# Increasing Fault Tolerance in Operational Centres using Human Sensing Technologies: Approach and Initial Results

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Abstract. The analysis of users' behaviours when working with user interfaces is a complex task. It requires various sensing technologies and complex modelling of input/response relationships. A huge amount of data is collected and analysed today but there are multiple crucial factors that play an unknown role in improving human decision processes. The development of new user interfaces and the usage of suitable techniques to recognize interaction patterns, is crucial for creating adaptive systems.

Our work is focused on fault tolerance of Human Machine Interfaces and we develop systems that accept physical user measurements as additional inputs. This can be applied to multiple domains such as Operational Control Centres. We have conducted experiments with professional air traffic controllers with the company Frequentis AG and we present and discuss the results obtained in a project called

Sixth Sense. We also discuss limitations and extensions for future systems.

# 1 Introduction

The automatic analysis of users' behaviours and personal choices when working with a user interface is a complex task. It requires diversified sensing technologies to automatically capture users' behaviours and actions. Also, it requires certain advanced analysis approaches and sophisticated models to enable an automated inference of the context. There is a huge amount of data that may be captured. This includes physical observations like eye movements, facial expression, body poses, gestures, but also heart rate readings, body temperature, and so on. While the amount of potentially available readings is huge, it is not clear which of these measures can be used and for which purpose. We could detect high-stress and high-workload situations. This can be achieved by monitoring the heart rate variability or the eye activity, in terms of areas of interested visited. Also we can use eye information to steer the interface. Therefore, keeping the user focused during critical and monotonous situations. This data must be handled and processed to accurately represent the complexity in the interdependence of all extra activities. It is much more than just user interface selections and systems actions. There are environmental, sociological, psychological and physiological factors that play a possible relevant role in the human behaviour. These factors probably have a high impact in the work being performed by the users. If correctly captured and pre-processed, they are of vital importance to develop better automated systems, that understand, react and adapt to unknown and unpredictable situations.

Our work is focused on improving the fault tolerance of human-machine-interfaces and apply it to different domains, but we are targeting Operational Control Centres (OCC) in general. Examples of this operational centres are: security in public spaces, air traffic control, tunnels and highways or other emergency operational centres. The target is to create systems that accept and process overall user's body measurements as input. It is essential to introduce in operational centres, new approaches for sensor usage at the workplace. This is done without changing the current established procedures or work-flows. A new sensor approach is desired to capture information about the user's movements, body postures, actions or eye scanning paths [7] in a continuous and automatic way. Many institutions are demanded to go through a complex process of certification, if the established work-flows are changed in any way. This has a high impact in the assurance of the safety of the entire infrastructure. It has consequences in terms of extra cognitive effort for the workers (the users will need to adapt to the new working procedures or to new equipment and receive training). This results in high impacts on stress levels, workload and situational awareness [14]. All this, ultimately, translates into high financial costs too. It is important then, to introduce a novel sensing devices, that allow us to better understand human behaviour and decisions with minimal disruptions for the users. Maybe minimal disruption is not possible in all situations, but it is highly desirable. It is crucial for the success of projects that aim at a higher automation of the work place at operational centres. This is specially true, for centres which deal with critical information and where human life is a big concern.

We have to properly learn about relevant sensing input that generate huge amounts of data streams. So, it is crucial to dedicate research to novel approaches for analysis, processing and visualization of large amounts of data. These raw data streams are generated by multiple sources. Examples are sensors, domain specific information events, control messages (like alarms or acknowledgements). If possible, the study of users' interactions should be done at the time of a user evaluation or directly at the work place. This allows the creation of safety-critical systems that can learn, adapt, foresee and overcome human errors or mistakes [24]. With such a framework, the domain data can be collected in real time for an automated processing and analysis. It can also be stored and replayed later for a detailed review by supervisors or data experts. For example, we can aggregate sensors, such as: eye-tracker, body pose sensors (e.g., Kinect), hand gesture sensors (e.g., leap motion) or bio-sensors (e.g., heart rate, electroencephalogram) into the real work context. Then it might be possible to correlate domain specific information with data about the users' behaviour, interactions, current mental or even physiological and emotional status. Nowadays, the accuracy of these types of sensors improved drastically and their price is much lower now, making these sensors very attractive. Both the data analysts and the control centre supervisors can make use of such a system. They can have a better understanding of current difficult situations and use it to augment the meaning of both historical and live operational data.

We present and discuss important research questions related with users' interactions and behaviours. They allow a better understanding of the different challenges. The final goal is the identification of common decision making error patterns [39]. Until now, the usage of interaction data required a tedious processing and analysis effort, specially whenever there was the need of integrating several systems and sensing technologies.

In section 3 the project Sixth Sense [31] is introduced as a case study in the field of air traffic management. This project was sponsored by EUROCONTROL and SESAR [8][9], where together with Frequentis AG we did an evaluation on air traffic controllers' behaviours. Our contribution is to the improvement and development of optimized adaptive user interfaces. We study correlated interaction patterns that are used for creating real-time supportive systems. Section 4 discusses the development of a framework prototype developed to capture and analyse behavioural data directly at the work place. Section 5 describes air traffic control experiments and the air traffic controllers' evaluation. We conducted these studies together with professional air traffic controllers. Section 6 presents results of the project Sixth Sense. We discuss relevant metrics and analysis techniques, and we report lessons learned and main findings.

# 2 Related Work

Until now, the design process of a user interface assumed that interfaces have the users' full attention. User interfaces presume that the user is sitting, has two hands available and can always look at the interface while operating it. This leads to an increase in the number of errors [5][1] while the user operates these user interfaces. Today's and future's interfaces should consider human attention as part of the design criteria [23]. Another important topic is related with the measurement of interaction costs. According to Sauro et al. [28] and Lam [20] the calculation of interaction costs, e.g., physical-motion costs (mouse, gestures), cognitive costs, visual-cluttering costs to perceive state, view-change costs to interpret perception or state-change costs to evaluate interpretation, is still typically not part of the development process of most interactive user experiences; in particular in visualization design. These costs also affect the performance, situational awareness and the workload, and it might even be the root basis for errors performed by the users.

