j}$$

$$\text{s.t.} \quad p_{1,\sigma(1)} + \sum_{j=1}^n p_{2,\sigma(j)} \leq K$$

$$\sum_{l=2}^j p_{1,\sigma(l)} \leq \sum_{l=1}^{j-1} p_{2,\sigma(l)} \quad j = 2, 3, \dots, n$$

$$p_{i,j} = \left( \frac{\omega_{i,j}}{u_{i,j}} \right)^k, u_{i,j} > 0 \quad i = 1, 2$$

$$j = 1, 2, \dots, n$$

where  $\sigma = \{\sigma(1), \sigma(2), \dots, \sigma(n)\}$  is the processing sequence of the jobs. Using the three field problem classification introduced by Graham (Graham et al., 1979) and extended by Shabtay (Shabtay and Steiner, 2007), the problem can be denoted as  $F_2 | conv, C_{\max} \leq K | \sum_{i=1}^2 \sum_{j=1}^n u_{i,j}$ .

Scheduling problems with controllable processing times have been on literature for nearly 30 years. In most early work (e.g. Janiak (Janiak, 1989), (Janiak, 1986), (Janiak, 1998), (Janiak et al., 2007), (Nowicki, 1993) and Biskup (D. Biskup, 2001), etc.), it is supposed that processing times are linear decreasing functions with respect to resource consumption. Shabtay (Shabtay and Steiner, 2007) pointed out this assumption does not obey the law of diminishing marginal returns, which states that productivity increases to the amount of resource at a decreasing rate. Therefore, the non-linear convex resource consump-

tion function formulated by Eq.2 is adopted. Monma et al.(Monma et al., 1990) pointed out this function has many applications in the actual government activities and industrial operations. A number of related research work has been on literature, including minimizing the makespan(M. Kaspi, 2006) on a single machine, parallel machine scheduling problems(Shabtay and Kaspi, 2006), and other scheduling problems as well. Xu et al.(Xu et al., 2010)(Xu and Feng, 2010) studied scheduling jobs with arbitrary release dates and due dates in a single machine. For a detailed description of the recent work in this field, reader may refer to the survey((Shabtay and Steiner, 2007)).

Two-machine flow-shop is a special case of the flow-shop scheduling problems. Nowicki and Zdrzalka(Nowicki, 1993) and Janiak(Janiak, 1998), (Janiak et al., 2007) studied a series of two machine flow-shop problems, where processing times of the operations are linearly related with the resource consumption. Shabtay et al.(Shabtay et al., 2007) studied a no-wait two-machine flow-shop problem, where processing times are convex functions of the resource consumption, and jobs are restricted that they cannot wait between the two machines. The problem is proved to be strongly  $\mathcal{NP}$ -hard, and two heuristic algorithms are designed to obtain near optimal solutions for large scaled problems. In this paper, the "no-wait" assumption is removed, but the problem is still strongly  $\mathcal{NP}$ -hard.

**Property 1.** Problem **P** is strongly  $\mathcal{NP}$ -hard.

*Proof.* Let the *less than or equal to* condition in the second set of the constraints replaced by *equal to*. Obviously, the new problem is a special case of problem **P**. Since the second operation of every job is restricted to start immediately after the first operation completes, the problem is thus degenerated to the "no-wait" two-machine flow-shop problem, which is proved to be strongly  $\mathcal{NP}$ -hard. Therefore, problem **P** is also strongly  $\mathcal{NP}$ -hard.  $\square$

In order to solve the problem, a two-step strategy is adopted. In the first step, a tabu-search or a heuristic algorithm searches for the optimal or near optimal job-processing sequence of the problem. In the second step, when a processing sequence is adopted, the processing times as well as the resource consumption of the operations are calculated by a polynomial time resource allocation algorithm. By the cooperation of the two steps, an optimal or near optimal solution can be obtained within acceptable computing time.

The rest of this paper is organized as follows. In Section 2, the optimal resource allocation algorithm

is presented. In Section 3 and Section 4, a heuristic algorithm and a tabu-search algorithm for the job-processing sequence are presented. In Section 5, a set of numerical experiments are conducted to test the performance of the scheduling algorithms. Finally, a conclusion is presented in Section 6.

