

# Machine Reading of Biological Texts

## *Bacteria-Biotope Extraction*

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**Keywords:** Natural Language Processing, Text Mining, Relation Extraction, BioNLP, Bioinformatics, Bacteria, Bacteria Biotopes.

**Abstract:** The tremendous amount of scientific literature available about bacteria and their biotopes underlines the need for efficient mechanisms to automatically extract this information. This paper presents a system to extract the bacteria and their habitats, as well as the relations between them. We investigate to what extent current techniques are suited for this task and test a variety of models in this regard. To detect entities in a biological text we use a linear chain Conditional Random Field (CRF). For the prediction of relations between the entities, a model based on logistic regression is built. Designing a system upon these techniques, we explore several improvements for both the generation and selection of good candidates. One contribution to this lies in the extended flexibility of our ontology mapper, allowing for a more advanced boundary detection. Furthermore, we discover value in the combination of several distinct candidate generation rules. Using these techniques, we show results that are significantly improving upon the state of art for the BioNLP Bacteria Biotopes task.

## 1 INTRODUCTION

A vast amount of scientific literature is available about bacteria biotopes and their properties (Bossy et al., 2013). Processing this literature can be very time-consuming for biologists, as efficient mechanisms to automatically extract information from these texts are still limited. Biologists need information about ecosystems where certain bacteria live in. Hence, having methods that rapidly summarize texts and list properties and relations of bacteria in a formal way becomes a necessity. Automatic normalization of the bacteria and biotope mentions in the text against certain ontologies facilitates extending the information in ontologies and databases of bacteria. Biologists can then easily query for specific properties or relations, e.g. which bacteria live in the gut of a human or in which habitat *Bifidobacterium Longum* lives.

The Bacteria Biotopes subtask (BB-Task) of the BioNLP Shared Task (ST) 2013 is the basis of this study. It is the third event in this series, following the same general outline and goals of the previous events (Nédellec et al., 2013). BioNLP-ST 2013 featured six event extraction tasks all related to “Knowledge base construction”. It attracted wide attention, as a total of 38 submissions from 22 teams were received.

The BB-Task consists of three subtasks. In the first subtask habitat entities need to be detected in a given biological text and the entities must be mapped onto a given ontology. The habitat entities vary from very specific concepts like ‘*formula fed infants*’ to very general concepts like ‘*human*’. The second subtask is focused on the extraction of two relations: a *Localization* and a *PartOf* relation. These relations need to be predicted between a given set of entities (bacteria, habitats and geographical locations). *Localization* relations occur between a bacterium and a habitat or geographical location, *PartOf* relations only occur between habitats. The third subtask is an extended combination of the two other subtasks: entities need to be detected in a text and relations between these entities need to be extracted. In this paper we focus on the first two subtasks.

We first describe related work done in context of the BioNLP-ST (Section 2). We then discuss our methodology for the two subtasks (Section 3). Next, we discuss our experiments and compare our results with the official submissions to BioNLP-ST 2013 (Section 4). We end with a conclusion (Section 5).

## 2 RELATED WORK

The BB-task along with the experimental dataset has been initiated for the first time in the BioNLP Shared Task 2011 (Bossy et al., 2011). Three systems were developed in 2011 and five systems for its extended version proposed in the 2013 shared task (Bossy et al., 2013). In 2011 the following systems participated in this task. TEES (Bjorne and Salakoski, 2011) was proposed by UTurku as a generic system which uses a multi-class Support Vector Machine classifier with linear kernel. It made use of Named Entity Recognition patterns and external resources for the BB model. The second system was JAIST (Nguyen and Tsuruoka, 2011), specifically designed for the BB-task. It uses CRFs for entity recognition and typing and classifiers for coreference resolution and event extraction. The third system was Bibliome (Ratkovic et al., 2011), also specifically designed for this task. This system is rule-based, and exploits patterns and domain lexical resources.

The three systems used different resources for Bacteria name detection which are the List of Prokaryotic Names with Standing in Nomenclature (LPNSN), names in the genomic BLAST page of NCBI and the NCBI Taxonomy, respectively. The Bibliome system was the winner for detecting the Bacteria names as well as for the coreference resolution and event extraction. The important factor in their outperformance was exploiting the resources and ontologies. They found useful matching patterns for the detection of entities, types and events. Using their manually drawn patterns and rules performed better than other task participant systems, in which learning models apply more general features.

