

# Deep Learning-based Method for Classifying and Localizing Potato Blemishes

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**Abstract:** In this paper we address the problem of potato blemish classification and localization. A large database with multiple varieties was created containing 6 classes, i.e., healthy, damaged, greening, black dot, common scab and black scurf. A Convolutional Neural Network was trained to classify face potato images and was also used as a filter to select faces where more analysis was required. Then, a combination of autoencoder and SVMs was applied on the selected images to detect damaged and greening defects in a patch-wise manner. The localization results were used to classify the potato according to the severity of the blemish. A final global evaluation of the potato was done where four face images per potato were considered to characterize the entire tuber. Experimental results show a face-wise average precision of 95% and average recall of 93%. For damaged and greening patch-wise localization, we achieve a False Positive Rate of 4.2% and 5.5% and a False Negative Rate of 14.2% and 28.1% respectively. Concerning the final potato-wise classification, we achieved in a test dataset an average precision of 92% and average recall of 91%.

## 1 INTRODUCTION

Potato is one of the most important food crops consumed all over the world with a total production that exceeds 374.000.000 tons (IPC, 2018). The physical aspect of this edible tuber is of great importance in determining the market price between the different stages of the supply chain. Their quality is affected by different types of blemishes that may be visually identified. In most cases, the quality control is still done manually by human operators, where the main drawbacks are: subjectivity and high labor costs. Thus, several inspection methods have been developed to automate these tasks in a more efficient and cost-effective way. Computer vision and machine learning techniques have been applied successfully in the quality control of agricultural produce (Barnes et al., 2010; Jhuria et al., 2013; Zaborowicz et al., 2017). The first works were focused on computer vision systems consisted of three main stages: firstly, pre-processing of images acquired by cameras were done. Secondly, hand-crafted features were extracted in order to obtain relevant information about the object and finally, machine learning techniques were used to classify according to features extracted (Miller and Delwiche, 1989; Bolle et al., 1996; Tao et al., 1995). The key problem with these systems

is the difficulty to design a feature extractor adapted to each pattern, that require human expertise to suitable transform the raw input image into a good representation, exploitable to achieve the classification task. In the last few years, deep learning techniques have demonstrated outstanding results in many research fields, such as image classification (Mohanty et al., 2016; Oppenheim and Shani, 2017; Picon et al., 2018), object detection (Redmon et al., 2016), speech recognition (Hinton et al., 2012) and semantic segmentation (Badrinarayanan et al., 2015). The main advantage of deep learning methods is their ability to use raw data and automatically find the representation needed to achieve the classification or detection task. Deep Learning applied in agriculture is growing rapidly with promising results (Mohanty et al., 2016; Brahimi et al., 2017; Oppenheim and Shani, 2017). Unfortunately, these methods mainly use a pixel-labeled dataset which construction is laborious and time-consuming. For the remaining methods, either they do not do blemish localization, i.e. they do only classification, or an approximate localization using a patch scale too large. Furthermore, deep learning based methods are not widely explored in potato blemish detection. The main contributions of this work are as follows:

- A large image-level labeled dataset that contains 6 different classes was created with the help of two experts including multiple varieties of potatoes and images taken using multiple camera devices.
- The created dataset was used to train an efficient Convolutional Neural Network, that classifies potato faces and also selects the images that require further analysis.
- A combination of autoencoder and SVMs is proposed to localize the damaged and greening areas in these selected images.
- We introduced a global evaluation of the tuber according to the previous results.

The paper is organized as follows: Section 2 presents a brief related work. We detail our proposed method in Section 3. Discussion and results are presented in Section 4. Finally, we conclude the paper in Section 5.

## 2 RELATED WORK

Machine vision systems have been widely applied to classification and blemish detection in agricultural produce. In (Bolle et al., 1996) authors proposed a system to classify fruits and vegetables in grocery and supermarkets stores. Color and texture histograms were used as input to a nearest-neighbour classifier achieving over 95% top-4 choices correct responses. A vectorial normalization was proposed in (Vízányó and Felföldi, 2000) to differentiate between the natural browning and the browning caused by disease in mushrooms. In (Xing et al., 2005), a method that use principal component analysis on hyperspectral images for determining apples as sound or bruised was presented. An accuracy of about 93% for detecting sound apples and 86% for bruised apples was achieved. In (Blasco et al., 2007), the authors introduced a region-oriented segmentation algorithm to identify defects of citrus fruits. An accuracy of 95% was obtained. A main drawback of this method is that authors assumed that most surface of the fruit was of sound peel, which is not always the case. A banana segmentation method was proposed in (Hu et al., 2014). The segmentation of the banana from the background and the detection of damaged lesions were made by two k-means clustering algorithms. Machine vision systems applied to potatoes were studied in several works. Authors in (Zhou et al., 1998) applied color thresholding in HSV color space to detect greening potatoes. In order to classify potatoes

