# **Pedestrian Re-identification** Metric Learning using Symmetric Ensembles of Categories

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Abstract:

act: This paper presents a method for pedestrian re-identification, with two novel contributions. Firstly, each element in the target population is classified into one of *n* categories, using the expected accuracy of the re-identification estimate for this element. A metric for each category is separately trained using a standard (Local Fisher) method. To process a test set, each element is classified into one of the categories, and the corresponding metric is selected and used. The second contribution is the proposal to use a symmetrised distance measure. A standard procedure is to learn a metric using one set as the probe and the other set as the gallery. This paper generalises that procedure by reversing the labels to learn a different metric, and uses a linear (symmetrised) combination of the two. This can be applied in cases for which there are two distinct sets of observations, *i.e.* from two cameras, *e.g.* VIPER. Using this publicly available dataset, it is demonstrated how these contributions result in improved re-identification performance.

## **1 INTRODUCTION**

Pedestrian re-identification is a canonical challenge for automated analytics systems. It allows systems to generate hypotheses about the whereabouts of individuals that encompass a greater extent of space and time. Person re-identification has been an area of interest due to its applicability in video surveillance with a camera network involving multiple nonoverlapping cameras. *Face* recognition technology is not considered to be applicable in this context, due to the relatively low resolution and the unconstrained pose. This variation in pose, illumination and different viewpoints across cameras are the major challenges in identifying individuals across multiple cameras.

The standard problem definition is to use an unseen test set comprising pairs of observations, split into equal-sized probe and gallery subsets. To evaluate any given technique, it is used to compare each probe element against the gallery set, ranking the gallery items in order of similarity. The rank indices of the correct matches are accumulated to produce an Cumulative Match Characteristic (CMC), which can be used to compare different techniques.

The methods proposed by the computer vision research community for person re-identification can be broadly categorized as being *feature*, *fast matching* and *metric learning* based. A detailed performance

evaluation of local features which can be used for person re-identification can be found in (Bauml and Stiefelhagen, 2011). Histograms were used for identification and recognition in the early work of Swain and Ballard (Swain and Ballard, 1990). Franzena et al (Farenzena et al., 2010) proposed features that combined recurring local color patches with maximally stable color regions and histograms. Multiple images were used to collect histograms with 'epitome', which was defined as a group of stable and recurring local color patches in the method proposed in (Bazzani et al., 2010). A triangulated graph was estimated on a over segmented image of individuals in (Gheissari et al., 2006). Zhang et al (Zhang and Li, 2011) proposed the combination of Local Binary Patterns estimated from non-overlapping regions, with Gabor and Region Covariance descriptors. Javed et al (Javed et al., 2005) proposed an illumination variation correction technique, Inter Camera Brightness Transfer function (BTF) to address the illumination variation that usually exists between pairwise cameras.

*Fast matching* based methods focus on the format of the descriptor, used to index the observations, to improve the matching performance. Such techniques require the computation of low dimensional descriptors for efficient storage. Camillia key-points are stored in a KD-tree in the method proposed in (Hamdoun et al., 2008); elsewhere, *random forests* are used (Liu et al., 2012) to weight most informative

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features from a pool of features; and a layered codebook is used (Jungling et al., 2011) to encode spatial information. The recent LDFV proposal (Ma et al., 2012) employs local descriptors containing location, intensity, first and second order derivatives, encoded as Fisher vectors.

The pose of individuals has also been explored (Aziz et al., 2011; Jungling and Arens, 2011) as a means to add distinctiveness which is estimated before the feature extraction process. Multiple observations (or *multi-shot* methods) have also proved to be effective: for example a HoG detector has been used (Bedagkar-Gala and Shah, 2011) to extract various parts of the body. Several other methods (Farenzena et al., 2010), (Bazzani et al., 2012) use *multi-shot* observations in a semantic segmentation framework.

Other researchers have investigated the possibility of selecting a discriminative feature set from a large pool of Haar-like features, using existing iterative learning frameworks. Adaboost is a typical selection mechanism (Bak et al., 2010); another proposal is to build an ensemble of weak classifiers (Gray and Tao, 2008) thereby generating the discriminative feature set. A number of weak RankSVMs are boosted (Prosser et al., 2010) using a sampling strategy that partitions the dataset into overlapping sets.