In the 2013 edition of this task, the event extraction is defined in a similar way but an extension to the 2011 edition considered biotope normalization using a large ontology of biotopes called OntoBiotope. The task was proposed in three subtasks to which we pointed in Section 1. Five teams participated in these subtasks. In the first subtask all entities have to be predicted, even if they are not involved in any relation. The participated systems performed reasonably well. However, the difficulty of this task has been boundary detection.

The participating systems obtained a very low recall for the relation extraction even when the entities and their boundaries are given (subtask 2 and 3). The difficulty of the relation extraction is partially due to the high diversity of bacteria and locations. The many mentions of different bacteria and localization in the same paragraph makes it difficult to select the right links between them. The second difficulty lies in the

high frequency of anaphora. This makes the extraction of the relations beyond sentence level difficult. The strict results of the third task were very poor, due to struggling with the difficulties of both previous tasks i.e. boundary detection and link extraction.

For detecting entities (subtask 1), one submission (Bannour et al., 2013) worked with generated syntactical rules. Three other submissions (Claveau, 2013), (Karadeniz and Özgür, 2013) and (Grouin, 2013) used an approach similar to ours. They generated candidates in an initial phase from texts. These candidates were subsequently selected by trying to map them onto the ontology. Two submissions (Claveau, 2013) and (Karadeniz and Özgür, 2013) generated candidates by extracting noun phrases. One submission (Grouin, 2013) used a CRF model to generate candidates, as we do in this work. However, we test candidates more thoroughly and consider every continuous subspan of tokens in each candidate instead of just the candidate itself, which explains our improved results.

For the relation extraction with given entities (subtask 2), there were four submissions. One system from LIMSI (Grouin, 2013) relied solely on the fact that the relation was seen in the training set which fails to yield a reasonable accuracy. A second system BOUN (Karadeniz and Özgür, 2013) extracted relations using only simple rules, e.g. in a specific paragraph they created relations between all locations and the first bacterium in that paragraph. A third system IRISA (Claveau, 2013) used a nearest neighbor approach. Another system was TEES (Björne and Salakoski, 2013) (an improved version of the UTurku participation in 2011) which provided the best results. However, the results were still poor.

One reason for this lies in the limited scope of candidates that the submitted systems considered, e.g. TEES (Björne and Salakoski, 2013) and IRISA (Claveau, 2013) only examined relations between a habitat and location that occur in the same sentence. One of our contributions lies in considering more possible relations, including relations across sentences. This is confirmed by a much better recall, as can be seen in Section 4.3.2.

## 3 METHODOLOGY

In this section we lay out our developed system. For each of subtasks 1 and 2, we first discuss the goal of the subtask, followed by an explanation of our used methodology. The performance of our model is discussed in the next section (Section 4).

### 3.1 Subtask 1: Entity Detection and Ontology Mapping

The goal of this subtask is to detect habitat entities in texts and map them onto concepts defined by the OntoBiotope-Habitat ontology. For each entity the name, the location in the text and the corresponding ontology entry need to be predicted. E.g. the expected output for a text consisting of the single sentence “*This organism is found in adult humans and formula fed infants as a normal component of gut flora.*” is:

```
T1 Habitat 27 33 adult humans
T2 Habitat 44 63 formula fed infants
T3 Habitat 44 51 formula
T4 Habitat 89 92 gut
N1 OntoBiotope Annotation:T1 Ref:MBTO:00001522
N2 OntoBiotope Annotation:T2 Ref:MBTO:00000308
N3 OntoBiotope Annotation:T3 Ref:MBTO:00000798
N4 OntoBiotope Annotation:T4 Ref:MBTO:00001828
```

Four habitat entities are found in this sentence and they are mapped onto four different ontology entries.

Figure 1 gives an overview of the followed approach. We first search in the text for token spans (candidates) that might contain one or more entities (Section 3.1.1). These generated candidates are given to a Candidate Selection module, that searches substrings within the candidate for entities (Section 3.1.3). This Candidate Selection module uses an Ontology Mapper (Section 3.1.2), finding the ontology entry that matches closest to a given substring. Additionally it returns a dissimilarity value to give an indication of how close the match is. Based on this dissimilarity, we can decide to classify part of a candidate as the given entry or not.

