# NILM-Based Solutions for the Automation of Energy Services in Residential Buildings

Pierre Ferrez<sup>1</sup><sup>o</sup><sup>a</sup>, Dominique Gabioud<sup>1</sup><sup>b</sup> and Pierre Roduit<sup>1</sup><sup>c</sup>

Institute of Sustainable Energy, University of Applied Sciences and Arts Western Switzerland, Rue de l'industrie 23, 1950 Sion, Switzerland {firstname.name}@hevs.ch

Keywords: Non Intrusive Load Monitoring (NILM), Heat Pump (HP), Space Heating (SH), Domestic Hot Water (DHW), Energy Services, Energy Efficiency (EE), Fault Detection and Diagnostics (FDD).

Abstract: In Switzerland, about 40 % (90 TWh) of the energy needs are due to buildings and about 70 % of these needs come from heating. Therefore, improving the efficiency of buildings has a high potential for energy savings. This paper presents innovative solutions for the automation of energy services in residential buildings, based solely on the disaggregation of the centralized electricity consumption measurement of the household. The developed method was successfully used on electricity consumption data recorded in 14 households over a year to (1) extract the heat pump consumption from the aggregated consumption, (2) split the heat pump consumption into space heating and domestic hot water consumption and (3) split the remaining consumption into four categories: base load, low power, medium power, and high power. With a daily average error on the prediction of the heat pump consumption below 3.5 % and daily average errors on the prediction of the number of cycles and the operating time of the heat pump both around 1 %, the described method can be used for the development of energy service prototypes allowing to better understand and optimize the energy functioning of residential buildings with potential savings for the residents.

# 1 INTRODUCTION

In Switzerland, greenhouse gas emissions from buildings, mainly in the form of carbon dioxide ( $CO_2$ ), are primarily produced by the use of fossil fuels to heat buildings and provide hot water in both residential and commercial buildings (Federal Office for the Environment, 2023). Buildings consume yearly around 90 TWh or about 40 % of the final energy demand, and heating (Space Heating (SH) and Domestic Hot Water (DHW)) accounts for around 70 % of the energy consumption of buildings (Swiss Federal Office of Energy, 2023). Furthermore, due to poorly configured or malfunctioning heating systems, and/or the behaviour of residents, up to approximately 30 % of this consumption is wasted (Rashid and Singh, 2018; Bang et al., 2019).

Therefore, improving the efficiency of buildings has a high potential for energy savings, and since heating appliances contribute to a significant part of the energy consumption of buildings, both Energy Efficiency (EE) and Fault Detection and Diagnostics (FDD) techniques focused on heating appliances are essential in the development of feedback systems in order to reduce energy consumption in buildings. Paradoxically, residents have in most cases a very limited understanding of their building's energy system, as digitalization and smart technologies have hardly penetrated the building domain. Nevertheless, implementing EE and FDD feedback systems can result in 20 to 30 % of energy savings in buildings (Kim and Katipamula, 2018) and the potential savings for residents who are provided with detailed feedback on the individual consumption of their appliances can exceed 12 % (Carrie Armel et al., 2013).

In order to optimize the efficiency of a household, and before launching into renovations or changes of often expensive appliances, it is essential to understand the consumption of large consumers such as SH appliances, DHW appliances, white appliances or continuously consuming appliances. These data can be obtained through the installation of dedicated submeters. However, if such installations are feasible in the framework of a pilot project, they are not realistic on a large scale due to their cost (intervention of

Ferrez, P., Gabioud, D. and Roduit, P.

NILM-Based Solutions for the Automation of Energy Services in Residential Buildings. DOI: 10.5220/0012733400003714 Paper published under CC license (CC BY-NC-ND 4.0) In Proceedings of the 13th International Conference on Smart Cities and Green ICT Systems (SMARTGREENS 2024), pages 85-95 ISBN: 978-989-758-702-3; ISSN: 2184-4968 Proceedings Copyright © 2024 by SCITEPRESS – Science and Technology Publications, Lda.

<sup>&</sup>lt;sup>a</sup> https://orcid.org/0009-0003-0926-4934

<sup>&</sup>lt;sup>b</sup> https://orcid.org/0000-0002-4279-6428

<sup>&</sup>lt;sup>c</sup> https://orcid.org/0000-0001-9747-2036

an electrician) and their complexity (electrical panels not adapted, data communication problems).

The automatic extraction of these data from the centralized electricity consumption measurement of the household (electric meter) would allow their use on a large scale in order to propose to the final customer automatic EE services providing, for example, detailed information on the consumption of families of appliances or FDD notifications in case of unusual or faulty behaviours of appliances such as the heat pump. This is known as Non Intrusive Load Monitoring (NILM) (Hart, 1992), in which individual appliance consumptions are disaggregated from a central recording. NILM is a data-driven technique that could be used in the implementation of both EE and FDD feedback systems.

