Autonomous Forklift Navigation Inside a Cluttered Logistics Factory

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- Keywords: Autonomous Navigation, Road Network, Local Planning, Path Tracking, Obstacle Avoidance, Forklift Space Constraints.
- Abstract: This paper presents the complete architecture of an application for autonomous forklift navigation in the cluttered and changing environment of a printing factory. A global path is selected from an existing road network, based on available navigation tracks. Then, a local path planner coupled with a path-following controller enables the navigation of the autonomous robots. A finite-state machine (FSM) architecture ensures transitions between the different operating modes of a robot during a mission, including obstacle avoidance. Navigation corridors are dynamically defined and are respected through the definition of tracking control constraints, enabling safe and efficient navigation at all times, taking into account the space constraints of a forklift truck in a congested factory. A forklift robot and its environment were simulated in ROS Gazebo to validate the approach, before carrying out in-depth experiments on a real robot prototype and estimating its performance in real-time during realistic operational scenarios.

1 INTRODUCTION

The French OTPaaS institutional project brings together academic and industrial partners to tackle innovative industrial use cases, particularly the automation of load transport in a printing plant. Achieving this task requires the autonomous navigation of mobile robots, which presents challenges in cluttered environments and in the presence of operators. Moreover, a particularity of forklift systems designed for such use cases (Ilangasinghe and Parnichkun, 2019) is that the forks occupy a significant amount of space at the rear of the vehicle, which can result in large displacements when the vehicle rotates. Additionally, such forks are used for precise picking maneuvers, and also precise navigation is needed to avoid static or dynamic obstacles, as well as accounting for the space requirements of a forklift truck, for safety reasons. At the same time, optimizing the trajectory to be followed in terms of length, duration and smoothness can improve logistical activity.

1.1 Use Case Description

The main goal of this scenario of load transportation in a factory is to move a paper bin from site A

to B, while complying with numerous industrial constraints. An example of this use case is illustrated in Figure 1. This illustration shows the robot positioning itself accurately in front of the paper bin at site A, loading it onto its forks, moving it through various environments and several doors, and finally depositing it at site B. Throughout this process, particular attention is required to maintain the stability of the container and ensure the safety of its surroundings as the robot will have a larger footprint and be more encumbered.

Figure 1: Different stages during use case realization.

The autonomous robot prototype displayed is a TwinswHeel facTHory forklift droid designed specifically for the OTPaaS project. This mobile robot is a differentially steered vehicle, equipped with two

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large, centered drive wheels and four small caster wheels at the corners of the front chassis for stability. It navigates through predefined straight corridors, defined by two real or virtual walls, and must remain strictly within their boundaries to ensure the safety of nearby employees. To further ensure safety, collision avoidance is crucial, and smooth control is preferred to make the robot's behavior predictable to those around it. Additionally, the environment may also present dynamic challenges, such as blocked corridors or the presence of other vehicles. While a preexisting global map is available, dynamic elements need to be updated via SLAM using Lidar and vision systems.

Given the structured nature of the environment, a directional-graph-based approach is chosen for global navigation, with nodes representing corridor intersections and edges representing the corridors themselves (see Figure 2). The application has to be able to manage multiple autonomous forklifts sharing corridors with each others.

Figure 2: Example of multi-robot path planning.

For local navigation, a custom solution is implemented with specific safety constraints tailored to the scenario. For that, local navigation is separated into two tasks: a local path planning algorithm is used over a costmap, and a path tracking controller is implemented. The choice of such algorithms and their implementations are described in the following.

1.2 Navigation Strategies

The objective in robotic navigation is to move in a feasible manner, avoiding obstacles, while minimizing costs such as path length or computational time. Similar to human reasoning, navigation can operate on different scales: selecting the optimal route at a high level (e.g., choosing streets) and managing obstacle avoidance at a more granular level (e.g., navigating in it, avoiding pedestrians).

While it is theoretically possible to compute an optimal path by considering all these factors simultaneously, this approach leads to exponential computational growth. Such complexity can make planning towards a distant target prohibitively time-consuming. To address this, a divide-and-conquer strategy is often employed, separating the problem into Global Navigation (path planning) and Local Navigation (obstacle avoidance, motion planning, path tracking) (Fox et al., 1997; Chemin, 2022).