by shape, they compared the detected potato boundary with an ellipse which represented a good potato shape. The projected area of the potato and the minor axis of the fitted ellipse were also used for classifying by weight and size respectively. The overall success rate was 86.5%. In (Noordam et al., 2000), a method for grading potatoes by size, shape and various defects was introduced. Color and shape features were used to train a Linear Discriminant Analysis combined with Mahalanobis distance classifier. Eccentricity and central moments were used to differentiate between defects and diseases. Then, Fourier Descriptors were used to detect misshapen potatoes. Unfortunately, pixel-level labeled datasets were needed to train and validate the models for each potato cultivar. Potato classification in good, rotten and green was presented in (Dacal-Nieto et al., 2009). Features for every RGB and HSV channel were extracted using histograms and co-occurrence matrices. Then, feature selection was applied using a genetic algorithm to finally classify potatoes with a nearest neighbor algorithm. Detection rate of 83.3%, 88.5% and 84.7% was achieved for good, rotten and green potatoes respectively. (Barnes et al., 2010) introduced an AdaBoost based system to discriminate between blemished and non-blemish pixels. Color and texture features were extracted and the best features for the classification task were automatically selected by the AdaBoost algorithm. They achieved a success rate of 89.6% for white potatoes and 89.5% for red potatoes. Authors in (ElMasry et al., 2012) developed a real-time system to detect irregular potatoes. Geometrical features and Fourier Descriptors were used as input to a Linear Discriminant Analysis to identify the most relevant features that were useful to characterize regular potatoes. A success rate of 98.8% for regular potatoes and 75% for misshapen potatoes was achieved in a test experiment. A method based on Principal Component Analysis combined with one-vs-one SVM multi-classifier was proposed in (Xiong et al., 2017). They attained an overall recognition rate of 96.6% for classifying potatoes in normal, green, germinated and damaged. Recently, various methods based on deep learning have been applied to image analysis of agriculture produce. Authors in (Mohanty et al., 2016) used a public PlantVillage dataset to identify 14 crop species and 26 diseases. They analyzed the performance of two CNN architectures: AlexNet and GoogLeNet. The best accuracy achieved was 99.35% with the pre-trained GoogLeNet using a color dataset. Another work on leaf disease classification was presented by (Brahimi et al., 2017). They fine-tuned a pre-trained CNN to classify 9 different diseases in tomato leaves. They demonstrated that fine-tuning a pre-trained

CNN outperformed shallow models with hand-crafted features. In (Oppenheim and Shani, 2017) the authors proposed a method to classify patches of potatoes in five distinct classes: healthy, black dot, black scurf, silver scurf and common scab. A Convolutional Neural Network was trained with a patch labeled dataset achieving an accuracy of 95.85% using 90% of the data for training. (Ming et al., 2018) introduced an ensemble-based classifier (EC) where a combination of hand-craft and learned features were used to detect sprouting potatoes. Color histograms, Haralick features and SURF features were used to train traditional classifiers (SVC, KNN, AdaBoost). Furthermore, multiple channels CNN (MC-CNN) were also trained. They showed that the EC with the MC-CNN improved the prediction rate in 4% with respect to the EC without the MC-CNN.

### 3 DATA AND METHOD

In this section we introduce at first the theoretical background of our proposed method. Then, a detail explanation of the different stages of the system is given.

#### 3.1 Autoencoders

The autoencoder is a neural network that aims to learn a more suitable representation of the data, usually by reducing its dimension (Goodfellow et al., 2016). It is trained in an unsupervised manner to reconstruct the input by minimizing the error between the input and the output. We can split the network in two parts: the encoder function, where the encoding of the input is done, and the decoder function, where it tries to reconstruct the input from the code obtained by the encoder. The purpose of the reconstruction is to obtain a useful compressed representation (the "code") which will be usable as input of a classifier. As we can see in Figure 1, the encoder function  $f$  maps an input  $X$  to a hidden representation  $Z$ . Then, the decoder function  $g$  maps the hidden representation  $Z$  to an input reconstruction  $Y$ . Usually  $f$  and  $g$  are nonlinear functions (sigmoid or hyperbolic tangent). The encoder and decoder output are described in the Equation 1 and Equation 2 respectively, where  $W, W', b_1, b_2$  are the learnable parameters.

$$Z = f(WX + b_1) \quad (1)$$

$$Y = g(W'Z + b_2) \quad (2)$$

The minimization of the reconstruction error is done during the training phase. For real-valued output

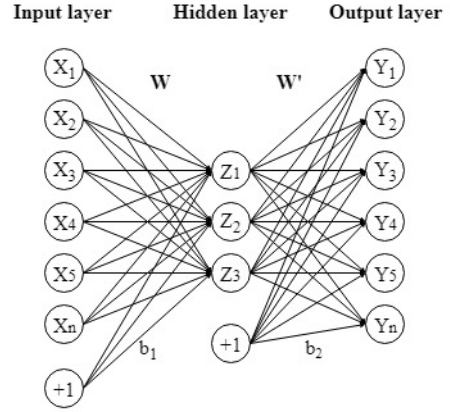


Figure 1: Diagram of a basic autoencoder.

we usually use the square-error loss function (Eq. 3) and for binary output the cross-entropy loss function is normally used (Eq. 4).

$$L_{SE}(\theta; X) = \sum_{i=1}^n \|x_i - y_i\|^2 \quad (3)$$

$$L_{CE}(\theta; X) = - \sum_{i=1}^n [x_i \log(y_i) + (1 - x_i) \log(1 - y_i)] \quad (4)$$

where  $\theta = (W, W', b_1, b_2)$  and  $n$  is the total number of input data.