## 2 OPTIMAL RESOURCE ALLOCATION

Consider in this section the problem of optimally allocating resource to operations under an arbitrary job-processing sequence  $\sigma$ . The problem is first decomposed into a set of sub-problems solved by the equivalent workload method. After that, a dynamic program method is applied to search for the optimal solution among them. For convenience, it is assumed that  $\sigma = \{\sigma(1), \sigma(2), \dots, \sigma(n)\} = \{1, 2, \dots, n\}$ .

According to the equivalent workload method defined by Monma et al.(Monma et al., 1990), when  $n$  operations are to be processed in serial with makespan no larger than deadline  $K$ , the optimal solution is

$$\begin{cases} p_j = \frac{\omega_j^{\frac{k}{k+1}}}{\sum_{i=1}^n \omega_i^{\frac{k}{k+1}}} K & j = 1, 2, \dots, n \\ u_j = \omega_j^{\frac{k}{k+1}} \left( \sum_{i=1}^n \omega_i^{\frac{k}{k+1}} \right)^{\frac{1}{k}} K^{-\frac{1}{k}} & j = 1, 2, \dots, n \\ U = \left( \sum_{j=1}^n \omega_j^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}} K^{-\frac{1}{k}} \end{cases} \quad (2)$$

and operations can be regarded as a single one with equivalent workload  $\Omega_s = \left( \sum_{i=1}^n \omega_i^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}}$ . When  $n$  operations are to be processed in parallel, the optimal solution is

$$\begin{cases} p_j = K & j = 1, 2, \dots, n \\ u_j = \omega_j K^{-\frac{1}{k}} & j = 1, 2, \dots, n \\ U = \sum_{i=1}^n \omega_i K^{-\frac{1}{k}} \end{cases} \quad (3)$$

and operations can also be regarded as a single operation with equivalent workload  $\Omega_p = \sum_{i=1}^n \omega_i$ .

Consider the two-machine flow-shop resource allocation problem. In a schedule, precedence constraints can be classified into two types. The first type is *critical* precedence constraint, which occurs when the second operation of a job starts immediately after its predecessor completes. Other precedence constraints are *slack* constraints, because they have no influence to the resource allocation when they are relaxed.

In an optimal schedule, the precedence constraints of job 1 and job  $n$  are always critical. Beside them,

there are usually other critical precedence constraints, which divide operations on machine 1 and machine 2 into sections  $\Lambda = \{\Lambda_1, \Lambda_2, \dots, \Lambda_m\}$ , shown by Fig.1. For example, let  $\Lambda_h$  be a section with operations  $O_{1,i+1}, O_{1,i+2}, \dots, O_{1,j}$  processed on machine 1, and operations  $O_{2,i}, O_{2,i+1}, \dots, O_{2,j-1}$  processed on machine 2. Inside the section, since slack precedence constraints have no influence to the resource allocation, it can be calculated by solving the following problem:

(P1)

$$\begin{aligned} \min \quad & U = \sum_{l=i+1}^j u_{1,l} + \sum_{l=i}^{j-1} u_{2,l} \\ \text{s.t.} \quad & \sum_{l=i+1}^j p_{1,l} \leq p_{\Lambda_h}, \quad p_{1,l} > 0 \\ & \sum_{l=i}^{j-1} p_{2,l} \leq p_{\Lambda_h}, \quad p_{2,l} > 0 \end{aligned}$$

where  $p_{\Lambda_h}$  is the total processing time of section  $\Lambda_h$ , and is not known yet.

According to the equivalent workload method, operations within  $\Lambda_h$  can be regarded as a single operation with workload

$$\Omega_{\Lambda_h} = \Omega_{1,\Lambda_h} + \Omega_{2,\Lambda_h} \quad (4)$$

$$\Omega_{1,\Lambda_h} = \left( \sum_{l=i+1}^j \omega_{1,l}^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}} \quad (5)$$

$$\Omega_{2,\Lambda_h} = \left( \sum_{l=i}^{j-1} \omega_{2,l}^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}} \quad (6)$$

Because sections in  $\Lambda$  are in serial, their equivalent workload equals

$$\Omega_{\Lambda} = \left( \Omega_{\Lambda_1}^{\frac{k}{k+1}} + \dots + \Omega_{\Lambda_m}^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}} \quad (7)$$

and the equivalent workload of all the jobs is

$$\Omega_{\sigma} = \left( \omega_{1,1}^{\frac{k}{k+1}} + \Omega_{\Lambda}^{\frac{k}{k+1}} + \omega_{2,n}^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}} \quad (8)$$