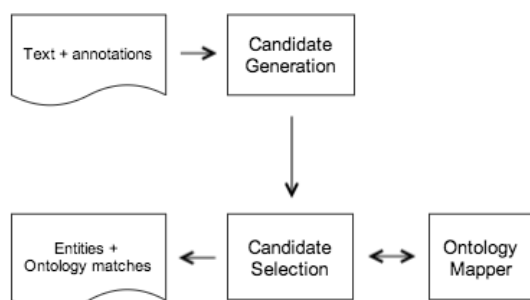


Figure 1: Overview of the followed approach in subtask 1.

#### 3.1.1 Candidate Generation

The Candidate Generation module generates token spans from a given input text. The goal of the Generation module is to quickly reduce a large text to a candidate set that can be analysed more efficiently. First

the text is split into sentences and tokens, then every sentence is mapped onto a set of candidates. We use the given annotation files of the Stanford Parser (Klein and Manning, 2003) to split the texts and tokenize the sentences. Sentences are assumed to be independent in the model, i.e. we do not use information from one sentence in another sentence.

**Conditional Random Fields.** To generate candidates, we use Conditional Random Fields (CRF) (Sutton and McCallum, 2006). In particular, we choose a linear chain CRF; previous research shows that these perform well for various natural language processing tasks, especially Named Entity Recognition (Lei et al., 2014). In contrast to general purpose noun phrase extractors used by some other existing models for this task, a CRF can easily exploit the information of the given annotated files as features.

A CRF model is an undirected probabilistic graphical model  $G = (V, E)$  with vertices  $V$  and edges  $E$ . The vertices represent a set of random variables with the edges showing the dependencies between them. The set of observed random variables is denoted by  $X$  and the unknown/output random variables are denoted by  $Y$ . This model represents a probability distribution over a large number of random variables by a product of local functions that each depend on a small subset of variables, called factors.

A CRF generally defines a probability distribution  $p(\mathbf{y}|\mathbf{x})$ , where  $\mathbf{x}, \mathbf{y}$  are specific assignments of respective variables  $X$  and  $Y$  as follows:

$$p(\mathbf{y}|\mathbf{x}) = \frac{1}{Z(\mathbf{x})} \prod_{F \in G} \Psi_F(x_F, y_F) \quad (1)$$

where  $x_F$  are those observed variables that are part of factor  $F$  and similarly for  $y_F$  and  $Y$ .  $\Psi_F : V^n \rightarrow \mathbb{R}$  is the potential function associated with factor  $F$  and is defined in terms of the features  $f_{Fk}(x_F, y_F)$  as:

$$\Psi_F(x_F, y_F) = \exp \left\{ \sum_k \lambda_{Fk} f_{Fk}(x_F, y_F) \right\} \quad (2)$$

The parameters of the conditional distribution  $\lambda_{Fk}$  are trained with labelled examples. Afterwards, using the trained model the most probable output variables can be calculated for a given set of observed variables.  $Z(\mathbf{x})$  is a normalization constant and is computed as:

$$Z(\mathbf{x}) = \sum_{\mathbf{y}} \prod_{F \in G} \Psi_F(x_F, y_F) \quad (3)$$

CRFs can represent any kind of dependencies, but the most commonly used model, particularly in the NLP tasks such as Named Entity Recognition is the Linear-chain model. In this work, we use the linear chain implementation in Factorie (McCallum et al.,

2009). Linear chain CRFs consider the dependency between the labels of the adjacent words. In other words, each local function  $f_k(y_t, y_{t-1}, x_t)$  represents the dependency of each output variable  $y_t$  in location  $t$  in the chain to its previous output variable  $y_{t-1}$  and the observed variable  $x_t$  at that location. The global conditional probability then is computed as the product of these local functions (Sutton and McCallum, 2006). With the usual assumption that all local functions share parameters and feature functions, its log-linear form is now written as:

$$p(\mathbf{y}|\mathbf{x}) = \frac{1}{Z(\mathbf{x})} \exp \left\{ \sum_t \sum_k \lambda_k f_k(y_t, y_{t-1}, x_t) \right\} \quad (4)$$

Where the normalization constant is derived in an analogous manner to equation (3) for the case of sequential dependencies.