The general objective of this paper is the development of innovative NILM-based solutions for the automation of new EE and FDD services via the implementation of robust and yet simple data analysis and machine learning methods allowing the extraction of a maximum of information from the centralized electricity consumption measurement of the household (electric meter). More precisely, the objective of this paper is the development of NILM techniques, later used in the implementation of new EE and FDD services, based solely on the global load curve to provide information to residents on:

- The consumption of the heat pump providing energy for SH and DHW,
- The SH and DHW consumption,
- The consumption of other families of appliances for a global feedback on the consumption of the household,
- Unusual or faulty behaviour of the heat pump,

The focus was put on robust detection of the heat pump and other families of appliances using simple solutions enabling its future use in real-time remote EE and FDD feedback systems. The described disaggregation methods, although simple, are valid and lead to good detection performance, validated by reference values obtained using dedicated meters. Indeed, the reported results on 14 households show a daily average error on the prediction of the heat pump consumption below 3.5 %. Furthermore, the daily average error on the prediction of the number of cycles and the operating time of the heat pump are both around 1%. The development of EE and FDD service prototypes allowing to better understand and optimize the energy functioning of residential buildings with potential savings for the residents can be based on these results.

### **2** NILM OVERVIEW

First introduced in 1992 (Hart, 1992), Non Intrusive Load Monitoring (NILM) refers to the analysis of variations in the voltage and/or current going in a household and deducing what appliances are used as well as their individual energy consumption. In other words, NILM consists in the load disaggregation in order to extract itemized information about a household's appliances only using the global electricity consumption measurement.

The most common application of NILM is energy savings in both residential (Kelly and Knottenbelt, 2016) and industrial sectors (García-Pérez et al., 2021). Other applications include smart-grid management (Çimen et al., 2021), load forecasting (Welikala et al., 2019), fault detection and diagnostics again in both residential (Aboulian et al., 2019) and industrial sectors (Rafati et al., 2022). It also includes human monitoring and assisted living (Hernandez et al., 2019), and extraction of consumer behaviour (Schirmer and Mporas, 2021).

The NILM approach is a low-cost alternative to attaching individual meters to each appliance (Intrusive Load Monitoring) (Egarter et al., 2015), but being a data-driven method, it requires high quality consumption measurements in order to provide satisfactory performance.

The complexity of the disaggregation problem is directly linked to the type of appliances which are present in the monitored household. Based on their unique energy consumption *signature*, electrical appliances can be grouped into the following four categories (Zeifman and Roth, 2011):

- 1. **Two State Appliances.** These are appliances with only two operating states: ON and OFF (e.g., resistive loads like lamps).
- 2. **Multiple State Appliances.** These are appliances with a multiple, strictly greater than two but finite, number of operating states (e.g., washing machines or stoves).
- 3. Variable Consumption Appliances. These are appliances without a fixed number of operating states, their consumption varies constantly (e.g., dimmers).
- 4. **Continuously Consuming Appliances.** These are appliances that remain active at all times (e.g., wireless routers).

The sampling frequency of the collected aggregated consumption data is one of the most important aspects of NILM since different frequency ranges contain different potentially exploitable signal features. Low frequencies (0-100Hz) are typically used for features

like active power, reactive power or phase angle. Mid frequencies (0.1-2kHz) are used for features like low order harmonics or DC component. High frequencies (2-20kHz) are used for features like harmonic spectrum, rise/fall times or transient energy. (Carrie Armel et al., 2013).

NILM methods can be split into three main categories for appliance identification, namely Machine Learning, Pattern Matching, and Source Separation. NILM methods based on Machine Learning use feature extraction methods to train a model using Support Vector Machines (SVMs) (Li et al., 2021), Decision Trees (DTs) (Al-Khadher et al., 2022), Hidden Markov Models (HMMs) (Wu et al., 2021), or K-Nearest Neighbours (KNNs) (Himeur et al., 2021) algorithms amongst others. NILM methods based on Pattern Matching rely on the generation of a database consisting of a set of reference signatures (Schirmer et al., 2020). The aim of these methods is to find the best match between an unknown observed signature and the signatures from the database. With NILM methods based on Source Separation, the disaggregation problem is formulated as an optimization problem (Rahimpour et al., 2017). Constraints like sparseness are used on the optimization algorithm in order to extract the individual power consumption signatures of the appliances from the aggregated signal.

The use of public datasets is essential to compare different NILM methods and their performance. Several public datasets from different countries with various types and number of appliances, sampling frequencies, and data collection durations are available (Kriechbaumer and Jacobsen, 2018; Beckel et al., 2014; Kolter and Johnson, 2011).

The definition and use of standardized performance metrics is also essential in the comparison of different NILM methods. For classification NILM algorithms, performance metrics include Accuracy, False positive and False Negative while for regression NILM methods, performance metrics include Mean Absolute Error, Root-Mean-Square Error and Estimation Accuracy.