So given the deadline  $K$ , the processing time of the operations  $O_{1,1}$  and operation  $O_{2,n}$  are

$$p_{1,1} = \left( \frac{\omega_{1,1}}{\Omega_{\sigma}} \right)^{\frac{k}{k+1}} K \quad (9)$$

$$p_{2,n} = \left( \frac{\omega_{2,n}}{\Omega_{\sigma}} \right)^{\frac{k}{k+1}} K \quad (10)$$

while the total processing time of each section is

$$p_{\Lambda_h} = \left( \frac{\Omega_{\Lambda_h}}{\Omega_{\sigma}} \right)^{\frac{k}{k+1}} K \quad (11)$$

and the processing time of the operations in section  $\Lambda_h$  is

$$\begin{aligned} p_{1,l} &= \left( \frac{\omega_{1,l}}{\Omega_{1,\Lambda_h}} \right)^{\frac{k}{k+1}} p_{\Lambda_h} \\ &= \left( \frac{\omega_{1,l} \Omega_{\Lambda_h}}{\Omega_{1,\Lambda_h} \Omega_{\sigma}} \right)^{\frac{k}{k+1}} K, \quad i+1 \leq l \leq j \end{aligned} \quad (12)$$

$$\begin{aligned} p_{2,l} &= \left( \frac{\omega_{2,l}}{\Omega_{2,\Lambda_h}} \right)^{\frac{k}{k+1}} p_{\Lambda_h} \\ &= \left( \frac{\omega_{2,l} \Omega_{\Lambda_h}}{\Omega_{2,\Lambda_h} \Omega_{\sigma}} \right)^{\frac{k}{k+1}} K, \quad i \leq l \leq j-1 \end{aligned} \quad (13)$$

Consider dividing operations into sections to yield the optimal solution. The problem need be transformed into a directed graph  $G = (V, A)$  as follows: Let nodes  $V = (v_1, v_2, \dots, v_n)$  represent precedence constraints of the jobs. Draw arcs between every pair of nodes, where each arc represents a section of operations. For example, arc  $A_{i,j}$  between node  $v_i$  and  $v_j$  represents the section that contains operations  $O_{1,i+1}, O_{1,i+2} \dots O_{1,j}$  and  $O_{2,i}, O_{2,i+1} \dots O_{2,j-1}$ . Assume the total processing time of the section is  $T$ , and calculate the processing time of the operations by solving problem P2. Because precedence constraints are relaxed in P1, the solution may not be feasible. Therefore, it is necessary to check whether or not the following condition is satisfied

$$\sum_{r=i+1}^l p_{1,r} \leq \sum_{r=i}^{l-1} p_{2,r}, \quad \forall l = i+1, i+2, \dots, j-1 \quad (14)$$

If the check fails, the section represented by  $A_{i,j}$  is infeasible, and  $A_{i,j}$  will be removed from the graph. If the check succeeds, the arc will be kept on the graph, and the equivalent workload of the section, denoted as  $\Omega_{i,j}$ , will be calculated and marked as the length of the arc.

After all the feasible arcs are enumerated, and their length calculated, a graph as Fig.2 shows is formed. On the graph, each path from node 1 to node  $n$  represents a feasible solution to the resource allocation problem, and the length of the path equals the equivalent workload of the sections on the path. Therefore, the optimal resource allocation problem is transformed to searching for the shortest path on the graph. Since the graph is acyclic, the path can be found by a simple dynamic programming method as follows:

Let  $\Omega_j$  represent the minimum equivalent workload of the operations  $O_{1,2}, \dots, O_{1,j+1}$  and operations  $O_{2,1}, \dots, O_{2,j}$ . Let  $\mathcal{A}_j$  be the set of the feasible arcs that sink to node  $v_j$ .  $\Omega_j$  as well as  $\Omega_{\Lambda}$  can be calcu-

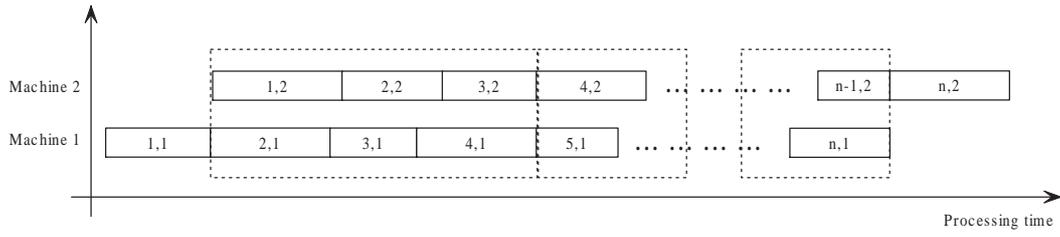


Figure 1: Operations on two machines are divided into a series of sections.