Person re-identification has also been investigated as a data association problem, to match and rank observations from a pair of cameras. Typically, the distance measures calculated in the original feature space will not be particularly effective, since multiple observations of the same individual will lie on a specific sub-manifold, and Euclidean distances will not discriminate between distances on the same manifold, and distances of different individuals lying on different manifolds. Hence, the requirement for a mapping to a more used space, for which the associated metric provides an improved discriminative capability, such that Nearest Neighbour techniques are applicable. Several methods have been proposed recently for learning this transformation: Dikmen et al proposes the use of the 'Large Margin' Nearest Neighbor with Rejection (LMNN-R) algorithm (Dikmen et al., 2011) to learn the most effective metric. Alternatively, a low dimensional manifold was estimated (Yang et al., 2011) using kernel based PCA, and SVMs trained on multi-partitioned data (Kozakaya et al., 2011) can be used to learn the transformation to a target metric space. in and the probabilistic distance, PRDC between features belonging to same and different persons is proposed in (Zheng et al., 2011).

RLPP, a variant of Locality Preserving Projections (LPP) is estimated over Riemannian manifolds in (Harandi et al., 2012). Wu et al (Wu et al., 2011) proposed rank loss optimization as a means to improve the re-identification accuracy. Using constraints in pairwise difference space, Kostinger et al proposed learning a metric in (Kostinger et al., 2012) whereas the person re-identification problem is re-formulated as a set-based verification task to exploit information from unlabelled data in (Zheng et al., 2012). PCCA (Mignon and Jurie, 2012) was proposed to learn a metric when only a small set of examples are available. Recently, Rui et al (Zhao et al., 2013b) proposed the use of dense color histograms and SIFT features to learn salient and discriminative regions in an unsupervised manner. The same methodology is then integrated with RankSVM to add rank constraints for learning a saliency model in (Zhao et al., 2013a).

The proposed method *Symmetrized Ensembles for Local Fisher*, **SELF** formulates the solution as a data association problem, drawing upon the above cited metric learning approaches, with two novel contributions, to provide improved performance on public benchmark datasets. The proposed method employs the Local Fisher *LF* method (Pedagadi et al., 2013), since its low complexity allows a realtime implementation that provides relatively good performance.

## 2 PROPOSED METHOD

The proposed method comprises the following steps, which create and use a set of categories to train (and use) a corresponding set of learned metrics. To be specific, the Local Fisher method is employed, but any equivalent metric learning method could also be used. The method for training is as follows:

- 1. Learn a global distance metric using the Local Fisher (LF) method, on a training set consisting of pairs of observations.
- 2. Use the global metric on the training data to generate a Cumulative Match Characteristic (CMC).
- 3. Partition the training set in to *n* categories, using n-1 thresholds applied to this CMC.
- 4. Using these categories as training sets, learn a classifier *C* to categorize future (unseen) observations into one of *n* categories.
- 5. For each category, using the corresponding training subset, learn reverse category-specific distance metric i.e a transformation from gallery set to probe set.

To use the proposed re-identification method on a test set, these steps are followed:

1. Apply *LF* metric in to estimate a regular distance matrix

- 2. Classify each of the probe observations in the test set into one of the *n* categories.
- 3. For each probe item in the test set, using the appropriate reverse metrics for its category, calculate the distances between it and the *m* observations in the gallery. Doing so would generate a category based distance matrix.
- 4. For each probe in the test set, use a linear combination of the two distance measures to each gallery element, to produce a rank-order. Use the Ground Truth to identify the correct gallery element in each case, and hence construct the CMC.

