







Improving Antibody-Antigen Interaction Prediction Through Flexibility with ESMFold

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Abstract: Antibodies are essential proteins in the immune system due to their capacity to bind to specific antigens. They also play a critical role in developing vaccines and treatments for infectious diseases. Their complex structure, with variable regions for antigen binding and flexible hinge regions, presents challenges for accurate computational modeling. Recent advancements in deep learning have revolutionized protein structure prediction. Despite these advancements, predicting interactions between antibodies and antigens remains challenging, mainly due to the flexibility of antibodies and the dynamic nature of binding events. This study uses fingerprint-based methodologies that incorporate ESMFold confidence scores as a flexibility feature to model Ab-Ag interactions. Our methodology shows how including flexibility has improved Ab-Ag interactions by 3%, achieving an AUC-ROC of 91%.


1 INTRODUCTION


Antibodies are essential immune system proteins, responsible for identifying and binding to specific antigens, such as pathogens or foreign substances, to neutralize or mark them for destruction by other immune cells (Kindt et al., 2007). This highly selective binding ability plays a critical role in immune defense and makes antibodies invaluable tools in biotherapeutics. They are widely used in developing treatments for various diseases, including cancers, autoimmune disorders, and infectious diseases, where they can target specific molecules or cells with precision, minimizing damage to healthy tissues (Kaplon et al., 2023).


Structurally, antibodies are Y-shaped proteins composed of two heavy (H) and light (L) chains. Each pair forms a variable (V) region that binds antigens, while the constant (C) region, held together by disulfide bonds, binds to receptors and maintains protein integrity (Joubbi et al., 2024) (see Figure 1A). Anti-


bodies present flexible hinge regions that connect the antigen-binding fragment (Fab) to the crystallizable fragment (Fc), enabling dynamic movement between these regions. This flexibility allows the antibody to better interact with various antigens and immune receptors. Additionally, post-translational modifications, like glycosylation, play a critical role in regulating the antibody's structure and function. Glycosylation can influence the antibody's stability, immune recognition, and effector functions, contributing to its overall complexity and adaptability in immune responses (Guo et al., 2024).


The field of antibody-based treatments is growing rapidly, as shown by the increasing number of FDA approvals, clinical trials, and patent applications (Wilman et al., 2022). The market for antibody therapies is projected to surpass \$400 billion by 2028, with an annual growth rate of 14.1% (Larrosa et al., 2023; Joubbi et al., 2024). Traditionally, antibody development depends on labor-intensive and costly techniques such as phage display and animal immunization. However, the incorporation of computational tools in pharmaceutical research is expected to greatly reduce the costs and time associated with developing new antibodies. This progress is expected to make immunotherapy more affordable and suitable for a broader range of diseases.


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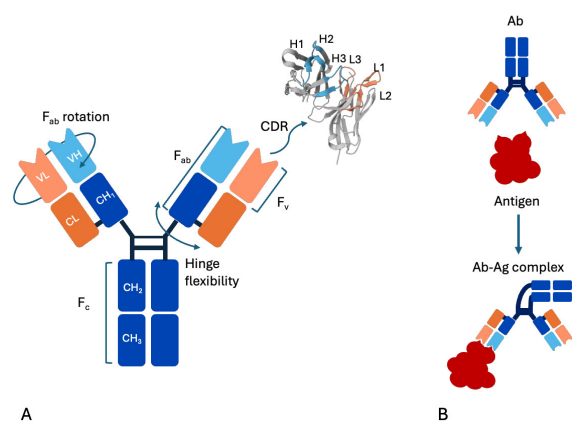


Figure 1: Antibody structure and flexibility. A) The heavy chain (H) of the antibody is shown in blue, while the light chain (L) is depicted in orange. On the right, a focus on a CDR is shown with labeled light and heavy chain CDR loops (PDB 3IY3). The Fab region is formed by the variable regions and part of the constant (C) regions. The variable regions (VH and VL) form the Fv region. The Fc region contains the constant part of the chain. The antibody is a flexible molecule due to the Fab rotation and Hinge flexibility. B) An example of antibody flexibility after binding to an antigen. Additionally, there is a change in conformation at the binding site with the antigen. The antibody can then form different Fc-Fc configurations with another antibody or bind with a cell receptor.

