# **Ensemble Learning Based Models for Planet Classification**

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Abstract: Astronomers explore various phenomena and laws in the universe through observation, experimentation, and theoretical models to gain a deeper understanding of the structure, composition, and evolution of the universe. There are many unanswered questions in astronomy, such as how to properly classify planets. At the same time, appropriate classification methods can deepen people's understanding of astronomy. In the past, many scholars have speculated on classification criteria. The purpose of this study is to explore a satisfactory model for planet classification and provide a reliable reference for subsequent researchers. Furthermore, this article utilizes a variety of machine learning methods and deep learning models, including Linear Regression, Principal Component Analysis, Linear Support Vector Machine, Random Forest, XGBoost Regression and Artificial Neural Network. Among all models, ensemble learning methods Random Forest and XGBoost produce the best results, the former of which achieved an adjusted of 0.96 and XGBoost obtained an adjusted of 0.95. In addition, we make estimates for future research and provide improvements.

# **1** INTRODUCTION

In 2006, the IAU Association defined what are planets in the solar system. However, planets outside solar system are still not clearly defined. Therefore, it is essential to define systematically what a planet is. In general, planets have many physical properties, such as temperature, humidity, luminosity, radius, size, etc. Obtaining a relatively complete planetary physical model is very important for astronomy, which is equivalent to laying the foundation for subsequent research. Because of that, a suitable model for determining planets is needed.

In order to arrive at a suitable and complete definition of a planet, many efforts have been made to consider the various factors that influence it. Many researchers have applied model building methods such as cluster analysis, factor analysis, decision trees, and random forests on different data sets and analyzed different situations.

In line with previous research, we found fact that the quality of the dataset significantly affects the results of study. The dataset we utilized in this study is the planet classification prediction set from Kaggle, which is a dataset containing 240 stars in 6 categories. The target feature in the prediction is classification using the shape of stars in celestial space. The independent variables, such as temperature, radius, absolute shock, color, spectral level and etc. are also taken into consideration.

Some related research reports on Kaggle conducted to finish high-precision training and prediction on the same data set. It shows high quality from data preprocessing to split training sets and test sets. In addition, it is very important to choose the most appropriate method during the research process, and various factors need to be considered to determine the model.

To select some relatively suitable models, this study attempts various types of models and makes judgments based on the results obtained. We studied this star dataset from many aspects. At the same time, it is compared with many advanced machine learning models, thereby achieving an efficient integrated learning method.

The main difference between this research and previous researches is that we studied this Star dataset from many aspects and angles. At the same time, comparing with many advanced machine learning models, we implemented an efficient integrated learning method and further compared and judged better classification models. For example, for deep learning, we use convolutional neural network (CNN); for integrated learning, we utilize random forests and XGBoost; for traditional machine learning

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Wang, X. Ensemble Learning Based Models for Planet Classification. DOI: 10.5220/0012992000004601 Paper published under CC license (CC BY-NC-ND 4.0) In Proceedings of the 1st International Conference on Innovations in Applied Mathematics, Physics and Astronomy (IAMPA 2024), pages 60-67 ISBN: 978-989-758-722-1 Proceedings Copyright © 2024 by SCITEPRESS – Science and Technology Publications, Lda. methods, we also use linear regression and PCA as benchmark models to complete the comparison.

There are the frame of this paper: We finished introducing related work by showing each category of prediction stars' types in Section 2. We describe the details of our methods in Section 3, including our reasons for choosing these methods and the theories of methods are introduced. After that, we examine the experimental results and analyze them in Section 4. Last but not least, the conclusion of this study is listed in Section 5 with references showing at the end.

## 2 RELATED WORK

In the beginning, some scientists used a single parameter to classify stars. At first, Michael and Meghar classified the differences in quality, and classified different orders of magnitude into one category, which is a very traditional way (Swedenborg 1973 & See 1909). Then Fischer and others classified stars with different densities by taking into account differences in composition, but it was still not perfect (Fischer et al., 2014). After that, Chen and Kipping analyzed the mass-radius relationship of planets and then classified them (Chen and Kipping, 2017). Furthermore, Marley and others proposed a classification method based on components through the study of spectra. But none of these methods have very good results (Marley et al., 1999).

However, the method of classifying a single variable is not more accurate than considering many variables at the same time. Therefore, many subsequent scientists will consider many variables at the same time in the problem of star classification.

