

Comparative Analysis of Brain Tumor Classification and Models Based on VGG16

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Abstract: This study delves into the effectiveness of the Visual Geometry Group Network 16 (VGG16) convolutional neural network (CNN) in the crucial task of classifying brain tumors, a pivotal endeavor aimed at enhancing diagnostic accuracy and tailoring patient treatment in the field of oncology. Leveraging the renowned VGG16 model, celebrated for its deep architecture and robust feature extraction capabilities, this research seeks to propel the accuracy of brain tumor diagnostics to new heights. Through a meticulously crafted methodology encompassing comprehensive image preprocessing, meticulous optimization of the VGG16 model, and meticulous comparison with other CNN models, the study meticulously evaluates crucial metrics such as accuracy, sensitivity, and specificity. Drawing upon a rich dataset of brain tumor images for analysis, the findings underscore VGG16's superior classification performance, highlighting its profound potential to revolutionize medical imaging practices and elevate the standard of patient care in oncology. These compelling results not only bolster the utilization of deep learning techniques in medical diagnostics but also pave the way for future advancements in personalized healthcare methodologies.

1 INTRODUCTION

Brain cancer, a formidable adversary in oncology, remains a leading cause of cancer-related morbidity and mortality worldwide (Harachi, 2024). The heterogeneity of brain tumors, with their complex biological characteristics, presents a significant challenge for accurate diagnosis and classification, crucial for effective treatment planning (Xie, 2024). The advent of Convolutional Neural Networks (CNNs) has opened new vistas in medical image analysis, offering a potential leap forward in the precision of brain tumor classification (Irgolitsch, 2024). The significance of this research lies in harnessing the power of CNNs, particularly the Visual Geometry Group Network 16 (VGG16) model, to improve the accuracy and efficiency of brain tumor diagnosis, thereby contributing to personalized medicine and better patient outcomes.

In the realm of medical image processing, CNNs have emerged as a transformative force, particularly in cancer diagnosis, including brain tumors. With numerous studies demonstrating their efficacy in classifying various types of cancers, including those

of the brain. In the domain of medical imaging, particularly brain tumor classification, significant strides have been made with the adoption of CNNs. Research has evolved from traditional image processing methods to advanced deep learning models, with VGG16 emerging as a key player due to its deep architecture and superior feature extraction capabilities. VGG16, a deep CNN architecture, has been particularly noted for its success in image recognition tasks due to its depth and robust feature extraction capabilities (Jahannia, 2024). Previous research has leveraged VGG16 for brain tumor classification, achieving promising results that underscore the model's potential in medical applications (Khaliki, 2024). The VGG16 architecture, known for its depth and robust feature extraction, has played a crucial role in this progress. It has been effectively used for brain tumor classification, showcasing the potential of deep learning in medical applications. However, the integration of CNNs in clinical workflows is still in its infancy, with ongoing debates regarding model interpretability, data privacy, and the need for large, annotated datasets (Sachdeva, 2024). This study aims

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to build upon the existing body of work, addressing some of these challenges and pushing the boundaries of what is currently achievable with CNN-based brain tumor classification. The exploration of CNNs, especially VGG16, in brain tumor classification not only enhances diagnostic precision (Reddy, 2024) but also paves the way for novel therapeutic strategies. By accurately categorizing brain tumors, clinicians can tailor treatments to individual patients, thereby optimizing outcomes and minimizing adverse effects (Balajee, 2024). Moreover, the integration of CNNs into clinical decision-making processes underscores the convergence of technology and healthcare, promising a future where medical interventions are more data-driven and patient-specific (Yalamanchili, 2024). While the journey toward fully integrating CNNs into routine clinical practice is fraught with challenges, the potential benefits in terms of improved diagnostic accuracy, patient outcomes, and healthcare efficiency are immense. The ongoing research and development in this area are crucial steps toward realizing the full potential of CNNs in medical imaging and oncology, signifying a paradigm shift in how brain cancer is diagnosed and treated (Rahman, 2024).