Deep Learning (DL) methods have addressed various biological challenges, notably with AlphaFold2 (AF2) (Jumper et al., 2021), which have transformed structural biology by accurately predicting protein 3D structures from amino acid sequences. AF2, despite its success, relies on multiple sequence alignments (MSAs), which are less effective for antibody folding due to the high variability and lack of evolutionary data in CDR H3 loop sequences (Joubbi et al., 2024). Alternative methods have been developed to overcome this limitation. ESMFold uses ESM-2 for comprehensive embedded representations of protein sequences, providing a viable alternative to MSAs (Lin et al., 2023). ESMFold outperforms AF2 when utilizing only the amino acid sequence, achieving a TM-Score of 0.68 compared to AF2's 0.37, while also providing faster predictions (Bertoline et al., 2023).

Accurate prediction of paratope and epitope regions is crucial for antibody design. While antibody-antigen (Ab-Ag) interactions are a type of protein-protein interaction (PPI), they have distinct characteristics that make general PPI prediction methods less effective for antibody applications (Graves et al., 2020). Several DL methods have been developed to address PPIs, including fingerprint (surface) methods such as MaSIF (Gainza et al., 2020) and dMaSIF (Sverrisson et al., 2021), as well as

PeSto (Krapp et al., 2023). Additionally, specific methods have been created for antibodies, such as EMPM (Del Vecchio et al., 2021), PECAN (Pitala and Bailey-Kellogg, 2020), and fingerprint-based techniques like Surface ID (Riahi et al., 2023).

Future directions for modeling antibody-antigen (Ab-Ag) interactions involve representing antibody flexibility (Guo et al., 2024; Rudden et al., 2022; Joubbi et al., 2024), as the paratope is characterized by a certain level of flexibility (Wang et al., 2013; Rosen et al., 2005) as shown in Figure 1B. Moreover, the two protein structures slightly change during binding (Pegoraro et al., 2023). While DL networks can incorporate local flexibility, they often struggle with conformational switching (Rudden et al., 2022). Addressing this remains a challenge, as long molecular dynamics simulations are computationally intensive, and simpler analytical models, though faster, may lack detail. Combining local contact models with predicted Local Distance Difference Test (pLDDT) scores can predict protein flexibility faster (Ma et al., 2023; Alderson et al., 2023; Alderson et al., 2023; Middendorf and Eicholt, 2024). Building on this approach, we use ESMFold's pLDDT as a flexibility feature for Ab-Ag interactions using fingerprint methodologies.

Main Contributions:

1. Application of the dMaSIF model to antibody-antigen (Ab-Ag) interactions, incorporating protein flexibility into the analysis.
2. Utilization of pLDDT scores to estimate the flexibility of Ab-Ag interactions, demonstrating the potential for performance enhancement.

2 RELATED WORKS

Fischer in 1894 discovered that the interactions between molecules are heavily influenced by their structure and arrangement and successful binding relies on the compatibility of geometric shapes (Fischer, 1894). Following this concept, several DL methods that target PPI and Ab-Ag interaction are based on the structure and surface of the protein. PeSto (Krapp et al., 2023) is a revolutionary parameter-free geometric transformer that directly manipulates the atomic components of a protein structure. This innovative approach accurately predicts specific regions on a protein surface that have the potential to interact with other proteins, as well as nucleic acids, lipids, ions, and small molecules. MaSIF (Gainza et al., 2020) is another pioneering method that em-

employs DL and the concept of fingerprints to forecast PPIs. It accomplishes this by creating protein fingerprints based on amino acid sequences, structural elements, and functional motifs. The method divides protein surfaces into patches and utilizes a convolutional neural network (CNN) to identify interaction sites and patterns. MaSIF has diverse applications, including ligand binding (MaSIF-ligand), interface site prediction (MaSIF-site), and partner binding prediction (MaSIF-search). However, MaSIF’s reliance on pre-computed features and meshes leads to slow performance and high memory usage. To tackle these issues, dMaSIF (Sverrisson et al., 2021) operates directly on raw 3D coordinates and atom types. It generates molecular surfaces on the fly using a novel geometric convolutional layer, making it significantly faster and more memory-efficient than MaSIF. Surface ID (Riahi et al., 2023) employs MaSIF for Ab-Ag interaction predictions.