In our model, every token is an observed variable. The biological entity labels (e.g. 'Bacterium') of the tokens are the output variables (or labels).

We now discuss the features that we use, along with the label set into which the tokens are classified.

**CRF Features.** The following features are used for each token:

- Token string
- Stem
- Length token
- Is capitalized (binary)
- Token is present in the ontology (binary)
- Stem is present in the ontology (binary)
- Category of the token in the Cocoa annotations
- Part-of-speech tag
- Dependency relation to the head of the token

The stem is calculated using an online available Scala implementation<sup>1</sup> of Porter's stemming algorithm (Porter, 1980). The part-of-speech tag and the dependency relation to the head are added using the available annotation files from the Stanford Parser (Klein and Manning, 2003). Cocoa<sup>2</sup> is a dense annotator for biological text. The Cocoa annotations cover over 20 different semantic categories like 'Processes' and 'Organisms'.

**CRF Labels: Extended Boundary Detection Tags.**

We use five different labels for the tokens. The used labels are:

- Start: The token is the first token of an entity.

- Center: The token is in the middle of an entity.
- End: The token is the last token of an entity.
- Whole: The token itself is an entity.
- None: The token does not belong to an entity.

The most immediate alternative to this is the traditional IOB labeling (Ramshaw and Marcus, 1995). An even more simple possibility is a binary labeling that just indicates if a token belongs to an entity mention or not. The more elaborated proposed labeling generally performs better in our tests.

### 3.1.2 Ontology Mapper

The Ontology Mapper maps a string onto the ontology entry with the lowest dissimilarity. The dissimilarity between an ontology entry and string is calculated by comparing the string with the name, synonyms and plural of the name and synonyms of the entry with respect to a certain comparison function. The plurals are calculated simply by just adding 's' or 'es' to the end of the singular form.

To compare two strings they are split into tokens. The tokens from the two strings are matched to minimize the sum of the relative edit distance between the matched tokens. If not all tokens can be matched i.e. the number of tokens in the two strings are different, 1.0 is added to the sum for each remaining token. As a measure for relative edit distance, we use the Levenshtein distance (Levenshtein, 1966) divided by the sum of the lengths of the strings to get a number between 0.0 and 1.0.

### 3.1.3 Candidate Selection

The Candidate Selection module receives spans of tokens as input, it searches within these spans for ontology entries. For each span every continuous subspan of tokens is tested with the Ontology Mapper. This means that we select  $\frac{n(n+1)}{2}$  subspans for every token span with  $n$  tokens. If for a subspan a dissimilarity lower than a specific bound is reached, we classify this subspan as an entity. E.g. for the token span 'formula fed infants', six subspans are selected: 'formula', 'fed', 'infants', 'formula fed', 'fed infants' and 'formula fed infants'. 'formula' and 'formula fed infants' are found in the ontology and we classify these as entities.

Based on cross-validation experiments on the training and development set, we decided to take as maximal dissimilarity 0.1, i.e. the subspan must be very close to an ontology entry. This very strict parameter allows us to be less strict in the Candidate Generation module: every entity that has a minimum

<sup>1</sup><https://github.com/aztek/porterstemmer>

<sup>2</sup><http://npjoint.com>

probability of 0.1 to contain entities will be tested. The sensitivity of our results with respect to this measure is further discussed in Subsection 4.3.1 and Table 2.

### 3.1.4 Additional Improvements

**Dashed Words.** Not all entities in the texts consist of one or more tokens, some entities are only a part of a token. E.g. in the token *'tick-born'*, *'tick'* is an entity. To handle these cases we search for all the words that contain one or more dashes. These words are split and every part is matched against the ontology. These parts are easy to match because they are usually just nouns in singular form.

**Extending the Ontology.** Mappings from phrases onto ontology entries are given in the training and development set. These phrases are usually similar to the name or a synonym of the ontology entry. However in rare cases the phrase is not similar to the name or a synonym. Based on the assumption that the given mapping is correct we can extend the ontology. We do this by adding the phrase as a new synonym to the ontology entry. Some submissions to the BioNLP-ST 2013 task used this approach as well (Grouin, 2013), (Karadeniz and Özgür, 2013).