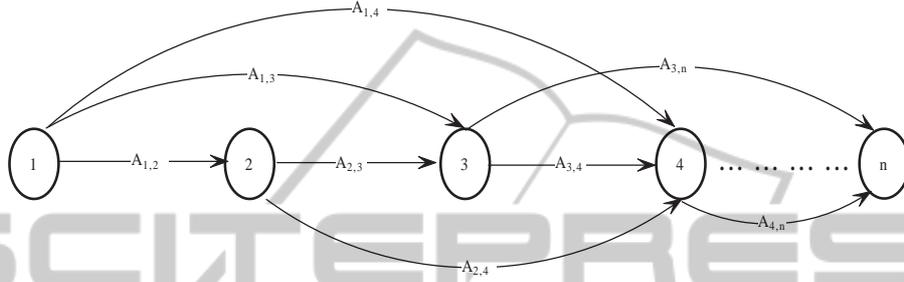


Figure 2: The directed graph with feasible arcs.

lated recursively as follows:

$$\begin{cases} \Omega_1 = 0 \\ \Omega_j = \min_{A_{i,j} \in \mathcal{A}_j} \left( \Omega_i^{\frac{k}{k+1}} + \Omega_{i,j}^{\frac{k}{k+1}} \right)^{\frac{k+1}{k}} \\ \Omega_n = \Omega_n \end{cases} \quad (15)$$

Obviously, the shortest path can be found by tracing back from node  $n$  to node 1, so the resource allocation and processing times of the operations can be calculated according to Eq.9-13.

Based on the above discussion, the optimal resource allocation algorithm can be formally stated in the follows:

#### Algorithm 1. Optimal Resource Allocation

1. For a problem with  $n$  jobs, list node  $1, 2, \dots, n$  for the directed graph;
2. For each  $i = 1, 2, \dots, n-1$ , generate sections  $\Lambda_{i,j}$  for  $j = i+1, i+2, \dots, n$ . Calculate the resource allocation of the operations in each section, and check their feasibility. If section  $\Lambda_{i,j}$  is feasible, draw an arc from node  $i$  to node  $j$ , let the equivalent workload of the section,  $\Omega_{i,j}$ , be the length of the arc;
3. For each  $j = 1, 2, \dots, n$ , calculate  $\Omega_j$  using recursive equations Eq.15;
4. Search for the shortest path from node 1 to node  $n$ . Calculate processing time of the operations according to Eq.9-Eq.13.

### 3 HEURISTIC ALGORITHM

SPT-LPT rule minimizes the makespan for the two-machine flow-shop problem with constant processing times. According to this rule, jobs are partitioned into two sets: Set I contains jobs with  $p_{1,j} \leq p_{2,j}$ , Set II jobs with  $p_{1,j} > p_{2,j}$ . Jobs in Set I are sorted by  $p_{1,j}$  according to SPT (Shortest Processing Time first) rule, and are scheduled first. Jobs in Set II are sorted by  $p_{2,j}$  according to LPT (Longest Processing Time first) rule, and are scheduled afterward. Based on this rule, a heuristic algorithm for the two-machine flow-shop problem with controllable processing times is presented as follows:

#### Algorithm 2. Heuristic Algorithm

- Step 1. Select the job whose first operation has the smallest workload. When there are more than one such jobs, select among them the one whose second operation has the largest workload. Let the job be processed as the first one, and denote it as  $\sigma(1)$ ;
- Step 2. Select among left jobs the one whose second operation has the smallest workload. When there are more than one such jobs, select among them the one whose first operation has the largest workload. Let the job be processed as the last one, and denote it as  $\sigma(n)$ ;
- Step 3. Calculate processing times of the operations by solving following relaxed problem:

(P2)

$$\begin{aligned} \min \quad & U = \sum_{j \in \mathcal{J}/\sigma(1)} u_{1,j} + \sum_{j \in \mathcal{J}/\sigma(n)} u_{2,j} \\ \text{s.t.} \quad & \sum_{j \in \mathcal{J}/\sigma(1)} p_{1,j} \leq 1 \\ & \sum_{j \in \mathcal{J}/\sigma(n)} p_{2,j} \leq 1 \\ & p_{i,j} = \left( \frac{\omega_{i,j}}{u_{i,j}} \right)^k, \quad u_{i,j} > 0 \\ & \quad \quad \quad i = 1, 2 \\ & \quad \quad \quad j = 1, 2, \dots, n \end{aligned}$$

Step 4. Sort and classify unscheduled jobs by the SPT-LPT rule. Let jobs in Set I be processed after job  $\sigma(1)$ , and jobs in set II be processed before job  $\sigma(n)$ ;

Step 5. Calculate the optimal resource allocation of the operations.

