

# A Hybrid Memory Data Cube Approach for High Dimension Relations

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Keywords: OLAP, Data Cube, Inverted Index, High Dimension, and External Memory.

Abstract: Approaches based on inverted indexes, such as Frag-Cubing, are considered efficient in terms of runtime and main memory usage for high dimension cube computation and query. These approaches do not compute all aggregations a priori. They index information about occurrences of attributes in a manner that it is time efficient to answer multidimensional queries. As any other main memory based cube solution, Frag-Cubing is limited to main memory available, thus if the size of the cube exceeds main memory capacity, external memory is required. The challenge of using external memory is to define criteria to select which fragments of the cube should be in main memory. In this paper, we implement and test an approach that is an extension of Frag-Cubing, named H-Frag, which selects fragments of the cube, according to attribute frequencies and dimension cardinalities, to be stored in main memory. In our experiment, H-Frag outperforms Frag-Cubing in both query response time and main memory usage. A massive cube with 60 dimensions and  $10^9$  tuples was computed by H-Frag sequentially using 110 GB of RAM and 286 GB of external memory, taking 64 hours. This data cube answers complex queries in less than 40 seconds. Frag-Cubing could not compute such a cube in the same machine.

## 1 INTRODUCTION

The data cube relational operator (Gray et al., 1997) pre-computes and stores multidimensional aggregations, enabling users to perform multidimensional analysis on the fly. A data cube has exponential storage and runtime complexity according to a linear dimension increase. It is a generalization of the group-by relational operator over all possible combinations of dimensions with various granularity aggregates (Han, 2011). Each group-by, called a *cubeoid* or view, corresponds to a set of cells described as *tuples* over the *cubeoid* dimensions.

There are two types of cells in data cubes: base and aggregate cells. Suppose there is data cube with 3 dimensions. Let us consider a tuple  $t_1=(A_1, B_1, C_1, m)$  of a relation, where  $A_1, B_1$  and  $C_1$  are dimension attributes, and  $m$  is a numerical value representing a measure of  $t_1$ . Given  $t_1$ , in our example, a data cube has seven tuples representing all possible  $t_1$  aggregations, and they are:  $t_2=(A_1, B_1, *, m)$ ,  $t_3=(A_1, *, C_1, m)$ ,  $t_4=(*, B_1, C_1, m)$ ,  $t_5=(A_1, *, *, m)$ ,  $t_6=(*, B_1, *, m)$ ,  $t_7=(*, *, C_1, m)$ ,  $t_8=(*, *, *, m)$ , where “\*” is a wildcard representing all values of a cube dimension. Generally speaking, a cube, computed from relation ABC with cardinalities  $C_A, C_B$  and  $C_C$ , can have  $2^3$  or  $(C_A + 1) \times (C_B + 1) \times (C_C + 1)$  tuples. Our cube has three dimensions with equal cardinality  $C_A = C_B = C_C = 1$ .

The dimension increase also makes cube combinatorial problem harder. If instead of relation ABC, we consider relation ABCD and  $C_A = C_B = C_C = C_D = 2$ , we can have 16 tuples of type ABCD, 81 tuples in a full data cube. Most of cube approaches are not designed for high dimension data cubes. Frag-Cubing (Li et al., 2004) is the first efficient high dimension data cube solution. Frag-Cubing implements an inverted index of tuples, i.e., each attribute value of a tuple may be associated with  $n$  tuples identifiers. Point queries with two or more attributes are answered by intersecting tuple identifiers from attribute values. Frag-Cubing only implements Equal and Sub-cube query operators. Frag-Cubing is a main memory based approach, so huge high dimension data cubes, which require more

main memory than it is available in the machine, cannot be addressed efficiently. The usage of virtual memory is managed by the operating system, which does not take into account all data cube properties. The result after operating system intervention is an unacceptable runtime, as our experiments demonstrate.

In this paper, we implement and test an approach that is an extension of Frag-Cubing, named H-Frag, which implements indexing strategies for high dimension data cubes using a hybrid memory system. The H-Frag approach selects fragments of the cube to be stored in main memory and fragments to be in external memory. The frequencies of attributes and cardinalities of dimensions are used to select the memory type for each attribute of a base relation. Most frequent attributes are stored in main memory and attribute values with low frequencies are stored in external memories. Furthermore, H-Frag avoids operating system interventions - no operating system swaps are required during H-Frag cube computation and updates. H-Frag implements its own swap strategy to load as few information as possible.

H-Frag enables indexing and querying high dimension data cubes with billions of tuples. H-Frag outperforms Frag-Cubing in both query response time and main memory usage. H-Frag is also a range cube approach, where query operators *greater than*, *less than*, *between*, *similar*, *some*, *distinct*, and *different* are implemented.

The rest of the paper is organized as follows: Section 2 details Frag-Cubing, as well as some promising range query approaches, pointing out their benefits and limitations. Section 3 details H-Frag approach, i.e., its architecture and algorithms. Section 4 describes the H-Frag experiments and results. Finally, in Section 5, we conclude our work and point out future improvements of H-Frag.

## 2 RELATED WORK

There are several cube approaches, but only three of them implement a sequential high dimension data cube solution. Frag-Cubing (Li et al., 2004), qCube (Silva et al. 2013) and Fangling et al.(2006) implement a partial cube approach using inverted index and bitmap index. There is a clear saturation curve when full, iceberg, dwarf, multidimensional cyclic graph (MCG), closed, or quotient approaches (Brahmi et al., 2012; Ruggieri et al., 2010; Lima and Hirata, 2011; Xin et al., 2006; Sismanis et al., 2002) are used for cubes with high number of dimensions.

A high dimension data cube can have 20, 100 or 1000 dimensions and each dimension several attributes organized as several hierarchies.