**Correcting Boundaries.** An important part of the task is to predict the correct boundaries of the entities. E.g. for the noun phrase *'blood-sucking tsetse fly'*, it is not sufficient to predict *'fly'* or *'tsetse fly'*. The whole noun phrase is the correct entity in this case. This particular example is hard because *'blood-sucking tsetse fly'* does not occur in the ontology. To handle this case we add to each found entity the dependent words that precede the entity. These dependent words can be extracted by using the given parser annotations. E.g. from the phrase *'blood-sucking tsetse fly'* the entity *'tsetse fly'* is selected, *'blood-sucking'* is added to it because its headword is *'fly'*.

**Filter out Parents.** Many generated candidates refer to the same entity, it is required that we predict every entity only once. E.g. in the phrase *'person with untreated TB'* the entities *'person'* and *'person with untreated TB'* are detected. They refer both to the same habitat, *'person'* is just a more general term to describe *'person with untreated TB'*. That is why we filter *'person'* out. We can do this by using the parent/child relations given in the ontology. The ontology entry *'person'* is a parent (a more general term) of the entry *'person with untreated TB'*, so we only predict the phrase *'person with untreated TB'* in this case. Because the ontology is a deep graph of entities, we test this parent/child relationship recursively.

## 3.2 Subtask 2: Relation Extraction

In this subtask relations need to be extracted from a text based on annotated entities in the text. There are three types of entities: habitats, geographical entities and bacteria. Two types of relations exist: *Localization* and *PartOf*. *Localization* relations are always between a bacterium and a habitat or geographical location. *PartOf* relations occur between two habitats. We handle these two relations independently. In the training and development set combined, *Localization* and *PartOf* relations are responsible for respectively 81% and 19% of the relations.

We used a similar approach for both relation types. We will describe our approach for *Localization* relations. Our model consists of two modules. A first module generates sets of relation candidates from the text using simple rules (Section 3.2.1). These sets are then forwarded to a second module that trains for each set a separate model (Section 3.2.2). Figure 2 shows a visualization of our approach.

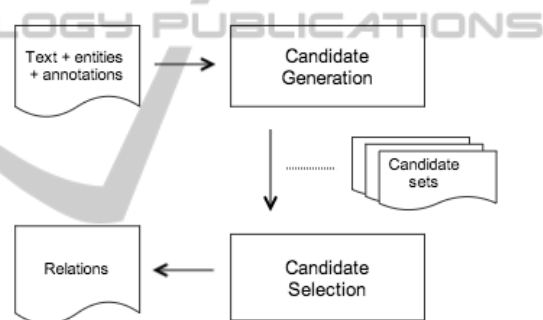


Figure 2: Overview of the followed approach in subtask 2.

### 3.2.1 Candidate Generation

The Candidate Generation module reduces the set of all possible relations, i.e. all combinations of bacteria and locations, to multiple smaller sets of candidate relations. Every set is created by using a generation rule. These smaller sets are then forwarded to the Candidate Selection module that will try to identify if a candidate relation is really a relation or not.

We use generation rules for two reasons. On one side we decrease the overall number of candidates by a significant amount. On the other side we group similar types of relations to build more specific models. For every set of candidate relations defined by a generation rule, we build a separate model to test these relations. A good candidate generation method generates a relatively large number of correct relations while keeping the number of wrong relations to a minimum.

We tested 5 different candidate generation rules for *Localization* relations:

- **All Possible:** All combinations of bacteria and locations are possible.
- **Same Sentence:** The bacterium and location occur in the same sentence. This assumption is used by two submissions: (Björne and Salakoski, 2013) and (Claveau, 2013).
- **Previous Bacteria:** The bacterium is the first bacterium that occurs *before* the location in the text.
- **Next Bacteria:** The bacterium is the first bacterium that occurs *after* the location in the text.
- **Paragraph Subject:** The text is split into paragraphs. The bacterium is the first bacterium that occurs in the paragraph of the location. This is used by one submission: (Karadeniz and Özgür, 2013).

The results from section 4.3.2 are achieved by combining the ‘*Same sentence*’ and ‘*Previous bacteria*’ generation rule, which yields the best performance.