With accuracy ranging from 60 % to over 96 % (Zhou et al., 2022), the reported performance of NILM systems strongly vary according to the used method and mainly to the considered appliances. Unsurprisingly, performance is also inversely proportional to the number of appliances to be disaggregated. Given the ever growing number of electrical appliances in modern households, the complexity of the NILM problem is not likely to decrease in the future.

For further and more precise information, several publications provide thorough reviews of the NILM

technique including recent developments and challenges (Angelis et al., 2022; Schirmer and Mporas, 2023; Mari et al., 2022; Dash and Sahoo, 2022). In particular, Rafati recently reviewed the use of NILM for fault detection and efficiency assessment of heat, ventilation and air conditioning systems (Rafati et al., 2022). Their investigation shows that NILM techniques can successfully identify electro-mechanical and electrical faults in such systems that are difficult to detect by thermal measurements.

# **3 EXPERIMENTAL METHOD**

### 3.1 Framework

The EU-funded domOS (Operating System for Smart Services in Buildings) project (domOS Consortium, 2024) takes a closer look at the smart building sector by researching two axes. The first is the technology and secure connection of smart devices and smart appliances so that building owners can enforce privacy rules. The second addresses the development of smart services that increase efficiency of space heating. For instance, the project studies how buildings can become active nodes of an electricity grid or a district heating grid. The project's proposals are tested on five demonstration sites, one of them is located in Sion, Switzerland. This demonstration site was initially deployed in the framework of the EUfunded GOFLEX (Generalized Operational FLEXibility for Integrating Renewables in the Distribution Grid) project (GOFLEX Consortium, 2020) which aimed to develop a vertical flexibility chain, from buildings to grid control centres and energy markets. This demonstration site is currently being upgraded and extended in the framework of the domOS project.

### **3.2 Data Collection**

Of the 52 households currently equipped with and running the domOS monitoring and control system, 14 were selected for this study. The selected households passed both the following selection criteria:

- **Relevance.** The household features a heating system relevant for the study, i.e., a heat pump providing energy for both SH and DHW. Furthermore, both the centralized electricity consumption and the specific electricity consumption of the heat pump were collected throughout 2022.
- Data Quality. The household features at least 80 % days with good quality data throughout 2022 (at least 292 days). Is considered a *good data day*



Figure 1: Typical collected data for a winter day. The heat pump features several SH cycles (around 2 kW) and five DHW cycles (around 2.3 kW).

any day (midnight to midnight) with at least 80 % of the expected data points and no windows of missing data points longer than an hour.

The centralized electricity consumption was collected every 5 seconds and features the total active power as well as the total reactive power. This was collected using a Landis+Gyr E450 residential advanced meter with an accuracy over 99.7 %. The heat pump electricity consumption was collected every 15 seconds and only features the active power. This was collected using a Aeotec Home Energy Meter Gen5 with an accuracy over 99 %. This means that for any day in 2022 to be kept, the centralized electricity consumption data must contain at least 13'824 data points for both the active and reactive power and the heat pump electricity consumption data must contain at least 4'608 data points. Figure 1 shows the collected data for a typical winter day.

### 3.3 Data Processing

For each valid day, the collected data were first cleaned (removal of duplicates, removal of outliers, etc.) and then downsampled to one data point per minute. The objective of this downsampling is twofold, not only it allows synchronisation between the collected data, but it also reduces the amount of data being processed without loosing essential information. This could become critical for future realtime remote applications. The resampled data were finally filtered using a median filter with a kernel size of 5 in order to remove the high frequency components of the signal.

### 3.4 Heat Pump Extraction

Due to the use of induction motors, most heat pumps consume large amounts of reactive power in compar-



Figure 2: Estimation of the heat pump cycle power  $P_{heat pump}$ . The power consumed by all the other appliances  $P_{other}$  during the heat pump cycle is estimated as the average of  $P_{before}$  and  $P_{after}$ .  $P_{other}$  is then subtracted from the average power of the given cycle  $P_{cycle}$  to provide the estimation of  $P_{heat pump}$ .

ison with other typical household appliances. This feature is particularly interesting when trying to extract the heat pump consumption from the centralized consumption. Indeed, it can be seen in Figure 1 that large amounts of reactive power, typically well over 1.5 kVAR in this case, correspond almost perfectly to the operation of the heat pump.

The time-wise extraction of the heat pump consumption was therefore simply based on a householdspecific reactive power threshold. For each timestamp, the heat pump is considered in operation if the value of the global reactive power is above the threshold. For now, the estimation of the household-specific reactive power threshold is done by manually inspecting the centralized electricity consumption data of the first available day. This will however be automated in the future.

The daily number of cycles and operating time of the heat pump are directly deducted from the timewise extraction of the heat pump consumption. To achieve the full extraction of the heat pump from the centralized electricity consumption, the system still needs to estimate the power level of each cycle. This estimation procedure, detailed below, is illustrated in Figure 2.

