Attached Shadow Constrained Shape from Polarization

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Abstract: This paper tackles a long-standing challenge in computer vision: single-shot, per-pixel surface normal recovery. Although polarization provides a crucial clue to solving this problem, it leaves ambiguity in the normal estimation even when the refractive index is known. Therefore, previous studies require additional clues or assumptions. In this paper, we propose a novel approach to resolve this ambiguity and the unknown refractive index simultaneously. Our key idea is to leverage attached shadows to resolve normal ambiguity while measuring the refractive index based on wavelength characteristics in a single-shot scenario. We achieve this by separating the contributions of three appropriately placed narrow-band light sources in the RGB channel. We further introduce disambiguation uncertainty to address cast shadows and achieve more accurate normal recovery. Our experimental evaluations with synthetic and real images confirm the effectiveness of our method both qualitatively and quantitatively.

1 INTRODUCTION

Reconstructing dense 3D surfaces has been a central research topic in computer vision. Single-shot recovery of the surface normals, in particular, has various applications, including industrial product inspection, robotics, digital archiving, and medicine. On the other hand, as an independent problem of depth sensing, solving per-pixel surface normals from a single 2D image is a long-standing, challenging task for fine-detailed geometry.

Polarization is one of the crucial cues for surface shape recovery. When a surface reflects unpolarized light, the reflected light becomes partially polarized depending on the surface normal and refractive index. The shape recovery method utilizing this cue is called Shape from Polarization (SfP). However, the core issue is the ambiguity that exists in its normal estimation, even with a known refraction index. Therefore, previous SfP methods require additional cues or assumptions for per-pixel estimation, such as known albedo for shading cues and surface integrability.

Are any additional pixel-independent cues available for a per-pixel solution in a single-shot scenario for objects with various shapes and textures? The disambiguation of the normal solution by fusion with a depth or low-frequency geometry cannot be entirely separated from the issue of shape discontinuities. We tackle obtaining a unique solution for the normals in this single-shot problem while relaxing the need for assumptions about the object.

In this paper, we show that we can identify the correct answer of SfP solution candidates by the attached shadows. Our focus for achieving this concept in a single-shot scenario is to leverage three different narrow bandwidth light sources that are separable by RGB channels in a direction layout that disambiguates the SfP. We further show that the refractive index, which is often uniform across the object surface, can be estimated from the consistency of the zenith angle at each of the three wavelengths and applied to unknown dielectric materials.

In addition, to distinguish cast shadows and eliminate ambiguous estimations, we introduce certainty based on a fusion of attached shadow clarity metrics and strategies for detecting cast shadows. We apply the belief propagation method for regions of low certainty to select a normal candidate and recover the entire shape.

We experimentally validate our method on objects with various shapes, materials, and textures. We

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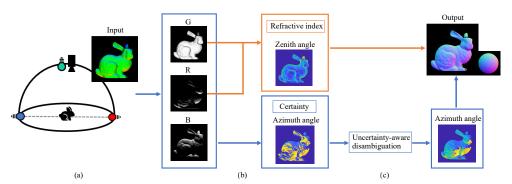


Figure 1: Attached Shadow Constrained Shape from Polarization. (a) We utilize single-shot images taken under three appropriately placed narrow-band light sources. (b) By separating and utilizing their wavelength contributions in the RGB channel, we achieve normal disambiguation through attached shadows while estimating the object's refractive index. This stage also provides certainty for pixels where ambiguity becomes unstable due to cast shadows or shadow boundaries. (c) We apply belief propagation to this low-certainty region and achieve per-pixel normal recovery in a single shot.

conducted a thorough quantitative evaluation of the proposed method's impact on "shadow-based disambiguation," "cast shadow handling with the introduction of disambiguation certainty," and "refractive index estimation" using synthetic images. Additionally, we demonstrated these effects qualitatively through real image experiments.

