# Artificial Bee Colony Algorithm: Bottom-Up Variants for the Job-Shop Scheduling Problem

K. A. Youssefi<sup>®a</sup>, M. Gojkovic and M. Schranz<sup>®b</sup> Lakeside Labs GmbH, Klagenfurt, Austria {youseffi, gojkovic, schranz}@lakeside-labs.com

Keywords: Swarm Intelligence, Bio-Inspired Algorithm, Bee Algorithm, Job-Shop Scheduling, Agent-Based Modeling.

Abstract: The optimization of a job-shop scheduling problem, e.g., in the semiconductor industry, is an NP-hard problem. Various research work have shown us that agent-based modeling of such a production plant allows to efficiently plan tasks, maximize productivity (utilization and tardiness) and thus, minimize production delays. The optimization from the bottom-up especially overcomes computational barriers associated with traditional, typically centrally calculated optimization methods. Specifically, we consider a dynamic semiconductor production plant where we model machines and products as agents and propose two variants of the artificial bee colony algorithm for scheduling from the bottom-up. Variant (1) prioritizes decentralization and batch processing to boost production speed, while Variant (2) aims to predict production times to minimize queue delays. Both algorithmic variants are evaluated in the framework SwarmFabSim, designed in NetLogo, focusing on the job-shop scheduling problem in the semiconductor industry. With the evaluation we analyze the effectiveness of the bottom-up algorithms, which rely on low-effort local calculations.

# **1 INTRODUCTION**

The increased complexity in scheduling of production plants organized by the flexible job-shop principle comes from the dynamics of customized, flexible, on-demand production that is combined with a high product diversity. Exemplary for such a production facility, we consider the semiconductor manufacturer Infineon Technologies Austria AG<sup>1</sup>. They deal with comparatively low-volume integrated circuit production in the logic and power sector, compared to the high volumes of memory and CPU manufacturers. For getting a better idea, exemplary, they produce 1500 products in around 300 processing steps by using up to 1200 different machines (Schranz et al., 2021b; Khatmi et al., 2019). All these characteristics lead to an NP-hard problem that does not allow traditional, linear optimization methods or centrally pre-computed swarm algorithms (Gao et al., 2019) to calculate a global optimization of the plant in a reasonable amount of computational time (Lawler et al., 1993). As proposed in Schranz et al. (Schranz et al., 2021b), we use the innovative approach to perform

agent-based modelling of the production plant. This leads to a self-organizing system of agents where each agent executes local rules, makes decisions based on local knowledge and locally interacts with agents in its neighborhood. This modelling approach shifts the problem of a global computed overall solution to small, local decisions that lead to a distributed, self-organized algorithm. Such an optimization from the bottom-up dynamically reacts on changing environmental conditions (e.g., tool downs, processing loops, product priorities) and produces near-optimal solutions for the NP-hard job-shop problem. Several swarm algorithms already inspired the successful engineering of this bottom-up strategy, including ants and hormones (Umlauft et al., 2022), or bats and glowworms (Umlauft et al., 2023a).

In this paper, honeybees serve as inspiration to derive two variants of swarm intelligence algorithms from their behavior. Honeybees live in a hive together, where their main task is to search for pollen and transport it back to their colony. To increase the efficiency of food transport, bees attract other bees by performing a waggle dance. The dance performance shows the direction, distance and quality of the food source. This behavior was originally abstracted and designed as the artificial bee colony algorithm (ABC) (Karaboga and Basturk, 2007). In this

103

Artificial Bee Colony Algorithm: Bottom-Up Variants for the Job-Shop Scheduling Problem.

DOI: 10.5220/0012765900003758

In Proceedings of the 14th International Conference on Simulation and Modeling Methodologies, Technologies and Applications (SIMULTECH 2024), pages 103-111 ISBN: 978-989-758-708-5: ISSN: 2184-2841

<sup>&</sup>lt;sup>a</sup> https://orcid.org/0000-0002-8719-7699

<sup>&</sup>lt;sup>b</sup> https://orcid.org/0000-0002-0714-6569

<sup>&</sup>lt;sup>1</sup>Infineon Technologies, https://www.infineon.com/

Youssefi, K., Gojkovic, M. and Schranz, M.

Copyright © 2024 by Paper published under CC license (CC BY-NC-ND 4.0)

paper we perform the modeling and engineering of the ABC onto the problem of the semiconductor jobshop scheduling problem. Therefore, the local rules are adapted and aligned with the requirements from the semiconductor production plant model for its optimization from the bottom-up. In variant (1), originally also presented in Umlauft et al. (Umlauft et al., 2023b), the focus is to keep the algorithm decentralized and put a high priority on feeding batch processing machines to accelerate production. In variant (2), the goal is to predict the process time for each production and avoid long queueing periods. Though these algorithms are completely different, Variant (2) is developed by considering the drawbacks of Variant (1).

The paper is organized as follows: In Section 2 we provide a model of the considered production plant and give an overview of the relevant related work. Section 3 describes the natural, but already abstracted bee behavior and explains the two variants of the engineered ABC algorithms. The corresponding results, where we compare the two variants against each other and a so-called baseline algorithm, are described in Section 4. We conclude the paper in Section 5 and provide an outlook on future work and possibilities for further swarm engineering in this domain.