### 3.2.2 Candidate Selection

The Candidate Generation module forwards different sets of candidate relations to the Candidate Selection module. This Candidate Selection module builds for every set a separate logistic regression model (using the Factorie toolkit (McCallum et al., 2009)). We use these logistic regression models as binary classifiers (is a relation or not). In the training phase, the models are trained based on positive and negative relations extracted from example texts. In the testing phase, each set of candidate relations is tested by their separate model.

The model uses the following features based on the two involved entities in a relation:

- The type of the entity
- Surface form
- Is capitalized (binary)
- Stem of each entity token
- Category of each entity token in the Cocoa annotations
- Part-of-speech tag of each entity token
- Dependency relation to the head of each token

None of the above features combine information from both the bacterium and location. We tested some features that do this, but without a significant influence on F1, as we saw a slightly better precision with a small drop in recall. The tested features are:

- Token distance between bacterium and location

- Length of syntactic path between bacterium and location
- The depth of the tree that contains the syntactic path
- Whether the bacterium or location occurs first

**Alternative Models.** Besides this model we also tested a nearest neighbor model. In this, we compare a candidate with a seen example based on the sequence of part-of-speech tags that occur on the syntactic path between the bacterium and location. Between these two sequences of tags the edit distance is calculated. Finally, the candidate is classified as a relation if the closest seen example with respect to this distance encodes a real relation.

In another approach we used two language models based on the tokens between the bacterium and location, where a separate model for positive and negative relations was built. Here, a candidate is classified as a relation if the probability that the candidate is generated by the positive model is higher than the probability for the negative model.

Both alternative models failed to achieve reasonable performance.

## 4 EXPERIMENTS

In the first subsection we describe the data set and the resources. The subsections thereafter then present the results and discussions.

### 4.1 Data Set

The data set consists of public available documents from web pages from bacteria sequencing projects and from the MicrobeWiki encyclopedia (Bossy et al., 2013). The data is divided into a training, a development and a test set. The solution files of the training and development set are provided. The solution files of the test set are not available, but it is possible to test a solution with an online evaluation service<sup>3</sup> with a minimal time of 15 minutes between two submissions. During the contest the minimal time between two submissions has been 24 hours. We limited our use of the online evaluation service to keep our results comparable with the contest submissions.

The data consists of 5,183 annotated entities and 2,260 annotated relations. The data was manually annotated twice followed by a conflict resolution phase

<sup>3</sup><http://genome.jouy.inra.fr/~rbossy/cgi-bin/bionlp-eval/BB.cgi>

(Bossy et al., 2013). Table 1 gives an overview of the data distribution. The training and development set is the same for both subtasks, but the test set is different.

Table 1: Summary statistics of the data set.

	Training/Dev	Testset 1	Testset 2
Documents	78	27	26
Words	25,828	7,670	10,353
Entities	3,060	877	1,246
Relations	1,265	328	667

## 4.2 Used Ontology

In the first subtask the OntoBiotope-Habitat ontology<sup>4</sup> is used. This ontology contains 1,756 habitat concepts. For each concept an id, the name and exact and related synonyms are given. Additionally if a concept can be described by a more general concept, an *is\_a* relation is given. The ontology entry ‘*dental caries*’ is for example:

```
id: MBTO:00001830
name: dental caries
related_synonym: "tooth decay" [TyDI:30379]
exact_synonym: "dental cavity" [TyDI:30380]
is_a: MBTO:00002063 ! caries
```

## 4.3 Results

The results are presented separately for the two subtasks of entity detection and relation extraction.

### 4.3.1 Entity Detection and Ontology Mapping

The score is calculated by mapping the predicted entities onto the entities of the reference solution. Entities are paired in a way that the sum of the dissimilarities are minimized. The dissimilarity between a predicted entity and a reference entity is based on boundary accuracy and the semantic similarity between the ontology concepts. Based on this optimal mapping of entities the Slot Error Rate (SER) is calculated. A perfect solution has a SER score of 0, if no entities are predicted a score of 1 is obtained. The SER is calculated as follows:

$$SER = \frac{S + I + D}{N} \quad (5)$$

- *S*: number of substitutions, based on the dissimilarity between the matched entities.
- *I*: number of insertions, the number of predicted entities that could not be paired.