Figure 3: Forklift robot navigating in the printing plant.

Global Planning Methods. To find key waypoints to reach sequentially at a higher level, existing solutions include graph-based, mesh-based, samplingbased such as PRM (Bohlin and Kavraki, 2000) and RRT (Karaman et al., 2011), and grid-based approaches. Though computationally intensive, these methods are acceptable for low-frequency calls.

Local Planning Methods. Once waypoints are identified through global planning, local methods are used to connect these points with feasible paths for the robot to follow. These paths must consider kinematic and dynamic constraints while ensuring collision avoidance. Key criteria here include speed and reactivity, as these methods must be called frequently. Interpolation techniques such as Bezier curves or B- ´ splines are effective but can struggle with obstacle avoidance, often requiring optimization-based solutions (Choi et al., 2008). Popular local methods include Dynamic Window Approach (DWA) (Fox et al., 1997) which is sampling-based, and Timed Elastic Band (TEB) (Rösmann et al., 2012), or more generally, Model Predictive Control (MPC) (Wang et al., 2019; Weber and Gerdes, 2023) which solve optimization problems to find paths that respect given constraints. Potential field methods, coupled to classical search algorithm such as A*, can be used to repel from obstacles and attract the robot towards a distant

goal (Meng and Fang, 2024). Finally, machine learning techniques also offer potential for generating feasible paths in unknown and harsh environments (Josef and Degani, 2020).

Path Tracking Methods. Some methods mentioned above generate paths, but may not provide direct control commands to the robot for following these paths (unlike TEB or DWA). In such cases, the local navigation problem can be further divided into path generation and path tracking control tasks. Several path tracking techniques exist, including Pure Pursuit (Coulter, 1992), Stanley (Abdelmoniem et al., 2020), PID (MS Saad and Darus, 2012), MPC (Wang et al., 2019; Li et al., 2023), Sliding Mode Control (Yang and Kim, 1999), and machine learning-based approaches (Chemin et al., 2024).

Because of the dimensions of the nonholonomic forklift robot, planning feasible paths for it in a cluttered space is difficult, similar to the "Piano Mover's Problem" (Schwartz and Sharir, 1983). Its forks are susceptible to colliding with humans or objects as the robot turns, presenting a serious danger. However, the problem can be simplified in the given scenarios, where the robot operates within predefined and theoretically safe corridors. This limits its movement and further reduces the risk of collision, making the navigation problem more manageable and safer. In the literature, several works propose technical implementations relevant to the use case. Some studies present solutions for path planning, control and self-localization of a forklift in a factory environment (Tamba et al., 2009; Vivaldini et al., 2010). Additionally, one study focuses on pallet detection, which is crucial for the forklift to accurately identify, navigate to, and handle the object (Syu et al., 2017).

Here, it was chosen to decompose the navigation problem into: global path planning on graphs, local path planning using A* over an obstacle costmap, and finally a Constrained Linear Model Predictive Controller (CLMPC) for path tracking, as displayed in Figure 4. The path of the static graph of the road network is followed in nominal navigation conditions, or a dynamically generated path by the local planner is followed when it is required for obstacle avoidance.

Figure 4: Application's architecture.

1.3 Contributions

Main contributions are the following:

• A complete scenario, including accurate forward

and reverse navigation for picking up and setting down loads, and obstacle avoidance with dynamic definition of navigation corridors in a costmap.

- CLMPC path tracking algorithm handling specific corridor constraints for a robot rectangle footprint.
- Integration of these components into a unified system, their adaptation and evaluation in simulation and real-world experiments.

Then, the paper is structured into 4 sections before the conclusion. The second section presents the local planner implemented. The third section presents the path tracking controller used with the implementation of lateral constraints. And the fourth section analyses results achieved using the presented architecture.

2 LOCAL PATH PLANNING

2.1 Costmap

A costmap is a grid that assigns cost values to cells based on traversal difficulty, guiding planners to optimize safe and efficient paths.