## 2 BACKGROUND

The typical method to address job-shop scheduling is linear optimization. Up to now, no solution was developed that allows an optimization in polynomial time (Zhang et al., 2009), and thus only consider the optimization of a subset of a production plant (Lawler et al., 1993). Therefore, one approach is to agentbased modeling to engineer the production plant as a swarm of self-organized agents (Umlauft et al., 2023b; Schranz et al., 2021b; Umlauft et al., 2022). As observed in the natural swarm behavior of fish, ants or birds, the agents use local rules and interactions in their neighborhood to reach a global goal like, e.g., foraging (Schranz et al., 2021a). Using this approach, the result leads to an optimization of the production plant from the bottom-up instead of calculating a global optimization solution from the top-down.

#### 2.1 Production Plant Model

The production plant in the considered semiconductor industry is modeled with a number of products, so-called lots  $L^t = \{l_1^t, l_2^t, ...\}$ . Each lot relates to a specific **recipe**  $R^t$  to produce a lot of a certain product type *t*. In the recipe we have a prescription on the **process step**  $P_i^m$  that must be performed next.

Thus, the recipe is an ordered list of process steps  $R^t = \{P_1, P_2, \dots\}$ . The production plant runs a number of machines M, where each machine  $M_i^m$  is of a machine type *m* and has a queue  $Q_i^m$ . We differentiate between two kind of machines that again increases optimization complexity: single-step machines (process one lot after the other), and **batch**-oriented machines (process a batch of several lots of the same type t at once). Machines that have the same type m are grouped into workcenters  $W^m \subset M$ . Further on, for every machine or process type *m* to be performed, at least one workcenter  $W^m$  containing at least one machine  $M^m$  of type *m* must exist. In typical production plants there exists multiple machines per workcenter and for each necessary process step  $P^m \in R^t$  a lot  $l_n^t$ must decide which of the suitable machines  $M_i^m \in W^{\tilde{m}}$ to enqueue. Depending on the used algorithm, machines can also re-order their queues  $Q_i^m$ .

### 2.2 Related Work

The artificial bee colony (ABC) algorithm was already considered multiple times for the job-shop scheduling problem (JSSP) as a centrally calculated algorithm: In (Yao et al., 2010; Han et al., 2012) the authors proposed the improved ABC (IABC) where they enhanced the convergence rate. Other works like in (Gupta and Sharma, 2012) were able to increase the efficiency by implementing additional mutation and crossover operations in the classical ABC algorithm. Another ABC variant is shown in (Kumar et al., 2014), where the Crossover-based ABC (CbABC) strengthens the exploitation phase of ABC as crossover enhances the exploration of the search space. In (Alvarado-Iniesta et al., 2013) they optimized the raw material supply process for different production lines in a local manufacturing plant. In (Yurtkuran and Emel, 2014) they proposed the modified artificial bee colony (M-ABC) algorithm with random key-based encoding for solution representation and a new multi-search strategy. Another modified ABC, named Beer froth ABC (Sharma et al., 2018), solves the JSSP by successfully keeping the exploration-exploitation balance. Fuzzy processing time for the FJSP was investigated in (Gao et al., 2016). For further details on literature the reader is referred to (Karaboga et al., 2014; Khader et al., 2013).

# 3 THE ARTIFICIAL BEE COLONY ALGORITHM

### 3.1 The Natural Inspiration

The intelligent behavior of honeybee colonies inspired Karaboga (Karaboga and Basturk, 2007) to define meta-heuristics for solving numerical optimization problems. The Artificial Bee Colony (ABC) algorithm exhibits swarm-based behavior with three main components: employed and unemployed bees, and food sources (solutions to a given problem). Bee agents implement recruitment and abandonment strategies. This behavior results in positive and negative feedback necessary for a self-organizing system and collective intelligence. The Algorithm 1 presents the pseudo-code of the ABC algorithm. During the Initialization Phase, algorithmic parameters are initialized alongside the food source population. Bees in the Employed Bees Phase are allocated to individual food sources so that each food source employs only one bee. In the Onlooker Bees Phase, bees opt for one of the food sources advertised by the employed bees. In contrast, scout bees adopt a stochastic selection approach when discovering a food source. In the Scout Bees Phase, additionally, an employed bee can transit to a scout bee when the quality of the advertised food source is decreased due to excessive exploitation by onlooker bees or the food source is of inherently low quality. A more detailed description of the algorithm and its phases is given in (Umlauft et al., 2023b).

Algorithm	1:	ABC	as	global	optin	nizer.

- 1: Initialization Phase (population of the food source)
- 2: repeat
- 3: Employed Bees Phase
- 4: Onlooker Bees Phase
- 5: Scout Bees Phase
- 6: Memorize the best solution
- 7: **until** Cycle = Maximum Cycle Number or a Maximum CPU time

In the vast literature on the ABC algorithm applied to the JSSP (see Section 2.2), the population of bees always represent a solution space, i.e., the algorithm is calculated centrally. Our contribution consists of opting for the bottom-up approach, where bees represent agents (instead of solutions) that follow local rules from which a global behavior (the optimal schedule) emerges. Thus, we present a completely new approach to the ABC algorithm application.