Additional Ab-Ag-specific methods have been developed, such as PECAN (Pittala and Bailey-Kellogg, 2020), which uses a symmetrical graph convolutional network (GCN) to predict both paratopes and epitopes within a unified framework, and EPMP (Del Vecchio et al., 2021), which separates the prediction models for paratopes and epitopes. GEP (geometric epitope–paratope) prediction (Pegoraro et al., 2023) proposes geometric representations of molecules to create accurate predictors for predicting antibody-antigen binding sites. The study demonstrates the significance of the surface in this type of interaction and the usefulness of different geometric representation information for various tasks. Surface-based models (OGEP) are more efficient in predicting epitope binding, while graph models (IGEP) are better for paratope prediction, resulting in significant performance improvements. However, none of these methods take into account the binding’s flexibility, which is a crucial factor to consider.

3 MATERIALS AND METHODS

In this section, we present the dataset used for this study (Subsection 3.1), followed by an introduction to the fingerprint method (Subsection 3.2) based on dMaSIF and how we obtained and integrated flexibility within the model (Subsection 3.3). Before examining the Ab-Ag interaction, we conducted a preliminary comparison of the performance of PPI and Ab-Ag interactions.

Table 1: Dataset composition.

Dataset	Training	Validation	Test
PPI	4,449	494	959
Ab-Ag	2,729	303	535

3.1 Dataset

For the PPI task, we used the dMaSIF dataset (Sverrisson et al., 2021). The dataset contains 4,943 protein-protein complexes used for training and validation (10%), with an additional 959 complexes reserved for testing. In the case of antibody-antigen interactions, we downloaded a total of 16,269 Ab-Ag complexes from the SAbDab database (Dunbar et al., 2014) (April 2024). We then filtered nanobodies, non-defined antigens, haptens, and non-protein targets. Furthermore, we excluded structures with a resolution lower than 4Å. To evaluate the similarity of antibody structures, we utilized the TM-Score (Zhang and Skolnick, 2004) and excluded Ab-Ag complexes where the antibody exhibited a TM-Score \leq 30%. The dataset was randomly split, resulting in 3,032 Ab-Ag complexes for training and validation (10%), and 535 complexes for testing. We conducted hyperparameter tuning and initial configuration study using the validation set. A summary of the dataset composition is presented in Table 1.

3.2 Method

Our method is based on dMaSIF (Sverrisson et al., 2021), an efficient end-to-end geometric analysis architecture. The underlying idea is that geometric and chemical features provide crucial information about the protein’s surface, especially for PPIs. The method overview is shown in Figure 2. The model samples the surface points and normals of the protein and proceeds to compute mean and Gaussian curvatures at multiple scales (Figure 2b and c). Chemical features are derived based on atom types and their inverse distances to surface points and are processed through a multi-layer perceptron (MLP) (Figure 2d). These chemical and curvature features form a 16-dimensional feature vector (Figure 2e). An MLP is then utilized to predict orientation scores for each surface point, which are employed to align local coordinates (Figure 2f). Further, trainable convolutions and MLPs refine the feature vectors (Figure 2g), and interaction prediction is executed by calculating dot products between the feature vectors of two proteins to generate interaction scores (Figure 2h). In the following subsections, we describe how we have added the concept of flexibility to this process. In Figure 3, there is a summary of the changes made to the original model to include flexibility and interactive flexibility.