We usually add to the loss function a regularization term, also called weight-decay, to penalize large weights and avoid the overfitting as:

$$L(\theta; X) = L(\theta; X) + \frac{\lambda}{2} \|W\|^2 \quad (5)$$

where  $L$  represents the square-error or cross-entropy loss function and  $\lambda$  is the regularization parameter.

#### 3.2 Support Vector Machine

Support Vector Machine (SVM) is a supervised learning method proposed by (Cortes and Vapnik, 1995) for solving classification or regression problems. The simplest case is when data belong to only two classes. The SVM will be trained to find a hyperplane that best separates these classes, which is mathematically described as:

$$f(x) = w^T x + b \quad (6)$$

and the decision function as:

$$y = \text{sign}(w^T x + b) \quad (7)$$

where  $x \in \mathcal{X}$  is the input data,  $y \in \{-1, 1\}$  is the output class and  $w, b$  the learnable parameters. The distance between this hyperplane and the nearest sample

is called margin. The larger the margin, the better ability to generalize has the model and that is why the SVM looks for the optimal hyperplane that maximizes the margin. To determine the optimal hyperplane, we look for the minimum distance between the hyperplane and the closest example of each class (positive and negative class). Finally, the optimal hyperplane can be found if we solve the quadratic problem of linear constraints that follows:

$$\min_{w, \xi} \left( \frac{1}{2} \|w\|^2 + C \sum_{i=1}^n \xi_i \right), \quad C \geq 0 \quad (8)$$

subject to

$$y_i (w^T x_i + b) \geq 1 - \xi_i, \quad \forall i = 1, \dots, n \quad (9)$$

$$\xi_i \geq 0, \quad \forall i = 1, \dots, n \quad (10)$$

where  $n$  is the size of input data,  $C$  is the penalization parameter that we can modify in order to accept more or less inaccurate classification and  $\xi_i$  a slack variable. To solve the minimization problem of Eq. 8, we use the dual formulation:

$$\max_{\alpha} \left( \sum_{i=1}^n \alpha_i - \frac{1}{2} \sum_{i,j=1}^n \alpha_i \alpha_j y_i y_j x_i^T x_j \right) \quad (11)$$

subject to

$$\sum_{i=1}^n \alpha_i y_i = 0, \quad (12)$$

$$0 \leq \alpha_i \leq C, \quad \forall i = 1, \dots, n \quad (13)$$

And the decision function:

$$y = \text{sign} \left( \sum_{i \in SV} \alpha_i y_i x_i^T x_i + b \right) \quad (14)$$

where  $SV$  are the support vectors, i.e, samples for which  $0 < \alpha_i < C$ .

To adapt the SVM to non-linear problems we replace the function  $\phi(x, x_i) = x^T x_i$  by a kernel function defined as:

$$K(x, x_i) = \langle \phi(x), \phi(x_i) \rangle \quad (15)$$

where  $\phi(x)$  is the mapping function that project the input data  $x$  to a new feature space  $v$  where a linear solution exists.

### 3.3 Convolutional Neural Networks

A Convolutional Neural Network (CNN) is a type of neural network consisting of a sequence of layers that convolve the inputs to obtain useful information (Le-Cun et al., 1990). Convolutional, pooling and fully-connected layers are the main layers that we can find

in these networks. The convolutional layer convolves the input image with the kernel filter in a sliding-window manner. The filters are the learnable parameters of the network. By this operation, the features of the input image are extracted and the output is normally called Activation map or Feature map. After the convolution, an activation function is applied to introduce non-linearity in the CNN. Rectified Linear Unit (ReLU) is generally applied, which is defined as:

$$f(x) = \max(0, x) \quad (16)$$

The output obtained by a convolution operation is calculated as follows:

$$z_j^l = f \left( \sum_{i \in M_j} x_i^{l-1} \times W_{ij}^l + b_j^l \right) \quad (17)$$

where  $z_j^l$  is the output of neuron  $j$  in convolutional layer  $l$ ,  $f$  is the activation function,  $M_j$  is the set of input features,  $x_i^{l-1}$  is the input feature of layer  $l-1$ ,  $W_{ij}^l$  is the  $i$ th weight of neuron  $j$  in layer  $l$ , and  $b_j^l$  is the bias of  $j$ th neuron in  $l$ th layer. The pooling operation is then applied in order to reduce dimensionality and acquire spatial invariant features (Scherer et al., 2010). Max pooling and average pooling are examples of commonly use pooling operators. The first one applies a max-filter to sub-regions of the previous layer representation in order to keep the maximum value of each sub-region. The second one, applies an average-filter resulting in an average value of each sub-region. At the end, a fully-connected (FC) layer can be applied for high-level reasoning. Each neuron of this FC layer is connected to all neurons of the previous layer. For classification, the output of the last FC layer pass through an output function, like a *softmax* function.