### **3.2** The ABC - Variant (1)

The first variant of the ABC algorithm implements the following mapping to address the JSSP (Umlauft et al., 2023b):

- food source = machine,  $M_i^m \in W^m, i = 1, 2, ..., I$
- bee = lot from one product,  $l_n^t \in L^t$ , n = 1, 2, ..., N.

The algorithm is implemented so that each lot  $l_n^t$  follows a recipe  $R^t$  that defines process steps  $P^m$  necessary for lots of type t to complete their production. Machines of the same type m perform the process  $P^m$  and are grouped in a workcenter  $W^m$ . Since in a lot's recipe only a process step  $P^m$  is defined, but not the specific  $M_i^m \in W^m$ , a lot needs to make a decision.

A lot is modeled as an onlooker bee  $l_{OB}$  or a scout bee  $l_{SB}$ . The latter chooses a random machine and the former will probabilistically choose the best  $M_i^m \in W^m$  (Eq. 2). The former selects a random machine  $M_i^m$  from a workcenter and upon finishing the process, evaluates the machine's quality  $Q(M_i^m)$  (Eq. 1) with

$$Q(M_i^m) = \frac{1}{w_{SB}} \tag{1}$$

where  $w_{SB}$  is the total waiting time of lot  $l_{SB}$ , i.e., the time a lot spent waiting in the queue and the processing time of the machine  $M_i^m$ .

$$P_r(M_i^m) = \frac{fit(M_i^m)}{\sum_{i=1}^I fit(M_i^m)}$$
(2)

The probability  $P_r(M_i^m)$ , as defined in Eq. 2, is influenced by the machine's own fitness  $fit(M_i^m)$  relative to the sum of fitness values of all machines within the same workcenter  $W^m$ . Once  $l_{SB}$  evaluates the machine's quality  $Q(M_i^m)$ , other incoming  $l_{OB}$  will have enough information to calculate selection probability  $P_r(M_i^m)$  of the machine  $M_i^m$ , and probabilistically choose the best machine  $M_i^m \in W^m$ .

Our model contains lots that only move forward in the factory, so there's no support for the hive, as in the Algorithm 1. The information exchange between  $l_{SB}$ and  $l_{OB}$  is performed via stigmergy. Namely, after  $l_{SB}$ evaluates the machine's quality  $Q(M_i^m)$ , this information will be stored at the machine and accessible by  $l_{OB}$ .

In Algorithm 1, the Employed Bees Phase generates a new solution in the neighborhood. The fitness of a currently existing solution and the newly generated one undergo a greedy selection. In the Algorithm 2, this is modeled as follows: Lots waiting in  $Q_i^m$  of a chosen  $M_i^m$  belong to the same neighborhood. By default, the  $M_i^m$  will process the first enqueued lot (as in the First-In-First-Out algorithm). However, a better solution would be some other enqueued lot with the next  $P^n$  corresponding to a batch machine. Namely, if such a lot would be processed first so that it arrives on time to complete the batch of  $M_i^n$ , the performance of  $M_i^n$  would increase. This would directly improve the performance of the overall production time (Umlauft et al., 2023b).

The Algorithm 2, also models the abandonment of a food source if its quality is below a certain threshold, either initially or due to excessive exploitation: Each machine  $M_i^m$  has a predefined limit value l that informs about how reliable the quality  $Q(M_i^m)$  is. Specifically, after some  $l_{OB}$  probabilistically choose the best  $M_i^m \in W^m$ , the queue length and therefore the total waiting time for the  $w_{OB}$  will increase. When the total waiting time for this machine increases, subsequently the quality  $Q(M_i^m)$  also changes. To maintain the quality  $Q(M_i^m)$  up-to-date, the limit value l of  $M_i^m$  decreases each time a new  $l_{OB}$  lot gets enqueued. When the limit value gets l = 0, the machine will become attractive to  $l_{SB}$  lots as those will perform reevaluation of the  $Q(M_i^m)$ .

Algorithm 2 provides phases of the bottom-up ABC (Umlauft et al., 2023b) where each phase change follows also changes in lot's recipe  $R^t$ , from process steps  $P^m \rightarrow P^n$ :

Algorithm 2: Bottom-up ABC.	
1: Initialization Phase	6: SingleStep $\rightarrow$
(population of lots	SingleStep :
and machines)	Scout Bees Phase
	Onlooker Bees
2: repeat	Phase
3: <b>switch</b> $m_{prev} \rightarrow$	
$m_{next}$ do	7: SingleStep $\rightarrow$
	Batch :
4: $0 \rightarrow SingleStep$ . :	Employed Bees
Scout Bees Phase	Phase
Onlooker Bees	
Phase	8: $Batch \rightarrow Batch$ :
	Scout Bees Phase
5: $0 \rightarrow Batch$ :	Onlooker Bees
Scout Bees Phase	Phase
Onlooker Bees	
Phase	9: Batch $\rightarrow$
	SingleStep :
	Scout Bees Phase
	10: until all lots have
	found their last ma-
	chine

Initialization Phase. This phase initializes simula-

tion parameters: limit l, the population of lots and machines, and their memory.