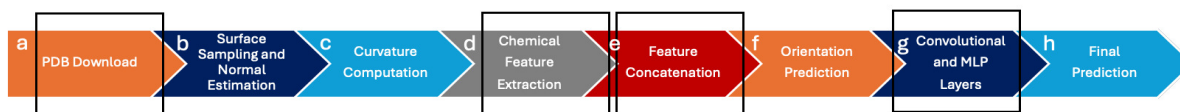


Figure 2: Overview of the dMaSIF method. The red squares represent areas where flexibility was added.

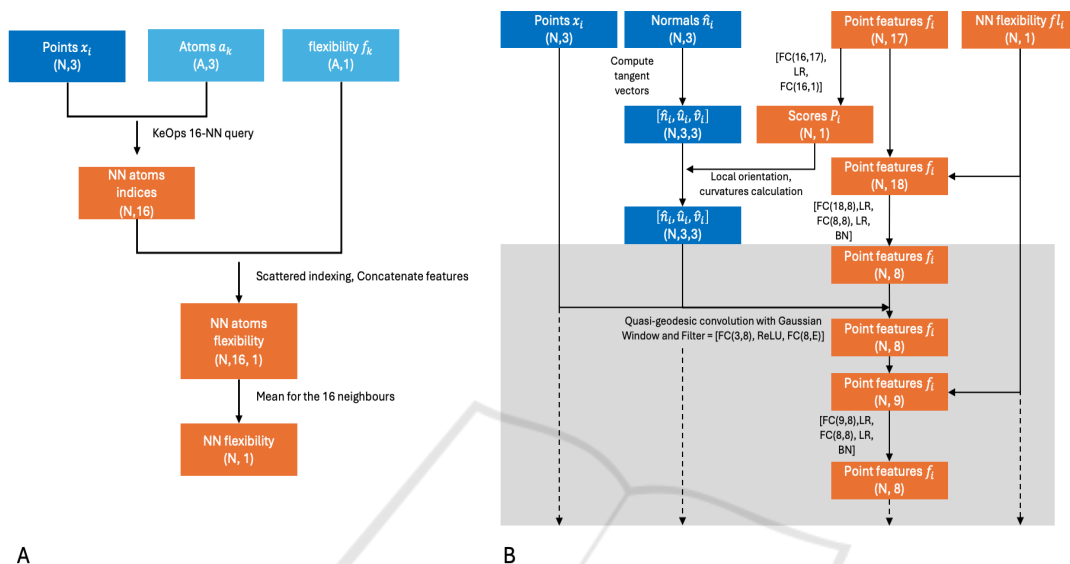


Figure 3: A) This diagram illustrates how flexibility can be represented on the surface of the protein. For each point on the surface, we computed the 16 nearest neighbors of the corresponding atom in the structure and assigned a flexibility score to each of the N points, calculated as the mean value of the flexibility scores of the 16 neighbors. B) Architecture of iterative flexibility. In comparison to the original dMaSIF model, we incorporated the right block of flexibility as an additional feature in each MLP block, both before and after the quasi-geodesic convolution. The gray box represents one layer of the network, which can be repeated to create multiple layers prior to generating the output embeddings of the model.

3.2.1 Data Representation

Each protein is represented by a 3D point cloud that captures every atom in the protein. Following the dMaSIF representation, each atom is characterized by 10 geometric features (5+5 mean and Gaussian curvatures) and 6 chemical features (one-hot encoding of the six most significant atoms: C, H, O, N, S, Se). Additionally, we incorporated the pLDDT score from ESMFold to indicate residue flexibility. The pLDDT score ranges from 0 to 100, with higher scores indicating greater certainty in the model’s predictions regarding the atom’s folding, while lower scores suggest increased uncertainty in the final folding, as illustrated in Figure 4A. Studies have demonstrated that this score correlates with protein flexibility (Guo et al., 2024; Rudden et al., 2022; Ma et al., 2023): higher scores correspond to lower flexibility and vice versa. The original dMaSIF representation, without flexibility, had 16 features, whereas our method includes 17 features.