- 1. The power before the beginning of a heat pump cycle  $P_{before}$  is simply estimated as the average power between the end of the previous cycle of the heat pump and the beginning of the considered one. The power after the end of a heat pump cycle  $P_{after}$  is estimated the same way as the average power between the end of the considered cycle of the heat pump and the beginning of the next one.
- 2. The power consumed by all the other appliances  $P_{other}$  during the considered heat pump cycle is

estimated as the average of  $P_{before}$  and  $P_{after}$ .

3. Finally,  $P_{other}$  is subtracted from the average power of the given cycle  $P_{cycle}$  to provide the estimation of the heat pump power  $P_{heat pump}$ .

## 3.5 SH and DHW Separation

Since every heat pump cycle has been assigned a power level  $P_{heatpump}$ , it is now possible to classify them as SH or DHW. This was performed using a twoclass k-means classifier (k=2). Each heat pump cycle characterised by its  $P_{heatpump}$  belongs to the cluster (SH or DHW) with the nearest mean and the clusters means were updated in a pseudo-online system through every successive day of 2022.

Given a set of cycle powers  $(P_1, P_2, ..., P_n)$  and an initial set of two means  $m_1$  and  $m_2$  for two sets  $S_1$  and  $S_2$  (SH or DHW), the algorithm proceeds by alternating between two steps:

• Assignation. Each cycle is assigned to the cluster with the nearest mean.

$$S_i = \left\{ P_k : |P_k - m_i| \le |P_k - m_j| \ i, j = 1, 2 \right\}$$

• Update. The means are recalculated with the powers assigned to each cluster.

$$m_i = \frac{1}{|S_i|} \sum_{P_k \in S_i} P_k$$

The initial means of the clusters were roughly manually estimated based on the centralized electricity consumption of the very first days of 2022. For example, from Figure 1, the SH cluster's mean would have been initialized to 2000 (2400-400) and the DHW cluster's mean would have been initialized to 2300 (2700-400). As for the estimation of the household-specific reactive power threshold, this will be automated in the future.

### **3.6 Power Bands Disaggregation**

The extraction of the consumption of the heat pump from the centralized electricity consumption allows the reconstruction of the heat pump load curve. This specific load can then be subtracted from the global load in order to obtain the aggregated load curve of the other household's appliances. Based on an optimized and adapted NILM algorithm previously published (Ferrez and Roduit, 2014), the consumption of the remaining non-heating appliances were disaggregated in to the following four *power bands*:

• **Base Load.** This is the daily minimum power level (> 0), it represents the continuously consuming appliances.

- Low Power ( $\leq 250$  W). This includes lighting and some home electronics.
- Medium Power (> 250 W and < 1 kW). This includes micro-waves, vacuum cleaners and some home electronics.
- High Power (≥ 1 kW). This include white appliances and cooking appliances.

### **3.7** Performance Evaluation

While the extraction of the heat pump from the centralized electricity consumption and the disaggregation of the remaining load was performed exclusively using the collected global active and reactive power, a specific measure of the heat pump consumption is also available. This specific measure was exclusively used for performance evaluation purposes. Indeed, the *a priori* daily heat pump consumption, daily number of cycles and daily total operating time can easily be extracted from this specific measure and compared to the results obtained using the centralized electricity consumption. Three different performance metrics were used, namely the Relative Error (RE), the Mean Absolute Error (MAE) and the Root Mean Square Error (RMSE).

# 4 **RESULTS**

The centralized electricity consumption of 14 households was collected throughout 2022 and daily disaggregated into six categories. This section first provides detailed results about one of these households before showing global results about the 14 households.

#### 4.1 Single Household

Daily disaggregation results for one of the 14 households are presented in this first subsection. Figure 3 shows the daily disaggregation throughout 2022 into six categories, namely, base load, low power, medium power, high power, DHW and SH. Both the base load and the low power are relatively stable at roughly 5 kWh (equivalent to a constant power of 200 W). Both the medium and high power are small but roughly constant over the year. The DHW is roughly at 5 kWh in the winter, but plummets to 1 to 2 kWh in the summer. Finally, the SH is nil between May and September, but reaches 20 to 25 kWh in January and December. These results are conform to expectations.



Figure 3: Daily disaggregation results for a single household throughout 2022. The centralized consumption is split into six categories: base load, low power, medium power, high power, HDW and SH.



Figure 4: Daily absolute energy error (top), daily absolute cycle error (center), daily absolute operating time error (bottom) for a single household throughout 2022. A positive error means overestimation whereas a negative error means underestimation.

Figure 4 shows the daily absolute error of the prediction of the energy (top), the number of cycles (center), and the operating time (bottom) of the heat pump. A positive error means overestimation whereas a negative error means underestimation. Overall, the energy daily absolute error is under 2 kWh and there's a tendency to underestimate the energy in winter. These absolute errors correspond to daily relative errors under 10 %. With regard to cycles, there were only five false negatives and three false positive, whereas with regard to operating time, the absolute error is under three minutes for most days, corresponding to relative errors under 5 % for most days.