**Case 0 to SingleStep.** Since the  $l_{SB}$  modeled lots provide quality information of a queue  $Q_i^m$ , these lots enter a workcenter  $W^m$  first. After quality  $Q(M_i^m)$  is available,  $l_{OB}$  will have enough information to choose probabilistically the best machine in this  $W^m$ .

**Case 0 to Batch.** Initially,  $l_{SB}$  are randomly allocated in the workcenter  $W^m$ . Then,  $l_{OB}$  modeled lots will select a machine  $M_i^m \in W_i^m$  that has the lowest number of free places  $n_{fs}$  until the batch is full. In other words,  $l_{OB}$  aims to complete batches of machines in  $W_i^m$ .

**Case SingleStep to SingleStep.** Quality of a machine  $Q(M_i^m)$  is essential for a lot's decision-making, thus  $Q(M_i^m)$  must always be kept up-to-date. Every machine  $M_i^m \in W^m$  has a limit *l* for its quality value. Each time a  $l_{OB}$  lot selects this  $M_i^m$  machine, the limit will decrease. When the limit reaches value zero (l = 0),  $l_{SB}$  lots will get attracted to this  $M_i^m$  machine to come and re-evaluate the machine's quality  $Q(M_i^m)$ .

**Case SingleStep to Batch.** If a lot  $l^{t}$  is experiencing such a transition in its recipe  $R^{t}$ , the lot will enter the Employed Bees Phase. This phase aims to maintain this transition as seamless as possible. A single-step machine  $M_{i}^{m}$  implements the First-In-First-Out algorithm, i.e.,  $M_{i}^{m}$  will process the first enqueued lot. In the Employed Bees Phase, machine  $M_{i}^{m}$  will process some other lot from its queue  $Q_{i}^{m}$  that has a next process step  $P^{n}$  at a batch machine  $M_{i}^{n} \in W_{i}^{n}$ . In this way, machine  $M_{i}^{n} \in W_{i}^{n}$  will process a fuller batch and improve the overall production process.

**Case Batch to Batch.** Lots will decide similarly as described in the case "0 to Batch". In this case, a batch of lots was already accumulated for the previous batch machine  $M_i^m$ . Therefore, in the case "Batch to Batch", these accumulated lots will decide so that the already formed group is kept and possibly immediately processed by the next batch machine  $M_i^n$ .

**Case Batch to SingleStep.** To prevent lots from overcrowding queues in the next workcenter, a group of lots coming from a batch machine will be dispersed in their next workcenter. This behavior is implemented in the Scout Bees Phase of the algorithm.

#### **3.3** The ABC - Variant (2)

The innovation of ABC variant (1) lies in proposing a swarm intelligence-based solution with local and decentralized communications for the complex problem at hand, focusing on assessing  $Q(M_i^m)$  of each  $M_i^m \in W^m$  (food source) based on the waiting time w of the lots (bees) and prioritizing lots that will be sent next to a batch machine . Although this approach has marginally increased the  $l'_n$  movement speed in the production process (see Table 3 in Section 4), it has also introduced a challenge: The escalation in the queue length for batch machines is directly linked to the surplus lots directed towards these machines (see Figure 1 in Section 4), causing a partial disruption in the overall system order. Consequently, this study introduces a second algorithm, denoted as ABC variant (2), which concentrates on forecasting the lot waiting time  $w_l$  based on their subsequent processing steps  $P^n$  and the availability of corresponding machines  $M_i^n \in W^n$ , processing them in a manner that minimizes their holdup.

In ABC variant (2), the assumptions of ABC variant (1) regarding the bees, food sources, and the absence of hives in the designed system hold. However, new tasks have been assigned to different types of bees. Additionally, considering that establishing a centralized communication with limited data volume is not prohibitively costly given the problem at hand, ABC variant (2) does not emphasize local communication among bees and decentralized computations, as many computations are reusable and calculating them separately for each agent incurs computational overhead. Nevertheless, ABC variant (2) is only partially centralized and reliant on only limited-volume global communications.

In ABC variant (2), an engineered version of the standard ABC is designed based on the stated objectives. In this algorithm, the tasks assigned to each bee group are as follows:

- Employed Bees (EB). Each  $l_n^t$  in  $Q_i^m$  is an employed bee  $l_{EB}$  in a food source  $(M_i^m \in W^m)$ . The task of an employed bee is to predict the waiting time w for entering the next  $M_i^n$  after processing in the current  $M_i^m$ . The method of performing this calculation is explained later in this section. A food source can have multiple worker bees (multiple  $l_{EB}$  queued for a  $M_i^m \in W^m$ ). The second task of a worker bee  $(l_{EB})$  of a food source is to collectively select the lot that will have the minimum waiting time  $w_l$  ahead for the next  $P^m$  processing step. The lot chosen will enter the machine  $M_i^m \in W^m$ .
- Onlooker Bees (OB). All bees, except for the limited population of scout bee lots  $l_{SB}$ , initially belong to this  $l_{OB}$  type. Also, a  $l_{EB}$  after exiting the machine  $M_i^m$ , reverts to an onlooker bee  $l_{OB}$ , and then, upon queuing in the next machine, reverts to a  $l_{EB}$ . The task of this  $l_{OB}$  is to select one of the next suitable food sources ( $M_i^n \in W^n$ ) based on the present bees  $l_{EB}$  and  $l_{SB}$  in each  $M_i^n$  and move to the respective  $Q_i^n$ . The method of evaluating the

 $Q_i^n$  is explained subsequently in this section.