3.3 Flexibility Score with ESMFold

ESMFold (Lin et al., 2023) uses ESM2, which offers a comprehensive embedded representation of protein sequences (Joubbi et al., 2024). At the end of the folding process, this method generates the pLDDT score mentioned above. To obtain this score, we folded the corresponding sequences and generated a PDB file. In the resulting PDB file, the pLDDT score is saved as the b-factor. One issue we encountered was that dMaSIF uses protonated structures, whereas the final PDB files generated by ESMFold do not include hydrogen scores. To address this, we created a flexibility score for each residue instead of individual atoms. In the end, each atom has a flexibility score corresponding to the residue flexibility, as shown in Figure 4B.

3.3.1 3D Point Cloud Association with the Flexibility Score

dMaSIF samples some point on the surface and computes the Gaussian curvatures, then the chemical features are computed based on atom types and their

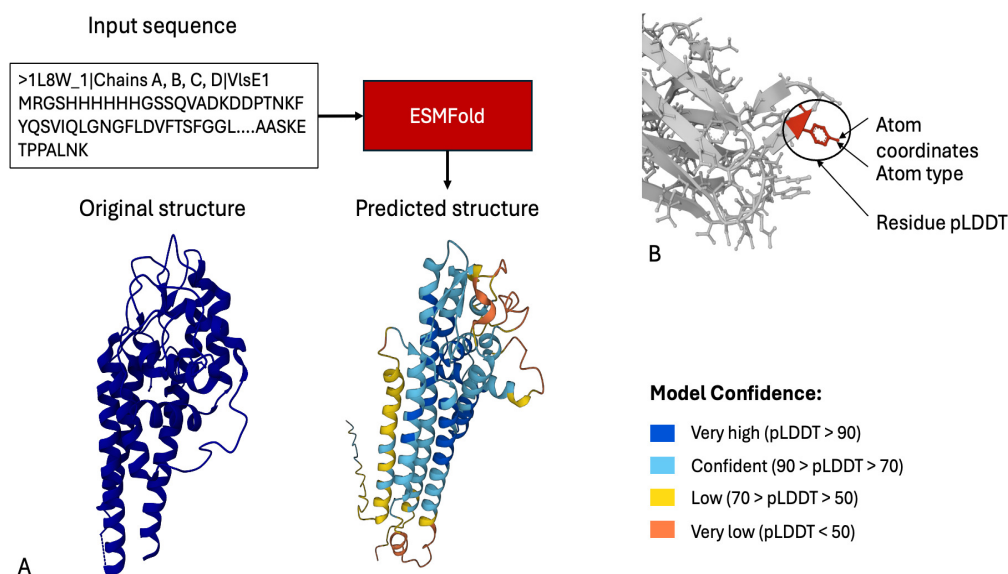


Figure 4: A) Example of a prediction using ESMFold. Given an input sequence, ESMFold predicts the three-dimensional structure, with the pLDDT score reflecting the model’s confidence for each structural atom. On the left is the original protein structure, while the right displays the predicted structure alongside the pLDDT score. The protein structure is PDB 1L8W. B) Assignment of features for each protein structure. Each atom possesses geometric and chemical features, while flexibility is uniform across all atoms within a single residue.

inverse distances to surface points. These features are then processed through a multi-layer perceptron (MLP) with six hidden units, ReLU activation, and batch normalization. In our model, to report the flexibility on the protein’s surface, as shown in Figure 3A, we only performed a 16 nearest-neighbor search since ESMFold has already pre-processed this feature. We took the average flexibility score of these 16 neighbors for each point. Finally, these features are concatenated into a vector of 17 elements.

3.3.2 dMaSIF Network Modifications

The network is based on trainable convolutions, MLPs, and batch normalization for the feature vectors. We evaluated two options for incorporating the flexibility feature: using it directly or employing iterative flexibility layers. We chose iterative layers because flexibility accounts for only 1/17 of the features, and interactively adding it helps amplify its significance. This adjustment resulted in a feature vector with 18 elements, as shown in Figure 3B. For interaction prediction, dot products are computed between the feature vectors of both proteins to generate interaction scores.