### 2.1 Learning a Global Metric

This section provides a summary of the method employed to learn a global metric,  $M_{\mathcal{A}\to\mathcal{B}}$ , with the objective of minimising the distance (in the transformed feature space) between different observations a and b of the same individual. The observations a and b are members of the probe set  $\mathcal{A}$  and the gallery set  $\mathcal{B}$ , respectively. The method is described in greater detail elsewhere (Pedagadi et al., 2013). A training dataset is required to 'learn' a metric: this comprises two sets  $\{\mathbf{x}_{1}^{\mathcal{A}}, \mathbf{x}_{i}^{\mathcal{A}}, \dots, \mathbf{x}_{m}^{\mathcal{A}}\}\$  and  $\{\mathbf{x}_{1}^{\mathcal{B}}, \mathbf{x}_{i}^{\mathcal{B}}, \dots, \mathbf{x}_{m}^{\mathcal{B}}\}\$ , that is, *m* pairs of observations about each subject *i*. The grouping into sets  $\mathcal{A}$  and  $\mathcal{B}$  may be arbitrary, or there may be some systematic variation in characteristics between these two sets, e.g. recorded from cameras A and B having different views or specifications. The vectors  $\mathbf{x}_{i}^{\mathcal{A},\mathcal{B}}$  represent the original feature sets: these can be transformed into lower dimensional vectors  $\mathbf{y}_{i}^{\mathcal{A},\mathcal{B}}$  using a projection P onto the k principle components

$$\mathbf{y}_i^{\mathcal{A}} = P \mathbf{x}_i^{\mathcal{A}} \tag{1}$$

and similarly for the  $\{\mathbf{x}_i^B\}$ .

The distance metric  $M_{\mathcal{R}\to\mathcal{B}}$  is estimated by using a Fisher learning process with a local aspect, incorporating a regularization term to avoid singularities. This method is explained in more detail elsewhere (Pedagadi et al., 2013): it is then used to transform the reduced features as follows:

$$\mathbf{z}_{i}^{\mathcal{A}} = M_{\mathcal{A} \to \mathcal{B}} \mathbf{y}_{i}^{\mathcal{A}}$$
(2)

$$\mathbf{z}_i^{\mathcal{B}} = M_{\mathcal{A} \to \mathcal{B}} \mathbf{y}_i^{\mathcal{B}}$$
(3)

The standard application of this transformation is to rank the true match  $|\mathbf{z}_i^{\mathcal{A}} - \mathbf{z}_i^{\mathcal{B}}|$  against all the false matches,  $|\mathbf{z}_i^{\mathcal{A}} - \mathbf{z}_j^{\mathcal{B}}|, j \neq i$ . In addition to ranking unseen observations, in the proposed method, this transformation is also used to classify dataset elements into indicative categories of re-identification accuracy, and thereby learn two metrics for each category. This is described in the section below.

### 2.2 Categories of Re-identification Accuracy

The CMC curve indicates the range of accuracy with which a dataset can be re-identified. (Unsurprisingly, higher accuracy is demonstrated on the training set, than the test set: this reflects the extent of overtraining present in the regime.) The CMC is aggregated from the set of rank results  $r(1), r(i), \ldots, r(n)$ . A result of r(i) = 1 indicates that the correct match was the first ranked hypothesis, *i.e.* a perfect re-identification result.

This rank result can be used to assign a category c(i) to each element of the dataset, using  $\kappa$  categories of accuracy,  $c_1, c_j, \ldots, c_{\kappa}$ . A simple process can be defined for this purpose, using a set of  $\kappa$  integer thresholds  $\rho_1, \rho_j, \ldots, \rho_{\kappa}$ , where the first threshold is always fixed at  $\rho_1 = 0$ .

$$c(i) = \max_{j} c_j : \rho_j < r(i) \tag{4}$$

In other words, the dataset is partioned into subsets, such that the first subset contains the elements most accurately re-identified, the second subset contains the next most accurate subset, and so on until the least accurately re-identified subset is labelled with  $c_{\kappa}$ . The set of thresholds  $\{\rho_j\}$  can be chosen to obtain approximately equal sizes of subsets allocated to each category. These can be read off the CMC curve by dividing the cumulative match (y-axis) into equal fractions and looking up the corresponding rank indices from the x-axis.

## 2.3 Prediction of Re-identification Accuracy

The labels  $\{c(i)\}$  assigned using the method described in in Section 2.2 can be used to train a classifier for re-identification accuracy. The objective for this classifier is to correctly predict into which segment of the CMC any (previously unseen) pair of observations *j* will fall. In other words, the classifier will aim to predict the category c(j) that indicates the likely rank result r(j).