Events in a sequence of discrete events can be described using a proper stochastic model. Usually, human-interaction tasks can be described as a sequence of discrete events. Here parametrized variable length Markov chains (VLMC) can be used as a way to reduce the number of contexts and their variance allowing an easier interpretation of eye tracking or mouse data, allowing to show interpretable regularities in user-traces [35]. Results suggest that fixation durations for a given individual are very stable across reading, line drawings, color photographs and full color renderings of 3D models of scenes [26]. Also it appears that there is no significant correlation between a user's saccade length or fixation duration and long fixation durations for one task, then the fixation duration will be also longer for other tasks performed by the same user [26].

User interfaces and in particular the eye-based ones present enormous potential for efficient human-computer interaction. However, these interfaces also have a great difficulty in inferring intent from user eye movements. Fixation tracing using hidden Markov models to map user actions to the sequential predictions of a cognitive process model, might give a help in this case [27]. Also it is important to investigate relations between eye fixations and mouse cursor alignments [15]. Previous work has shown correlations in the user interactions or to explore the mouse movements to infer query intent or to investigate relations between mouse cursor pauses and high workload periods [12]. Data stream oriented applications are used across different fields and it plays an important role in sensors integration projects. There are several strategies that can be employed for the analysis of streaming information, one of these strategies employs Complex Event Processing Systems (CEP) [22]. CEP combines several existent events to generate a new composite or derived event. These events contain new correlated information that allow the study of the underlying processes. Furthermore, CEP offers the opportunity for an improved loose coupling between software components [6].

The recognition of patterns is an important part in analysing the decision-making mechanisms, and it can be achieved applying advanced outlier detection and machine learning techniques [3]. Recent research clarifies why the human brain makes mistakes and how the decision-making mechanisms work in reality. The decision-making tasks are now linked with sensory evidence delivered in randomly timed pulses where noise is playing a key role as the source of variability and errors [4]. Decisions in real life need to be made based on noisy and unreliable evidence. The accumulation of evidence from a set of noisy observations made over time makes it possible to average over different noise samples, thus improving the estimates of the signal. This is at the basis of the influential class of "drift-diffusion" models. Also, accumulation involves maintaining a memory of evidence and the ability to add new evidence to the memory. Yet until now no test has distinguished between noise associated to these two components. New models [3] are now presented, which estimate the cognitive performance at each moment in time while forming a decision, this without inserting electrodes into the brain. The models provide a better view into brain during the "mulling-over" period of decision-making. The neuroscientists found that internal mental process is perfectly noiseless and that all the imperfections came from noise in the sensory process and not from cognition. There is a variety of controller errors involving perception, memory, decision-making, communication and team resource management. The classification of errors is essential to record data for the detection of trends in incident occurrence. Identifying situations where systems can fail or identifying risky strategies taken by users, makes error analysis a key component in safety management[30].

Human error is a major contributing negative factor in general and sometimes paradoxically automation can often increase the complexity and importance and impact of human error [36]. Shorrock and Kirwan [30] describe in detail a new approach to determine how human errors contribute to incidents. Also the authors review existing models of human performance and taxonomies of human error. The field of behavioural analytics is a subset of business analytics that focuses in analysing seemingly unrelated data points to extrapolate, predict and determine errors and future trends. It takes a more general and human view on the data. It can be used in different domains and applications such as consumer habits analysis, marketing campaigns and decision analysis.

Today applications in all fields may benefit from behavioural and neuroscience contributions. There are multiple behavioural models that attempt to model human be-

haviour. In an overview on behavioural modelling [39] the author presents interesting outlook on this topic. There are big challenges in the design of good visual analytics systems or solutions, the definition of interactive processes to explore and retrieve useful knowledge has been actively discussed [19].

The topic of anomaly (outliers) detection and time series visualization are also important aspects for the analysis of time based data originated from users behaviour and from sensors data streams [16][32][33]. Moreover, the projection of multidimensional data to a lower-dimensional visual display is a common approach used to identify and visualize patterns in data [25]. Strategies for dimensionality reduction are critical in visual analytics [25]. Finally, the possibility of interactively search and explore large collections of data is a strong capability in all visual analytics systems [2].

# 3 Case Study: Air Traffic Management Domain

The domain of air traffic management is a very challenging field on what concerns system complexity, systems interdependency and also concerning human factors. In this field there are several types of operational centres with different needs, that control different areas or sectors. The en-route sector handles ongoing high altitude air traffic. The tower and approach sector (or remote tower control centres) handles landing and departure air traffic. There are even control centres just responsible for monitoring and evaluating other control centre decisions. For example, they decide whether to authorize or not a flight cancellation. In this context, the project Sixth Sense [31] was developed, with the support of the Single European Sky Air Traffic Management Research project (SESAR)(i.e., it targets productivity while keeping the humans in the core of the continuous evolving systems), and the European Organization for the Safety of Air Navigation (EUROCONTROL) [8][9]. In Sixth Sense we address EUROCON-TROL's innovative research topic of implementing full automation in the work place. The goal is to contribute to the improvement of error detection systems through sensor augmentation, while keeping the humans in the main decision loop of air traffic control (ATC). Our interest is to find ways to improve fault tolerance and the collaboration of humans and machines. We want to ease the workload and to handle complex decisionmaking processes by studying the users' interactions with Human Machine Interfaces (HMI)[34][36].

> In this project we are focused on a tower control scenario, however the project implementations are suitable for other air traffic control scenarios, for example, en-route air traffic control. This project had the duration of 24 months (a medium-size project according to SESAR) and it ended on July 2015. For it's development a consortium was created that included: Fraunhofer Austria Research GmbH and Frequentis AG (specialized in developing software for air traffic management and control centres in general, e.g., tunnels remote control). The main goals of the project were the realization of evaluation experiments, to collect data about users' behaviours and decisions coupled with realistic air traffic data. This data was originated from users' interactions with an air traffic control simulator at a standard controller work position.

> Sixth Sense, incorporates simulated air traffic data, such as: flight, runaway information and data about user selections on the user interface. Other useful information is

also considered, for example eye tracking data that we use to analyse areas of interest focused by the air traffic controllers. To collect data for the project, professional air traffic controllers performed their normal tasks, while using the tower simulator in a pre-defined and realistic ATC scenario.