Because the problem we study is strongly  $\mathcal{NP}$ -hard, a heuristic algorithm cannot guarantee the optimality. Therefore, a tabu-search algorithm is designed to further improve the heuristic solution.

## 4 TABU-SEARCH ALGORITHM

Tabu-search is essentially a meta-heuristic method that guides a heuristic local search procedure to explore the solution space beyond local optimality (Glover, 1989). Tabu-search features *responsive exploration* and *adaptive memory*. Responsive exploration allows detailed analysis to be performed on the scheduling result at each iteration, while adaptive memory prevents the searching procedure trapped in the local optimality by recording the recent behavior of the searching procedure. By the incorporation of these two features, tabu-search guarantees intelligent problem solving. As a result, a large number of successful tabu-search algorithms for scheduling problems can be found in literature. For example, Bilge *et al.* (Bilge et al., 2004) presented a tabu-search algorithm for parallel machine scheduling with total tardiness, Xu *et al.* (Xu et al., 2010) solved a single machine scheduling problem with controllable job-processing times and arbitrary release dates and due dates.

### 4.1 The Objective Function

The scope of this paper is to minimize the resource consumption that is needed to control the makespan

within deadline  $K$ . Since resource consumption is directly related to the equivalent workload of the job-processing sequences, it is more convenient to evaluate scheduling results by their equivalent workload. Therefore, equivalent workload of the job-processing sequences, rather than their actual resource consumption, is adopted as the objective function of the tabu-search algorithm.

### 4.2 The Initial Solution

Local search algorithms, such as simulated annealing and tabu-search, always start from an initial solution that is normally generated by a heuristic algorithm. For our problem, the initial solution is obtained by the heuristic algorithm presented in Section 3. Because the problem is strongly  $\mathcal{NP}$ -hard, the tabu-search algorithm need be applied to further improve the solution.

### 4.3 Generate Neighboring Schedules

Insert move and swap move are two frequently used moves that generate neighboring schedules by modifying the current schedule. An insert move takes a job away from its current processing position, and inserts it to another position. A swap move, on the other hand, exchanges the processing sequence of two adjacent jobs. Since jobs are all processed in serial in the flow-shop, a swap move can always be replaced by an insert move. Therefore, only insert moves will be performed in the tabu-search algorithm. Because jobs are processed without precedence constraints, they can be processed on any processing position. Therefore, neighboring schedules are generated by inserting jobs to every processing position.

### 4.4 The Tabu Mechanism

Tabu mechanism helps the searching procedure avoid becoming trapped in the cycle state of the local minimum. Typically, a list of mutations, which the procedure is not allow to make, is kept at any stage of the searching. Every time a mutation is made in the current schedule, the *reversed* mutation is entered at the top of tabu-list, while all other entries are pushed down one position and the bottom entry is deleted.

For this problem, the tabu-list is made up of entries of the form  $\{i, j\}$ , where  $j$  is the job that moves, and  $i$  is its direct predecessor before the move. Any neighboring schedule that contains the pair of adjacent jobs  $\{i, j\}$ , unless it is better than the best schedule obtained so far, will be forbidden. In this way, the tabu-mechanism prohibits a recently moved job

$j$  from becoming the direct successor of job  $i$  again within the tabu durance.

At the beginning of the searching procedure, it is usually not difficult to jump off the local minimum. However, as the solution improves, the local minimum space grows larger, making it much more difficult to avoid. Therefore, if the solution keeps neither improved nor degenerated after a number of iterations, the searching procedure must be trapped in a wide local minimum space. In this case, the objective function value of the selected schedule will be pushed into the tabu-list, and neighboring schedules with the same value will be tabued in the following iterations. In this way, the searching procedure can be forced to move away from the local minimum more effectively. If an improvement is achieved, this strategy will be canceled, until next time the searching procedure is once again trapped in a wide local minimum space.