Frag-cubing implements the inverted tuple concept. Each tuple  $iT$  has an attribute value, a TID list, and a corresponding set of measures. For instance, we consider four tuples:  $t_1 = (tid_1, a_1, b_2, c_2, m_1)$ ,  $t_2 = (tid_2, a_1, b_3, c_3, m_2)$ ,  $t_3 = (tid_3, a_1, b_4, c_4, m_3)$ , and  $t_4 = (tid_4, a_1, b_4, c_1, m_4)$ . These four tuples produce eight inverted tuples:  $iTa_1, iTb_2, iTb_3, iTb_4, iTc_1, iTc_2, iTc_3$ , and  $iTc_4$ . For each attribute value, we build an occurrences list; i.e., for  $a_1$  we have  $iTa_1 = (a_1, tid_1, tid_2, tid_3, tid_4, m_1, m_2, m_3, m_4)$ , where the attribute value  $a_1$  is associated with tuple identifiers  $tid_1, tid_2, tid_3$ , and  $tid_4$ . Tuple identifier  $tid_1$  has measure value  $m_1$ ,  $tid_2$  has measure value  $m_2$ ,  $tid_3$  has measure value  $m_3$ , and  $tid_4$  has measure value  $m_4$ . Query  $q = (a_1, b_4, COUNT)$  can be answered by  $iTa_1 \cap iTb_4 = (a_1 b_4, tid_3, tid_4, COUNT(m_3, m_4))$ . In  $q$ ,  $iTa_1 \cap iTb_4$  denotes the common tuple identifiers in  $iTa_1$  and  $iTb_4$ .

The intersection complexity is proportional to the number of occurrences of an attribute value; more precisely, it is equal to the size of the smallest list. In our example,  $iTb_4$  with two tuple identifiers is the smallest list; therefore,  $iTb_4 \cap iTa_1$  is more efficient than  $iTa_1 \cap iTb_4$ . The number of tuple identifiers associated with each attribute value can be large; therefore, relations with low cardinality dimensions and a high number of tuples require high processing capacity. As TID lists become smaller, the frag-cubing query becomes faster; consequently, relations with low skew and both high cardinalities and dimensions are more suitable to frag-cubing computation.

qCube (Silva et al., 2013) uses inverted indices to address a solution to range queries over high dimension data cubes. Range queries include *greater than*, *less than*, *between*, *similar*, *some*, *distinct*, and *different* query operators to collect several aggregations and not only a point-unique summarized result from the data cube. qCube is main memory based, so some cubes cannot fit in main memory, requiring operating system swaps that are always inefficient. In general, as the number of high dimension tuples becomes higher, hybrid memory based solutions are required.

## 3 H-Frag APPROACH

Data input for cube computation in the H-Frag approach is d-dimensional relation R with  $n$  tuples, where  $n \subset [1, \infty]$ . Formally, R is a set of tuples,

where each tuple  $t$  is defined as  $t = (tid, D_1, D_2, \dots, D_z)$ . In  $t$ ,  $tid$  attribute is a unique identifier therefore, in a relation there are no equal tuples, as proposed by Codd (1972). The number of dimensions is represented by  $z$ ,  $D$  is a specific dimension, defined as  $D_i = (att_1, att_2, \dots, att_n)$  and  $att$  is a possible attribute value of dimension  $D_i$ .

H-Frag architecture has three main components: *computation*, *query* and *measure computation*.

First, the computation component scans  $R$  completely in order to obtain the frequency of each attribute value of each  $R$  dimension.

Then, the average frequency is calculated, and the attribute values with frequencies lower than the average are marked in order to be stored in the external memory.

$R$  is scanned by the computation component a second time to select the attribute values to be stored in external memory. Each attribute value and its list of tuple identifiers (*tids*) are stored in external memory, i.e., a single attribute value can have several complementary *tid-list* in external memory, since RAM can get full. To avoid that, H-Frag partitions  $R$  into complementary portions defined by the user, with several tuples each portion.

Each portion can be stored fully in the main memory. However, in order to avoid attribute values in the external memory with low number of *tids*, H-Frag defines an occurrence percentage for each attribute value inside a portion. Each attribute value has to be associated to, at least, 50% of the number of the tuples in a portion to be stored. When the frequency of each attribute value reaches the 50% of the number of the tuples in a portion, the *tid-list* of attribute value is stored in external memory.

The measure values are grouped by portions: each group of measure values is identified by a *tid* interval or range (e.g., in a portion where tuples have been processed from 1 to 10 the identification of the file will contain 1\_10). This way, H-Frag generates few files.

However, if the frequencies of attribute values have not reached 50% of the number of the tuples in a portion, but if 80% of the available working memory is being used, all *tid-list* of processed attribute values and all measure values are stored in external memory. H-Frag eliminates the problem when there are many attribute values below 50% of a portion, which can happen in relations with high cardinality and low skew. At the end of each portion, if an attribute value has not reached 50% of the current portion and 80% of available working memory is being not used, it remains in the main memory and a new portion is loaded to be

processed. Frequent attribute values will demand several complementary *tid-lists* stored in external memory and all of them must be swapped into main memory to answer a query containing such attribute values.

Finally,  $R$  is scanned for a third time, generating as an output a map with the top frequent attribute values of  $R$  and their *tid-list*. Such a map is maintained in main memory.

Table 1 illustrates an example where there are dimensions A, B and C: dimension A has cardinality 3 and the values set  $\{a_1, a_2, a_3\}$ , the dimension B has the cardinality 3 and the values set  $\{b_1, b_2, b_3\}$ , and the dimension C has cardinality 2 with  $\{c_1, c_2\}$  as the values set. Table 1 also presents two measures (M1, M2). The unique identifiers of each tuple are represented by *tids*.

Table 1: Input Relation R.