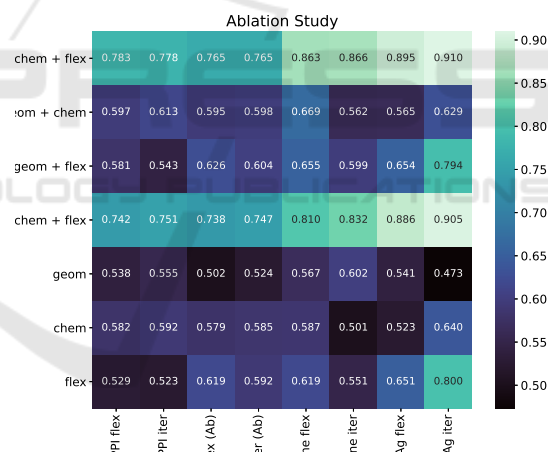


Figure 5: Ablation study for the different combinations of features. "geom" denotes geometrical features, "chem" represents chemical features, and "flex" indicates flexibility. The different models are the following: "PPI flex" = model trained on PPI data using flexibility; "PPI iter" = model trained on PPI data using iterative flexibility; "PPI flex (Ab)" = inference on Ab-Ag complexes using the model trained on PPI + flexibility; "PPI iter (Ab)" = inference on Ab-Ag complexes using the model trained on PPI + iterative flexibility; "Ab-Ag fine flex" = model fine-tuned on Ab-Ag complex + flexibility; "Ab-Ag fine iter" = model fine-tuned on Ab-Ag complex + iterative flexibility; "Ab-Ag flex" = model trained from scratch on Ab-Ag complexes + flexibility; "Ab-Ag iter" = model trained from scratch on Ab-Ag complexes + iterative flexibility.

Table 2: Results are presented in terms of ROC-AUC for the 5-fold cross-validation on the test set. The first three columns represent the model trained on PPI (PPI), the inference on Ab-Ag (Ab-Ag inference), and the fine-tuning on Ab-Ag (Ab-Ag fine-tuning). The final column indicates the model trained from scratch using Ab-Ag structures (Ab-Ag). The 'Original' row represents the model without flexibility, the 'Flexibility' row denotes the model with the flexibility feature, and the 'Iterative Flexibility' row presents the results for the iterative model with flexibility. The best result from each experiment is highlighted in bold.

Model	PPI	Ab-Ag inference	Ab-Ag fine-tuning	Ab-Ag
Original	0.835±0.002	0.832±0.004	0.898±0.004	0.881±0.004
Flexibility	0.783±0.003	0.765±0.017	0.863±0.006	0.895±0.002
Iterative flexibility	0.778±0.008	0.765±0.011	0.866±0.002	0.910±0.002

4 RESULTS

4.1 Cross-Validation

As an initial approach to the Ab-Ag interaction problem, we assessed whether the dMaSIF model, both with and without flexibility, could effectively generalize to the Ab-Ag interaction task. We trained the dMaSIF model using PPI data, as this dataset has been successfully utilized in prior applications of the model. As indicated in Table 2, the inclusion of flexibility in the PPI data did not improve predictions both for PPI and Ab-Ag interaction tasks. In a subsequent attempt, we fine-tuned the PPI-trained model using Ab-Ag data, which resulted in improved performance; however, the model with flexibility did not outperform the one without it. Ultimately, we trained the model from scratch using Ab-Ag data, and in this scenario, the incorporation of flexibility significantly enhanced performance. These results demonstrate that Ab-Ag interactions represent a specific category of PPI and highlight the necessity for a dedicated model to better characterize them, as well as the benefits of including flexibility to enhance results. Table 2 shows the results of the 5-fold cross-validation.