The ROS costmap 2d package (Marder-Eppstein et al., 2018) is a flexible tool used in robotic navigation, allowing dynamic updates and customization with multiple layers. The following layers are used:

- Obstacle Layer: Uses sensor data (e.g. Lidar) to detect and track obstacles.
- Corridor Layer: Custom layer that defines virtual corridors as obstacles to restrict navigation paths.
- Inflation Layer: Expands obstacles to create safety buffers, with costs based on proximity and the robot's footprint, ensuring safe navigation.

Given the inscribed radius *rinscribed*, that is the radius of the largest circle that can be fully contained within the robot's footprint, as shown in Figure 6, we recall that the inflation layer with scaling operates as follows: when the distance from the cell center to the nearest obstacle *d* is less than *rinscribed*, the cell cost is set to near maximum, $cost_{max} = 255$. If the cell is further than *rinscribed*, its cost decreases as the distance *d* increases. This can be represented as:

$$
cost = e^{-factor \times \max(0, d-r_{inscribed}))} \times (cost_{max} - 1) \tag{1}
$$

In this work, the *f actor* controlling the rate of decay is set to 1. This inflated representation of the robot's surrounding environment assists local planners in avoiding cells that are too close to obstacles.

In Figure 5, the costmap is visualized in shades of red and blue, blue being a lower cost area. Pink

Figure 5: Avoidance scenario in a narrow corridor, with the A* local path (black) and highlighted corridor walls (black).

cells are the physical location of obstacles that can be real or virtual (such as corridors). Cyan cells correspond to the inscribed radius of the robot's footprint. Both cyan and pink are Lethal cells which means the control point of the robot cannot navigate over them without a collision.

2.2 Simplified Corridor Navigation

A diagram of the robot, both with and without a load, is shown in Figure 6.

Figure 6: Forklift robot and its inscribed and circumscribed radiuses, starting from the wheelbase center, with and without a load.

When unloaded, the costmap inflation distance is set to a value within the range [*rinscribed*,*rcircum*]. Choosing a distance equal to *rinscribed* does not fully cover the robot's lateral and longitudinal footprint, which means the robot may be in collision at a given cell position. Conversely, choosing *rcircum* ensures coverage of the whole robot, hence avoiding all possible collisions. However, this approach is overly conservative, as it prevents the robot from navigating through narrow corridors in the factory, such as the scenario in Figure 5.

As an initial approximation, it is possible to consider the small changes in the robot's orientation during navigation to compute a compromise value for *r* between the inscribed and circumscribed radius. This approach, while not fully secure and slightly conservative, can provide a practical solution.

A more rigorous and less conservative solution is to select a value for *r* that covers the robot's width, as illustrated in Figure 6, and then use the robot's rectangular footprint as a constraint in the tracking controller. This approach addresses cases where the robot's orientation relative to the corridor is non-zero, and the radius *r* alone is insufficient to model potential collisions. The controller constraint ensures that none of the four corners of the rectangle defining the robot's footprint collides with any obstacle. This method prevents the loss of navigation solutions in narrow corridors. A similar approach can be applied when the robot is under load, where both *r* and the constraint need to be adjusted accordingly.

2.3 A* Path Search Configuration

A classical and efficient A* search is used on the costmap to compute local paths. Given a distant waypoint from the global path planner, the local planner's task is to generate a collision-free path towards this waypoint. The waypoint is used if it is reachable within the local costmap. Otherwise, a sub-target is selected within a low-cost cell in the corridor, ensuring it is accessible. The costmap specifies safe and collision-free cells, and the objective is to find the shortest path through the corridor to the target while maintaining a safe distance from obstacles, as illustrated in Figure 5.

Heuristics. The heuristic is based on the Manhattan distance between the robot's starting position and the sub-target. This distance is then scaled by the average cost of the start and end cells, *s* and *e*, in the costmap:

$$
h = (|s.x - e.x| + |s.y - e.y|) * \frac{s.cost + e.cost}{2}
$$
 (2)

This approach provides a simple and fast heuristic that considers both the path distance and an estimate of its cost. Although this formula can potentially overestimate the cost in certain situations, other heuristic designs are planned for future improvements. Despite this, it was empirically shown that this heuristic performed well in most scenarios, significantly reducing the number of search iterations compared to a classical Dijkstra algorithm $(h = 0)$.