<i>tid</i>	A	B	C	M1	M2
1	$a_1$	$b_1$	$c_1$	1.5	1
2	$a_2$	$b_2$	$c_2$	2.5	1
3	$a_2$	$b_2$	$c_2$	2	3
4	$a_3$	$b_3$	$c_2$	78.5	2
5	$a_1$	$b_1$	$c_1$	100	5
6	$a_2$	$b_1$	$c_2$	102.5	4
7	$a_3$	$b_1$	$c_1$	100	2
8	$a_1$	$b_3$	$c_2$	22.5	3
9	$a_1$	$b_3$	$c_2$	13.89	8

First, the frequencies of the attribute values of each dimension are computed and the result is:  $f_{a_1}=4$ ,  $f_{a_2}=3$ ,  $f_{a_3}=2$ ,  $f_{b_1}=4$ ,  $f_{b_2}=2$ ,  $f_{b_3}=3$ ,  $f_{c_1}=3$  and  $f_{c_2}=6$ . The attributes to be stored in the external memory are with the frequency lower than the average of those attribute value frequency of such dimension, therefore 3 is the average frequency in the dimensions A and B, as both dimensions have three attribute values each one and the total of tuples in the base is 9. In dimension C, the average frequency is 4.5 (let's consider 4). Herewith, the attributes  $a_3$ ,  $b_2$ ,  $b_3$  and  $c_1$  are marked to be stored in the external memory.

$R$  is scanned for the second time in order to store in the external memory the infrequent attribute values previously identified. We define  $R$  partitioned into three portions with three tuples each. When each *tid-list* reaches 50% of each portion, this list is stored in the external memory. After all portions are scanned, the remaining infrequent attribute values are stored. Table 2 shows the structure of *tid-list* indexed by its respective attribute values stored in the main memory.

Table 2: Frequent Attribute Values in Primary Memory.

Attribute Value	tids
a <sub>1</sub>	1,5,8,9
b <sub>1</sub>	1,5,6,7
c <sub>2</sub>	2,3,4,6,8,9

Table 3 illustrates the list structure indexed by its respective attribute values stored in the external memory (each line represents a file stored in external memory). Table 4 presents the cube measure values with the inverted tuples stored in the external memory. For each group of measure, a file is created with the value from all the associated measures with all the tuples processed in the portion.

Table 3: Attribute Values in External Memory.

Attribute Value	Tids
a <sub>2</sub>	2, 3
a <sub>2</sub>	6
a <sub>3</sub>	4, 7
b <sub>2</sub>	2, 3
b <sub>3</sub>	4, 8
b <sub>3</sub>	9
c <sub>1</sub>	1, 5, 7

Table 4: Measure Values Relation in External Memory. Assuming that tuples have been processed every three.

Tids	M1	M2	Group
1	1.5	1	1_3
2	2.5	1	
3	2	3	
4	78.5	2	4_6
5	100	5	
6	102.5	4	
7	100	2	7_9
8	22.5	3	
9	13.89	8	

When the user executes a query, the query component performs intersections and unions with *tid-list* in the main memory. After obtaining the *tid-list* from the portion of the query that has the frequent attribute values, the attribute values from the query that are stored in the portions of the external memory are processed. The *tid-list* obtained from the query is used to obtain the numerical measure values, thereby enabling statistical functions, such as *avg*, *sum*, *variance* and others, to be calculated by the measure computation component.

### 3.1 Computation Algorithm

The computation algorithm has as input a R with set of tuples  $t$  is defined as  $t = (tid, D1, D2, \dots, Dn)$  and as output an H-Frag data cube.

Initially, the computation algorithm calculates the frequency of all attribute values for each R dimension. These frequencies are stored in an *attsInDisc* variable.

After that, the algorithm calculates the average frequency for each dimension and stores the attribute values whose frequency is higher than the average in a variable *attsInDisc*. This variable indicates the attribute values that are stored in the external memory.

For each portion of R, it is verified if each attribute value frequency is equal to 50% of the portion dimension frequency and if the 80% of available working memory is not being used. In this case, only the attribute value is stored in the external memory with its *tid-list*. However, if 80% of available working memory is being used, all the attribute values and the group of measure values are stored in the external memory with its *tid-list*.

For each portion of R, the attribute values that even are not stored and the group of measure values are stored in the external memory.

Finally, we store the set of inverted tuples of the attribute values not marked to be stored in the external memory in the main memory.

### 3.2 Update Algorithm

The inverted index idea is a convenient strategy for updates where a new tuple is added to R, R attribute values are merged, new dimensions and new measures are added to R and dimension hierarchies are rearranged.

The computation algorithm is used with no changes in update of a new tuple is added to R.

**Example 1:** We add three new tuples where one tuple have new attribute values, a second tuple has attribute values that are stored in external memory and a third tuple has attribute values stored in main memory, as illustrated by Table 5.

Table 5: Update Relation: New Tuples.

tid	A	B	C	M1	M2
10	a <sub>4</sub>	b <sub>4</sub>	c <sub>4</sub>	3	7
11	a <sub>3</sub>	b <sub>3</sub>	c <sub>1</sub>	4.7	12
12	a <sub>1</sub>	b <sub>1</sub>	c <sub>2</sub>	5.5	6

The update relation with three new tuples is

scanned. For all attribute value of each tuple, H-Frag verifies if it has already been computed. If it was computed, it is verified where it is stored: main or external memory. In case the attribute value has been stored in main memory and there is main memory available, the attribute value with *tid-list* is stored in main memory. If there is no main memory available, the attribute value with *tid-list* is stored in external memory. In case the attribute value was stored in external memory the *tid-list* is stored in external memory. In case there is no working memory for update, the attribute values stored in main memory are discarded.

The H- Frag data cube update after insertion of three new tuples is illustrated in Tables 5, 6, 7 and 8.