#### 4.5 The Searching Procedure

Based on the above discussion, the tabu-search algorithm that searches for the optimal or near optimal job-processing sequence is presented in the follows. There are two input parameters that needs to be specified by the user:

- **TabuDepth:** The depth of the tabu-list, which is normally set between  $[6, 10]$ . Experience shows difference TabuDepth values normally has no significant influence to the scheduling result.
- **TabuStop:** The searching procedure stops if the solution cannot be further improved after TabuStop times of iterations.

Below is the tabu-search algorithm:

##### Algorithm 3. The Tabu-search Algorithm

- Step 1. Generate initial solution using Algorithm 2. Let  $IterCnt = 0$ .
- Step 2. For each job  $j = 1, 2, \dots, n$ , generate neighboring schedules by inserting job  $j$  to every possible processing position. Calculate the equivalent workload of the neighboring schedules, and sort them by the equivalent workload in ascending order.
- Step 3. Take the first neighboring schedule from the candidate list. If the equivalent workload of the schedule is smaller than that of the best schedule obtained so far, or the schedule is not tabued, then replace the current schedule by the selected neighboring schedule. Otherwise, discard the schedule, and repeat Step 3.
- Step 4. If the current schedule is improved, let  $IterCnt = 0$ ; Otherwise, let  $IterCnt =$

$IterCnt + 1$ . If  $IterCnt < IterStop$ , then go to Step 2; Otherwise, let the best schedule be the scheduling result and exit.

One significant advantage of the tabu-search algorithm is its easy implementation of parallel computing. For our problem, neighboring schedules are generated for every job independently, therefore, it is possible to decompose the neighborhood generation procedure into  $n$  sub-procedures, and perform them in parallel. In this way, in a multi-core computer with  $m$  independent threads, the computing speed can be improved, roughly speaking,  $m$  times.

## 5 COMPUTATIONAL EXPERIMENT

In order to evaluate the performance of the scheduling algorithm presented in this paper, a set of computational experiments were conducted in this section. The experiments were performed on a personal computer with an Intel i7-2600 CPU that features 4 cores and 8 independent threads. The algorithm was implemented in C++ and was capable for parallel computing, such that the computing power of the computer can be fully employed. All the problem instances were generated at random, where the workload  $\omega_{j,1}$  and  $\omega_{j,2}$  obey the discrete uniform distribution between  $[10, 100]$ . Problem instances are organized in groups, each group contains 50 instances that have the same number of the jobs. Throughout the experiment, the input parameters of the tabu-search algorithm were fixed to be TabuDepth = 8 and TabuStop = 30. According to the discussion in Section 2 and 3, equivalent workload can be calculated for every job-processing sequence, which decides the resource consumption directly. Therefore, in the experiment solutions were evaluated by their equivalent workload, rather than their actual resource consumption.

The experiment was performed in two steps: First, it was carried out on small-scaled problem instances, such that the the scheduling results can be compared with the optimal solutions obtained by an enumerative searching procedure; Second, the experiment was carried out on large-scaled problem instances to test the efficiency of the searching procedure.

### 5.1 Experiment for Solution Quality

In this part, a simple enumerative searching procedure was implemented to obtain optimal solutions for every problem instance. Because the problem

is strongly  $\mathcal{NP}$ -hard, only small-scaled problem instances can be solved by this procedure. Based on the optimal solution, the quality of the scheduling results is evaluated by calculating their relative difference from the optimal solutions using the following equation:

$$RD = \frac{\Omega_{\sigma}}{\Omega_{\sigma^*}} * 100\% \quad (16)$$

where  $\sigma^*$  is the optimal job-processing sequence,  $\sigma$  is the processing sequence obtained by the scheduling algorithm. The experimental results are listed in Table 1, which contains following columns:

- $n$ : The number of the jobs in each problem instance. Because only the optimal solution of small-scaled problem instances can be obtained by the enumerative algorithm within acceptable computing time, we have  $n = 10, 11, 12$  in the test.
- $k$ : The coefficient of the resource consumption function. Three typical values,  $k = 0.5, 1, 2$ , are tested.
- Heuristic: The tabu-search procedure starts from the initial solutions generated by the heuristic algorithm (Algo.2), so the quality of the heuristic solutions are also evaluated in the table. For each group of problem instances, the average and maximum relative difference from the optimal solutions are listed in the table.
- Tabu-search: The quality of the solutions obtained by the tabu-search algorithm. They are evaluated in the same way as heuristic solutions.