• Scout Bees (SB). These bees  $l_{SB}$ , comprising a limited subset of all bees, select their next queue  $Q_i^n$  similar to  $l_{EB}$ , but their priority for leaving the queue  $Q_i^n$  and being processed by the corresponding  $M_i^n$  is always the highest. The purpose of this group, like the standard algorithm, is to enable the exploration of various solutions to the problem by providing randomized conditions.

For the optimal implementation of algorithm computations, we designed a wait Table (wT) consisting of two columns labeled Process ID (PID) and Wait Time (WT). Column PID contains unique values representing all machine types m in  $M_i^m$  (i.e., all process types m in  $P^m$ ). Column WT has a corresponding value for each PID, indicating the expected processing wait time for the next lot that is to be processed by a machine of a type m. Table 1 illustrates an example of such a table.

To compute the values, it is crucial to determine whether the  $W^m$  contains single-step machines or batch machines. If  $W^m$  contains single-step machines, the maximum wait time for a lot to be processed by a machine  $M_i^m \in W^m$  equals to the shortest queue length among the relevant machines in  $W^m$ . Otherwise, if  $W^m$  belongs to batch machines, the maximum wait time depends on whether the number of lots in the queue of each  $M_i^m \in W^m$  for at least one of the product types is at least equal to one batch size of the machine  $M_i^m$  or not. If not, the  $M_i^m$  cannot operate and needs to wait. To avoid this, a negative value indicating priority for processing for that PID (i.e., process step  $P^m$ ) should be calculated equal to the number of required lots so that  $M_i^m$  can continue working. Otherwise, in the case of a long  $Q_i^m$ , the situation is similar to that of single-step machines. Algorithm 3 illustrates the process of computing these values.

Table 1: A sample wT table. A negative WT value shows a batch machine that is on a wait timer and needs (-values) lots to start processing. WT unit is simulation ticks.

Process ID (PID)	Wait Time (WT)
1	15
2	24
3	-2
4	17
5	12

Based on the wT table, all employed bees  $l_{EB}$  in a single-step machine can calculate the expected Accumulated Wait Time (AWT) for undergoing their Next look\_ahead Process Steps (NPS) using Equa-

SIMULTECH 2024 - 14th International Conference on Simulation and Modeling Methodologies, Technologies and Applications

Algorithm 3: Compute WT values for wT.
Initialize empty wT table
for all PID ∈ PIDs do
<b>if</b> single machines <b>then</b>
value $\leftarrow$ min queue list of machines
else > batch machine
$sQ \leftarrow min machine queue length$
bs $\leftarrow$ machine batch size
<b>if</b> any machine with wain timer <b>then</b>
value $\leftarrow$ sQ – bs $\triangleright$ negative wait time
else
value $\leftarrow \left\lfloor \frac{sQ}{bs} \right\rfloor + (bs - sQ \mod bs)$

update (PID,value) pair in the table tion 3. In this equation, an adjustable parameter

look\_ahead determines the extent to which the bees are future-oriented. In this work, we have considered look\_ahead = 10. After calculating all AWTs, the employed bee  $l_{EB}$  with min AWT will proceed to the machine for processing.

AWT (bee) = 
$$\sum_{i=1}^{look\_ahead} wT (bee's i^{th} NPS)$$
 (3)

For lots in a batch machine queue, AWT is defined for each sub-queue related to a product type t (Equation 4). After calculating all AWTs, the product type with min AWT will send a batch to the machine. This calculation will be done only in the case that there is more than one full batch for different product types in that queue.

AWT (subQ) = 
$$\sum_{l \in subQ} wT(l's NPS)$$
 (4)

An onlooker bee  $l_{OB}$  can also use the wT table to predict how long it should wait if it enters a queue  $Q_i^n$ . This calculation has two steps:

- 1) Calculate the number of lots with Higher Priority (HP). An HP lot is a lot with a smaller WT for its next *P*<sup>n</sup> step than the WT of the next step for the current lot *l*<sub>OB</sub>.
- 2) Calculate queue quality based on HP and non-HP lots in the queue