Table 6: Frequent Attribute Values in Main Memory After Example update 1.

Attribute Value	tids
a <sub>1</sub>	1,5,8,9,12
b <sub>1</sub>	1,5,6,7,12
c <sub>2</sub>	2,3,4,6,8,9,12

Table 7: Attribute Values in External Memory After Example update 1.

Attribute Value	tids
a <sub>2</sub>	2, 3
a <sub>2</sub>	6
a <sub>3</sub>	4, 7
a <sub>4</sub>	10
a <sub>3</sub>	11
b <sub>2</sub>	2, 3
b <sub>3</sub>	4, 8
b <sub>3</sub>	9
b <sub>3</sub>	11
b <sub>4</sub>	10
c <sub>1</sub>	1, 5
c <sub>1</sub>	11
c <sub>4</sub>	10

Updates where R attributes are merged and these attribute values are in external memory, each *tid-list* must be loaded into main memory to be merged. If the result generates an attribute more frequent from the same dimension, this attribute is stored in main memory after the attribute that has the overcome frequency be stored in external memory. These updates, in general, are trivial and its computational cost depends on the frequency of the attribute in R.

Table 8: Measure Values Relation in External Memory, After Example update 1.

Tids	M1	M2	Group
1	1.5	1	1_3
2	2.5	1	
3	2	3	
4	78.5	2	4_6
5	100	5	
6	102.5	4	
7	100	2	7_9
8	22.5	3	
9	13.89	8	
10	3	1	10_12
11	4.7	12	
12	5.5	6	

**Example 2:** Suppose that attribute value a<sub>2</sub> and a<sub>3</sub> are merged as a<sub>9</sub>, the attribute value a<sub>9</sub> will have the highest frequency and will replace a<sub>1</sub> attribute value in main memory. Therefore the attribute value a<sub>1</sub> will be stored in external memory, as illustrated by Tables 9 and 10.

Table 9: Frequent Attribute Values in Main Memory After Example update 2.

Attribute Value	Tids
a <sub>9</sub>	2, 3, 4, 6, 7
b <sub>1</sub>	1,5,6,7
c <sub>2</sub>	2,3,4,6,8,9

Table 10: Attribute Values in External Memory After Example update 1.

Attribute Value	Tids
a <sub>1</sub>	1,5,8,9
b <sub>2</sub>	2, 3
b <sub>3</sub>	4,8
b <sub>3</sub>	9
c <sub>1</sub>	1,5,7

Approaches that do not inverted indices or any other method that fragment the cube to assemble them efficiently after requiring a complete reconstruction of the data cube, something extremely costly in small bases of a midsize, however impracticable to massive bases.

Updates where new dimensions and measures can be added to R require the new dimension or measure be traversed, so their attribute values are associated with *tids* of the computed cube.

**Example 3:** The Tables 11, 12, 13 and 14 illustrate the result of updates, where new dimension

D and new measure M3 are added to R. A complete scan of new dimensions and measures are mandatory. A dimension D and a new measure M3 are added to R, but H-Frag does not require recalculations. Thus only the new attribute values and measure values are inserted with the respective *tid-list*, according to the Tables 11, 12, 13 and 14.

Updates whose dimension hierarchies are rearranged do not impact the data cube computed by H-Frag, since a query can be proceed in any order.

Table 11: Update Relation: new dimension D and new measure M3.

tid	A	B	C	D	M1	M2	M3
1	a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>	d <sub>1</sub>	1.5	1	6
2	a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>	d <sub>1</sub>	2.5	1	5.66
3	a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>	d <sub>1</sub>	2	3	78.98
4	a <sub>3</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>1</sub>	78.5	2	2.98
5	a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>	d <sub>3</sub>	100	5	1.65
6	a <sub>2</sub>	b <sub>1</sub>	c <sub>2</sub>	d <sub>2</sub>	102.5	4	2.69
7	a <sub>3</sub>	b <sub>1</sub>	c <sub>1</sub>	d <sub>1</sub>	100	2	6.87
8	a <sub>1</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>3</sub>	22.5	3	98.999
9	a <sub>1</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>2</sub>	13.89	8	78.995

Table 12: Attribute Values in External Memory After Example update 3.

Attribute Value	tids
a <sub>2</sub>	2, 3
a <sub>2</sub>	6
a <sub>3</sub>	4, 7
b <sub>2</sub>	2, 3
b <sub>3</sub>	4, 8
b <sub>3</sub>	9
c <sub>1</sub>	1, 5, 7
d <sub>2</sub>	6,9
d <sub>3</sub>	5,8

Table 13: Measure Values Relation in External Memory: After Example update 3.

tids	M1	M2	M3
1	1.5	1	6
2	2.5	1	5.66
3	2	3	78.98
4	78.5	2	2.98
5	100	5	1.65
6	102.5	4	2.69
7	100	2	6.87
8	22.5	3	98.999
9	13.89	8	78.995

Table 14: Frequent Attribute Values in Main Memory. After Example update 3.

Attribute Value	tids
a <sub>1</sub>	1,5,8,9
b <sub>1</sub>	1,5,6,7
c <sub>2</sub>	2,3,4,6,8,9
d <sub>1</sub>	1,2,3,4,7

### 3.3 Query Algorithm

A Data cube H-Frag can answer queries of type Q, generating as output three or more sub-lists of *tids*, derived from two possible sub-types of queries: point queries and queries with multiple summarizations. A point query is performed when using a filter with equality operator, queries that have as a result multiple aggregations are those where range filters or inquire filters are used. Filters with different operators may be used in Q, each filter applied to one dimension or measure of R. Thus, three possible sub-queries are generated from Q: pQ (queries with equality filters), rQ (queries with range filters) and iQ (queries with inquire filters). A single result Q consolidates the results of the three possible sub-queries with an intersection algorithm with complexity O(n), where n is the number of elements in the set.