4.2 Comparison with OGEP

We compared our model with OGEP, the leading benchmark method for analyzing antibody-antigen interactions based on surface data. We used the GEP test set, excluding any Protein Data Bank (PDB) entries that overlapped with our training data to ensure a fair comparison. This filtering process resulted in a test set of 29 unique PDB entries used with Ab-Ag iterative flexibility. Although the comparison is primarily indicative due to the limited data available, our findings indicate that our model, which was trained on Ab-Ag from scratch using iterative flexibility, demonstrates significantly superior perfor-

mance compared to OGEP (PINet) for antigen interactions (OGEP AUC-ROC: 0.77 ± 0.03 vs. Ab-Ag iterative AUC-ROC: 0.97 ± 0.00). Additionally, it achieves comparable performance for antibody interactions (OGEP AUC-ROC: 0.77 ± 0.02 vs. Ab-Ag iterative AUC-ROC: 0.75 ± 0.01).

4.3 Impact of the Different Features on Final Prediction

In this work, we conducted an ablation study focusing on various model features to evaluate the importance of flexibility in protein-protein interactions (PPI) and antibody-antigen (Ab-Ag) interactions. We analyzed all possible combinations of three primary feature groups: geometrical, chemical, and flexibility. As illustrated in Figure 5, all methods are influenced by both chemical and flexibility features, although their dependence on individual features varies. The PPI interaction models place a greater emphasis on chemical attributes. Conversely, the fine-tuning model suggests that flexibility features alone have become increasingly important for predictions, except for the model utilizing iterative flexibility, where geometrical features assume a more significant role. Similar trends were observed in the Ab-Ag interaction model trained from scratch, highlighting that pLDDT provides robust predictive features. This highlights the critical role of the flexibility score in Ab-Ag interactions.

5 CONCLUSIONS

Antibody-antigen interactions are critical molecular events forming the basis for immune recognition and neutralization of pathogens or foreign substance. While different computational approaches have been developed to model antibody-antigen interaction, most overlook protein flexibility. By incorporat-

ing pLDDT scores from ESMFold as a proxy for flexibility, we demonstrated a 3% improvement in prediction accuracy, achieving an AUC-ROC of 91%. Notably, models that explicitly prioritized flexibility outperformed those that considered flexibility to a lesser extent, highlighting its significance in enhancing predictive capabilities. While this represents an initial effort to integrate flexibility into antibody-antigen modeling, future approaches could utilize experimentally derived configurations of antibody-antigen complexes or energy-based models to simulate this dynamic behavior more effectively.

A key limitation of the current method is its reliance on pre-processed pLDDT scores, which introduces computational overhead. To address this, we propose incorporating structural distillation techniques to embed flexibility-related insights directly into sequence-based models, thereby eliminating the need for structural preprocessing. This adaptation would streamline workflow and enhance accessibility for experimental laboratories by enabling rapid high-throughput screening of antibody libraries.

In practical terms, this methodology holds promise for applications such as epitope mapping and evaluating binding interactions. By identifying promising antibody candidates earlier in the process, researchers can concentrate experimental resources on the most viable options, accelerating the development of effective antibody therapies.

DATA AVAILABILITY

The data and code can be accessed at the following link: <https://github.com/dasch-lab/fingerprint>.

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APPENDIX

Environment Settings

The hardware and software resources used are presented in Table 3.

Table 3: Development environments and requirements.

System	Ubuntu 20.04.5 LTS
CPU	AMD EPYC 7413 24-Core Processor
RAM	16×4GB; 2.67MT/s
GPU	NVIDIA A100-SXM-80GB
CUDA version	11.5
Programming language	Python 3.8.18
Deep learning framework	Pytorch (Paszke et al., 2019) (Torch 1.12.1, torchvision 0.13.1, torchaudio 0.12.1)

Model Training and Hyperparameters

For dMaSIF pre-training on protein-protein interactions (PPI) and fine-tuning on antibody-antigen (Ab-Ag) interactions, we used a 9.0 radius, 8 embedding dimensions, and one layer. For Ab-Ag models trained from scratch, the non-flexibility version used the same parameters as dMaSIF, while the flexibility-enhanced models used a 10.0 radius, 16 embedding dimensions, and either 3 layers (non-iterative) or 5 layers (iterative). All models were trained with a batch size of 8 for 50 epochs, utilizing early stopping, binary cross-entropy loss, and AMSGrad with a learning rate of $3e-4$.