<sup>4</sup>[http://bibliome.jouy.inra.fr/MEM-OntoBiotope/OntoBiotope\\_BioNLP-ST13.obo](http://bibliome.jouy.inra.fr/MEM-OntoBiotope/OntoBiotope_BioNLP-ST13.obo)

- *D*: number of deletions, the number of reference entities that could not be paired.
- *N*: number of entities in the reference solution.

**Improvement Effects.** We implemented four variations to improve our model (see Section 3.1.4). The highest improvement is achieved by correcting the boundaries and filtering out redundant parents. Although handling dashed words gives only a slight improvement, it is definitely worth to use it because it increases the number of found entities without creating much incorrect entities. Extending the ontology improves our solution only by a very small margin.

**Influence of the Maximal Dissimilarity.** As explained in section 3.1.3, the Candidate Selection module receives spans of tokens as input and searches within these spans for ontology entries. For a specific subspan of tokens, the Ontology Mapper returns the ontology entry that best matches, together with a dissimilarity measure. Based on cross-validation experiments we picked 0.1 as maximal dissimilarity, i.e. we classify all subspans with a lower dissimilarity as 0.1 as a found entity.

Table 2 shows the SER score together with the number of Substitutions, Insertions and Deletions (using 10 fold cross validation on the training and development set) for several values of maximal dissimilarity. For a range of low thresholds, only a very small variation in the number of Substitutions and Deletions is observed. However, the number of Insertions increases steadily with an increasing maximal dissimilarity. This is because we allow subspans to be less and less similar to the ontology entries, causing an increasing number of wrongly extracted entities.

Table 2: Influence of the maximal dissimilarity on entity detection performance.

Dissimilarity	Sub	Ins	Del	SER
0.05	212	195	181	0.38
0.10	210	197	180	0.38
0.15	212	208	180	0.39
0.20	211	212	180	0.39
0.25	227	236	173	0.41
0.30	230	249	169	0.41
0.35	319	497	141	0.61

**Comparison with Contest Submissions.** Testing our model with the online evaluation service, we obtained a SER score of 0.36 which is significantly better than all submissions to BioNLP-ST 2013. The best result of the contest is a SER score of 0.46 (IRISA).

We also improved the precision and F1 compared to all submissions. Recall, precision and F1 were respectively 0.68, 0.73 and 0.70. The IRISA submission scored a higher recall but a lower precision than our model. Table 3 shows our scores together with the scores of the submissions to BioNLP-ST 2013.

Table 3: Subtask 1 results compared to contest submissions.

Participant	SER	Recall	Precision	F1
IRISA	0.46	<b>0.72</b>	0.48	0.57
Boun	0.48	0.60	0.59	0.59
LIPN	0.49	0.61	0.61	0.61
LIMSI	0.66	0.35	0.62	0.44
Ours	<b>0.36</b>	0.68	<b>0.73</b>	<b>0.70</b>

Some reasons why we outperform the others are:

- With a CRF model it is easy to consider any information through the addition of features. However, many systems that use a CRF to generate candidates are based on a general purpose noun phrase extractor, and do not use the biological annotations that are supplied.
- We search within each candidate for matches, which makes it possible that a candidate contains multiple entities.
- We redefine the boundaries of an entity by using the head annotations from the given Stanford parser annotated data.

The main weakness of our model is that an entity needs to be very close to a name or synonym of an ontology entry to be detected. We picked a value of 0.1 as maximal dissimilarity. This means that entities that do not occur in the ontology or are described by an unknown synonym can not be found. We implemented an improvement by correcting the boundaries to lower the impact of this weakness. In this way, words that are not seen in the ontology can be part of an entity if its head word occurs in the ontology.

### 4.3.2 Relation Extraction

**Baseline Model.** To better analyse the performance of our approach, we have first built a baseline model. This model predicts *Localization* relations between all bacteria and locations that occur in the same sentence and no *PartOf* relations. The results are presented in Table 5. Considering the achieved scores in BioNLP-ST 2013, this model performs dramatically better. It outperforms all submissions based on F1 due to a much higher recall. But the precision of one submission (TEES) is clearly better (0.82).

This baseline model predicts 53% of the *Localization* relations. Based on the fact that this baseline

model only predicts relations within the same sentence, we know that about half of the *Localization* relations occur in the same sentence, for the other half multiple sentences need to be examined.