### 3.4 Overall Scheme of Proposed Method

Figure 2 presents the overview of the proposed method. It is composed by three main phases. Firstly, a CNN was trained to classify face potato images and to select faces where defects must be localized, i.e. damaged and greening faces. Secondly, a combination of autoencoder and SVMs was applied on the selected images to localize defects in a patch-wise manner. Finally, in the third phase, we used the localization results of the previous phase to train two SVMs to classify damaged and greening potatoes by defect gravity. A detailed explanation of each phase is presented:

- (a) Training for classification: we fine-tuned a pre-trained CNN with our training dataset to classify the images in 6 distinct classes.

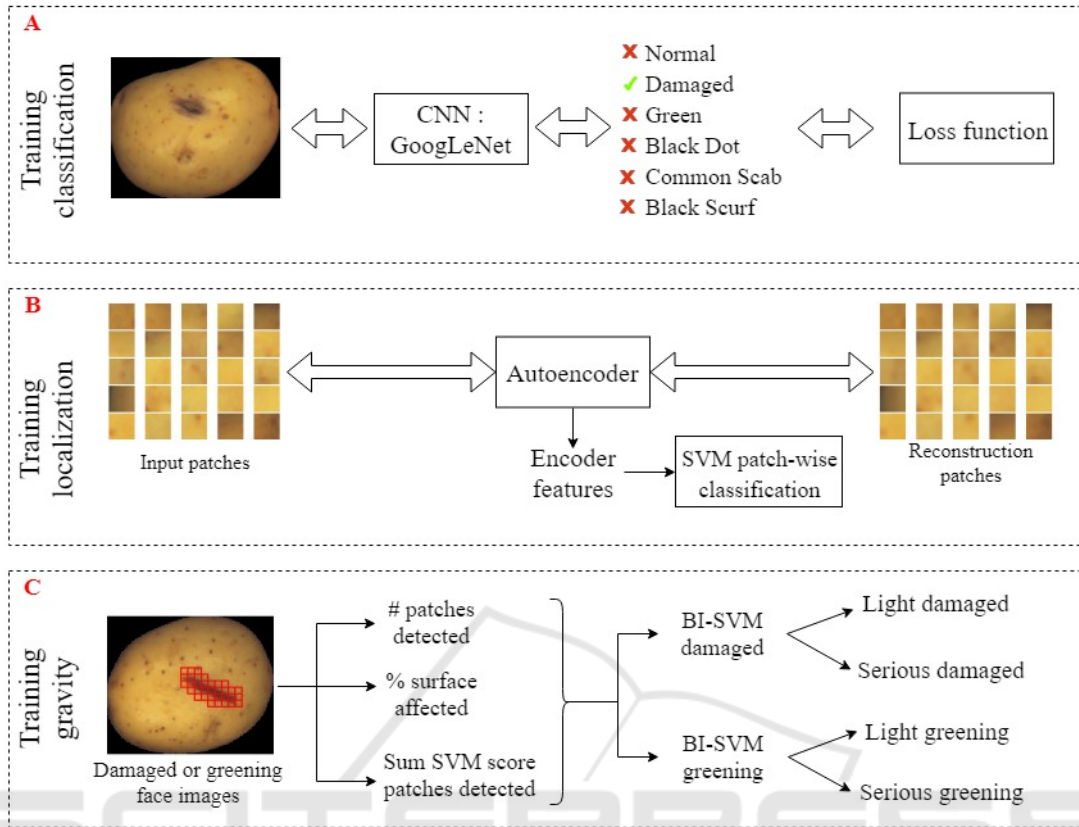


Figure 2: Scheme of the proposed method.

Three powerful pre-trained deep neural networks were tested to keep the one who best suits our problem (AlexNet (Krizhevsky et al., 2012), VGG-16 (Simonyan and Zisserman, 2014) and GoogLeNet (Szegedy et al., 2015)). All of them were trained on ImageNet (Deng et al., 2009), a dataset of more than 1 million images of 1000 classes. In order to fine-tune the pre-trained network, we replaced its last fully-connected layer of 1000 output classes, by a new one that classifies the images in 6 classes. We obtained the best results with GoogLeNet (more details about the network selection are in Section 4.1). The CNN was used to classify potato faces and also selects the images that will move on to the next step for further analyses. Because of the whole image analysis, this method allows us to take into account the context information, which was not possible to achieve with patch-wise processing. For example, the extensive diversity in potatoes makes difficult to analyze the appearance of defects only based on small regions. Furthermore, the CNN reduced the number of images that would be processed in

the second stage by selecting only the images where a defect must be localized, i.e. damaged or greening potatoes. Nevertheless, we compared the results obtained with and without the CNN classification to better understand the usefulness of this phase.