This study utilizes the VGG16 model to develop a robust framework for brain cancer detection, meticulously adjusting its parameters to gauge their impact on model performance. Renowned for its deep architecture and remarkable efficacy in feature extraction, VGG16 plays a pivotal role in accurately identifying various brain tumor types. To further enhance the quality of the data, advanced image preprocessing techniques are incorporated, thereby augmenting the model's learning capabilities and predictive accuracy. A comprehensive comparative analysis with other CNN models is undertaken, with a keen focus on key metrics such as accuracy, sensitivity, and specificity in tumor classification. Furthermore, the research evaluates VGG16's scalability and consistency across diverse datasets and operational scenarios, demonstrating its adaptability in real-world settings. The results unequivocally demonstrate that VGG16, coupled with effective preprocessing techniques, surpasses conventional models, representing a significant leap forward in medical imaging. This advancement holds both theoretical and practical implications, enhancing diagnostic accuracy and potentially improving patient treatment outcomes. Moreover, these findings underscore the immense potential of deep learning in medical diagnostics, paving the way for impactful future research endeavors and clinical applications in the realm of healthcare.

2 METHODOLOGIES

2.1 Dataset Description and Preprocessing

The dataset used in this study is called the brain tumor dataset and is derived from the Kaggle (Seif, 2024). The dataset includes brain Magnetic Resonance Imaging (MRI) scans obtained from patients with and without brain malignancies. Each image acquire is labeled with "Yes" or "No" to indicate the presence or absence of the tumor. The aim here is to determine the presence of tumors in the patient based on magnetic resonance imaging. The training data set contains 253 images, and before model development, this study applies normalization techniques to standardize the pixel values in the images. Furthermore, this study applies the cropping function to focus on regions of interest in brain MRI images, which helps to reduce noise and irrelevant information. Figure 1 shows some instances coming from this dataset.

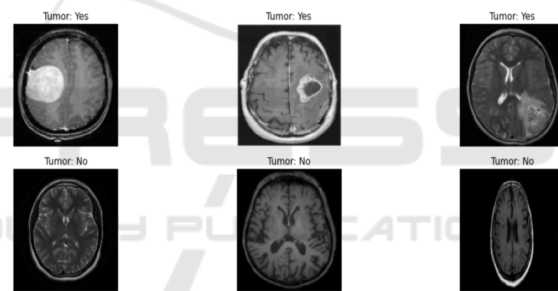


Figure 1: Part of the brain_tumor_dataset dataset (Photo/Picture credit: Original).

2.2 Proposed Approach

Design and train CNN architectures specifically for brain tumor detection, performing experiments using different network architectures, regularization techniques, and hyperparameters to optimize model performance. This CNN model is a typical deep learning model used for emotion classification tasks. It contains multiple convolutional and pooling layers, as well as fully connected and Dropout layers, ultimately exporting a sigmoid-activated neuron for the dichotomy task. The overall flow chart of the model is shown in Figure 2.

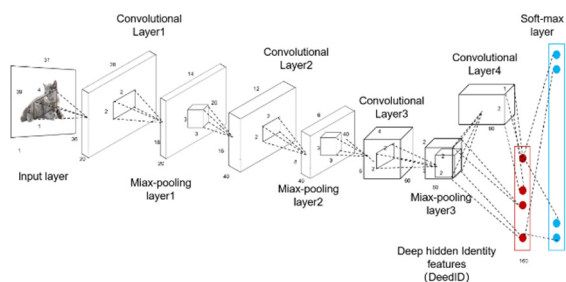


Figure 2: Flow diagram of the CNN network (Photo/Picture credit: Original).

The core construction of the model lies in its clever use of multiple convolution layers and pooling layers, which are connected and work together to gradually extract various features from the input images. These features start with the base edges and textures and gradually upgrade to more complex and higher-level feature representations. This hierarchical feature extraction method enables the model to have a deeper understanding of the image content, and lays a solid foundation for the subsequent classification task. After feature extraction, the model further integrates and maps these features using the fully connected layer. The fully connected layer transforms the extracted features into a form corresponding to the final output category by learning the weight and bias. In this way, the model can predict the category of the input image based on its features.

To prevent overfitting of the model during training, this paper introduces the dropout layer. The dropout layer is discarding some connections of neurons randomly during training, so that the model does not rely too much on some specific features or weights to improve its generalization ability. At the output end of the model, this study employs a neuron with an s-type activation function. This neuron transforms the model's predictions into a value between 0 and 1, representing the probability that the image belongs to a certain class. This probabilistic output mode enables the model to show its prediction results more intuitively and facilitates us to make subsequent threshold setting and classification decisions.