Pathfinding Strategies. The A* used in this work implements multiple strategies to enhance exploration and ensure safe path generation. First, it prevents paths to traverse costmap cells exceeding a predefined threshold. This threshold is calculated beforehand using the desired safe distance to obstacles, as per Eq. (1). Next, exploration is restricted to the target direction, focusing only on forward exploration within the corridor. This prevents inefficient or counterproductive backward movements. While the algorithm usually explores neighboring cells horizontally and vertically, incorporating diagonal movements in the target direction reduces iterations and produces smoother paths. While it may conflict with Manhattan distance-based heuristics, we chose to add diagonal movements to enhance path smoothness. A* algorithm terminates when it finds a path within the corridor that reaches a region near the local end goal. Finally, a box blur smoothing technique is applied to refine the path before providing it to the controller.

3 PATH TRACKING

This section describes the implementation of a model predictive controller (MPC) based on the kinematic model of the differential steer robot. MPCs have been extensively utilized in mobile robotics due to their ability to handle constraints and predict future system behavior (Yakub and Mori, 2013; Oyelere, 2014). In the study by (Ji et al., 2017), the authors employ a discrete linear model for vehicle lateral and yaw dynamics, assuming a constant longitudinal velocity, to achieve collision-free navigation along a given path.

Here, a Constrained Linear Model Predictive Control (CLMPC) algorithm is derived from (Lucet et al., 2021), to be adapted to a differential steer robot. The robot kinematic model is linearized by considering a navigation path of constant curvature *cm*. As a result, predicted states are computed as follows:

$$
\mathbf{Y} = \mathcal{A}\mathbf{y}_0 + \mathcal{B}\mathbf{U} + \mathcal{C}
$$
 (3)

In Eq. (3), state matrices *A* and *B* are defined with *O* and 1 values, U is the control vector and *C* is defined from curvature values c_m at sampling steps abscissas of the control spacial horizon. By using this equation, a quadratic function of the predicted error state Y and of the future control input U is optimized.

Figure 7: Robot parameters in a corridor.

Then, state constraints are expressed by considering the four corners of the vehicle footprint approxi-

mated as a rectangle with front and rear ends at a distance of D_f and D_r respectively from the control point along the longitudinal axis, with *W* its width along the lateral axis, and θ its orientation relative to that of the reference path (see Figure 7). Setting these four corners to remain within a tolerance δ_l to the left of the path and δ_r to the right of the path, can be written as follows:

$$
\begin{cases}\n-\delta_r < y + D_f \sin \theta - \frac{w}{2} \cos \theta < \delta_l \\
-\delta_r < y + D_f \sin \theta + \frac{w}{2} \cos \theta < \delta_l \\
-\delta_r < y - D_r \sin \theta - \frac{w}{2} \cos \theta < \delta_l \\
-\delta_r < y - D_r \sin \theta + \frac{w}{2} \cos \theta < \delta_l\n\end{cases}
$$

Then, by linearizing for small values of θ , it results:

$$
Dy + d \ge 0_{8 \times 1} \tag{4}
$$

In Eq. (4), matrix **D** is defined with D_f and D_r values, and matrix **d** is defined with *W*, δ_l and δ_r values. To compensate for any linearization errors, D_f , D_r and *W* values are slightly increased (\approx 4%) as a function of maximum θ value.

Extended to all the *n* predicted states of the controller and written as a function of future controls by using Eq. (3), the constraint is finally expressed as follows:

$$
\mathfrak{DBU} + \mathfrak{d}_{gap} + \mathfrak{DAy}_0 + \mathfrak{D}C \ge \mathbf{0}_{8n \times 1}
$$
 (5)

with:

- D, a block diagonal matrix of *n* blocks D;
- d*gap*, a block column matrix of *n* blocks d.

Various factors can have an impact on constraint compliance, such as variable longitudinal velocity, state estimation errors, model errors, or variable curvature. To reduce these inaccuracies, one solution is to shorten the prediction horizon. It is also useful to reduce the sampling step, which increases controller accuracy. At the same time, this also increases the size of the matrices and thus the computations required, hence the possibility of considering a variable step size to respect a constraint without increasing the computations, as proposed by (Lamburn et al., 2014).

Then, CLMPC outputs the robot yaw spatial derivative setpoint. This control output is multiplied with the robot's longitudinal speed, determined by a separate algorithm taking into account factors such as path curvature and environmental risk. The resulting yaw rate is sent to the robot's low-level controller.