Based on the obtained results, we have identified important predictors related with good or bad decision making. The prototype aimed at augmenting the air traffic controllers working environment with extra sensors and to collect data about the user's body language, such as: arm movements, body positions, hand gestures.

The work of the controller was analysed by domain experts during the experiment and afterwards. This was done by accurately replaying the recorded experiment to classify and label unusual situations or decisions. We developed a new data collection and analysis framework and we were also able to correlate different sources of information and calculate metrics focused on the current work performance of the user, presenting results on a dashboard that could be monitored by the air traffic control supervisor.

The work-flows of ATC centres and control rooms represent the work process of other known producing enterprises. The work-flows define what needs to be done by whom and when, especially in ATC centres this process is already defined quite well. In Sixth Sense we used inputs from another SESAR project, the ZeFMaP project [18], where a detailed analysis of the tower process has been performed in the form of tasks and respective value stream analysis. Additionally, we used inputs from earlier work [11],[21], where the different roles and tasks of a tower control centre were described.

# 3.1 Monitoring Challenges in Air Traffic Management

The work at an air traffic control centre can be very demanding with high peeks of workload, requiring high levels of attention. However, sometimes in airports (specially during the night) there are low activity times, but even during these times it is also important to keep the controllers focused and alert.

As an aircraft travels through an airspace sector, it is monitored by one or more air traffic controllers responsible for that sector. As an air plane leaves that airspace sector and enters another, the air traffic controller passes the air plane off to the controllers responsible for the new airspace sector. An airport like Hamburg, Germany (our simulated scenario) deals with more than 15 million passengers annually. This translates into around 154 thousand aircraft movements annually, 115 national and international non-stop flights and more than 60 airlines. In our tower control experiment the air traffic controllers had to handle around 58 aircraft landings and departures in one hour. We have selected this airport for our scenario because it offers challenging situations, such as, the handling of a cross runway where the air traffic controllers have to put the air planes on hold to allow other air planes to cross.

Consequently, the paramount goal is to improve human error detection through sensor augmentation, while keeping the humans in the main decision loop of the control work, considering that the disruption on the working environment procedures and physical space should be kept to a minimum. In Sixth Sense [31], the first step to achieve this goal was to record and analyse the users' behaviours and decisions. We wanted to use this information as a source of data to illustrate the current users mental map (either by registering all decisions in a graph database or by visually representing the

current landing or departure work-flow steps for each handle air-plane. We have analysed the users behaviours at the work place, which is referred in air traffic management, as the integrated controller work position (iCWP) [10]. To collect the data, we have integrated multiple sensors like eye-tracking, mouse data, Kinect, voice recognition, biosensors, environmental sensors (e.g., temperature, humidity, light) with domain specific information (e.g., flight information, control messages), provided through an air traffic simulator (Fig. 1) developed by the company Frequentis AG. This sensor set was chosen because, it was the most plausible and non intrusive way to automatically collect behavioural and interaction information. The gathered information helps automated systems in the identification of moments of stress (or extreme relaxation), allowing it to also constantly "observe" what happens while the users remain in those states.

We learned about where and what the user was looking at and we analysed the decisions goals. The extra data provided by the sensors is then combined with information available from different domain specific knowledge systems, such as the flight strip system, and with the user interface interactions. From these observations, correlation analysis are performed based on high frequency time-stamped data streams. This allows the system to infer about different probabilities of the user's next interaction (also linked to existent well defined work-flow processes) and also about aspects related with the current cognitive workload.

#### 3.2 Assumptions Considered

To understand which sensor data was potentially useful to explain the users' behaviours, we have prepared two experiments for data collection and for fine tuning of our framework. We considered the following main assumptions:

- It would not be feasible to introduce at once a large number of sensing technologies
- (sensors like EEG or emotional frameworks for deeper cognitive inference). Also these technologies, require most of the times a more invasive set-up, where users have to wear special equipment. Due to the complexity involved in the detection of human behaviour, we started by utilizing single sensors (e.g., eye-tracker, heart rate monitor) to create a standard baseline dataset. In posterior work the researchers and air traffic experts following a similar set-up can easily compare if the introduction of wearable devices have impact or not in the behaviour of the users.
  - Regarding the discovery of common work-flows, we have decided to focus on general eye tracking and mouse sequence analyses (e.g., areas of interested visited and time spent on areas of interest). We performed analysis on the air traffic control work-flow step sequences but future experiments should also be focused on specific and smaller tasks. Our complex event processing was able to reconstruct these complete work-flow step sequences. Therefore in our data we captured the complete work-flow sequences with each main task and we are able to compute metrics that tell us for example how many times an air plane was put on hold or how many runway crosses there was or the total processing time of an air plane.
  - Regarding the inclusion of recommendation and prediction capabilities, it was decided that we would further explore and analyse the data with the domain experts to help them on the finding of unusual decisions or behaviours that might be used

as good predictors. This will allow us to start designing recommendation and prediction models. In our prototype we already included the capability of using a graph database (to capture all meaningful decisions and data relationships in a way that can then be visually explored and for which state of the search and analysis algorithms are proofed to be useful for behavioural analysis), and we have integrated a prediction engine (so that in the future we are able to recommend actions or to send warnings to the supervisors about unusual user behaviours). Nevertheless these implementations were not the goal of the project (the goal was to perform data analysis and labelling, uncover decision patterns and gather good data predictors) and topics like error avoidance and behaviour prediction will be properly addressed in future work.



Fig. 1. Tower Air Traffic Control Simulator. Different information is displayed: alarms on the left side; the radar controls in the center area; and the different drag and drop flight bays on the right.