Furthermore, there are many factors that need to be considered when predicting star type. At the same time, the more factors we consider, the easier it is for us to conduct research. First of all, Stern and Levinson proposed a classification method based on quality and composition in 2002. On the other hand, they showed that such classification methods are imperfect and proposed seven requirements that should be met to build a classification framework. After that, the classification method introduced by Russell in the article took into account the three properties of the planet's composition, mass, and orbit. The mutual combination of the three aspects constitutes the final classification. Later, in FANDOM's introduction to planet classification, the classification framework took into account the planet's mass, orbit, surface state, and composition.

They are also various solutions having been used for planet type classification and prediction in the past, including machine learning methods and deep learning models.

First of all, Dieleman and others trained convolutional neural networks on galaxy images and established a model to achieve fine-grained galaxy morphology classification with very high accuracy (Dieleman et al., 2015). Secondly, Huertas-Company and others used deep convolutional neural networks to classify the morphological catalog of 50,000 galaxies in the H-band (Huertas-Company, 2015). Kim and Brunner trained a deep CNN to establish some image classification models for star-galaxy (Kim and Brunner, 2017). Then Dom'inguez S'anchez and others used convolutional neural networks to provide two classification methods: Hubble sequence T-type and Galaxy Zoo 2 morphological classification methods (Dom'inguez S'anchez et al., 2018). After that, Lukic and Br<sup>uggen</sup> also applied deep neural networks to train classification models on data sets (Lukic, 2017). Moreover, Aniyan and Thorat used a convolutional neural network improved other model for morphological classification (Aniyan and Thorat, 2017).

In this research, we first conduct exploratory data analysis on the dataset and preprocess the input data. Then we construct and train several machine learning models, including Linear Regression (LR), Principal Component Analysis (PCA), Linear Support Vector Machine (SVM), Random Forest (RF), XGBoost Regression (XGB) and Artificial Neural Network (ANN) to obtain corresponding results for further analysis. Figure 1 shows the workflow of our study in this paper.



Figure 1: Research Workflow (Picture credit: Original).

## **3 METHOD**

### 3.1 Exploratory Data Analysis

In the first part, about conducting exploratory data analysis, the aim is to provide valuable insights about the data set. The analysis covers data distributions, feature connotations, variable correlations, etc. Detailed results are provided in Section 4.

Before establishing and training a planet classification model, data preprocessing is required to ensure the accuracy of the answer. Since the dataset used has been processed by Stefan-Boltzmann's law of Black body radiation, Wienn's Displacement law, Absolute magnitude relation and Radius of a star using parallax, there are no missing values now. And because of that, there is no need to consider this aspect.

However, there are some qualitative values about the planet class in the dataset, which should be specially consider. And also taking into account the correlation with the predicted label, some features whose correlation coefficient is not high enough will be discarded and no longer considered. In addition to it, the original dataset is split into a training set and a test set.

### **3.2 Model Selection and Construction**

In this study, we choose to implement five kinds integrated learning models to classify planets. In addition, Integrated Learning has the advantage of combining multiple machine learning algorithms. Therefore, the learning models obtain by this method can achieve better prediction performance than using any other component algorithm alone.

Integrated Learning consists of many of its base learners, which are usually created from basic learning algorithms such as Decision Trees and Neural Networks.

For this study, for ensemble learning, we choose to utilize SVM, RF and XGB; for deep learning, we use CNN; and for more traditional machine learning methods, we also use LR and PCA as benchmark models for comparison.

#### • LR

The goal of linear regression is to fit a linear model with the smallest RSS between the true values and predicted values.

 $Y_{i} = \beta_{0} + \beta_{1}X_{i1} + \beta_{2}X_{i2} + \dots + \beta_{p}X_{ip} + \varepsilon_{i}, \quad i = 1, \dots, n$ (1)

In the historical process of scientists studying star classification, they discussed linear regression at first, that is, the influence of an independent variable. Nowadays, there are multiple factors have to be considered, and it can be expressed in the matrix form.

#### • PCA

Another classical modeling method, Principal Component Analysis (PCA), with hoping to obtain the items with the largest impact as the main criteria for judging planets. It is using orthogonal transformation to linearly transform a series of observations of possibly related variables. In this dataset, it is equivalent to exploring the proportion of each independent variable.

### • SVM

SVM is an ensemble learning model, whose purpose is to solve complex classification problems, etc.

$$\vec{\boldsymbol{\omega}} \cdot \vec{\boldsymbol{x}} - \boldsymbol{b} = \boldsymbol{0} \tag{2}$$

As an extension of the perceptron, on the one hand, SVM can minimize the empirical error; on the other hand, it can make the area the largest at the same time.