(b) Training for localization: to classify greening and damaged potatoes by gravity we need to identify the size of the surface affected by the defect. We trained an autoencoder with  $16 \times 16$  patches extracted from images, excluding background. The encoder features were then used to train two binary Support Vector Machine (SVM) classifiers which were used to classify patches into damaged or non-damaged and greening or non-greening respectively. The classification was done in a sliding window manner to obtain an accurate segmentation of the defect. The important computation resource consumed by the sliding-window approach is reduced by the preselection accomplished by the CNN in the previous stage.

(c) Training for gravity classification: after the

previous stages (a) and (b), we used the information of the patches identified as defects (damaged or greening) for training the last binary SVM classifiers which divide the damaged and greening images by gravity: light or serious. The input used for the SVMs was: (1) the number of patches detected, (2) the percentage of the surfaced affected and (3) the sum of SVM output score of detected patches.

### 3.5 Training, Validation and Test Dataset

A large dataset was created to train, validate and test the proposed method. Different cameras were used in order to take 4 RGB images of different potato faces. The images were taken with a black background. Potatoes of different varieties (*Agata*, *Libertie*, *Caesar*, *Monalisa*, *Gourmandine*, *Annabelle*, *Charlotte*, *Marilyn*), shape and size were used to create a dataset of 9688 images which come from 2422 tubers. The images were manually classified with the help of two experts. Two different classifications were performed: firstly the potato was classified with its 4 faces together in 8 distinct classes: healthy, light damaged, serious damaged, light greening, serious greening, black dot, common scab and black scurf. Secondly, all faces were classified separately in order to train the CNN by using individual face images. In the face-wise classification, only 6 classes were taken into account because light and serious defects group together. As we show in Table 1, the final distribution for face-image classification was: 5325 healthy, 984 damaged, 1263 greening, 597 black dot, 1276 common scab and 243 of black scurf. An example of these images is illustrated in Figure 3. On the other hand, Table 2 show the distribution of potato images classification: 831 healthy, 341 light damaged, 159 serious damaged, 161 light greening, 349 serious greening, 151 black dot, 359 common scab and 71 of black scurf. Only green and damaged potatoes were divided by gravity because of sample availability. 30% of dataset was randomly selected for testing the proposed method. The remaining was used for training and validate the models. The 4 face images of the same potato were all in the same set. To fine-tune the pre-trained CNN, images were resized to pre-defined input size of each network ( $227 \times 227$  for AlexNet and  $224 \times 224$  for VGG-16 and GoogLeNet). Data augmentation techniques as flipping and rotation were randomly applied in order to increase the amount of training examples and its variability. To train the autoencoder, we extracted 29657 random  $16 \times 16$  patches from 168 images. All patches that had background pixels were not ta-

Table 1: Face-wise image classification dataset.

Class	Number of images
Healthy	5325
Damaged	984
Greening	1263
Black dot	597
Common scab	1276
Black scurf	243
Total	9688

Table 2: Potato-wise image classification dataset.

Class	Number of images
Healthy	831
Light damaged	341
Serious damaged	159
Light greening	161
Serious greening	349
Black dot	151
Common scab	359
Black scurf	71
Total	2422

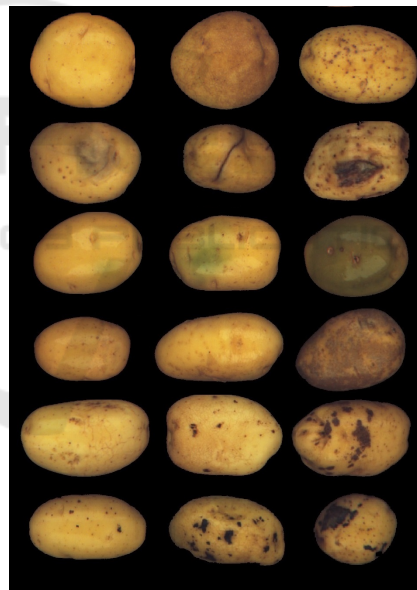


Figure 3: Example of the six distinct classes with variable gravity. By rows, from top to bottom: healthy, damaged, greening, black dot, common scab and black scurf.

ken into account. This decision was made after some experiments in which border patches were classified as damaged. To classify the patches between damaged or non-damaged and green or non-green, a labeled dataset was created. From 115 damaged face images, 3962 damaged patches and 14249 non-damaged patches were extracted. Then, for 100 greening face images, 1271 green patches and 7722 non-green patches were labeled.