- The automatic comparison between good and bad user decisions is done by using metrics regarding task load, mental workload, attention and behaviour metrics described in our work. These metrics were gathered with the help of psychologists and air traffic control experts and provide us hints about the detection of distracted users or under high workload.
- We needed professional air traffic controllers to be able to collect meaningful data. To our knowledge this data was not (until now) freely available and therefore we needed to set up evaluation experiments to collect it. The main reason was that we needed to collect not only air traffic data and data about the selection and choices of the air traffic controllers, but we needed to collect related sensor data using new sensing devices like the MS Kinect, Voice Recording, bio-sensors or environmental sensors. This combination of multi-modal data is not commonly available, making these types of projects much more complex from the point of view of initial inte-

gration. At this stage we preferred to have less users on our experiments but we were able to collect data from meaningful users, the air traffic professionals.

- We needed air traffic experts to monitor, supervise and classify the air traffic controllers' decisions, choices and behaviours to better judge about the mental status, situational awareness or workload but also to more correctly annotate the data collected. The quality of the users decisions is accessed in different ways (classification, e.g. positive, negative and by ranking, e.g. 1 to 5 scale) and by different entities (experts, experiment supervisors, experiment observers).
- It was assumed that we needed a very good integration with the existent air traffic control systems (voice recognition modules, flight strip systems, radar). Only in this way we would be able to collect enough realistic air traffic data.
- This use case was focused in the collection of data and in the correlation between different sources of data (sensors and air traffic systems). It had the goal of gathering realistic situations that could capture unusual situations due to high task workload, lack of situational awareness or high stress levels.

Our use case targeted safety and error avoidance, at this stage, not by predicting unusual situations or making recommendations, but by accurately capturing these abnormal situations. It allows the experts, to discuss, explore and visualize this type of data. We can then prepare better models and study and experiment new concepts and methods to create future autonomous systems that can adapt and react.

#### 3.3 Research Questions

Operational centres have to handle domain specific information and communications in very stressful and safety critical situations. Many times, not much information is captured regarding the user's behaviours. Even if this information is partially captured, there is the lack of systems able to process and correlate the data to extract meaningful knowledge about users' behaviours or cognitive status. The "complex data" (i.e. time-series, sensors, multivariate data) collected today is not enough for the analysis and modelling of cognitive processes [37]. New sensing technologies are also needed to provide a multi-modal view of the operational centres controllers work. There is also a big challenge ahead in designing evaluation experiments that can capture the user's interactions and decisions with high fidelity. This project was developed to tackle exactly these questions and problems.

The main research question debated in this work is: "When working in a control centre can the user's body language and choices provide valuable cognitive information, to uncover hidden patterns that allow us to distinguish between "good" and "bad" (erroneous) inputs and interactions and avoid mistakes and bad decisions?"

We developed an integrated framework to capture additional information about the behaviour and the decisions taken by the users. But more importantly we developed a better way to collect data. Not only we needed to collect raw data from sensors, but also simple mechanisms that would allows us to visualize, explore and correlate sensor data with the air traffic information. It makes sense that a system that detects and separates decisions and actions in an automatic way, has the potential to avoid the loss of situational awareness and to decrease the user's stress level. It might significantly improve

the work of air traffic controllers. Starting from this principle and counting with the help of the experts we defined general research questions, such as:

- How to improve the user interfaces usability?
- How to detect main causes that lead to mistakes (e.g., using air traffic info, eyetracker, mouse, heart rate data, body pose)
- What are the hidden data signs that we can incorporate in an automated system to detect and predict the users next actions or to predict when a user is in a high workload situation or is about to make a mistake?
- What are the unknown factors that contribute to higher stress levels or to the lack of situational awareness?
- Can air traffic information be combined with sensor information to improve the detection and classification?

We then generated a list of partial research questions that allow us to guide our analysis in the search for good predictors of the users' behaviours (Table 1). These are example questions created from a list of more than 43 research metrics, that is part of the final Sixth Sense report (SESAR project).

# 4 Software Architecture of the Prototype for Data Collection and Analysis

The simulated air traffic working environment and the descriptive technical documents required for the preparation of our software framework (Fig. 2) were provided by Frequentis AG, the company that developed the air traffic tower simulator utilized in our experiments. The sensor data is stored as queued messages (to avoid losing any messages and because the sensors can provide data at a very high rate). These messages are stored in different modalities, e.g., air traffic info, eye-tracker or heart rate measurements. These are combined automatically into a new source of information, i.e., eye-tracker data is combined with mouse data to form a single source of information for analysis or data export purposes. These data topics are then pre-processed, and analysed either using complex event filters or machine learning processes (a filter looks much like an SQL statement, however we were able to also define, for example, time window intervals for calculation and data aggregation, also the filters syntax is implemented following well defined variables and work-flows in air traffic management). The evaluation of the data mining and machine learning components will be part of future work, outside of the scope of the Sixth Sense [31] project.

The idea of the project was to partially make use of information about the users' body information (eye movements, hand movements, posture, etc.). In this way, we were able to correlate air traffic information (departures, arrivals) with sensor information (eye-tracker, heart rate measurements, kinect). For example the air traffic controllers might mismatch similar air plane call signs, e.g. LH357 and LH375, but by combining voice recognition with eye tracking we could depict that the controller talked to the air plane LH357 while watching LH375.

#### Table 1. Research Questions.

II D	Research Question								
1	Does the number of taxi-in air planes at a given time influences the stress level (highe stress level)?								
2	Pauses in the mouse movement activity are known to be linked to high workload. Is								
	there a correlation between errors and increases in the the eyes/mouse movements to scan the user interface?								
3	When the user is about to make an error is there an increase in the fixation time on the different areas of interest (AOI)?								
4	When there is an increase in the number of Eye AOI fixations, then there is also an increase in the number of Mouse AOI fixations, because there is a relation between eye and mouse work?								
5	The higher the task load the higher it will be the mental workload. How many de partures, arrivals per minute? Is this related with stress and changes in the heart rate variability?								
6	When the workload is higher then the occurrence of errors increases?								
7	When the task load is higher then the occurrence of errors increases?								
8	What is the eye and mouse scan path patterns of the users when they are about to make mistakes? Are these distinctive enough?								
9	Is the heart rate variability a good indicator for when the user is about to make a mis take? Can this information be combined with other sensor information?								
10	Is there a possibility for error detection due to mismatches in the correlation between eye-tracking and voice (call signs) information?								
11	Is it possible to detect excessive demand based on number (or time spent) on areas o interest?								
12	Kinect Data - Is there a possibility for error detection due to the correlation between ai traffic information and the body posture.								
13	Is the number of clicks, mouse movements or AOIs related with the occurrence of er rors?								
14	Can we report what are the users' most preferred eye and mouse scanning sequences?								
15	Can we show how fast the utterances were spoken? Can this metric be utilized to detec								