It can be seen from the experimental result, that the heuristic solutions are normally already close to the optimum. Starting from the initial solutions, that tabu-search algorithm is able to find optimal solution for most of the problem instances. For instances that the algorithm fails to achieve optimum, the scheduling results are also very close to the optimal solution. Therefore, the algorithm presented in this paper is capable for generating optimal or near-optimal solutions for the scheduling problem.

## 5.2 Experiment for Large-scaled Problems

In this part, a set of computational experiments were carried out to evaluate the capability of the algorithm solving large-scaled problems. Unless  $\mathcal{P} = \mathcal{NP}$ , it is impossible to obtain optimal solutions within acceptable computing time for such problems. Therefore, the scheduling results are evaluated by how much improvement the tabu-search algorithm achieves from

the initial heuristic solutions, which is calculated as follows:

$$RD = \frac{\Omega_{\sigma_0}}{\Omega_{\sigma}} * 100\% \quad (17)$$

where  $\sigma_0$  is the initial processing sequence generated by the heuristic algorithm, and  $\sigma$  that by the tabu-search algorithm. The experimental results are listed in Table 2, which contains following columns:

- $n, k$ : The same as those in Table 1.
- Solution: The quality of the scheduling results. For each group of problem instances, it is evaluated by the average relative difference (AvgRD), minimum relative difference (MinRD) and maximum relative difference (MaxRD) between the tabu-search and heuristic solutions.
- Computing time: The computing time that the searching procedure consumes to obtain the scheduling results. It is evaluated by the average computing time (AvgTime), maximum computing time (MaxTime) and minimum computing time (MinTime).

According to the experimental results, the tabu-search algorithm is able to solve almost all the problem instances with 150 jobs, and a large number of instances with 200 jobs within one hour. It can also be seen, that the average computing time of the tabu-search algorithm grows polynomially as the number of the jobs grows. Therefore, for even larger problem instances, the computing can easily be controlled within acceptable time by using more powerful computer with more CPUs.

## 6 CONCLUSION

A two-machine flow-shop scheduling problem is studied in this paper, where the processing times of the operations can be compressed and controlled by allocating extra resource to them. The relationship between resource consumption and processing time is described by a non-linear convex resource consumption function. The scope of the study is to determine the processing sequence of the jobs, and the processing times of the the operations, such that the makespan can be controlled not to exceed the given deadline  $K$ , while the total resource consumption could be minimized. The problem is proved to be strongly  $\mathcal{NP}$ -hard. An optimal resource allocation algorithm as well as a heuristic and a tabu-search algorithm are designed to solve the problem. Experiment shows, by the cooperation of the resource allocation and job sequencing algorithms, the optimal or near optimal solutions can be obtained within acceptable

Table 1: Experimental results for small-sized problems.

$n$	$k$	Heuristic		Tabu-search	
		AvgRD(%)	MaxRD(%)	AvgRD(%)	MaxRD(%)
10	0.5	100.350	102.100	100.001	100.015
	1.0	100.300	101.814	100.000	100.001
	2.0	100.131	101.137	100.000	100.003
11	0.5	100.566	102.984	100.003	100.086
	1.0	100.333	101.576	100.003	100.004
	2.0	100.158	101.145	100.000	100.004
12	0.5	100.507	101.528	100.000	100.002
	1.0	100.431	101.370	100.000	100.002
	2.0	100.194	101.038	100.000	100.016

Table 2: Experimental results for large-sized problems.

$n$	$k$	Solution			Computing time		
		AvgRD(%)	MinRD(%)	MaxRD(%)	AvgTime(s)	MinTime(s)	MaxTime(s)
100	0.5	103.087	100.600	104.075	535	147	917
	1.0	103.704	100.756	106.219	549	191	1492
	2.0	102.133	101.165	103.097	418	174	783
150	0.5	103.501	101.974	105.519	1537	526	4303
	1.0	102.833	101.764	103.797	1792	555	3135
	2.0	101.914	101.534	102.447	1813	713	3577
200	0.5	103.498	102.813	104.457	3869	1275	7264
	1.0	102.444	101.964	102.861	4043	1529	10081
	2.0	101.587	101.223	101.987	3421	1479	7706

computing time for medium- and large-scaled problems.

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