### 3.6 Evaluation Metrics

The evaluation metrics used in this work were selected in order to take into account the imbalanced nature of the dataset (Bekkar et al., 2013). They are described as follows:

- Confusion matrix: compare the predicted classes with the real classes. Each column represents the ground-truth class and each row represents the classifier prediction.

- Precision<sub>k</sub>:

$$P_k = \frac{TP_k}{TP_k + FP_k} \quad (18)$$

- Recall<sub>k</sub>:

$$R_k = \frac{TP_k}{TP_k + FN_k} \quad (19)$$

- F1-score<sub>k</sub>:

$$F1 - score_k = 2 * \frac{P_k * R_k}{P_k + R_k} \quad (20)$$

where  $TP_k$  is true positives of class  $k$ ,  $FP_k$  is false positives of class  $k$  and  $FN_k$  is false negatives of class  $k$ .

In the localization phase, we used the False Alarm Rate (FAR) and False Negative Rate (FNR) calculated as following:

$$FAR = \frac{\text{Number of false positives}}{\text{Total number of negatives}} \quad (21)$$

$$FNR = \frac{\text{Number of false negatives}}{\text{Total number of positives}} \quad (22)$$

## 4 RESULTS AND DISCUSSION

We evaluated the performance of the proposed method on the three main stages. We show that our proposed method classifies and localizes blemishes with satisfactory results. Implementation was made in Matlab R2017b. All experiments were done using a GPU NVIDIA GEFORCE GTX 1050 Ti (4 GB memory).

### 4.1 Face Image Classification

We fine-tuned and compared results of three powerful pre-trained CNN: AlexNet (Krizhevsky et al., 2012), VGG-16 (Simonyan and Zisserman, 2014) and GoogLeNet (Szegedy et al., 2015). Stochastic gradient descent with momentum set in 0.9 was used to fine-tuned networks. The learning rate of the new fully-connected layer was 20 times the global learning rate set to 0.0001. The mini-batch size was set to 10 due to memory limitation of our GPU and the maximum

number of epochs was limited to 100. The cross-validation technique with 5-folds was used. We divided the training set in five equal parts and we fine-tuned the network using four parts, leaving the remaining part to validate the results. The process was repeated five times and the mean and standard deviation F1-score per class is shown in Table 3. It can be observed that GoogLeNet results are slightly better for all classes resulting in an average F1-score of 0.94 against to 0.92 and 0.88 for AlexNet and VGG-16 respectively. Table 4 shows the confusion matrix obtained using GoogLeNet architecture. It compares the predicted classes with the ground truth data. The biggest confusion occurred between black dot and healthy face images. This usually happens when the disease is not evident or it is near the border. Based on these results, we used the fine-tuned GoogLeNet as a classification filter, in order to classify each face image and pass through the localization phase only the damaged and greening.

Table 3: F1-score results in face image classification. Classes are: H=Healthy, D=Damaged, G=Greening, BD=Black Dot, CS=Common Scab and BS=Black Scurf.

Classes	AlexNet	VGG-16	GoogLeNet
H	0.95 ± 0.02	0.94 ± 0.07	0.97 ± 0.01
D	0.92 ± 0.03	0.88 ± 0.03	0.94 ± 0.02
G	0.96 ± 0.04	0.95 ± 0.01	0.98 ± 0.02
BD	0.82 ± 0.04	0.76 ± 0.03	0.85 ± 0.06
CS	0.93 ± 0.03	0.90 ± 0.01	0.96 ± 0.02
BS	0.93 ± 0.04	0.86 ± 0.04	0.95 ± 0.04

Table 4: Confusion matrix using GoogLeNet architecture in face image classification. Classes are: H=Healthy, D=Damaged, G=Greening, BD=Black Dot, CS=Common Scab and BS=Black Scurf.

		Ground-Truth(%)					
		H	D	G	BD	CS	BS
Pred.(%)	H	98.1	6.3	2.8	20.5	3.7	1.1
	D	0.6	92.4	0.0	0.2	0.3	0.6
	G	0.2	0.1	96.9	0.5	0.1	0.0
	BD	0.6	0.0	0.2	78.4	0.2	0.0
	CS	0.4	1.0	0.1	0.5	94.5	1.1
	BS	0.1	0.1	0.0	0.0	1.1	97.1

### 4.2 Defect Localization

The autoencoder with 50 neurons in the hidden layer was trained using scaled conjugate gradient descent, means squared error loss function and weight decay  $\lambda = 3 \times 10^{-6}$ . The sigmoid function was used as activation function. As depict in Figure 4, the patches reconstruction made by the autoencoder was successfully achieved.

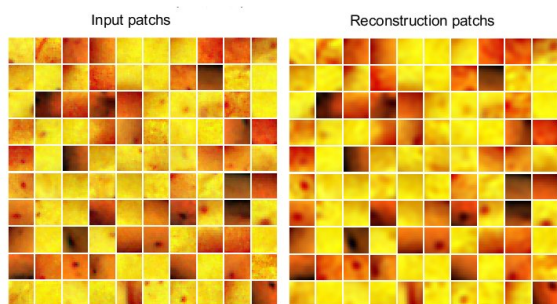


Figure 4: Comparison of test patches and their reconstruction made by the autoencoder.