Our main contributions are as follows,

- Demonstrating attached shadows can be used to identify the correct normals among SfP solution candidates.
- Achieving disambiguation in a single shot by separating and utilizing the contributions of three different narrow-band light sources in the RGB channel.
- Introducing a novel method for estimating the refractive index of an object's surface from the consistency of the zenith angle at three wavelengths.
- Defining the certainty of disambiguation and applying the belief propagation method to uncertain regions to improve the accuracy of shape reconstruction.

2 RELATED WORK

Methods for normal estimation have advanced with many challenges and solutions. Physics-based deductive methods such as photometric stereo (Woodham, 1980; Ackermann et al., 2015), shape from shading (Ikeuchi and Horn, 1981; Zhang et al., 1999), and SfP (Atkinson and Hancock, 2006; Miyazaki et al., 2003; Rahmann and Canterakis, 2001) have been proposed for decades. However, single-shot normal estimation has always been a challenging task as an inverse problem from 2D to 3D. Multi-spectral photometric stereo (MPS) (Anderson et al., 2011; Chakrabarti and Sunkavalli, 2016; Ozawa et al., 2018; Guo et al., 2021) is one approach to this challenge. MPS utilizes light sources of different wavelengths to estimate normals from single-shot images. However, since the intensity observed by the camera depends on the object's reflectance, additional constraints are required, such as albedo, chromaticity clustering, and integrability of the surface geometry. Thus, a barrier to per-pixel single-shot estimation exists.

On the other hand, the single-shot SfP method has the problem that the zenith angle depends on the refractive index, so the wrong refractive index distorts the normal (Kadambi et al., 2015). Additionally, it is known that there are two candidate solutions for the azimuth angle (Atkinson and Hancock, 2006; Miyazaki et al., 2003). Therefore, single-shot SfP also requires additional constraints or prior, such as shading cues and surface integrability (Mahmoud et al., 2012; Smith et al., 2016; Smith et al., 2018). There are methods for polarization and multi-spectral fusion. Huynh et al. (Thanh et al., 2015) proposed a method for simultaneously estimating the normal and refractive index using a polarization multi-spectral image. However, this method assumes that the scene geometry is smooth and convex.

In contrast, we leverage the binary information of whether each pixel is an attached shadow or not to resolve the ambiguity of SfP. Utilizing the fact that the normal solution space is limited by the boundary of the attached shadow(Kriegman and Belhumeur, 2001), our method eliminates assumptions about the chromaticity of the object and the integrability of its shape, allowing independent estimation for each pixel. Therefore, our method realizes a more flexible single-shot normal estimation, even for textured objects.

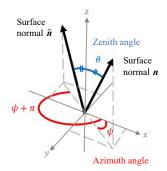


Figure 2: Ambiguity of surface normals in Shape from Polarization. Since π -ambiguity exists in the azimuth angle estimated from the diffuse polarization, there are two candidates for the normal.

Recently, polarization-based methods using deep prior (Ba et al., 2020; Deschaintre et al., 2021; Hwang et al., 2022; Lei et al., 2022) have shown effective results, but they rely on supervised learning and require large data sets. In contrast, our method achieves normal recovery from a single input image and estimates objects' refractive indices.

3 BASICS: SHAPE FROM POLARIZATION

We will first briefly introduce the fundamental theory of SfP and its ambiguity, which needs to be resolved.

3.1 Polarization

Unpolarized light consists of electromagnetic waves oscillating in a random direction and having uniform intensity around the direction of light travel. Sunlight and common light sources correspond to this type of light. When an object reflects unpolarized light, the oscillation direction of the electromagnetic wave is biased, resulting in partially polarized light. Polarization cameras can extract angular components by observing light through a linear polarizer at a certain angle. When rotating the linear polarizer with angle ψ , the intensity of light can be described as following sinusoidal:

$$I(\psi) = I_{\max} \cos^2(\psi - \phi) + I_{\min} \sin^2(\psi - \phi)$$

= $\frac{I_{\max} + I_{\min}}{2} + \frac{I_{\max} - I_{\min}}{2} \cos 2(\psi - \phi),$ (1)

where I_{max} and I_{min} are the maximum and minimum intensity for angle ψ . The angle ϕ is called the angle of linear polarization (AoLP), where observed intensity reaches I_{max} . Thanks to recent advances in technology, quad-bayer polarization cameras can provide polarization images for four filter angles $\psi =$ $(0, \pi/4, \pi/2, 3\pi/4)$ from a single image. Hence, we can recover the sinusoidal in single-shot (Huynh et al., 2010).