A point query pQ ∈ Q. For pQ queries we have as a result a unique aggregation of a set of attributes of R. rQ ∈ Q represents range queries in different dimensions. A query rQ may have as result a set of summarizations from attributes present in R. An inquire sub-query iQ has as a result the combination of dimensions cardinalities. iQ ∈ Q, represents inquire, where a set of operators iOp (*subcube + distinct*) are defined for different dimensions. The range operator rQ is defined as rOp= (*greater than + less than + between + some + different + similar x (v<sub>1</sub>, v<sub>2</sub>, ..., v<sub>n</sub>)*). The symbol '+' represents the logical operator OR and 'x' represents the logical operator AND. The values defined by the user for a range operator are represented by (v<sub>1</sub>, v<sub>2</sub>, ..., v<sub>n</sub>).

A sub-query inquire iQ has as a result a set of combinatory aggregations of different dimensions. iQ ∈ Q, represents query inquire where a set of operators iOp (*subcube + distinct*) are defined to different dimensions. A subcube of a dimension is composed by every possible aggregations of a dimension, including the wildcard all (\*).

When operators rQ or iQ are used as filters in a dimension, we have a query of a subcube to this dimension. The result is composed by every possible aggregations of this dimension including \*. To each

attribute value of dimension  $i$ , there is a *tid-list*. Thus, the *tid-list* of  $(pQ \cap rQ)$  are intersected with each *tid-list* of the dimension  $i$ . The result of the intersection is the *tid-list* obtained from the query. There are  $\prod_{i=1}^{SC} (C_i + 1)$  results, then  $Q$  has  $SC$  subcube operators,  $C_i$  indicates the dimension  $i$  cardinality and  $SC$  is the number of subcube filters.

The operators subcube and distinct are identical to one dimension. For two or more dimensions the number of distinct aggregations will be  $\prod_{i=1}^{dis} C_i$  intersections with *tids* of  $(pQ \cap rQ)$ , so it is also a costly computational processing. In the approach H-Frag the sub-query of  $Q$  are reorganized in order to optimize the processing performance.

From a cube H-Frag, a filter  $F$  is executed in a query  $pQ$ . Being  $F$  defined as  $F: \{op_1 \cap op_2 \cap \dots \cap op_n\}$ , where  $op_i$  is the operator  $i^{th}$  EQUAL of  $F$  applied to dimension  $i$  of H-Frag. In a query  $rQ$  from a data cube, H-Frag executes a filter  $F'$ . This way, *tids* of  $pQ$  are intersected with *tids* of  $rQ$ . The definition of  $F'$  is given by  $F': \{\mu_1 \cap \mu_2 \cap \dots \cap \mu_n\}$ , where  $\mu_i$  is the operator  $i^{th}$  RANGE of  $F'$  applied to dimension  $i$  of H-Frag.  $F$  and  $F'$  are filters applied to different dimensions. Each  $\mu_i$  returns a *tid-list* for the values that meet the criteria defined by an operator  $rOp$ . Thus, a group of intersection of the *tid-list* is executed for each possible association among attributes instantiated in each sub-query and these intersections are always initiated from the attributes with the smallest *tid-list*.

Queries  $iQ$  are also combinatorial, therefore a query  $iQ$  inquire receives a data cube H-Frag, and executes a third filter  $F''$ . The *tids* of  $iQ$  are intersected with *tids* resulting from  $(pQ \cap rQ)$ . Filter  $F''$  is defined as  $F'': \{T_1 \cap T_2 \cap \dots \cap T_n\}$ , where  $T_i$  is the operator  $i^{th}$  INQUIRE of  $F''$  applied to dimension  $i$  of H-Frag.  $F, F', F''$  are filters applied to different dimensions.

The first sub-queries executed are always the point queries. Then, the range and inquire  $Q$  queries are executed. At each sub-query the *tid-list* is retrieved. When attributes are in main memory, that is, when these are frequent attributes in the dimension, this set is retrieved in a single access. When the attributes have lower frequency in the dimension, their *tid-list* are retrieved from external memory. In this case, since this set can be fragmented into several portions in external memory, there are numerous costly readings. To reduce the cost of intersections, the last fragment is loaded first in main memory, since it may have a few tuples, consequently a smaller *tid-list* and lower cost of intersection with subsequent fragments of a

certain attribute of  $R$ .

**Example 4:** Suppose a user submits a query  $q = \{?, ?, c_2\}$ . H-Frag first fetches the *tid-list* of the instantiated dimension by looking at cell  $(c_2)$ . This returns  $(c_2) : \{1, 5, 4, 6, 8, 9\}$ . See that if there were no inquired dimensions in the query, we would finish the query here and return 6 as the final count.

Next, H-Frag fetches the *tid-lists* of the inquired dimensions:  $A$  and  $B$ . These are  $\{(a_1: \{1, 5, 8, 9\})\}$ ,  $\{(a_2: \{2, 3, 6\})\}$ ,  $\{(a_3: \{4, 7\})\}$ ,  $\{(b_1: \{1, 5, 6, 7\})\}$ ,  $\{(b_2: \{2, 3\})\}$  and  $\{(b_3: \{4, 8, 9\})\}$ .

Intersect among them and with the instantiated  $c_2$  and we get  $\{(a_1c_2: \{8, 9\})\}$ ,  $(a_2c_2: \{2, 3, 6\})$ ,  $(a_3c_2: \{4\})$ ,  $(b_1c_2: \{6\})$ ,  $(b_2c_2: \{2, 3\})$  and  $(b_3c_2: \{4, 8, 9\})$ . This corresponds to a base cuboid of six tuples:  $\{(a_1, b_1, c_2), (a_2, b_1, c_2), (a_1, b_2, c_2), (a_1, b_2, c_2), (a_1, b_3, c_2)$  and  $(a_3, b_3, c_2)\}$ .