The Sixth Sense Framework is Composed by Four Essential Functional Blocks:

- The air traffic control tower simulator framework which is managing multiple graphical user interfaces and it is distributing data via the network.
- The complex event processing (CEP) block incorporates an SQL database that is used for logging and reconstructing events on the tower simulator and a NoSQL graph database [17] which is to be used for further detailed actions investigation and exploration.
- In the prediction block there is an Apache Hadoop and Mahout [29] recommendation engine.
- A data visualization block which features HTML5 chart visualizations, filtering and data exploration capabilities.
- All the available air traffic information is collected into an ActiveMQ message queue system (AMQ), in the form of XML messages separated using topic descriptors. The same was achieved for all the different sensors, as an effort to incorporate

the new sensors into existing legacy systems. With the goal of saving processing time on repetitive lookups, we also aggregate specific air traffic data with sensor data, such as integration of air plane call signs with eye-tracking gaze position.

There are essential use case scenarios for the different sensors, based on the expected usage in our context:

- Mouse, eye-tracker and voice recognition data Error detection related with mismatches in the correlation between eye-tracking and voice (call-signs) information.
   Detection of excessive demand based on number (or time spent) on areas of interest (AOI). Detection of user tiredness based on longer times spent on each AOI.
- Kinect data Possibility of error detection due to the correlation between air traffic information and the body posture. Detection of excessive demand based on body and gesture tracking information (speed of gestures). Detection of user tiredness based on body posture.



Fig. 2. The Sixth Sense Software Architecture.

The process starts with an "observe phase" and the collection of raw interaction data (available as queue messages). The data is generated from user interactions when using the ATC simulator system an it is augmented with sensor data. The messages are immediately pre-processed and transformed into events through the complex event processing platform, where we filter and correlate multiple different event sources.

#### 4.1 Features of the Prototype Framework

The main features of the Sixth Sense [31] framework are:

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- Replay of all information topics (on-line data analysis and replay)
- Graph database storage (e.g., storage: body posture, work-flow step, AOI, action)
- Prediction engine training (e.g., give recommendations about possible next handling steps for an air-plane)
- On-line complex event processing (CEP) and dynamic change of correlation filters
- Analysis and visualization of the air-planes arrival and departure work-flow tasks and times (showing any repetition loops)
- Real-time plot of Eye-tracking and mouse current positions
- Visualization of interaction metrics, e.g., current user pace (current effort)
- Awareness dashboard (Fig. 3) with thresholds for cumulated departures or arrivals
- Web observation platform using Web-sockets and D3.js
- Real-time representation in a time line of the supervisors, observers and experts annotations (stress level report, negative and positive observations)
- Handling of voice recognition data from communications between pilots and air traffic controllers (similar to "think aloud protocol")
- Export of datasets for analysis in other tools



Fig. 3. Dashboard in Sixth Sense showing the plot of eye and mouse data and current links in graph database.

# 5 Air Traffic Control Experiments and Users' Evaluation

To capture our baseline data we have designed two experiments. These experiments took in consideration the feedback from the different experts in air traffic control (air traffic controllers and supervisors, developer, psychologists with expertise in Human Factors in the field of aviation). Also our team received specific training at the ATM EUROCONTROL Experimental Center (EEC) in Bretigny, where we could experience in first hand how it is like to be an air traffic controller performing at different sectors (en-route sector , middle sector and approach and landing).

In the context of operational centres and due to the diversity of tasks performed

by the users (manual work, ui interactions, discussions, planning, visual observations, high or low stress, high emotional and critical or emergency related actions, multitude of systems), there are a multitude of challenges to the identification of behaviours or sequence of actions associated with errors or mistakes. This presented many difficulties to the design of our two experiments in terms of sensor location and data analysis. The tasks and decisions performed by air traffic controllers and subjective information on stress (self-rating) or overall physical workload in terms of UI actions (i.e. mouse clicks, eye movements), are critical for grasping the complexity of ATC decisions [23]. They allow the understanding of the reasons behind the actions taken. The collected data allowed us to identify interaction and workload patterns.

In the first experiment we carefully collected and analysed data and we did direct observation of the air traffic controllers. For the experiments, we have used a realistic simulator, provided by our partners (Frequentis AG). The overall set-up is similar to an ATC work environment. It allowed us to collect meaningful data about the user's decisions and actions. These tests were necessary, since the performance of the tracking technologies depends on environmental constrains, the workplace layout, working procedures and many other factors.

The experiment was designed to capture critical data on stress and workload levels in a natural way. It was not necessary to use any artificial strategies, e.g. to induce increases in the workload or effort of air traffic controllers, besides a relative long duration of each simulation (around 60 minutes maximum) and a proportional high air traffic. In the second experiment we have fixed remaining problems with some sensors (kinect, eye-tracking). Mostly, these problems were related with the capacity of the computers to deal effectively with a high data streaming flood coming out of the sensors.

#### 5.1 Method

First, we observed how an expert uses the simulator, using a "Think Aloud Protocol". An experienced air traffic controller performed the same experiment, while commenting his decisions and working sequences. This allowed us to understand the problems involved, ask questions and prepare the real experiments.

To analyse the behaviour and decisions of the air traffic controllers, we have designed an experiment which uses the Hamburg Airport Tower Control scenario as a working base. In Fig. 4, we can see a representation of the experiment set-up. An initial protocol was created for training of the users where we explained how to handle the UI and it served as a test of the entire set-up to guarantee the quality of the data.