To optimize the training process of the model, this study chooses the binary cross-entropy as the loss function, which is well suited for scenarios with dichotomous tasks. Meanwhile, this study also adopted the Adam optimizer and set the learning rate to $1e-4$ to ensure that the model can be quickly and stably converged to the optimal solution. This study also performs a series of preprocessing steps before the images enter the network. These steps include resizing the images, normalizing them, and applying

data augmentation techniques. Through these preprocessing measures, this study could not only ensure the consistency and standardization of the input data, but also improve the robustness of the model, so that it can better cope with various complex image changes and challenges.

2.2.1 Training Parameter Setting

During training, 50 training epochs were set and each batch containing 32 samples to ensure that the model was adequately learned and adapted to the data. Meanwhile, to avoid overfitting of the model during training, an early stopping strategy was used. When the loss of the validation set does not significantly improve over the five consecutive epochs, the training ends early, thus preserving the performance of the model at the best state. Before the training started, this study performed a series of preprocessing operations on the images. These operations include adjusting the image size to meet the input requirements of the model, performing normalization processing to eliminate differences in brightness and contrast between different images, and applying data augmentation techniques to increase the diversity of training samples and improve the robustness of the model. The core part of the model consists of multiple convolution layers and pooling layers. These hierarchies enable automatic learning and extracting key features in images, ranging from lower-level edge and texture information to higher-level emotion-related features. By passing and processing layer by layer, the model can gradually deepen the understanding of the image content. After feature extraction, the model maps these features to the final output category through a fully connected layer. The fully connect layer transforms the prediction results of the model into specific emotion classification labels by learning and integrating feature information. To prevent model overfitting, this study introduces Dropout layers between the fully connected layers. The Dropout layer randomly discards the connections of some neurons during training, so that the model does not rely too much on some specific features or weights, thus improving its generalization ability. During training, focus on loss and accuracy changes in the training and validation sets. By monitoring these indicators, this study could understand the training state of the model and find and deal with possible problems in time. Once the training is complete, this study could use the model to classify the new images emotionally and evaluate their performance. The key to this classification method lies in the rational design of the model structure and

the training strategy. By continuously optimizing the model parameters and the training process, this study could improve the performance of the model in the emotion classification task, so as to better understand and analyze the information about the presence of tumors in the image.

2.2.2 Loss Function

Loss function plays a crucial role in machine learning and deep learning, measuring the difference between model prediction results and actual labels, and is a key indicator in the model optimization process. Through the loss function, that can quantify the performance of the model on the training set, and then adjust the model parameters through the optimization algorithm (such as gradient descent), so that the model can better fit the data. Different tasks and models may need to use different loss functions, and common loss functions include mean square error (MSE), cross-entropy, etc. Choosing the appropriate loss function can help the model to better learn the features of the data and improve the generalization ability and accuracy of the model. Therefore, the loss function can be regarded as an objective function guiding the model learning and is an integral part of the model training process. Here the binary crossover loss function is used and the formula is as follows:

$$Loss = -\frac{1}{N} \sum_{i=1}^N y_i \log(p(y_i)) + (1) \sum_{i=1}^N (1-y_i) \log(1-p(y_i))$$

For the binary label y , the value is not 0 or 1, while $p(y)$ indicates the probability that the output belongs to the y label. As a key indicator of the prediction effect of binary classification models, the binary cross-entropy loss function plays a crucial role in evaluating the model performance. In short, when the label y is 1, if the $p(y)$ value predicted by the model is close to 1, it means that the prediction of the model is highly consistent with the true label. At this time, the value of the loss function should be close to 0, indicating that the prediction effect of the model is very good. On the other hand, if the $p(y)$ value tends to 0, that is, the model mistakenly predicts the sample with label 1 to 0, the value of the loss function will become very large. Binary cross-entropy loss function to effectively guide the model optimization, thus improving the prediction accuracy of the binary classification task.