These calculations are only available for singlestep machines because queues for batch machines are comparatively shorter than those for single-step machines. Therefore, lots follow the Baseline algorithm in this case. Algorithm 4 illustrates an onlooker bee's procedure to select the best food source. In this algorithm, emphasizing\_coef is an adjustable parameter for controlling the influence of the HP lots in queue quality calculation. In this work, we have considered emphasizing\_coef = 1.25. Algorithm 4: Onlooker bee's queue selection procedure (single-lot machine).

```
bQ \gets \text{non}
                                               ⊳ best Queue
bQq \leftarrow +inf
                                     ▷ best Queue quality
WT_l \leftarrow wT(lot's next step)
for all q \in possible queues do
    \text{HP} \leftarrow 0
    non_HP \leftarrow 0
    for all q.l \in q do
         WT_q.l \leftarrow wT(q.l's next step)
         if WT_q.l < WT_l then
              \text{HP} \leftarrow \text{HP} + 1
         else
             non_HP \leftarrow non_HP + 1
    qQuality \leftarrow emphasizing\_coef * HP + non\_HP
    if bQq < qQuality then
         bQq \leftarrow qQuality
         bQ \leftarrow q
```

# 4 EVALUATION AND RESULTS

This section introduces the NetLogo simulator SwarmFabSim used in implementing both proposed ABC variants of the algorithms, along with the performance evaluation metrics considered to assess the quality of the algorithms' results. After that, the behavioral distinctions between the two introduced algorithm variants are compared. Finally, the results of both variants are evaluated and compared against the Baseline algorithm.

## 4.1 Simulation Framework

The applied simulation framework SwarmFab-Sim (Umlauft et al., 2022) models machines, queues, and lots within the production plant using NetLogo. Initially, lots that are not being processed (waiting in a queue or already finished) select the next machine. Simultaneously, every machine that's currently not processing any lot(s), selects the next one(s) from its queue or a batch.

For comparison to other swarm algorithms, the Baseline algorithm has been designed so that it uses simple local rules for decision-making. In the Baseline algorithm, lots that should select the next machine from a workcenter containing only single-step machines would always go to a machine with the shortest queue. In this case, the algorithm does not reorganize already queued lots, it implements the FIFO method. In case a workcenter belongs to batch machines, the algorithm aims to fill out a batch as much and as fast as possible. Lots will always be assigned to a machine with the least places missing.

The developed algorithms are evaluated in three scenarios: small (SFAB), medium-sized (MFAB), and large (LFAB) scenarios. Each scenario contains parameter values defined in Table 2. The probability of batch machines in all scenarios is 50%. For batch size and waiting time WT, a uniform distribution of U(2,8) and U(1,2) has been implemented, respectively. A machine's processing time follows a normal distribution  $N(\mu,\sigma^2)$ , where  $\mu = 1.16$  and  $\sigma^2 = 0.32$ . Negative values from the distribution are omitted as processing time cannot be negative.

For further details on SwarmFabSim, the Baseline algorithm, and the evaluation scenarios, the reader is referred to (Umlauft et al., 2022).

Table 2: Parameters used to create the three evaluation scenarios.

Parameter	SFAB	MFAB	LFAB
Mach. types	25	50	100
Mach. / type	U(2,5)	U(2, 10)	U(2, 10)
Product types	50	50	100
Recipe length	U(90, 110)	U(90, 110)	U(90, 110)
Lots per type	U(1, 10)	U(1,10)	U(2, 10)

Finally, the following KPIs (Key Performance Indicators) have been used to evaluate the developed algorithms: Makespan (MS) is the simulation time (ticks) it took all lots to complete their production, from the first step in their recipe to the last. Flow Factor (FF) is a relation of time a lot needed to finish its production (processing time and queuing time), over the theoretical production time (pure processing time). Tardiness (TRD) refers to simulation ticks a lot additionally needed to complete its production. This KPI is averaged over all produced lots. Machine Utilization (UTL) is the ratio of simulation ticks a machine has been operating (processing) over the total number of simulation ticks. This KPI is averaged over all machines in the simulated factory. The optimization goal is to minimize the following key performance indicators: MS, FF, and TRD. Although these metrics are closely related, each shows a different aspect of the algorithms' behavioral results. Particularly, MS shows the overall production time as a global metric (high-level), while FF and TRD are lotlevel (i.e., low-level) metrics. UTL is a linking metric that relates MS to FF and TRD, and the algorithm does not necessarily aim to modify it.

### 4.2 Results

ABC variant (1) attempts to expedite the processing of lots that will go next to a batch machine, thereby increasing the load on batch machines and accelerating the movement of lots within the system. In contrast, ABC variant (2) endeavors to prevent the accumulation of lots with estimated long processing times in a queue and the subsequent emptying of other queues by predicting the processing time of the next stages for the lots. Ultimately, the Baseline algorithm aims to increase the overall system speed by moving lots in a FIFO order to queues with minimal length. Figure 1 illustrates the influence of each of these algorithms on the maximum queue length of machines, categorized by machine type (single and batch).

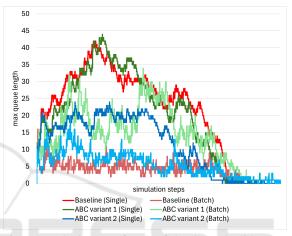


Figure 1: The impact of each of the three algorithms on the maximum queue length of machines (single and batch). As it is observable, ABC variant (2), compared to the other two, successfully avoided long queues.