**Performance on Different Relation Types.** We use a similar approach for *PartOf* relations as for *Localization* relations. Table 4 shows the performance of our model for the prediction of one relation type separately and the prediction of both types jointly. We see a very low precision if we only predict *PartOf* relations, this is due to the fact that we recall many relations wrongly and there are only few true *PartOf* relations in the texts. When we combine our *Localization* and *PartOf* model the result is worse than the *Localization* model on itself. The *PartOf* model decreases the overall precision of our model much more compared to the gain in recall.

Table 4: Relation extraction results for the different relation types.

Model	Recall	Precision	F1
Localization	0.59	<b>0.50</b>	<b>0.54</b>
PartOf	0.09	0.15	0.12
Combined	<b>0.68</b>	0.35	0.46

**Comparison with Contest Submissions.** We tested our solution with the available online evaluation service and receive a F1 of 0.67 which is significantly better than all submissions to BioNLP-ST 2013. The best result of the contest achieved a F1 of 0.42 (TEES). Our recall and precision are respectively 0.71 and 0.63. This recall is much higher than all the contest submissions, one submission (TEES) scored a better precision (0.82). Table 5 shows our achieved results together with the scores of the official submissions to BioNLP-ST 2013.

Table 5: Subtask 2 results compared to contest submissions.

Participant	Recall	Precision	F1
TEES-2.1	0.28	<b>0.82</b>	0.42
IRISA	0.36	0.46	0.40
Boun	0.21	0.38	0.27
LIMSI	0.04	0.19	0.06
Baseline	0.43	0.47	0.45
Ours	<b>0.71</b>	0.63	<b>0.67</b>

Some reasons why we outperform the others are:

- We use a combination of generation rules, the contest submissions were mainly limited to one specific generation rule.
- We do not predict *PartOf* relations in our final model due to low accuracy and overall negative impact.



**Bacterium Model.** The logistic regression model achieves significantly better results than the baseline model and all contest submissions. However, many of the used features have only very little influence. We remark that almost comparable results can be achieved by a model that always predicts true unless the bacterium name starts with ‘*bacteri*’. This sort of model is of course not generic and largely overfits the data. It works well because it succeeds in excluding a significant amount of false relations. Labeled entities occur in surface forms ‘*bacterium*’, ‘*bacterial infections*’, ... These forms occur relatively often in texts, but they rarely appear in *Localization* relations. The reason for this is that when the word ‘*bacterium*’ appears in a text, it usually does not refer to the general concept but to a specific bacterium discussed previously in the text. However, to avoid overfitting it is preferred to use such patterns in the data by including relevant features, rather than implementing strict decision rules based on them. In the case of the above characteristic, the name of the specific bacterium entity is added as a feature in our system.

## 5 CONCLUSION

In this paper we discussed an approach for the first two subtasks of the Bacteria Biotopes task of BioNLP-ST 2013. For the first subtask (entity detection and ontology mapping) we implemented a model based on Conditional Random Fields. In this system, candidates are generated from the text and thoroughly inspected to find matches within the ontology. We also devised several improvements for the boundary detection of entities. Our model achieved significantly better results than all official submissions to BioNLP-ST 2013.

For the second subtask (relation extraction) we generated candidates with multiple generation rules (e.g. all bacteria and locations that occur in the same sentence). To select a candidate we used a logistic regression model. Because we used a combination of generation rules we achieved a much higher recall and therefore a much better score than all official submissions to BioNLP-ST 2013.

In spite of these pronounced gains, we think there is still room for improvement, especially for the second subtask. One potential improvement of our model will be to consider long distance dependencies between the bacterium and location, more contextual features and additional background knowledge from external resources. In this direction, using structured output prediction and joint learning frameworks will help us to consider these kind of

knowledge for an end-to-end entity and relation extraction model (Kordjamshidi and Moens, 2013; Kordjamshidi and Moens, 2014).

## ACKNOWLEDGEMENTS

The authors would like to thank the Research Foundation Flanders (FWO) for funding this research (grant G.0356.12), as well as the bilateral project of KU Leuven and Tsinghua University DISK (DIScovery of Knowledge on Chinese Medicinal Plants in Biomedical Texts, grant BIL/012/008). Also we would like to thank the reviewers for their insightful comments and remarks.

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