Suppose that at some decision-making process it is necessary do a filter with a range operator.

**Example 5:** If user submits a query  $q = \{a_2, >b_1, c_2\}$ .

H-Frag first fetches the *tid-list* of the instantiated dimensions by looking at cell  $(a_2, c_2)$ . This returns  $(a_2, c_2) : \{2, 3, 6\}$ .

Next, H-Frag fetches the *tid-lists* of the range dimension:  $A$ . These are  $\{(b_2: \{2, 3\})\}$  and  $\{(a_3: \{4, 8, 9\})\}$ . Intersect them with the instantiated base and we get  $\{(b_2: \{2, 3\})\}$ . This corresponds to a base cuboid of one tuple:  $\{(a_2, b_2, c_2)\}$ .

The algorithm for point, range and inquire queries works as follow: initially, for each sub-query, the *tids* (lines 4 and 6) associated with the attributes instantiated in each dimension are retrieved. In case the attribute value is in external memory, it is retrieved a fragment at a time starting with the last one. After the intersection, the lists are merged (line 5) until intersections with all *tids* occur in external memory. Next, the intersections occur among *tid-list* of attributes for each possible summarization. The intersection always starts from lists with fewer *tids* (lines 7-12). Finally, all measures defined in  $Q$  are calculated (line 13).

**Algorithm 1** (Query) performing point, range, and inquire queries;

**Input:** (1) H-Frag data cube and (2) user query  $Q$ ;

**Output:** H-Frag\_R, which includes aggregations processed by the computation algorithm and completed by the query algorithm.

**Method:**

```

1. for each sub-query in Q{ //pQ, rQ or iQ
2.   for each attribute in Di{
3.     if attsInDisk contains attribute{
4.       attribute.tids recover disk
5.       tids ← tids ∪ attribute.tids
6.     }else{
7.       tids ← attribute.tids
8.     }
9.   }
10.  for each tidi in tids {
11.    if tidi ∩ [att1, ..., attn]{
12.      RQi ← tidi ∩ [att1, ..., attn]
13.    }
14.    if tidi ∩ [att1, att2, ..., attn]{
15.      IQi ← tidi ∩ [att1, ..., attn]
16.    }
17.  }
18. }
19. hFqR ← RQi ∩ IQi;
20. }
21. hFqR ← calcMeasures(hFqR, Q, hFragDiM);

```

**4 EXPERIMENTS**

Aiming to verify efficiency and scalability of the proposed approach, a thorough study was conducted. Experiments with H-Frag and Frag-Cubing approaches, testing computation algorithms and queries provided by both approaches, were conducted. H-Frag algorithms were coded in Java 64 bits (version 8.0). Frag-Cubing is a C++ implementation provided by authors and compiled for 64 bits (<http://illimine.cs.uiuc.edu/>). H-Frag approach has two versions: main memory version and hybrid version. The hybrid uses both memories. The main-memory H-Frag version just maintain all data in main memory, so no conceptual changes were introduced to implement H-Frag only in RAM. Query response times using hybrid H-Frag approach considers both external and main memories accesses times. None of the experiments using H-Frag exceeded the physical limit of the machine main memory, so approaches did not require Operating Systems swaps.

The algorithms are sequential versions. The use of multiprocessor architecture is still useful, since there is implicit parallelism. We ran the algorithms on two processors: six-core Intel Xeon with 2,4 GHz each core, cache of 12 MB and 128 GB of RAM DDR3 1333MHz. The disk is SAS 15k rpm with 64MB of cache. The operating system is Windows HPC (High Performance Computing) Server 2008 version of 64 bits. All experiments were executed five times and we removed the longest and shortest

runtimes, calculating the average of the three remaining runtimes.

**4.1 Computing Different Numbers of Tuples**

The tests varying the amount of tuples had linearly stable behaviour in both approaches. We used relations with T=1M, 25M, 50M, 75M and 100M, D = 15, C=10<sup>4</sup> e S=0. In general, H-Frag approach had memory consumption 20 to 35% lower than Frag-Cubing approach when working only in main memory, while the hybrid H-Frag consumed 45% to 65% less memory than Frag-Cubing, as Figure 1 illustrates.

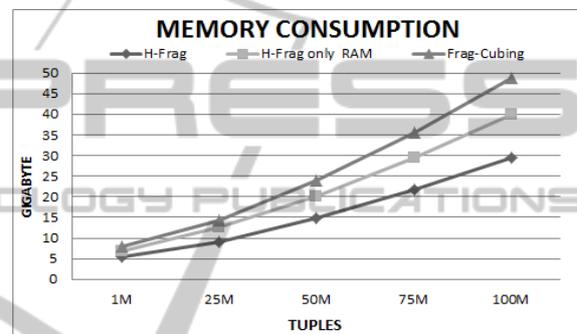


Figure 1: H-Frag, H-Frag only main memory and Frag-Cubing memory consumptions with different tuples: D=15, S=0, C=10<sup>4</sup>.

The cubes runtimes for the respective relations were also linear, as it can be observed in Figure 2. In the worst scenario, H-Frag was three to four times slower than Frag-cubing when computing a partial cube; however, this is a reasonable result if we consider that H-Frag uses external storage.

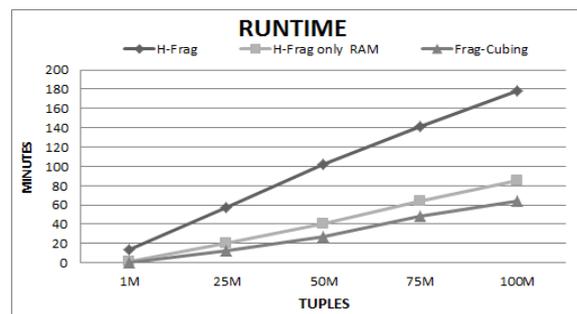


Figure 2: H-Frag, H-Frag only main memory and Frag-Cubing runtimes with different tuples: D=15, S=0, C=10<sup>4</sup>.