3 RESULTS AND DISCUSSION

3.1 Confusion Matrix

The confusion matrix represents the correspondence between the predicted results and the true labels of the model on the test set. The values of the confusion matrix are slightly different compared to the validation set, but the overall trend is similar.

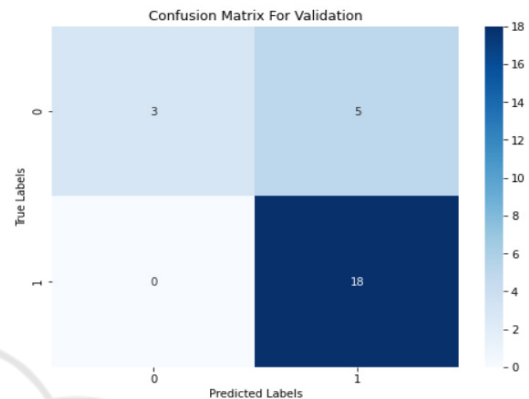


Figure 3: Confusion matrix plot used for the validation (Photo/Picture credit: Original).

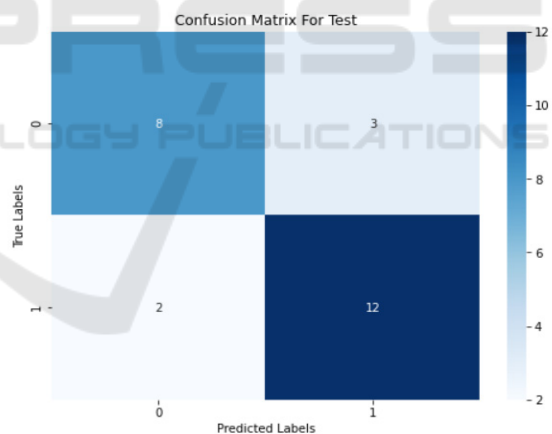


Figure 4: Test the confusion matrix plot (Photo/Picture credit: Original).

Figure 3 The model performs poorly on the predicted category 0 (negative class), with a certain number of false positive classes (misclassifying negative classes as positive classes). The model performed well on predictive category 1 (positive), with most being correctly classified. The model performs well on the validation set, but there is some room for improvement in identifying negative classes. This analysis helps us to understand how the

model predicts on different categories, and thus guide us to further adjustments and improvements.

Figure 4 The overall performance of the model on the test set is relatively stable, like that on the validation set. The number of false positive and false negative classes increased slightly on the test set compared to the validation set, but the overall accuracy remained at a high level. Overall, the model performed well on the test set, effectively distinguishing between the two classes of samples, but a small number of samples were still misclassified. This analysis helps us to evaluate the practical application of the model and provide a reference for further improvement.

3.2 Plot of the Model Results

During the training process, with the increase of epoch, the loss on the training set and the validation set gradually decreases, and the accuracy gradually improves.

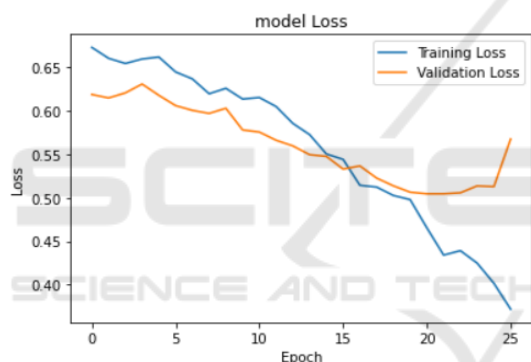


Figure 5: Model loss function (Photo/Picture credit: Original).

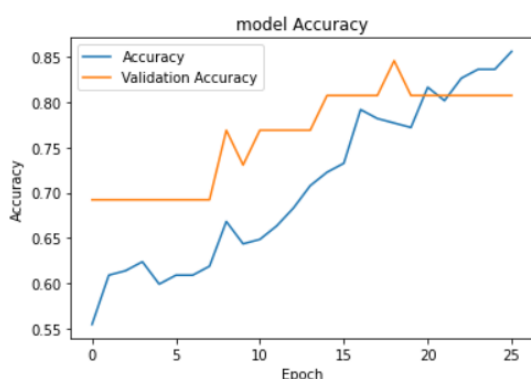


Figure 6: Model accuracy map (Photo/Picture credit: Original).