To detect damaged and green patches we trained two binary SVM classifiers, one for each classification task. Cross-validation with 5-fold was also applied. In addition, grid search was used in order to tune the hyperparameters, i.e. choose the combination of the Gaussian kernel parameter  $\sigma$  and  $C$  that maximized the performance in the validation set. We compared the results between binary SVM (BI-SVM) and one class SVM (OC-SVM). The main idea to apply OC-SVM was the ease of obtaining only normal patches (without defects). Table 5 shows the results on damaged dataset and Table 6 shows the results on greening dataset. As expected, we noticed a great improvement in the results when using BI-SVM. We obtained a similar FAR with a considerable decrease of FNR in both, damaged and greening classification.

Table 5: Patches classification results. Damaged versus non-damaged patches.

	FAR(%)	FNR(%)
OC-SVM	4.23	27.66
BI-SVM	4.19	14.46

Table 6: Patches classification results. Greening versus non-greening patches.

	FAR(%)	FNR(%)
OC-SVM	4.91	39.11
BI-SVM	5.53	28.11

### 4.3 Classification by Gravity

In this phase we classified damaged and greening images by gravity. Only healthy, damaged and greening potatoes were used to train and validate the models. The  $16 \times 16$  overlapping patches were extracted with a stride of 8 and they were used as input for the autoencoder as explained in Section 4.1. Figure 5 shows an example of the localization made by the autoencoder+SVM. The localization output was then used as input of the SVM classifier. Until this phase a face-wise classification was done, but to classify de-

fect gravity of the whole potato we needed to take into account the four faces of that potato. Thus, to characterize the whole potato image we only retained the localization results of the face where the biggest defect was detected. For example, if two faces of the same potato were classified as damaged, we only use the localization results from the face where we have localized the biggest defect. Finally, potato images were classified in Light Damaged (LD) or Serious Damaged (SD) and Light Greening (LG) or Serious Greening (SG). Cross-validation and grid search were applied. The input features used were:

- (1) Number of patches detected by autoencoder+SVM.
- (2) Percentage of the surface detected as damaged or greening by autoencoder+SVM. ( $\frac{ND}{NT}$ , where  $ND$  is the number of detected patches and  $NT$  is the total number of patches extracted from the face image).
- (3) The sum of the SVM output score of all detected patches.

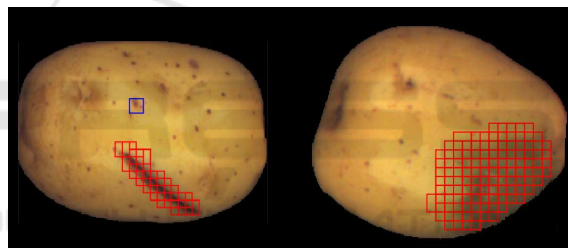


Figure 5: Example of damaged (left) and greening (right) localization output of autoencoder+SVM models. Blue patch depicts an isolate patch, where no adjacent patch is detected. In this case, the blue patch is discarded in order to minimize false alarms and avoid the detection of small defects.

Table 7 and Table 8 show the results of damaged and greening gravity classification respectively. We compare the results obtained with and without using the CNN as a first classification step. When the CNN is not used, an image is classified as healthy if less than two defect patches are detected. Better results were achieved when using the CNN, decreasing the number of healthy potatoes classified as damaged or greening. Another advantage of using the CNN as first classification step is the reduction of computing time. The CNN prediction is two times faster than the autoencoder+SVM patch-wise defect localization method. That is why analyzing only damaged and greening face images in the localization stage greatly reduces the processing time. We conclude according to the results that features extracted from the localization of Section 4.2 are useful for classifying by gravity



the damaged and greening potato images. The confusion matrices of each classification task, without and with the use of the CNN, are shown in Table 9 and Table 10. As shown in Table 9, only 0.91% (1 potato) of serious damaged potato was predicted as healthy, which is the most critical mistake. That occurred with a cutted potato, where the damaged portion was not dark but light yellow (see Figure 6). A great improvement on the false alarms is achieved with the use of the CNN from 7.55% to 0.69% and 15.78% to 0% for damaged and greening potato images respectively.

Table 7: Cross-validation results for potato images divided between Healthy (H), Light Damaged (LD) and Serious Damaged (SD).

		without CNN	with CNN
Precision	H	0.96	0.97
	LD	0.80	0.94
	SD	0.95	0.94
Recall	H	0.92	0.99
	LD	0.88	0.91
	SD	0.93	0.90
F1-score	H	0.94	0.98
	LD	0.84	0.92
	SD	0.94	0.92

Table 8: Cross-validation results for potato images divided between Healthy (H), Light Greening (LG) and Serious Greening (SG).

		without CNN	with CNN
Precision	H	0.99	0.99
	LG	0.37	0.86
	SG	0.88	0.96
Recall	H	0.84	1
	LG	0.62	0.85
	SG	0.98	0.95
F1-score	H	0.91	0.99
	LG	0.47	0.85
	SG	0.93	0.95

Table 9: Confusion matrix for potato images divided between Healthy (H), Light Damaged (LD) and Serious Damaged (SD).