For example, Figure 8 displays path tracking plots with an initial lateral error of 1*m*, for different lateral tolerance constraints. Such constraints restrict the allowable angular error amplitude for correcting lateral deviations, thereby reducing the lateral error convergence rate.

Figure 8: Path tracking for different lateral tolerance constraints.

Dynamic Corridor Constraints. These constraints ensure the robot stays within a certain distance of the local path, which can be visualized as a dynamic corridor within the user-defined virtual corridor, shown in yellow in Figure 9. By enforcing these constraints in the CLMPC, the robot's front and rear ends are prevented from crossing the corridor's boundaries, accounting the robot's dimensions. This approach allows the robot to temporarily deviate from the path if necessary, to ensure it always stays within the desired corridor. In the absence of obstacles, the constraints align with the nominal static corridor walls.

Figure 9: Obstacle avoidance in a corridor, with the robot footprint (red rectangle), the A* local path (black), and the dynamic corridor (yellow) for CLMPC.

Predictive Safety Stop. Because the CLMPC operates over a certain prediction horizon, it can detect any unsolvable situations ahead of time. In such cases, to enhance safety, the robot anticipates the risk of a collision and stops immediately to avoid it.

4 RESULTS

4.1 Test Specifications

Real-world experiments were performed using a robotic prototype in a functioning printing factory. In these tests, the robot began at the start of a 20*m* long corridor and navigated forward by perceiving obstacles, generating a costmap, planning a collision-free local path with A^* or TEB, and computing the necessary controls with CLMPC to follow the path while respecting corridor constraints. Additional obstacles were introduced to simulate more complex environments. The robot, an autonomous forklift, measures 137*cm* in length, 80*cm* in width, and 176*cm* in height, with an unloaded weight of approximately 120*kg*.

4.2 Results Analysis

4.2.1 CLMPC Gain Tuning

For control tuning experiments, robot localization is ensured solely by wheel odometry, so as not to introduce additional errors due to position readjustment induced by exteroceptive sensors, Lidar or camera. The robot moves in a straight line in a corridor 20*m* long and 3*m* wide, with an initial lateral error of 1*m*. It is operated at a speed of 1*m*/*s* with a control horizon of 2*m*. CLMPC gains tuned are *kp*, which influences the magnitude of lateral error correction, and *kd*, the orientation error correction. Each set of parameters is tested several times.

Figure 10 displays the results obtained during this tuning process. Gains were evaluated based on the robot convergence to the center of the corridor, as measured by both lateral and yaw errors. For gains of $k_p = 20.0$ and $k_d = 60.0$, the robot exhibits oscillatory behavior and fails to converge in any of the assessed metrics. Among the other tested gains, $k_p = 2.2$ and $k_d = 4.0$ were selected, as they provide the fastest convergence of both yaw and lateral errors to zero while avoiding oscillatory yaw rate control output.

4.2.2 Local Path Planning

Simulations and real-world experiments were conducted using the customized A* and TEB local path planning algorithms to compare their performance.

Tests were conducted in three distinct scenarios: one in which the robot had to avoid a single obstacle positioned on the right side of the corridor (see Figure 11), one where the robot was required to navigate through a chicane with two obstacles on the opposite sides of the corridor (see Figure 12), and another where the robot navigated through two consecutive obstacles on the same side of the corridor (see Figure 13). Additionally, for safety reasons, the robot's longitudinal speed was modulated according to the path curvature, resulting in fluctuations.

To measure the performance of the two local path planners, the following metrics are considered.

- distance traveled : the total distance of the path the robot has traveled while avoiding the obstacle;
- Time in avoid state : the duration the robot took to avoid the obstacle;
- Energy expended : the kinetic energy expanded by the robot, following the model presented by (Liu and Sun, 2014):

$$
\int_t (m*max\{v(t)a(t),0\} + I*max\{\omega(t)\beta(t),0\})dt
$$

• cumulative yaw : the following sum:

$$
\sum |dyaw|
$$

These metrics primarily assess the efficiency of the various paths generated by the planners and their suitability for integration with the selected controller.