As evident from Figure 1, in comparison to the Baseline algorithm, ABC variant (1) increases the load on batch machines and disrupts the balance, leading to an increase in the queue length of batch machines while the queue length of single machines remains unchanged. On the other hand, ABC variant (2) effectively maintains the system balance and simultaneously prevents the formation of long queues.

Also, Figure 2 depicts consistent results regarding the impact of all three algorithms on the percentage of idle batch machines that have entered the wait timer. It is evident from the image that the Baseline and ABC variant (2) algorithms effectively maintain the balance of load on all machines. However, ABC variant (1) failed to maintain this balance.

Qualitative algorithm performance comparison indicated that ABC variant (2) has been relatively successful in maintaining system stability. However, besides maintaining system balance, the primary objective of designing algorithms is also to boost performance. The Tables 3, 4 and 5 present the results of the quantitative performance study of the two algorithms compared to the Baseline algorithm based on

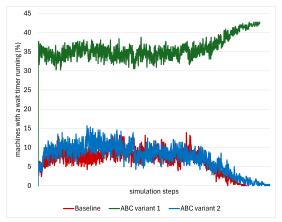


Figure 2: The impact of each of the three algorithms on the percentage of batch machines that are on a wait timer and have no complete batch to process. Compared to the two other algorithms, the imbalance lot processing of ABC variant (1) resulted in a considerable percentage of machines entering wait timers.

the defined performance metrics and using the introduced datasets. It's important to note that due to the inherently nondeterministic nature of the processes involved (e.g., the random decision-making of lots in certain situations), the repetition of experiments was crucial for obtaining statistically significant results. Therefore, each experiment was repeated 100 times across the three scenarios to provide robustness to the findings. Thus, the reported results in this section represent averages derived from the multiple runs conducted for each scenario.

Table 3: Results for small scenario (SFAB) indicate that both ABC variants result in slightly bigger MS and reduce UTL, but ABC variant (2) significantly improved FF and TRD.

-					
	Baseline	ABC 1	Imp %	ABC 2	Imp %
MS	10054.5	10367.3	-3.11	10699.8	-6.42
FF	6.256	6.188	1.09	4.472	28.51
TRD	6647.1	6577.3	1.05	4401.2	33.79
UTL	33.945	33.053	-2.63	31.991	-5.76

In all three case studies, the introduced ABCbased algorithms caused deterioration in both MS and machine UTL. This was even worse for ABC-variant (2). On the other hand, although ABC-variant (1) could not improve the other two metrics, FF and TRD, ABC-variant (2) considerably improved on these two metrics and in all cases.

The analysis of algorithm performance across three different case sizes, namely small, medium, and large, serves as a testament to the stability and dependability of these algorithms. While ABC variant

Table 4: Results for medium scenario (MFAB) exhibit the behavior of the algorithm similar to the SFAB case.

	Baseline	ABC 1	Imp %	ABC 2	Imp %
MS	4559.3	4873	-6.88	4996.5	-9.59
FF	3.084	3.113	-0.93	2.655	13.9
TRD	2358	2388.4	-1.29	1873.2	20.56
UTL	21.514	20.902	-2.84	20.075	-6.69

(1) may not exhibit a substantial improvement in performance, it consistently maintains its stability across all case sizes. Conversely, the findings highlight that the impressive performance of ABC variant (2) is not contingent upon the specific case or its size. This suggests that ABC variant (2) is robust and adaptable.

Table 5: Results for large scenario (LFAB) exhibit matching and reliable behavior of the two proposed algorithms compared to the Baseline algorithm.

	Baseline	ABC 1	Imp %	ABC 2	Imp %
MS	5933.9	6255.37	-5.42	6767.2	-14.04
FF	3.353	3.337	0.47	2.709	19.2
TRD	2976.6	2955.6	0.71	2157.3	27.52
UTL	21.944	20.451	-6.81	19.59	-10.73

Overall results imply that ABC2 effectively enhances the efficiency of FAB in low-level terms and for individual lots (as evidenced by improvements in FF and TRD). Still, it doesn't substantially impact the overall production time (MS) at a high level. The reduced UTLs confirm this interpretation. In other words, by utilizing ABC2, the lengths of machines' queues are shortened since lots are prioritized based on minimum waiting time, resulting in lower FF and TRD. However, ABC2 only looks ahead for lots' waiting times. It does not consider the later availability of lots for machines, so the chance that a machine gets no lot for a while increases, resulting in lower UTL and, thus, a higher MS due to the increased idle machines.

# 5 CONCLUSION AND FUTURE WORK

In summary, this study explored the application of the two versions of the Artificial Bee Colony (ABC) algorithm on the JSSP in the semiconductor industry. While the first variant does not provide noticeable improvements, studying its behavior helped us to develop the second variant by avoiding the weaknesses of the previous one and focusing on the refinement. As a result, ABC variant (2) performs consistently well across different cases, highlighting its versatility. These findings underline the importance of balancing stability and adaptability in algorithm design for effective scheduling in complex manufacturing settings. Further research could explore different parameters of ABC variant (2), including look\_ahead and emphasizing\_coef. Furthermore, the role and effectiveness of scout bees in the system can be investigated.