We have created a "Behavioural Observation Protocol" for the study of:

- Handling of pending departure air planes and pending taxi-in (arrival) air planes how the users work with these queue lists;
- Number of errors the criteria and indication for the report of an error is related to the handling of air traffic. This is given by the supervisor (the supervisor signals the error, and the ATC acknowledges), and it is added to the dataset by the remote observer. There are certain errors associated with the handling of the UI that can be considered directly by the observer watching the remote video;

 Number of help requests - similar to the report of errors. The observer is alert, to any help request by the ATC to the supervisor in the room through remote observation. This dataset is now part of our base of expert reference datasets.



Fig. 4. Our Air Traffic Control Experiment Setup.

# **Constraints Used During the Two Experiments:**

- Simulation ran for approx. 45 min. (max. 60 min.) for each participant,
- Arrivals were automatically simulated until touchdown,
- Departures are controlled until take off and no runway change was simulated,
- Taxiway Routes could be selected by the operator.

# **General Configurations of the Experiment:**

- Arrivals on runway 23 and departures on runway 33,
- Number of Arrivals: 31 flights and Number of Departures: 27 flights,
- Total number of flights(airplanes): 58 airplanes,
- 8 different types of airplanes: A319, A320, A321, B738, C206, CRJ9, E190, F900,

#### **Task Description**

Every participant got a map of the Hamburg airport and was asked to assume the experiment work place. The participants were informed that it was ok to ask questions about the usage of the simulator user interface (to the air traffic controller supervisor, present in the room). When all questions were answered, the experiment started, and the air traffic information was loaded into the simulator. Every 10 minutes, the supervisor asked, what was the current stress level experienced by the participant and registered extra notes about his personal evaluation point of view of the current performance of the participant. The experiment lasted for 45 minutes, but it could run for 60 minutes maximum, depending on the current air traffic situation. Each participant:

- Had to control landings and departures of the same number and types of air planes.
- Had around 45 min. (max. 60 min.) to perform in the entire experiment.
- Received a document about the experiment with map of the runways and a short description of the simulation scenario.

- 40
  - Had the help of a person familiar with the UI (can ask questions about the UI).
  - Each user had access to the same input devices (mouse and keyboard).
  - The participant handles the same number and type of airplanes (same order).

#### **Participants**

All 8 participants currently work in the field of air traffic control, as air traffic controllers (1 En-route, 6 Ground, 1 trained as a ground controller but works only in simulations experiments). The work experience of the air traffic controllers varied from 20 to 2. Gender: 4 males (50%) and 4 females (50%). Age: 3 participants were aged between 20-30, 3 were aged between 30-40 and 2 were aged between 40-50. One of the participants was wearing glasses.

#### Language

All communications between pilots and air traffic controllers were handled in English. Six of the participants had German as their mother language, 1 Romanian and 1 Spanish. The level of experience with the UI varied from less than 1 hour to 3 years or more.

## **Apparatus/Materials**

- Scenario and Hamburg airport description, map of runways.
- Consent form, pre-questionnaire, post-questionnaire (SASHA [8] and NASA-TLX [13]) and a debriefing-questionnaire.
- Air Traffic Simulation and Experience Control.
- ATC Tower Simulator (ground control info, voice recognition, MS Kinect, Tobii X2-30 eye tracker, mouse and keyboard).
- Environmental sensors (temperature, humidity, light and noise), captured with the Libelium Waspmote sensing platform.
- Heart rate monitoring watch and monitoring bands for each user (Polar Watch).
- ATC replay framework and analysis software prototype.
- Remote Video Recording and Observation Notes Registration.

#### Main Variables in Our Study

- Number of Total Errors Observed. This measure is manually taken by observation
  using a remote video recording software, and complemented with written annotations observed from the air-traffic controller supervisor that is present during the
  simulation in the evaluation room.
- *Number of Total Help Requests*. This measure is manually taken by manual observation using a remote video recording software.
- Every 10 Minutes Stress Level Check. This measure is manually recorded by the air traffic controller supervisor, by direct report from the participant, every 10 minutes, during the evaluation, using a Likert scale from 1(lower) to 5 (higher).
- Number of Air Planes in Pending Departure. This measure is automatically registered by the system.
- Number of Air Planes in taxi in. This measure is automatically registered by the system and manually by remote observation.
- Average Workload. This measure is automatically calculated by our analysis framework, takes the entire simulation period and the number of UI actions (composed by eye and mouse activity).

- Every Minute Workload. It is automatically calculated by our analysis framework, is taken every 60 seconds and uses the number of UI actions (eye and mouse activity).
- Workload at Stress Level Report Time. It is automatically calculated by our analysis
  framework. It takes the workload (eye and mouse activity) at the time the user
  reported the stress level (every 10 minutes), and also the number of UI actions.

# Procedure

When starting the experiment all participants came alone into the evaluation room. Before starting the experiment, the participants were informed that the evaluation was going to be video recorded, including the user interface interactions, and were asked to sign a consent form. They were informed that they could stop the experiment at any time, if they wished so. The participant had to answer a pre-questionnaire which included questions about their experiences as air traffic controllers and about their previous experience with the simulator. The users were assisted in putting on the heart rate monitor bands. We recorded 3 baseline heart rate measurements. In this way we have the possibility to subdivide the users in groups, according to the heart beat rate and heart beat variability. Some users may have a lower heart beat baseline than others. During the experiment the participants were asked to report every 10 minutes about their current experienced stress level. After the trial, the participants were asked to fill in a post-questionnaire (based on SASHA [8] and NASA-TLX [13]) and new heart rate measures were taken. After 10 minutes of relaxing and rest, a debriefing session took place. A structured interview protocol was conducted, where difficult situations were reported and revised (video recordings) and a debriefing-questionnaire was filled by the participant. Questions regarding subjective experiences while working with the simulator UI were also asked to each participant (debriefing-questionnaire). We have implemented a protocol for reporting problems or common questions and to avoid and improve similar situations in the future. Also, we measured the relation between the number of errors and help requests reported (through remote video observation and supervisor feedback) and the number of pending departures and air planes in the taxi-in, queue lists.