Figure 5 With the increase of the epoch, the loss value of the model gradually decreases in both the

training set and the validation set. This shows that the model has gradually learned the characteristics of the data during the training process and has made some progress. However, it should be noted that the loss values on the validation set do not always drop and sometimes fluctuate, which may be caused by some difficulties in the model during the training process or noise from the data. Therefore, this study needs to consider the performance on the training and validation sets to comprehensively evaluate the performance of the model.

Figure 6 shows that the accuracy of the model on the training and validation sets increases with the epochs. This shows that the model has gradually learned the characteristics of the data during the training process and has made some progress. However, it should be noted that although the accuracy of the model on the training set is constantly increasing, the accuracy on the validation set is not always increased, and sometimes it fluctuates or even slightly decreases. This may be due to overfitting of the model during training or noise from the data.

3.3 Adjust the Model Parameters

The original three convolution layers and pooling layers were increased to three, and the number of convolution kernels was adjusted to 64,128 and 256, respectively, keeping the convolution kernel size at (3,3) and the pooling layer size at (2,2). The number of neurons in the fully connected layer adjusted the original 128 neurons to 256.

With the increase of epoch, the accuracy of the model on the training set was gradually improved, from about 52.5% to about 85.6%. On the validation set, the accuracy of the model showed a similar trend, increasing from the initial ~ 69.2% to the final ~ 80.8%, as shown in Figure 7.

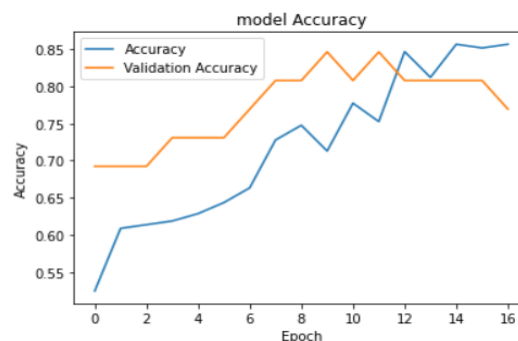


Figure 7: Model accuracy chart (Photo/Picture credit: Original).

The model has certain generalization ability and can perform well on unseen data. In later times, although the model accuracy continued to improve on the training set, the accuracy on the validation set began to fluctuate and did not consistently improve. This may be a sign of model overfitting and can adjust the model structure or hyperparameters according to its performance on the validation set to further improve the model performance and generalization ability. Possible adjustments include increasing data increase, adjusting learning rate, adjusting network structure, etc.

3.4 Image Enhancement

Use ImageDataGenerator to perform image data augmentation and create a data generator for the training and validation sets. Specific data enhancement operations include random rotation, horizontal flip, vertical flip, random width, and height offset, shear, and random scaling, etc. These operations can increase the diversity of the data and help to improve the generalization ability of the model. The data generator of the training set uses the data augmentation operation, while the data generator of the validation set does not use the data augmentation, maintaining the state of the original data. In this way, the model can dynamically acquire the enhanced data during training, further improving the training effect, showing the partially enhanced image as shown in Figure 8.

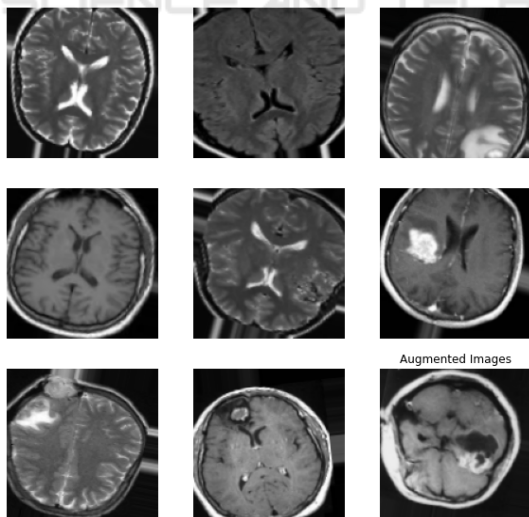


Figure 8: Image enhancement part of the image display (Photo/Picture credit: Original).

3.5 VGG16 Model

The pre-trained VGG 16 model was used as the base

model, and several layers of global average pooling, full connectivity and dropout layers were added to the top, finally exporting a predicted layer of sigmoid activation.