#### • RF

RF is an advanced ensemble learning model. It is equivalent to an advanced version of the decision trees. The RF algorithm always introduces additional randomness by searching for the maximum attribute in a random subset of features during node splitting. On the other hand, when making predictions for regression tasks, RF takes the average of all single decision tree estimates.

In this study, all trees in the forest are averaged to obtain the final result.

#### • XGB

XGB is a malleable decentralized GBDT machine learning system. Just like random forest mentioned earlier, gradient boosting is also one of the Bagging extension.

The GBDT model trains an ensemble of decision trees in an iterative manner. In every iteration, they all use the previously obtained residuals for fitting, and the final answer is the weighted sum of all predictions. Therefore, it is equivalent to an improved random forest method.

XGB was born to enhance the performance of machine learning models and increase computing speed. It is a highly accurate and scalable implementation of GBDT that has gained great popularity.

#### • ANN

The Artificial Neural Network (ANN) is a neural network model, which uses mathematical operations called convolutions in at least one layer instead of general matrix multiplication.

A neural network has multiple layers of neurons. Deep neural networks typically have one input layer, one output layer and some hidden layers. As an example, a 2-hidden-layer deep neural network is depicted in table 1.

Table 1: ANN network.

| Input Layer    | 10          |
|----------------|-------------|
| Hidden Layer 1 | 110 neurons |
| Hidden Layer 2 | 60 neurons  |
| Output Layer   | 1 neuron    |

This is done by each neuron receiving input signals from the previous layer of neurons through weighted connections, comparing the weighted sum of the received signals with the threshold, and in turn training the network by adjusting the weights.

# **4 EVALUATION RESULTS**

### 4.1 Experimental Setting

In this study, we employed several hyperparameters to optimize the performance of our machine learning models. Notably, we used Cost Complexity Pruning Alpha values to manage the complexity of our decision trees, and a minimum impurity decrease value set at 0.0. Additionally, we set the minimum samples per leaf and the minimum samples required to split a node to 1 and 2, respectively. The optimal

'splitter' parameter was carefully selected to enhance model performance. Evaluation Metrics:

The models were evaluated using multiple metrics to ensure comprehensive model assessment. Specifically, the metrics used were Mean Absolute Percentage Error (MAPE), Root Mean Squared Error (RMSE), Root Mean Squared Logarithmic Error (RMSLE), and Adjusted  $R^2$ .

MAPE: This metric provides a percentage error and is easier to interpret. The smaller the MAPE value, the better the prediction effect.

RMSE: RMSE measures the square root of the average of squared differences between prediction and actual observations. It is more sensitive to outliers because the effect of each error is squared.

RMSLE: As a variant of RMSE, RMSLE applies a logarithmic scale to both predicted and actual values. This makes it robust against larger errors and works well when there are exponential growth patterns in the data.

Adjusted  $R^2$ : This metric adjusts the Coefficient of Determination by accounting for the number of predictors in the model. Adjusted  $R^2$  increases when a useful variable is added to the model and decreases when a non-useful variable is added, thus helping in determining the goodness of fit while penalizing for unnecessary predictors.

## 4.2 Dataset Overview

This paper utilizes the Star dataset to predict star types from Kaggle. It had been took by Sloan Digital Sky Survey and comprises data about 100,000 results in space. Each entry in the dataset consists of 17 feature columns and 1 category information column.

The stars in the dataset are divided into three categories: GALAXY, STAR, and QSO. The comparison of their numbers is shown in Figure 2. GALAXY has 55,561, while QSO has only 12,133. There is a big difference between the two numbers.



Figure 2: Type classification (Photo/Picture credit: Original).

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Figure 3: About Alpha Correlation (Picture credit: Original).







Figure 6: About Plate Correlation (Picture credit: Original).



# 4.3 Feature Importance Exploration

Classifying according to different features, some results were obtained, among which the results for astronomical numbers (such as alpha, u, redshift, etc.) were relatively good. It is also necessary to use logarithmic form to better present the results when they are not clear.

What follows is a series of images exploring what factors are relatively large for classification (Figure 3, figure 4, figure 5 figure 6 and figure 7). The blue line, orange line and green line are the fitting lines for GALAXY, QSO and STAR respectively.

We also deeply explored the correlations between all attributes, hoping to have a more appropriate comprehension of the data. At the same time, the "redshift" variable get the highest correlation with "class" and the "u" variable with the second highest correlation were found (Figure 8).

#### 4.4 Model Evaluation

These 5 models are evaluated using the evaluation metrics mentioned in 3.4. The answers obtained are displayed in table 2.