		Ground-Truth(%)			
		without CNN	H	LD	SD
Pred.(%)	H	92.28	10.50	0	
	LD	7.55	87.82	7.27	
	GD	0.17	1.68	92.73	
		with CNN	H	LD	SD
Pred.(%)	H	99.31	6.72	0.91	
	LD	0.69	90.76	9.09	
	GD	0	2.52	90.00	

Table 10: Confusion matrix for potato images divided between Healthy (H), Light Greening (LG) and Serious Greening (SG).

		Ground-Truth(%)			
		without CNN	H	LG	SG
Pred.(%)	H	83.70	4.30	0	
	LG	15.78	62.37	2.28	
	GG	0.51	33.33	97.72	
		with CNN	H	LG	SG
Pred.(%)	H	100	3.23	0	
	LG	0	84.95	4.94	
	GG	0	11.83	95.06	

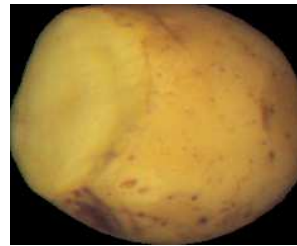


Figure 6: Example of miss-detection of a serious damaged potato.

#### 4.4 Multi-class Multi-label Classification

For the final results, a multi-class multi-label test dataset of 722 tubers was available. We took into account the four output labels obtained in the previous stages, one per face image, to characterize the whole potato. The final results with and without gravity classification are shown in Table 11 and Table 12 respectively. We observe that despite the similarity between some classes and the high variability within the same class, the whole system performs well. The healthy class achieved the best performance with a correct prediction of 98%, showing that the number of false alarms was small. Black dot had the smallest detection results (82%) due to the confusion with healthy images (as seen in Section 4.1).

Table 11: Test multi-class multi-label dataset results. H=Healthy, D=Damaged, G=Greening, BD=Black Dot, CS=Common Scab and BS=Black Scurf.

	Precision	Recall	F1-score
H	0.98	0.98	0.98
D	0.93	0.97	0.95
G	1	0.99	0.99
BD	0.92	0.82	0.87
CS	0.95	0.87	0.91
BS	0.88	0.95	0.91

Table 12: Test multi-class multi-label dataset results. H=Healthy, LD=Light Damaged, SD= Serious Damaged, LG=Light Greening, SG=Serious Greening, BD=Black Dot, CS=Common Scab and BS=Black Scurf.

	Precision	Recall	F1-score
H	0.98	0.98	0.98
LD	0.90	0.94	0.92
SD	0.86	0.88	0.87
LG	0.88	0.91	0.89
SG	0.98	0.95	0.96
BD	0.92	0.82	0.87
CS	0.95	0.87	0.91
BS	0.88	0.95	0.91

## 5 CONCLUSION AND FUTURE WORK

In this work we present a new three stages deep learning-based method which is able to classify and localize blemishes in potatoes, resulting in a global evaluation of the tuber. A large database has been created including healthy and 5 distinct blemishes, i.e., damaged, greening, black dot, common scab and black scurf. A Convolutional Neural Network has been trained with this database. This network is used as the first stage of our method for classifying the face potato images and selecting those images where defects must be localized, i.e. damaged and greening. A second stage has been applied on the selected images, where a combination of autoencoder and SVMs is used to detect damaged and greening defects in a patch-wise manner. Finally, in the third stage, localization results have been used to train two SVMs for grading damaged and greening potatoes according to the severity of the blemish.

Results showed that we could accurately classify face potato images within 6 classes with an average precision of 95% and average recall of 93%. A patch-wise analysis was done to localize damaged and greening parts of the potato achieving a false positive rate of 4.19% and 5.53% respectively. The final global evaluation of the tuber reached an average precision of 92% and average recall of 91% in a test set. The speed and efficiency of our method allow us to use it in a real industrial setting. In addition it does not require a pixel-level labeling, which is laborious and time-consuming. Despite other works have been proposed to classify potatoes, unavailability of public implementations make it difficult to have a comparative study. Furthermore, previous works have used limited databases in terms of number of examples and/or number of defects to classify, which makes it difficult to make a fair comparison to other algorithms.

Future studies will investigate the improvement of the blemishes segmentation by using a non-supervised method applicable to the whole image. The ability to recognize multiple blemishes will be studied. Also, an update of the dataset will be made to increase the effectiveness of the proposed method. Finally, the use of 3D tuber images will be explored, where the whole surface will be analyzed at once, without using multiple face images per potato.

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