### 4.2 Computing Different Numbers of Dimensions

The results of experiments in which the number of data cube dimensions varied are presented in Figure 3. For these experiments, relations with  $D = 30, 60, 90, 120, 150, 180$  and  $240$ ,  $T = 10^7$  M, and  $C = 10^4$  were used. The memory consumption was linear for both approaches; however, Frag-Cubing required 35% to 47% more memory.

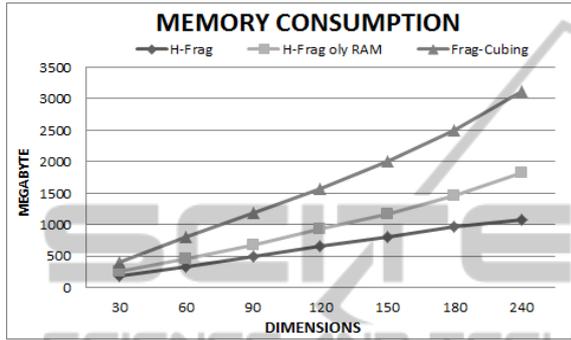


Figure 3: H-Frag, H-Frag only main memory and Frag-Cubing memory consumptions with different dimensions:  $T = 10^7$ ,  $S = 0$ , and  $C = 10^4$ .

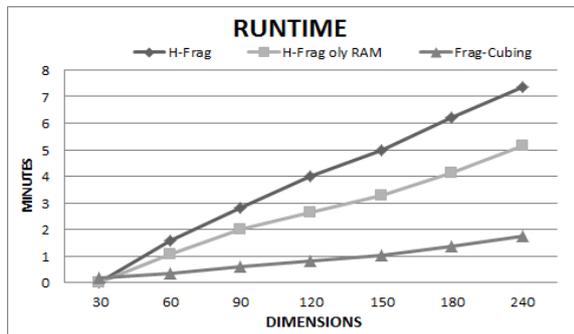


Figure 4: H-Frag, H-Frag only main memory and Frag-Cubing runtimes with different dimensions:  $T = 10^7$ ,  $S = 0$ , and  $C = 10^4$ .

The runtimes were also linear, as it can be observed in Figure 4. In general, H-Frag was between 3.5 and 5 times slower than the Frag-Cubing.

### 4.3 Computing Skewed Relations

We evaluated data cube computations using base relations with different skews:  $S = 0, 0.5, 1, 1.5, 2$ , and  $2.5$ ,  $D = 15$ ,  $T = 10^7$ , and  $C = 10^4$ .

Figure 5 and 6 illustrate memory consumption and runtime results. In the figure, H-Frag and Frag-Cubing approaches show the same behavior; i.e., as skew increased, runtime decreased. However, H-

Frag took 1.6 to 1.3 more times than Frag-Cubing using only main memory. Skewed base relations are very common in real scenarios, where few attribute values are present in almost all tuples. H-Frag stores frequent attribute values in main memory and skewed base relations has more frequent attributes than uniform ones; consequently, H-Frag use more working memory to compute such relations and became faster.

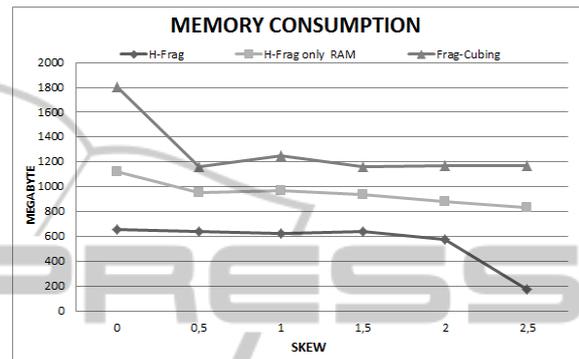


Figure 5: H-Frag and Frag-Cubing memory consumptions with different skews:  $D = 15$ ,  $T = 10^7$  and  $C = 10^4$ .

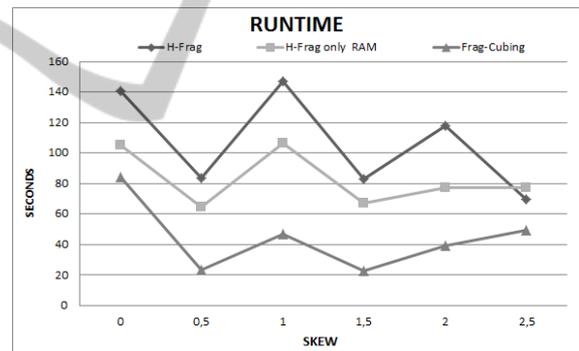


Figure 6: H-Frag and Frag-Cubing runtimes with different skews:  $D = 15$ ,  $T = 10^7$  and  $C = 10^4$ .

In all scenarios, H-Frag significantly reduced memory usage in representing a partial cube. It is evident from the results that Frag-Cubing consumed 23% more main memory than the H-Frag approach when the base relation was uniform ( $S = 0$ ); however, the difference increased as skew increased.

Frag-cubing memory consumption was 50% higher than that of main memory in base relations with  $S = 2.5$ . In relations skewed, approximately half of the attribute values were stored in main memory and half were propagated to external memory. The significant decrease in memory consumption was justified by the irregular frequency of attribute values; therefore, the critical cumulative frequency could be found in all attribute values. Thus, all *tid*-

lists were propagated in external memory; only the references for each *tid-list* are stored in main memory.