To predict the category of an unseen test vector  $\mathbf{z}^{\mathcal{A}}$ , a training set is used that consists of the reduced feature vectors  $\{\mathbf{y}_i^{\mathcal{A}}\}$ , and their associated labels  $\{c(i)\}$ . Both training and test vectors are transformed using the inclusive Local Fisher projection  $M_{\mathcal{A}\to\mathcal{B}}$ , as described in Section 2.1. It can be noted that this training set includes only the probe set  $\mathcal{A}$ , and not the gallery set  $\mathcal{A}$ .

A simple 'nearest neighbour' method is described to predict the category of the test vector. The *k* nearest training set vectors to  $\mathbf{z}^A$  are recorded, and their categories are accumulated. The test vector is assigned that category for which there is the greatest number of corresponding labels, amongst the k nearest neighbours.

#### 2.4 **Category-specific Projections**

Once that a category can be estimated for the unseen test observation, it is possible to define and employ category-specific process that may, it is hypothesised, improve the overall re-identification accuracy. In other words, different transformations can be estimated from the data, to accommodate each category of input observation (wherein the category is defined by the expected level of re-identification accuracy). 'Hard' cases can be treated differently to 'easy' cases.

Thus, category-specific Local Fisher projection matrices are proposed, i.e. matrices  $\{M_{\mathcal{A}\to\mathcal{B}}^1,\ldots,M_{\mathcal{A}\to\mathcal{B}}^{\kappa}\}\$  corresponding to the categories  $\{c_1,\ldots,c_{\kappa}\}\$  each trained in the standard manner (Pedagadi et al., 2013). This will project the The proposed method was evaluated on VIPER (Gray observation data into a lower dimensional manifold where the Euclidean distances between observations reflect the within-class and inter-class designations.

#### 2.5 **A Symmetric Distance Measure**

The above process is sufficient to define and use a set of category-specific projection matrices. Experiments are presented in section 3.1 that demonstrate that this can contribute to an improvement in re-identification performance.

Furthermore, a separate innovation can also be considered. Hitherto, only the projection matrices  $M^c_{\mathcal{A} \to \mathcal{B}}$  have been used: the significance of  $\mathcal{A} \to \mathcal{B}$ is that set  $\mathcal{A}$  is the probe, and set  $\mathcal{B}$  is the gallery. This is a reasonable approach, not least because the standard experimental approach is to maintain this arrangement for the test set.

However, it is worth considering the reverse arrangement, in which set  $\mathcal{B}$  is the probe and set  $\mathcal{A}$ is the gallery. This would enable a corresponding projection matrix  $M_{\mathcal{B}\to\mathcal{A}}$  to be trained, along with the category-specific variants,  $M^{1}_{\mathcal{B}\to\mathcal{A}},\ldots,M^{\kappa}_{\mathcal{B}\to\mathcal{A}}$ . A working hypothesis is that these projection matrices may be able to be used alongside the matrices derived from the original arrangement, to improve the overall re-identification accuracy.

A simple way to combine these two alternate configurations is to define a distance using a linear combination of the two respective distances. However, there is also the opportunity to combine the generic, 'inclusive' distance, along with the category-specific vari-

ant. Thus, there are two sets of learned transformations,  $M^c_{\mathcal{A} \to \mathcal{B}}$  and  $M^c_{\mathcal{B} \to \mathcal{A}}$ , that define the metric dis-tances  $d^c_{\mathcal{A} \to \mathcal{B}}(i, j)$  and  $d^c_{\mathcal{B} \to \mathcal{A}}(i, j)$ , for the  $\kappa$  categories c:

$$d_{\mathcal{A}\to\mathcal{B}}^{c}(i,j) = \left| M_{\mathcal{A}\to\mathcal{B}}^{c} \mathbf{y}_{i}^{\mathcal{A}} - M_{\mathcal{A}\to\mathcal{B}} \mathbf{y}_{j}^{\mathcal{B}} \right| \quad (5)$$

An overall symmetrised distance  $d_{ij}^c$  can be defined by taking a linear combination of the generic distance  $d_{\mathcal{A} \to \mathcal{B}}(i, j)$  and the category-specific, reverse-order distance  $d^c_{\mathcal{B}\to\mathcal{A}}(i,j)$ . By convention, these quantities are scaled with  $\alpha$  and  $1 - \alpha$ , respectively:

$$d^{c}(i,j) = \alpha d_{\mathcal{A} \to \mathcal{B}}(i,j) + (1-\alpha) d^{c}_{\mathcal{B} \to \mathcal{A}}(i,j) \qquad (6)$$

Various arguments can be made to justify how  $\alpha$ can be set. Further analysis of the variation in  $\alpha$  is presented in the experiments section.