Results are displayed in Table 1. Overall, A* outperforms TEB, with the exception of the real test with the obstacle on the right side of the robot's path,

Figure 10: Path tracking for different CLMPC gain values.

Figure 11: Single-obstacle path tracking using A* or TEB.

Figure 12: Chicane path tracking using A* or TEB.

where A* takes more time to finish than TEB, but anticipates the obstacle better with a smoother proposed path. Other metrics in which A* consistently outperforms TEB are total distance travelled and cumulative angle. The performance gap between the two path planners is significant for the chicane experiments where TEB struggled. It should also be noted that simulated and real experiments show comparable results, when the algorithm used returns a solution.

Furthermore, comparing trajectories using A* or TEB, the following results are obtained. TEB's path is less smooth with a greater amplitude of lateral displacement than that of the A*. When the robot follows the TEB path, the total distance covered to avoid the obstacle is generally greater. In addition, TEB paths are more likely to fluctuate over the CLMPC horizon, as illustrated by the experimental result in Figure 12, which limits its tracking performance. The

	Simulated						Real					
	right obstacle		chicane		2x right obstacle		right obstacle		chicane		$2x$ right obstacle	
	A^*	TEB	A^*	TEB	A*	TEB	A^*	TEB	A^*	TEB	A^*	TEB
Success												
distance traveled (m)	4.333	4.373	8.623	6.616	7.969	NA	4.318	4.428	5.826	NA	8.429	NA
Energy expended (J)	162.2	219.9	329.4	263.8	272.3	NA	80.60	127.486	166.0	NA	189.8	NA
Time in avoid state (s)	7.585	6.896	19.64	13.28	16.79	NA	6.795	6.225	12.44	NA	18.43	NA
Cumulative angle (rad)	1.321	.607	2.585	3.392	2.502	NA	.409	.665	2.909	NA	3.000	NA

Table 1: Experimental results.

Figure 13: Path tracking with two consecutive obstacles.

main difficulty in using TEB in this control architecture is therefore to find a suitable setting for the horizon of the CLMPC and for TEB. Consequently, it is the combination of TEB with CLMPC that is tricky.

A complementary scenario done to validate the local planner was one with two consecutive obstacles. This experiment is especially difficult for the planners as unlike the chicane, the robot's orientation will vary more wildly. The result with the A* planner is shown in Figure 13.

In conclusion, the A^* local path planner coupled with the CLMPC controller shows very satisfactory performance, even in difficult avoidance scenarios.

4.2.3 State Transition

Finally, the robot's behavior is handled by a finite state machine (FSM).

When the robot navigates through a corridor, it operates within three main states: Rotate, Move, and Avoid. The Rotate state aligns the robot with the corridor's general direction by executing a pure rotation before initiating movement. Next, the Move state is dedicated to the robot following of the path at the center of the corridor, using the CLMPC without further path planning. If the robot detects an obstacle in the path, it transitions to the Avoid state. In this state, both the local planner and the CLMPC are used to navigate around obstacles safely. In order to leave the Avoid state, the FSM waits for obstacles to be cleared. Figure 14 displays the robot's path, indicating the different FSM states during an obstacle avoidance case.

Furthermore, another experiment was conducted

Figure 14: Robot path with FSM states during an avoidance scenario.

in which the robot followed the previous scenario, with a stationary obstacle on the left side of the corridor. In addition, a dynamic obstacle intermittently blocked the remaining gap for a period of time (see Figure 15). The purpose of this test was to check that the robot would respond to dynamic challenges, stop in front of an impassable obstacle and, once the moving obstacle had been removed, could resume its avoidance process.

Figure 15: FSM states during a dynamic obstacle.

5 CONCLUSIONS

A complete navigation architecture was designed, which is composed of a finite-state machine (FSM) governing interactions between a modified A* local path planner and a path-tracking controller, CLMPC. It was successfully tested on a real robot in its logistics environment. Results presented demonstrate the system's capabilities in effectively navigating confined environments. Contributions include the design and integration of these components enabling the robot to perform tasks such as path following, obstacle avoidance, and dynamic path adjustment inside of a set corridor. Future work will focus on adapting this solution to higher speeds and more dynamic environments, with a more dynamic local path planner and adaptable high-frequency control.

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