In a slightly different register, Figure 5 shows the evolution of the daily predicted heat pump power for both SH and DHW. Far from being constant, the SH power ranges from about 2.2 to 2.6 kW with an in-



Figure 5: Daily heat pump power for both SH and DHW for a single household. Far from being constant, the SH power ranges from about 2.2 to 2.6 kW whereas the DHW power ranges from about 2.5 to 3.9 kW. There was no detected SH between May and September.

terruption between May and September, whereas the DHW power ranges from about 2.5 to 3.9 kW. Figure 6 shows the collected data and the results of the disaggregation for 20 January 2022 (vertical red line on Figure 5). This figure shows that all the rather narrow strictly SH heat pump cycles with an average power of about 2.4 kW were successfully detected. It also shows two hybrid cycles with an average power of about 2.7 kW and mainly contributing to DHW being detected as such. The nature of the used classifier forces every heat pump cycle to be either classified as SH or DHW. Therefore, hybrid cycles are a source of error in the estimation of both the SH and DHW consumption. This will be addresses in the future. Finally, Figure 6 also shows a base load of 250 W and the band disaggregation of the remaining load.

Similarly, Figure 7 shows the collected data and the results of the disaggregation for 21 July 2022 (vertical green line on Figure 5). As expected, there are no SH cycles, but simply two DHW cycles with a significantly higher average operating power, about 3.6 kW. This figure also shows a base load of about 180 W and the band disaggregation of the remaining load.

### 4.2 All Households

This second subsection provides detailed information about the performance of the detection of the heat pump as well as the overall consumption for the 14 households. Table 1 shows RE, MAE and RMSE for the energy, number of cycles and operating time of the heat pump for the 14 households in 2022. Absolute values of the daily errors were used in order to avoid compensation between positive (overestimation) and negative (underestimation) errors. The average daily energy RE is below 5 % for all but one household whereas both the average daily cycles RE and the the



Figure 6: Collected data and disaggregation results for 20 January 2022. All strictly SH cycles (about 2.4 kW) were successfully detected and two hybrid cycles (about 2.7 kW) mainly contributing to DHW were detected as such.



Figure 7: Collected data and disaggregation results for 21 July 2022. There was no SH cycles and two successfully detected DHW cycles (about 3.6 kW).

average daily operating time RE are below 2 % for all but two households.

The box plots in Figure 8 show the overall disaggregation results of the 14 households in 2022 through their quartiles. The horizontal whiskers indicate variability outside the lower and upper quartiles and circles show outliers. The low power appliances and the SH are the two main contributors to the electricity bill and both show a large variance. The consumption of the other four categories is relatively low with a low to moderate variance.

The pie chart in Figure 9 shows the distribution of the overall detected consumed energy by the 14 households in 2022. The average total consumption is 8'050 kWh and the heat pump (SH and DHW) is responsible for 44 % of this consumption (3'585 kWh). The base load and the low power appliances, categories with a high potential of consumption reduction, represent together over a third of the consumption (1'120 and 1'740 kWh, respectively).

Finally, the lowest total consumption was



Figure 8: Overall disaggregation results for the 14 households for 2022. The horizontal whiskers indicate variability outside the lower and upper quartiles and circles show outliers.



Figure 9: Distribution of the overall detected consumed energy by the 14 households in 2022. The average total consumption is 8'050 kWh.

4'700 kWh, which is less than 60 % of the average whereas the highest total consumption was 15'940 kWh, almost twice the average consumption. These variations reflect large differences of consumption behaviours.

# 5 DISCUSSION

### 5.1 Algorithm Performance

The general error rates presented in Table 1 as well as the daily error rates presented in Figure 4 are very encouraging. Despite the fact that the described detection algorithms are relatively simple, they lead to good detection performance, not only for the consumed energy, but also for the number of cycles and the operating time of the heat pump for all 14 households.

Indeed, with an overall average energy RE below 3.5 %, an overall average cycles RE below 1 % and an overall average operating time RE just above 1%, the described heat pump detection method match the per-

House	Heat Pump Energy			Heat Pump Cycles			Heat Pump Op. Time		
	С	MAE	RMSE	RE	MAE	RMSE	RE	MAE	RMSE
H01	3.18	0.21	0.31	0.07	0.02	0.17	0.68	1.69	4.54
H02	5.56	0.44	0.73	0.03	0.01	0.08	1.81	0.96	1.88
H03	4.80	0.42	0.52	0.00	0.00	0.00	0.23	0.6	1.17
H04	3.38	0.39	0.55	0.84	0.01	0.16	0.23	0.45	1.53
H05	2.88	0.19	0.34	0.72	0.10	0.37	1.5	5.49	11.45
H06	2.69	0.23	0.34	0.02	0.00	0.06	0.79	1.04	2.06
H07	2.25	0.22	0.34	0.03	0.00	0.05	0.33	0.54	0.91
H08	3.56	0.28	0.39	2.15	0.10	0.36	1.03	1.56	3.38
H09	2.02	0.28	0.60	1.25	0.27	0.69	1.99	7.26	12.51
H10	3.83	0.22	0.34	0.78	0.11	0.36	0.41	0.39	0.86
H11	4.81	0.52	1.05	3.48	0.34	0.79	2.21	4.42	9.76
H12	2.51	0.24	0.35	0.00	0.00	0.00	0.43	0.8	1.41
H13	2.23	0.22	0.37	0.00	0.00	0.00	0.44	0.66	1.11
H14	4.36	0.21	0.30	1.47	0.06	0.25	2.59	1.26	1.85
Average	3.43	0.29	0.47	0.77	0.07	0.24	1.05	1.94	3.89