#### 5.2 Experiments to Assess the Data Quality

In our experiment the data quality (Fig. 5) was evaluated in distinct ways:

- Integration and collection of air traffic related data through the usage of a message queue system and a MySQL database (this dataset can be replayed and extracted to csv files for posterior analysis using statistic tools).
- Storage of environmental data (e.g., temperature, light and humidity in the room) and the heart rate of participants that authorized this procedure.
- Transformation of questionnaires and observations into text files (csv format) for posterior treatment and analysis.
- Through the creation of complex event processing filters it is possible to generate in our prototype, new datasets with new measuring variables. For example, regarding the usability workload measure, we can implement a filter that simply returns new updated results every 60 seconds instead of every 10 minutes.

- We have performed flight work-flow task sequences identification (this step was not provided by the ATC systems that we used)
- We have used the well known statistic tools R and SPSS, for analysing correlations between all the variables. After extracting the necessary datasets in a text file (csv format), we import it and we make the necessary rearrangements on the meta data, so that it can be analysed and charted in R and SPSS.
- The R analysis, revealed to be the right choice for the treatment of our data. We call the scripts directly in our prototype and they allowed us to study correlations on a big quantity of variables and data. For building the correlation matrices we have used the default Pearson correlation method. Because of these correlation tables, we could direct our analysis in a much easier way, looking mainly at the most important correlations. For building these matrices we have used the Pearson correlation method. For our final analysis we have used the M-Estimation method. This method is a robust technique that helps in the identification of data errors and other problems (e.g. outliers).
- Creation of extra analysis datasets (errors, number of departures and landings between errors, several task related metrics).
- Heart Rate Variability Calculation

# 5.3 Data Analysis, Exploration and Visualization

Besides using our framework, we used other tools to analyse eye and mouse areas of interest (AOI), to study of interaction sequences and to explore the data.

Firstly, for the analysis of mouse and eye-tracker data, we used the open source software OGAMA (from TU Berlin). We defined and calculated eye positions and AOI correspondences. The eye-tracker data stream contained many rapid eye position changes. The visual perception of the human needs time to realize elements of a graphical user interface (in our case the ATC simulator). We were interested in eye gaze positions that were actively realized by the user (fixations). The calculation of eye fixations automatically takes the AOIs into account and connects the results. A similar process was for the mouse "fixations", i.e., positions on which the mouse cursor rested for a certain amount of time. The results were then exported to a comma separated file (CSV) for visualization and correlation with other datasets in other tools (e.g., R). An off-screen AOI for large time slots (>500 ms) between fixations was added too.

Secondly, after obtaining these AOI, we studied the sequence patterns in a software created by Fraunhofer FKIE, called Event Analyser [35], that is based on Markov chains.

Thirdly, we performed data exploration sessions with the different experts (e.g., ATC experts, psychologists). We have followed a reduced matrix of metrics related to task-load, mental workload, performance, attention and behaviour, where we tried to combine first the most obvious metrics (according to the experts in the different fields).

Finally, for users that authorized heart rate monitoring, we analysed the heart rate variability (HRV) of each user. HRV is affected some factors like: aerobic fitness, age, genetics, body position, time of day, and health status. A low HRV indicates is associated with stress or over training. We used the HRV together with the experts classifi-

Data Quality Assessment											
/ariables	Topics (Datasets)	User1	User2	User3	User4	User5	User6	User7	User8	Total Events by Topic	Description
3	Supervisor & Observer	51	65	13	123	91	91	107	152	693	Reports from Supervisors and Observers
3	StressLevel	6	6	6	6	6	6	7	6	49	Stress Level reports (from users)
42	ElighObject	616	420	57	436	302	241	340	434	2846	Flight Information
6	Selections	420	302	17	211	197	149	209	268	1773	Strips Selections
10	Eye	0	0	53	169	9097	61770	72534	68116	211739	Eye Tracker
4	GlobalMouse	0	72891	2929	79618	3844	12700	7588	8082	187652	Mouse UI Hook
7	Mouse	3046	1929	110	1290	916	1838	1266	1915	12310	Mouse Listner
23	Kinect	27351	0	0	0	7561	0	0	0	34912	Kinect Listner
12	Voice	1014	1160	36	1242	256	899	1126	1587	7320	Voice Listner
4	Waspmote	0	0	0	0	1807	2754	3041	3376	10978	Waspmote Listner
12	Heart Rate Measurements	0	2978	2274	5347	0	4512	0	5184	20295	Heart Rate Events Collected
13	Eye AOI (Fixations, Gazes, Sacades)	0	0	0	0	0	1625	3396	3767	8788	Eye Tracking Areas of Interest
13	Mouse AOI (Fixations, Gazes, Sacades)	0	2217	0	2325	62	2729	1002	1083	9418	Mouse Tracking Areas of Interest
152	<= Total Number of Variables	32504	81968	5495	90767	24139	89314	90616	93970	508773	Total Data Collected in 2 experiments
58	<= Total Number of Airplanes	Number of Total Events Events Collected									
27	<= Departures										
31	<= Arrivals										

Fig. 5. Data Collected and Quality Assessment for Different Datasets and Sensors.

cation of negative observations to check for correlations in the data, this allowed us to discover predictors for negative events.

# 6 Results and Discussion

We present some of the results of the Sixth Sense [31] project as an example of what can be achieved and of what can be the outcome of a behavioural analysis study. For a more complete overview, the reader can have a look at the Sixth Sense project report at the SESAR website or contact us.

- Research question 1. Interdependence between taxi-in air planes and higher stress levels through time?

We have found indications for relations between the number of arrival and departure air planes and the report of higher stress levels by the users.

 Research question 2. Pauses in the mouse movement activity are known to be linked to high workload. Is there a correlation between errors and increases in the the

eyes/mouse movements to scan the user interface?

There appears to be a relation between the occurrence of errors and the increase of eye or mouse events. The data indicates that there is a possible link between reductions in mouse movement and increases in the eye movements, coincident with the occurrence of negative observations.