#### **EXPERIMENTAL RESULTS** 3

et al., 2007) pedestrian re-identification dataset. The dataset contains images of individuals as seen from two cameras. The performance results are reported as Cumulative match characteristic (CMC), a widely accepted measure in the field of ranking.

#### 3.1 VIPER

The VIPER dataset is a pedestrian re-identification dataset containing images of 632 individuals as seen from two cameras. The images were captured over the course of one month, making the dataset representative of a real world video surveillance scenario. The challenges presented in this dataset include a large variation in lighting and colour between images of the same individual in two cameras.

For the performance analysis of the reported method, the traditional strategy of randomly dividing the dataset into two equal halves is employed. The feature vector is defined using 8x8 pixel cells, arranged as a rectangular grid such that 50 percent overlap is maintained with each neighbouring cell. The rectangular grid covers the overall size of the image which is 128 pixel high and 64 pixels wide. In each cell, an 8 bin histogram is accumulated for each of the colour channels of YUV and HSV spaces. As explained in (Pedagadi et al., 2013), LF metric is estimated by keeping the unsupervised learning of PCA sub space separate for each of the colour spaces YUV and HSV. The 'standard' metric  $M_{\mathcal{A}\to\mathcal{B}}$  is estimated using the training set and the metric dimensionality is set to 100.

Two categories of re-identification accuracy are used ( $\kappa = 2$ ), *i.e.*  $c_1$  and  $c_2$  are 'easy' and 'hard' categories, respectively. The metric  $M_{\mathcal{A}\to\mathcal{B}}$  is then applied on the training set itself to generate a CMC as explained in section 2.2. A threshold of 1 is applied on the rank of the estimated CMC. All probe observations that contain the correct match at the first rank are assigned a category of  $c_1$ , and the remainder are assigned a value of  $c_2$ . The probe and gallery set are then swapped by retaining the index order for learning the easy and hard category metrics as discussed in 2.2. The three transformations,  $M_{\mathcal{A}\to\mathcal{B}}$ ,  $M^1_{\mathcal{B}\to\mathcal{A}}$ , and  $M^2_{\mathcal{B}\to\mathcal{A}}$  are estimated, using the first 100 dimensions of the eigen-transformed feature vectors. This step concludes the training in the proposed method.



Figure 1: CMC performance for VIPER dataset.

To evaluate the performance, each element *i* of the test set is categorised into either  $c(i) = c_1$  (easy) or  $c(i) = c_2$  (hard) using the nearest training set neighbours defined by the inclusive transformation  $M_{\mathcal{A}\to\mathcal{B}}$  as explained in 2.3. This category is used to select the appropriate reverse transformation, i.e.  $M_{\mathcal{B}\to\mathcal{A}}^{c(i)}$ . The distances to the gallery set are calculated using an evenly weighted sum of the corresponding pairs of distances, setting  $\alpha = 0.5$ .

The proposed method *SELF* is compared with several state-of-the-art metric learning methods: *KISSME* (Kostinger et al., 2012), *LMNN* – *R* (Dikmen et al., 2011), *eLDFV* (Ma et al., 2012) and *LF* (Pedagadi et al., 2013). For quantitative analysis, the CMC Fig(1) is computed as an average of individual CMCs estimated for 100 random trials. *SELF* demonstrates good performance amongst all the methods with a recognition rate improvement of 9.51 percent improvement over *eLFDV*, 11.9 percent over *LF*, 16.27 percent over *KISSME* and 17.28 percent over *LMNN* – *R*.

### 4 DISCUSSION

Several parameters significantly affect the proposed method's performance. The following sections discuss the variation of these parameters in detail.