Table 1: RE, MAE and RMSE for the energy, number of cycles and operating time of the heat pump for the 14 households for 2022. RE is expressed in % and both MAE and RMSE are expressed in kWh, cycles and minutes, respectively.

formance of state-of-the-art methods and could therefore be used not only for energy efficiency services, but also for fault detection and efficiency assessment of heating system. Indeed, by tracking deviations in the daily energy consumption and the daily number of cycles of the heat pump, keeping in mind the natural seasonal variations, it should be possible to detect malfunctions.

Thanks to high quality data collection and downsampling to one data point per minute, the time-wise extraction of the heat pump is performing extremely well as shown by the cycle and operating time error rates. The rare errors of the time-wise extraction based simply on a reactive power threshold can be due to other appliances consuming large mounts of reactive power. This time-wise detection could be enhanced by adding rules regarding what is and is not a heat pump cycle (e.g., duration, power, number according to the season). These rules could be learnt and updated in a similar way to the SH and DHW power levels.

As for the error on the predicted energy consumed by the heat pump, it is the most challenging part of the detection. Indeed, "guessing" the power level of the heat pump from the aggregated power is a complex task. Both data collection systems show accuracies above 99 % and can therefore only explain part of the errors. The power estimation of the heat pump cycles could be enhanced ba a better estimation of the subtracted power of the other appliances or by a more complex model of the evolution of the power during a cycle. Indeed, the relatively simple model presented in this study assumes a constant power during a cycle whereas power tends to increase during a cycle.

Since only the specific consumption of the heat pump was collected using dedicated meters, it is impossible to precisely assess the performance of the disaggregation of the other household's appliances. However, this power band disaggregation was performed using an optimized and adapted NILM algorithm previously published [reference blinded] and it was applied and verified on the whole set of 14 households. The daily disaggregation results shown in Figure 3 and the reconstruction of the specific load curves corresponding to the four power bands shown in Figure 6 and Figure 7 confirm it's validity for a particular house.

The objective of this study was not the development of new complex NILM algorithms outperforming the best previously published techniques. The general objective of this study was the robust detection of the heat pump and other families of appliances using simple solutions enabling its future use in realtime remote EE and FDD feedback systems. The error rates presented for the 14 households in Table 1 show that although the detection method is not perfect, it is robust. Furthermore, the main advantage of this technique is its simplicity and its low computational requirements. Indeed, it only requires a limited number of household specific parameters easily estimated with a couple of days of data, namely the level of reactive power corresponding to the heat pump operation and the initial means of the clusters of the twoclass k-means classifier. Furthermore, the whole daily detection can be performed on a standard office computer within a few seconds. This is a key issue for a future application in real-time remote feedback systems.

Finally, to enhance the evaluation of the heat pump detection technique as well as that of the power band disaggregation, it would be useful to extend the analysis to more households, with a broader variety of heat pumps and other electrical appliances. It would also be useful to assess the performance of the proposed technique on publicly available datasets featuring households with a heat pump providing energy for both SH and DHW for a more accurate comparison with other state-of-the-art methods.

# 5.2 Overall Consumption

Figure 8 show the overall disaggregation results of the 14 households for 2022 through their quartiles and Figure 9 presents the distribution of the groups of appliances (power bands) according to their average electricity consumption.

Unsurprisingly, the main contributor is SH with 28 %. Maybe more so, the second largest contributor with 22 % is the low power appliances (below 250 W) mainly including lighting and home electronics. Adding the 14% of the base load, we reach almost two third of the overall consumption and these three categories show a rather large variance, as shown in Figure 8). These three categories include a large variety of appliances, but more importantly, the behaviour of the residents has a strong impact on their importance. Therefore, these categories have a high potential for energy savings. Indeed, with a feedback with the residents in the framework of energy efficiency services, it should be possible to point out which appliances contribute to the base load in order to reduce their impact on the energy bill. Similarly, although the electricity consumption due to home electronics is ever growing, its impact can be reduced or at least controlled by behavioural adaptation (e.g., turn off the TV when nobody is watching it).

With 16 % of the overall consumption, the DHW is the third largest, just above both the base load and the high power appliances. More interestingly, it has a very small variance suggesting that the consumption of hot water was very similar for the 14 considered households.