The pre-trained VGG 16 model was used, where `include_top=False` represents the full connected layer without the top, and `input_shape=(128,128,3)` specifies the size of the input image as 128x128 pixels, 3 channels. All layers of VGG 16 were set to be untrainable, that is, `base_model.layers[0].trainable=False`, so that the weights of these layers are not updated during the training process, but only the weights of the new layers. A global average pooling layer `GlobalAveragePooling2D()` was added to the output of the underlying model to transform the feature map into a fixed length vector. Then comes a fully connected layer, `Dense(128, activation='relu', kernel_regularizer=regularizers.l2(0.001))`, using the ReLU activation function and L2 regularization. A dropout layer `Dropout(0.5)` was added to reduce overfitting. Finally, a Dense layer was added as the output layer, using the sigmoid activation function, for the deodorization task. The model was compiled using the `model.compile` method, assigning the loss function as `dichotomy cross-entropy binary_crossentropy`, the optimizer as Adam, with a learning rate of $1e-5$, and the evaluation index as accuracy.

`EarlyStopping` Used to stop training when the validation set loss is no longer reduced to avoid overfitting. The parameter `monitor='val_loss'` represents the loss value of the monitored validation set, `patience=5` means that it stops training if the loss is not reduced for five consecutive epoch validation sets and `restore_best_weights=True` indicates the weight restored to the best model when training is stopped. `ReduceLROnPlateau` Is used to lower the learning rate when the validation set loss is no longer reduced to help the model converge better. The parameter `monitor='val_loss'` represents the loss value of the monitored validation set, `factor=0.1` represents the factor by which the learning rate will be reduced, `patience=5` reduces the learning rate if the loss of five consecutive epoch validation sets is not reduced, and `min_lr = 1e-7` represents the lower limit of the learning rate. The model training was performed using the `model.fit` method, assigning the training set data generator `train_generator` and the validation set data generator `val_generator`, training 20 epochs, and assigning the callback function as `[early_stopping, reduce_lr]`. The callback function set like this can effectively control the training process of the model, avoid overfitting, and achieve better performance on the validation set.

The accuracy of the model gradually improved during the training process, finally achieving an accuracy of about 92.6% on the training set and the highest accuracy of about 96.2% on the validation set. This shows that the model effectively learns the features of the data during training and achieves good performance on the validation set. As shown in Fig. 9.

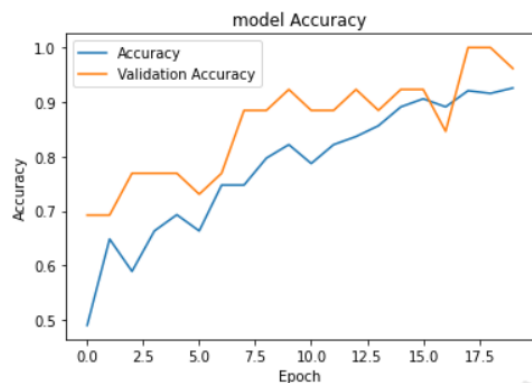


Figure 9: Training accuracy of the VGG 16 model (Photo/Picture credit: Original).

4 CONCLUSIONS

Firstly, this study embarked on a sentiment classification project employing a CNN, commencing with the utilization of a simple CNN model and evaluating its performance on both training and validation datasets. Subsequently, the focus shifted towards leveraging the VGG16 model and fine-tuning it, while integrating data augmentation techniques to enhance the model's generalization capabilities. For the simple CNN model, the observed accuracy on the training and validation sets attained approximately 85.6% and 80.8%, respectively. Following the adoption of the VGG16 model and fine-tuning approach, the accuracy on the training and validation sets surged to around 92.6% and 96.2%, respectively. Through the adjustment of the CNN model's structure and parameters, alongside the fine-tuning of the VGG16 model, endeavors were made to bolster the model's performance. Noteworthy callback functions such as Early Stopping and Reduce learning rate On Plateau are deployed to monitor model performance and dynamically adjust during training iterations. While commendable results were achieved with both the simple CNN and VGG16 models, superior performance was evident with the VGG16 model, particularly in terms of accuracy on the validation set.

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