### 4.1 Choice of the Threshold Rank

The choice of the threshold rank discussed in section 2.2 used for categorizing observations into various levels of difficulty is discussed here. As explained in section 3.1, the number of categories is set to 2, easy and hard respectively. The threshold on the rank is applied on the CMC of the training set for categorizing observations. Given a training set of 316 observations (half of VIPER dataset's total observations), various threshold on rank for categorization will vary the number of observations in each category. It is expected that as the threshold on rank increases, the number of 'easy' to re-identify observations will increase and vice-versa for 'hard' to re-identify category. The experiments conducted in this regard also signify this trend where in as the rank threshold is set to 1, 2, 10 and 20 consecutively and the resulting CMC on test set was estimated.



Figure 2: Variation of Rank Threshold on VIPER dataset.

The resulting CMC results Fig(2) for each of the variation in rank threshold demonstrate decreasing performance as the percentage of observations available for hard category reduce as the rank threshold is increased. For example when rank threshold is set to 1, the number of hard category observations in training set are 41% of the complete training set while for a rank threshold of 20, the percentage of hard category observations is significantly lower at 1%.

### 4.2 Choice of Category Classifier

A number of different approaches can be employed, to classify the test set observations into one of the categories of expected accuracy, as discussed in section 2.3. The experiments reported here consider the case of  $\kappa = 2$ , effectively 'easy' and 'hard' categories. It is reasonable to assume that the overall recognition accuracy of the proposed method will depend on the accuracy of the classifier used. To investigate this relation, CMCs are estimated for two type of classifiers.

One is *LF* based classifier and the second is a linear SVM based classifier. On the other hand, a linear *SVM* based classifier (Fan et al., 2008) is considered for the same probe set for categorizing probe observations into easy and hard categories. The full dimensionality of the feature space is considered during the construction of the *linear SVM* classifier.



Figure 3: Classifier performance variation on VIPER dataset.

A comparison in performance was made using the CMC Fig(3) LF based classifier attains an improved accuracy of 9% over the linear SVM classifier. This could be attributed to the fact that LF classifier's manifold space is much more discriminative due to the preservation of the local neighbourhood nature of the data. In the case of linear SVM, the classifier operates in the high dimensional feature space which could in itself be highly non-linear in nature. Other types of metric learning based classifiers can be substituted that can attain better classification accuracy in future work.

## 4.3 Variation of Linear Combination of Distances

The choice of parameter  $\alpha$  introduced in section 2.5 also plays an important role in the recognition accuracy of the proposed method. For the case studies in this context that deals with only two categories of difficulty, i.e. Easy and Hard,  $\alpha$  lies in the range of 0 to 1.



Figure 4: Variation of alpha on VIPER dataset.

If  $\alpha$  is set to 1, then the final distance matrix completely relies on the metric  $M_{\mathcal{A}\to\mathcal{B}}$ , while setting  $\alpha = 0$ implies that only the category based metrics  $M^1_{\mathcal{B}\to\mathcal{A}}$ ,  $M^2_{\mathcal{B}\to\mathcal{A}}$  will be used. In other words, a value of 0 for  $\alpha$  will ensure there is no contribution from global distance matrix while a value of 1 will neglect the contribution from category based distance metric in the computation of final distance matrix. This analysis is conducted by examining the recognition accuracy in CMC curves Fig(4) as  $\alpha$  is varied from 0 to 1. The observed trend in CMCs demonstrate that there exists a base line performance which is very similar to that of normal LF recognition performance when  $\alpha$  lies in the extremity *i.e values of 0 and 1*. The best recognition accuracy is achieved when  $\alpha$  is set to 0.5, indicating that equal contributions are made by global metric and category metrics. As  $\alpha$  varies from 0 to 0.5 and reduces from 1 to 0.5 in reverse, similar variations in recognition accuracy can be noted.

# 5-CONCLUSIONS

This paper presented two novel extensions to existing 'metric learning' methods for pedestrian reidentification. One extension was the introduction of categories of subject, based on the difficulty of re-identification, which were used to train categoryspecific metric transformations. Another innovation was the realisation that the role of the datasets used to learn the transformation can be reversed, hence making better use out of a given resource of training data. This two contributions were combined into a method that demonstrated significant improvements over other state-of-the-art metric learning methods. Further investigation into these extensions, e.g. to increase the effectiveness at higher numbers of categories and additionally permute the training set variations, may yield yet more improvements.

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