### 5.3 Energy Feedback Prototype

From the centralized electricity consumption measurement of the household, the described disaggregation methods allowed the reconstruction of the specific load curve of six categories of appliances, namely base load, low power, medium power, high power, SH and DHW. With these specific load curves, it is possible to estimate the energy consumed by any of these categories for any time window. This is particularly useful to provide residents with direct feedback on their energy consumption, or provide them with a comparison between days or relatively to reference values (EE services). Beyond the estimation of the different consumed energies, the described disaggregation methods also allowed the accurate estimation of the number of cycles and operating time of the heat pump for any time window. This could be the base of FDD services by tracking the number of cycles of the heat pump, their duration and power over time and therefore detect anomalies. A screenshot of a very first prototype of a webpage providing energy consumption feedback is presented in Figure 10. The top chart shows the energy consumption of the six categories for a specific household over a year. The bottom chart shows the six disaggregated load curves for 25 and 26 October 2022 for the same household.

# **6** CONCLUSION

The general objective of this study was the robust detection of the heat pump and other families of appliances using simple solutions enabling its future use in real-time remote EE and FDD feedback systems. The presented NILM technique is simple and yet robust enough to be used in the implementation of such services in order to optimize the energy efficiency of residential buildings with potential savings for the residents. Indeed, with an overall average energy RE below 3.5 %, an overall average cycles RE below 1 % and an overall average operating time RE just above 1%, the described heat pump detection method is performing well.

It is nevertheless not perfect and can probably be enhanced without jeopardizing its usability in realtime remote systems. As mentioned before, the timewise detection of the heat pump based on a reactive power threshold could be enhanced by adding rules regarding what is and is not a heat pump cycle (e.g., duration, power, number according to the season). The prediction of the energy consumed by the heat pump could also be enhanced by a more accurate estimation of the power consumed by the other appliances during a heat pump cycle and by a more realistic power evolution model during a heat pump cycle. Furthermore, the reactive power threshold and both the initial means of the clusters of the two-class k-means classifier are so far estimated manually but this should be automated relatively easily. Finally, the challenge of hybrid heat pump cycles (providing



Figure 10: First prototype of the energy feedback webpage. The top chart shows the energy consumption of the six categories for a specific household over a year. The bottom chart shows the six disaggregated load curves for 25 and 26 October 2022 for the same household.

energy to both SH and DHW) has to be addressed and the described technique should be tested on more households on a longer time frame, as well as on publicly available datasets featuring relevant households, to better assess its validity and robustness in the long run and in comparison with other state-of-the-art methods.

## ACKNOWLEDGEMENTS

This work was supported by the Engineering and Architecture Faculty and the Institue of Sustainable Energy of HES-SO University of Applied Sciences and Arts Western Switzerland as well as by the domOS project funded under the European Union's Horizon 2020 Programme (GA 894240).

### REFERENCES

- Aboulian, A., Green, D. H., Switzer, J. F., Kane, T. J., Bredariol, G. V., Lindahl, P., Donnal, J. S., and Leeb, S. B. (2019). NILM Dashboard: A Power System Monitor for Electromechanical Equipment Diagnostics. *IEEE Transactions on Industrial Informatics*, 15(3):1405–1414.
- Al-Khadher, O., Mukhtaruddin, A., Hashim, F. R., Azizan, M. M., Mamat, H., and Saleh, N. (2022). Nonintrusive load monitoring based on bagging decision trees and the selective features for commercial building loads. In 2022 IEEE International Conference on Power and Energy (PECon), pages 293–298.
- Angelis, G.-F., Timplalexis, C., Krinidis, S., Ioannidis, D., and Tzovaras, D. (2022). NILM applications: Literature review of learning approaches, recent developments and challenges. *Energy and Buildings*, 261.

- Bang, M., Engelsgaard, S. S., Alexandersen, E. K., Skydt, M. R., Shaker, H. R., and Jradi, M. (2019). Novel realtime model-based fault detection method for automatic identification of abnormal energy performance in building ventilation units. *Energy and Buildings*, 183:238–251.
- Beckel, C., Kleiminger, W., Cicchetti, R., Staake, T., and Santini, S. (2014). The ECO Data Set and the Performance of Non-Intrusive Load Monitoring Algorithms. In BuildSys 2014 - Proceedings of the 1st ACM Conference on Embedded Systems for Energy-Efficient Buildings.
- Carrie Armel, K., Gupta, A., Shrimali, G., and Albert, A. (2013). Is disaggregation the holy grail of energy efficiency? The case of electricity. *Energy Policy*, 52:213–234.
- Dash, S. and Sahoo, N. (2022). Electric energy disaggregation via non-intrusive load monitoring: A state-ofthe-art systematic review. *Electric Power Systems Research*, 213:108673.
- domOS Consortium (2024). Operating System for Smart Services in Buildings.
- Egarter, D., Bhuvana, V. P., and Elmenreich, W. (2015). PALDi: Online Load Disaggregation via Particle Filtering. *IEEE Transactions on Instrumentation and Measurement*, 64(2):467–477.
- Federal Office for the Environment (2023). Greenhouse gas emissions from buildings.
- Ferrez, P. and Roduit, P. (2014). Non-Intrusive Appliance Load Curve Disaggregation for Service Development. In 2014 IEEE International Energy Conference (EN-ERGYCON), pages 813–820.
- García-Pérez, D., Pérez-López, D., Díaz-Blanco, I., González-Muñiz, A., Domínguez-González, M., and Cuadrado Vega, A. A. (2021). Fully-Convolutional Denoising Auto-Encoders for NILM in Large Non-Residential Buildings. *IEEE Transactions on Smart Grid*, 12(3):2722–2731.
- GOFLEX Consortium (2020). Generalized Operational

FLEXibility for Integrating Renewables in the Distribution Grid.