## ACKNOWLEDGEMENT

This work was performed in the course of project SwarmIn supported by FFG under contract number 894072.

## REFERENCES

- Alvarado-Iniesta, A., Garcia-Alcaraz, J. L., Rodriguez-Borbon, M. I., and Maldonado, A. (2013). Optimization of the material flow in a manufacturing plant by use of artificial bee colony algorithm. *Expert Systems* with Applications, 40(12):4785–4790.
- Gao, K., Cao, Z., Zhang, L., Chen, Z., Han, Y., and Pan, Q. (2019). A review on swarm intelligence and evolutionary algorithms for solving flexible job shop scheduling problems. *IEEE/CAA Journal of Automatica Sinica*, 6(4):904–916.
- Gao, K. Z., Suganthan, P. N., Pan, Q. K., Tasgetiren, M. F., and Sadollah, A. (2016). Artificial bee colony algorithm for scheduling and rescheduling fuzzy flexible job shop problem with new job insertion. *Knowledgebased systems*, 109:1–16.
- Gupta, M. and Sharma, G. (2012). An efficient modified artificial bee colony algorithm for job scheduling problem. *International Journal of Soft Computing and En*gineering (IJSCE), 1(6).
- Han, Y.-Y., Pan, Q.-K., Li, J.-Q., and Sang, H.-y. (2012). An improved artificial bee colony algorithm for the blocking flowshop scheduling problem. *The International Journal of Advanced Manufacturing Technology*, 60(9-12):1149–1159.
- Karaboga, D. and Basturk, B. (2007). A powerful and efficient algorithm for numerical function optimization: artificial bee colony (abc) algorithm. *Journal of global optimization*, 39(3):459–471.
- Karaboga, D., Gorkemli, B., Ozturk, C., and Karaboga, N. (2014). A comprehensive survey: artificial bee colony (abc) algorithm and applications. *Artificial Intelligence Review*, 42(1):21–57.
- Khader, A. T., Al-betar, M. A., and Mohammed, A. A. (2013). Artificial bee colony algorithm, its variants and applications: a survey. *Journal of Theoretical and Applied Information Technology*, 47(2):434–459.

- Khatmi, E., Elmenreich, W., Wogatai, K., Schranz, M., Umlauft, M., Laure, W., and Wuttei, A. (2019). Swarm intelligence layer to control autonomous agents (swilt). In STAF (Co-Located Events), pages 91–96.
- Kumar, S., Sharma, V. K., and Kumari, R. (2014). A novel hybrid crossover based artificial bee colony algorithm for optimization problem. arXiv preprint arXiv:1407.5574.
- Lawler, E. L., Lenstra, J. K., Kan, A. H. R., and Shmoys, D. B. (1993). Sequencing and scheduling: Algorithms and complexity. *Handbooks in Operations Research* and Management Science, 4:445–522.
- Schranz, M., Di Caro, G. A., Schmickl, T., Elmenreich, W., Arvin, F., Şekercioğlu, A., and Sende, M. (2021a). Swarm intelligence and cyber-physical systems: concepts, challenges and future trends. *Swarm and Evolutionary Computation*, 60:100762.
- Schranz, M., Umlauft, M., and Elmenreich, W. (2021b). Bottom-up job shop scheduling with swarm intelligence in large production plants. In Proceedings of the 11th International Conference on Simulation and Modeling, Methodolgies, Technologies and Applications (SIMULTECH), pages 327–334.
- Sharma, N., Sharma, H., and Sharma, A. (2018). Beer froth artificial bee colony algorithm for job-shop scheduling problem. *Applied Soft Computing*, 68:507–524.
- Umlauft, M., Gojkovic, M., Harshina, K., Majbour, K., and Schranz, M. (2023a). Bees, bats and glowworms: Swarm algorithms for optimizing industrial plants from the bottom-up. In *International Conference on Agents and Artificial Intelligence*, pages 3–25. Springer.
- Umlauft, M., Gojkovic, M., Harshina, K., and Schranz, M. (2023b). Bottom-up bio-inspired algorithms for optimizing industrial plants. In *International Conference* on Agents and Artificial Intelligence (ICAART), pages 59–70.
- Umlauft, M., Schranz, M., and Elmenreich, W. (2022). Simulation of swarm intelligence for flexible job-shop scheduling with swarmfabsim: Case studies with artificial hormones and an ant algorithm. In *International Conference on Simulation and Modeling Methodologies, Technologies and Applications*, pages 133–155. Springer.
- Yao, B. Z., Yang, C. Y., Hu, J. J., Yin, G. D., and Yu, B. (2010). An improved artificial bee colony algorithm for job shop problem. In *Applied Mechanics and Materials*, volume 26, pages 657–660. Trans Tech Publ.
- Yurtkuran, A. and Emel, E. (2014). A modified artificial bee colony algorithm for-center problems. *The Scientific World Journal*, 2014.
- Zhang, G., Shao, X., Li, P., and Gao, L. (2009). An effective hybrid particle swarm optimization algorithm for multi-objective flexible job-shop scheduling problem. *Computers & Industrial Engineering*, 56(4):1309– 1318.