Among all the models, as expected due to the characteristics of the data set, the linear regression model performed the worst, very poorly.

For RMSE, LR has the highest results and ANN has the lowest. And for RMSLE, every result except LR is similar in size. Then, for MAPE, ANN obtained smaller results.

As representatives of integrated learning methods, Random Forest and XGBoost produced equally satisfactory results and outperformed other models for all mentioned metrics.

| 5          | 1.00  | 0.85  | 0.70 | 0.59 | 0.52 | 0.42        | -0.30 | 0.36     | 0.42  | 0.42 |  | 1.0  |
|------------|-------|-------|------|------|------|-------------|-------|----------|-------|------|--|------|
| б          |       |       | 0.92 | 0.81 | 0.74 | 0.60        | -0.26 | 0.56     | 0.60  | 0.60 |  | 0.8  |
| L          | 0.70  |       |      |      | 0.92 | 0.69        | -0.07 | 0.57     | 0.69  | 0.69 |  | 0.6  |
| -          | 0.59  |       |      |      |      | 0.70        | 0.04  | 0.55     | 0.70  | 0.70 |  |      |
| z          | 0.52  |       |      |      |      | 0.68        | 0.10  | 0.53     | 0.68  | 0.68 |  | 0.4  |
| pec_obj_ID | 0.42  | 0.60  | 0.69 | 0.70 | 0.68 | 1.00        | 0.01  | 0.50     | 1.00  | 0.99 |  | 0.2  |
| dass s     | -0.30 | -0.26 |      | 0.04 | 0.10 | 0.01        | 1.00  | -0.42    | 0.01  | 0.01 |  |      |
| redshift   | 0.36  | 0.56  | 0.57 | 0.55 | 0.53 | 0.50        | -0.42 |          | 0.50  | 0.49 |  | 0.0  |
| plate      | 0.42  | 0.60  | 0.69 | 0.70 | 0.68 | 1.00        | 0.01  | 0.50     | 1.00  | 0.99 |  | -0.2 |
| DLM        | 0.42  | 0.60  | 0.69 | 0.70 | 0.68 | 0.99        | 0.01  | 0.49     | 0.99  |      |  | -0.4 |
|            | u     | g     | r    | i.   | z    | spec_obj_ID | class | redshift | plate | MJD  |  |      |

Figure 8: Correlation (Photo/Picture credit: Original).

Table 2: The evaluation result.

| Model | Accuracy | RMSE | RMSLE  | MAPE            | R <sup>2</sup> |
|-------|----------|------|--------|-----------------|----------------|
| LR    | 0.23     | 0.93 | 0.59   | 2.98212×10^{15} | 0.20           |
| SVM   | 0.90     | 0.36 | 0.23   | 4.19876×10^{15} | 0.82           |
| RF    | 0.97     | 0.17 | 0.12   | 7.38897×10^{15} | 0.96           |
| XGB   | 0.97     | 0.19 | 0.13   | 9.29750×10^{15} | 0.95           |
| ANN   | 0.73     | 0.05 | 0.1415 | 0.10            | 0.93           |
|       |          |      |        |                 |                |

However, for SVM's performance, the results are in the middle position, not reaching the level of  $R^2$ as 0.9 like that of RF and XGB, but it also achieved good results (0.83).

Furthermore, ANN also makes good predictions, on the other hand it is the most time-consuming all of them. Among them, random forest has the best result.

# 5 CONCLUSION

In summary, this paper includes both machine learning and deep learning to find a suitable method to better classify planets. At the beginning of it, the current state of the planetary classification industries is described. Then some visualizations are given in the article, explaining the steps more clearly, such as the correlation between factors. After that, some models are given, which used are Linear Regression, SVR, XGBoost, Random Forest and Neural Network. Among all of these models, two ensemble learning methods, Random Forest and XGBoost do the best job. Specifically about that, we use 100 trees to build a random forest. Subsequently, the mean square error is used as the segmentation criterion. Therefore, satisfactory results are obtained: the RMSE is 0.17, RMSLE is 0.12, MAPE is 7.38897×10^{15}, and the adjusted  $R^2$  is 0.96. with 0.19 in RMSE, 0.13 in RMSLE, 9.29750×10^{15} in MAPE and 0.95 in  $R^2$ , and it has an accuracy of 0.85 and RF is 0.91. In future experiments, we hope to obtain better results for classifying planets. There are ways to enhance the number of fitting experiments and bring up the accuracy of answers to get a more accurate classification method.

There are some ways to increase the number of fittings and improve the accuracy of the answers to get a better classification method.

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