- Hart, G. (1992). Nonintrusive appliance load monitoring. Proceedings of the IEEE, 80(12):1870–1891.
- Hernandez, A., Ruano, A., Urena, J., Ruano, M., and Garcia, J. (2019). Applications of NILM Techniques to Energy Management and Assisted Living. *IFAC-PapersOnLine*, 52(11):164–171.
- Himeur, Y., Alsalemi, A., Bensaali, F., and Amira, A. (2021). Smart non-intrusive appliance identification using a novel local power histogramming descriptor with an improved k-nearest neighbors classifier. Sustainable Cities and Society, 67:102764.
- Kelly, J. and Knottenbelt, W. (2016). Does disaggregated electricity feedback reduce domestic electricity consumption? A systematic review of the literature. In *3rd International NILM Workshop*.
- Kim, W. and Katipamula, S. (2018). A review of fault detection and diagnostics methods for building systems. Science and Technology for the Built Environment, 24(1):3–21.
- Kolter, J. and Johnson, M. (2011). REDD: A Public Data Set for Energy Disaggregation Research. Artificial Intelligence, 25.
- Kriechbaumer, T. and Jacobsen, H.-A. (2018). BLOND, a building-level office environment dataset of typical electrical appliances. *Scientific Data*, 5.
- Li, Y., Yang, Y., Sima, K., Li, B., Sun, T., and Li, X. (2021). Non-intrusive load monitoring based on harmonic characteristics. *Proceedia Computer Science*, 183:776–782.
- Mari, S., Bucci, G., Ciancetta, F., Fiorucci, E., and Fioravanti, A. (2022). A Review of Non-Intrusive Load Monitoring Applications in Industrial and Residential Contexts. *Energies*, 15(23).
- Rafati, A., Shaker, H. R., and Ghahghahzadeh, S. (2022). Fault Detection and Efficiency Assessment for HVAC Systems Using Non-Intrusive Load Monitoring: A Review. *Energies*, 15(1).
- Rahimpour, A., Qi, H., Fugate, D., and Kuruganti, T. (2017). Non-Intrusive Energy Disaggregation Using Non-Negative Matrix Factorization With Sum-tok Constraint. *IEEE Transactions on Power Systems*, 32(6):4430–4441.
- Rashid, H. and Singh, P. (2018). Monitor: An Abnormality Detection Approach in Buildings Energy Consumption. In 2018 IEEE 4th International Conference on Collaboration and Internet Computing (CIC), pages 16–25.
- Schirmer, P. and Mporas, I. (2021). On the non-intrusive extraction of residents' privacy- and security-sensitive information from energy smart meters. *Neural Computing and Applications*, 35:1–14.
- Schirmer, P., Mporas, I., and Paraskevas, M. (2020). Energy Disaggregation Using Elastic Matching Algorithms. *Entropy*, 22.
- Schirmer, P. A. and Mporas, I. (2023). Non-Intrusive Load Monitoring: A Review. *IEEE Transactions on Smart Grid*, 14(1):769–784.
- Swiss Federal Office of Energy (2023). Buildings.

- Welikala, S., Dinesh, C., Ekanayake, M. P. B., Godaliyadda, R. I., and Ekanayake, J. (2019). Incorporating Appliance Usage Patterns for Non-Intrusive Load Monitoring and Load Forecasting. *IEEE Transactions on Smart Grid*, 10(1):448–461.
- Wu, Z., Wang, C., Peng, W., Liu, W., and Zhang, H. (2021). Non-intrusive load monitoring using factorial hidden markov model based on adaptive density peak clustering. *Energy and Buildings*, 244:111025.
- Zeifman, M. and Roth, K. (2011). Nonintrusive appliance load monitoring: Review and outlook. *IEEE Transactions on Consumer Electronics*, 57(1):76–84.
- Zhou, Y., Li, F., Liu, L., Wang, T., Cheng, Z., Li, R., and Gao, J. (2022). Non-intrusive load monitoring method based on the time-segmented state probability. *Energy Reports*, 8:1418–1423.
- Çimen, H., Çetinkaya, N., Vasquez, J. C., and Guerrero, J. M. (2021). A Microgrid Energy Management System Based on Non-Intrusive Load Monitoring via Multitask Learning. *IEEE Transactions on Smart Grid*, 12(2):977–987.