- Research question 9. Heart rate variability as a good detector of mistakes? After the data analysis we concluded that the heart rate together with the reduction in mouse activity, the number of visual UI objects to be managed (e.g. flight strips) and the eye tracking AOI frequency and duration provide very good clues for anticipating moments of stress, high workload and the occurrence of negative observations.
- Research question 10. Possibility of error detection due to mismatches in the correlation of eye tracking or mouse data and voice. There are direct relations between an increase in the number of words utilized by the air traffic controllers and the occurrence of negative observations. This always follows the same pattern.
- Research question 12. Kinect Data Possibility of error detection due to the correlation between air traffic information and the body posture
   We have found the Head Coordinate State and the User in Range variables, very promising for implementing a future error predictive system. We could account (include in the same time interval) at least 96% of all negative observations reported by the experts, by filtering data using these two variables.

#### 6.1 Discussion

The goal of our current and future work is to study interaction issues and identify negative patterns (non-optimal user decisions). These behaviours affect the performance of air traffic controllers and exercises an unnecessary cognitive workload [37] burden on the users. We are not working only around operational centres or air traffic control scenarios. We initiated projects in other fields where we use this results applied to other domains, e.g., on the improvement of data search user interfaces or in the development of better systems in car driving.

These studies have the potential of showing interaction problems linked to increases on workload, stress levels and to situations that evoke a high demand of attention from users. We seek to understand the reasons and to identify the data signals that lead to users' confusion or decreases in their normal response capability. There is space for improving current user interfaces [1] used in all domains. This can be done also by analysing correlations between experience levels (e.g., experience performing similar work or using the same UI) and our framework measures (e.g., regarding task load, mental workload, attention, behaviour, performance).

It is interesting to verify which specific decisions and actions contribute to the decrease in situational awareness (e.g., the number of visual elements to be handled at a certain time by the user). Reasons that lead to significant increases in the stress levels or that answer why there is degradation throughout time are also investigated. Sometimes this happens in a unclear way, that becomes only more evident after combining different sources of information and by having a more complete view of the users actions and behaviours. We compared supervisor and observer annotations with other data collected, to derive conclusions about the answers (negative or positive), actions and decisions taken. We created an expert dataset that can be used as a comparison reference, after more data is collected from non-expert users. After our data analysis we selected the most prominent variables (among many), the ones that better represent the cognitive behaviour and the decisions of the users. We have created a framework to allow a real time integration and processing of streamed data directly at the data generation time. These types of frameworks are not usually available, making the investigative work of analysts and experts very cumbersome when done manually. It is desirable that the experts supervising daily activities in operational centres, can be better supported through automated anomalies detection, specially avoiding the necessity of drilling down big amounts of data.

Studies like this one, are limited by the amount and diversity of data collected and by the "noise" existent in the data, derived from the fact that it was not possible to capture data without errors. We need models to classify and define what is exactly an error. In our case, we worked with the domain experts. It was a manual process of labelling and classifying relevant data events that paid off. In the specific case of human behaviour, studies also must consider that certain aspects may not still be known and they might not even be currently captured in the existent data.

Hence there is always the need to incorporate unknown data facts. This can be done in our case by simply replaying the experiments to correlate new facts, already using new labelled data. The order and time synchronization of data events, as wel as the sample rate is very important. We have dedicated a great effort to make this step as good as possible. For example, in the ATC use case, the capture of information related with the radar area was challenging. The systems do not provide enough information feedback about the visual user interface (aircraft labels and position) and eye tracking fixations do not correspond directly to an aircraft id. Instead we subdivided the radar area into four sub areas (left high, right high, left lower and right lower parts). This allowed us to know at which area the users were looking. Here the problem of having dynamic areas of interest (e.g. a UI control the can be re-sized) might interfere with the calculation and analysis of AOI. Sometimes, we do not know when a problem is due to other unknown aspects. To have a better assurance we would need to carefully design new experiments and use other sensing devices, e.g., brain waves, perspiration or emotional expressions.

#### 6.2 Contribution and Benefits

The ability to pre-process and analyse streamed data directly at an evaluation study or at the work place revealed to be a good option in our case because we could annotate better our data by constantly observing all the remaining details that are not possible to be captured by the sensors (e.g., user delay because of a dropped pencil). The focus in the development of a more comprehensive framework for near real-time analysis, reduces the burden in data interpretation and it is therefore self-justified. It means that we can now feed on-line machine learning models that can be constantly trained and adjusted to learn and adapt to new changes. The Sixth Sense project has laid important foundations for the creation of active and supportive systems that can be of great value also for the supervisors in their daily activities. Only a small part of all possible existent sensors could be analysed within our project. This is a great starting point for adapting a system to the user.

As a major outcome of the project we expect to bring the supervisor and users in general, much more into the core command of the overall operations by automating certain tasks. In this manner, the sensors provided information about the intentions of the user and the analysis can result in a recommendation or reaction from the system that is beneficial for the job. We are also working on ways to introduce a mechanism, to make usage of ontologies as a hierarchy of user interface concepts, where we can take advantage of the interrelationships of those concepts. It was challenging to get the data we needed and in a timely manner. There were a high number of technical limitations that we had to overcome. An example of this was the integration of sensing technologies with the existent ATM system used for the simulation scenarios. Another example was the need to aggregate knowledge about different sensors that work at different frame rates. We discuss in more detail these challenges in our Sixth Sense project.

#### 6.3 Conclusion

Control centres can be improved by monitoring the users' actions and decisions. Our system allows to record and collect the users' direct inputs as well as behavioural data from sensors, including body pose aggregated with the various status of the available systems. It is possible to separate work-flows into tasks and identify individual decisions in an automatic way. Supervisors can rank the users' decisions and available system predictions.

By combining all this data, we expect to be able improve the performance and the awareness of users at work. We are now able to continue working on ways to predict the next choice of a user or on ways to infer if a user is moving or relaxed, by observing the respective users' movements. We want to automatically create a database of best work-flows that reflect different users and that is able to display recommendations about optimal next actions.

This allows the creation of systems that are able to deal with unusual behaviour.

Several challenges are solved and we are fine tuning some parts of the system. A final step of this type of project is to confirm if the framework and overall prediction concept is performing well in not know situations. We need to receive feedback from supervisors regarding the results and advices given by such system. This also opens up new possibilities to other research topics, leading for instance to the implementation of more comprehensive cognitive models in many domains. This facilitates, for example, the acceptance of this nature of intelligent systems by the users, or the integration of more forthcoming sensing technologies in the near future.

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