#### 4.4 Query Response Time

Frag-Cubing response times are slower than H-Frag (about 2-5 times), even in scenarios where there are many attribute values stored in external memory. Figure 7 illustrates experiments using the relation R with  $T = 10^7$ ;  $C = 10^4$ ;  $D = 30$ ,  $S = 0$ .

Queries with more than two sub-cube operators cannot be answered by Frag-Cubing, since there is not enough continuous memory in 128GB of RAM to allocate many big size arrays with many empty cells. Frag-Cubing duplicates an array size when it reaches its limit. In contrast, the number of small complementary arrays enables H-Frag to produce huge amount of summarized results. Dimension rearrangements based on cardinalities also reduce inquire query response times drastically.

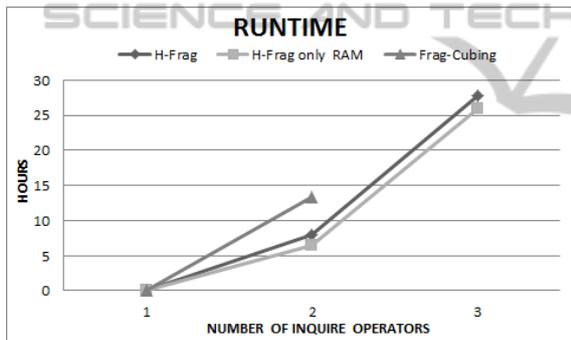


Figure 7: Query Response time with inquire operators:  $T = 10^7$ ;  $C = 10^4$ ;  $D = 30$ ,  $S = 0$ .

Figure 8 depicts results of experiments with queries using attribute values higher than the critical frequency.

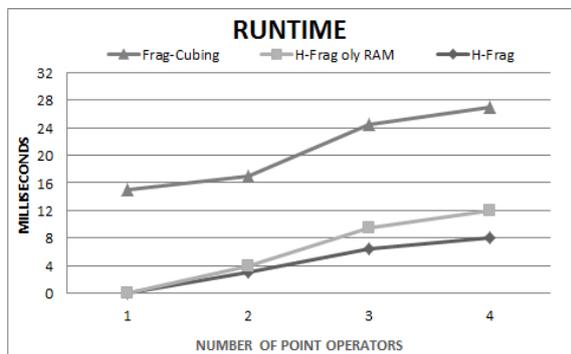


Figure 8: Query response time with point operators, using attribute values higher than the critical frequency:  $T = 10^7$ ,  $C = 10^4$ ,  $D = 30$ , and  $S = 0$ .

Figure 9 illustrates results of experiments with queries using attribute values lower than the critical frequency.

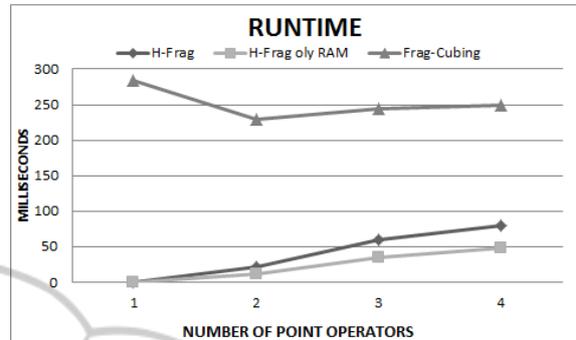


Figure 9: Query response time with point operators, using attribute values lower than the critical frequency:  $T = 10^7$ ,  $C = 10^4$ ,  $D = 30$ , and  $S = 0$ .

#### 4.5 Massive Data Cube

A relation with  $T = 10^9$  tuples was computed by the H-Frag approach. This experiment took 64 hours and consumed 126 GB of RAM. The results show that it is possible to compute massive cubes using the H-Frag approach with no operating system swaps, thereby enabling both updates and queries.

Queries with five range operators, ten point operators, and one inquire operator were answered in less than 35 seconds. To the best of our knowledge, there is no other sequential cube approach that efficiently answers high-dimensional range queries from relations with  $T = 10^9$  tuples.

Data cubes with a high number of tuples could not be computed by the Frag-Cubing approach using just main memory. This was demonstrated by trying to compute a base relation with 200 million tuples and 60 dimensions.

### 5 CONCLUSIONS

To enable the computation of massive data cubes with massive amount of tuples, we implemented and tested an approach named H-Frag. This approach is an extension of Frag-Cubing approach, enabling hybrid memory capabilities, so data cubes with  $10^9$  tuples can be indexed. H-Frag uses the following strategy: attribute values with high frequencies are stored in main memory and attribute values with low frequencies are stored in external memory.

The experiments show that H-Frag is an effective solution for data cubes with high number of tuples. The results show that H-Frag has linear runtime and

memory consumption when the number of tuples increases. Memory consumption of the hybrid version H-Frag is always lower than Frag-Cubing approach. When compared with Frag-Cubing, H-Frag has similar performance in point queries, but H-Frag approach outperforms Frag-Cubing in inquire queries, producing answers 9 times faster than Frag-Cubing approach. H-Frag is designed for queries types proposed in qCube (Silva et al., 2013), so H-Frag is also a range cube approach. In the experiments, we had scenarios where Frag-Cubing approach failed to index the data cube caused by lack of main memory. The H-Frag hybrid memory approach is, on average, 3 times slower than Frag-Cubing in indexing a cube, which can be also considered a promising result, since H-Frag uses external memories to support huge data cubes. A massive test with 60 dimensions and  $10^9$  tuples was conducted to prove that H-Frag is robust and can be used in extreme scenarios.

There are some improvements to H-Frag approach. Among them, we can mention computing and updating experiments for holistic measures, which are extremely costly and important for decision making. Top-k multidimensional queries is part of our interest, since inverted index is also useful for this type of problem.

## ACKNOWLEDGEMENTS

This work was partially supported by ITA, UFOP, FATEC-MC and by FAPESP under grant No. 2012/04260-4 provided to the authors.

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