3.2 Normal Estimation from Polarization and Its Ambiguity

The basic concept of SfP is to utilize the fact that the polarization changes during reflection depending on the surface normal, and to calculate the surface normal as an inverse problem. Fresnel equations can describe these relationships, which differ for diffuse and specular reflection. In this study, we mainly focus on the polarization of diffuse reflections, as we utilize a distant point source. The treatment of specular reflections will be discussed in Sec. 4.

As shown in Fig. 2, let us denote the surface normal n by the zenith angle θ and azimuth angle ϕ follows, ¹

$$\boldsymbol{n} = \begin{pmatrix} \sin\theta\cos\phi\\ \sin\theta\sin\phi\\ \cos\theta \end{pmatrix}. \tag{2}$$

Since the diffuse polarization component has a maximum intensity in the direction along the plane spanned by the viewing direction (z-axis in the Fig. 2) and the normal, the following holds;

$$\phi = \psi \text{ or } \psi + \pi. \tag{3}$$

On the other hand, independent of this, the zenith angle θ depends on the Fresnel transmission ratio $r^2 = \sqrt{\frac{I_{\min}}{I_{\max}}}$, and the following holds (Huynh et al., 2010);

$$\Theta \simeq \arcsin \frac{\eta \sqrt{1 - r^2}}{\sqrt{\eta^2 - 2r\eta + 1}}.$$
(4)

Thus, even when the refractive index is known, there are two candidate normals (n, \hat{n}) due to the ambiguity of the azimuth angle in Eq. 3.

4 METHOD

We propose a physics-based, per-pixel solution for single-shot SfP without assuming geometry integrability or uniform albedo. We employ a quad-Bayer color polarization camera and capture objects simultaneously illuminated by three light sources of different directions and colors as shown in Fig. 1(a).

¹For a perspective projection camera, it can be defined similarly as a local coordinate system such that the viewing direction becomes the z-axis (Pistellato and Bergamasco, 2024).

Assumptions. Our method relies on several assumptions throughout the paper:

- 1. Orthographic camera or known focal length
- 2. Dielectric objects
- 3. Diffuse-dominant materials
- 4. Known narrow-band distant point sources
- 5. Spatially uniform refraction index

Note that assumption 3 requires that the amplitude of the diffuse polarization component be larger than the amplitude of the specular polarization component, which does not significantly limit the material (Smith et al., 2018). Additionally, We typically observe specular reflections as saturated sharp highlights with our three distant point sources setup. We exclude these highlight regions from our estimation to maintain the dynamic range. Consequently, the observations in the target region can be approximated by diffuse polarization components (Kadambi et al., 2015), and Eq. 4 holds.

4.1 Estimation of Candidate Normals with Unknown Refraction Index

This section shows that we can estimate candidate normals with unknown refractive indices under our narrow-band wavelength source. Since the refractive index depends on wavelength, we can use the fact that different Fresnel transmittances in each RGB band refer to the same zenith angle. When using a widebandwidth light source, even if the spectral intensity is known, note that the contribution of wavelengths will not be uniform for objects with spatially varying spectral reflectance. We can practically avoid this issue with narrow bandwidth light sources.

Let η_R , η_G , and η_B be the refractive indices, and r_R , r_G , and r_B be the observed Fresnel transmittance ratio for each RGB wavelength, respectively, and the following holds from Eq. 4,

$$\frac{\eta_R \sqrt{1 - r_R^2}}{\sqrt{\eta_R^2 - 2r_R \eta_R + 1}} = \frac{\eta_B \sqrt{1 - r_B^2}}{\sqrt{\eta_B^2 - 2r_B \eta_B + 1}},$$

$$\frac{\eta_B \sqrt{1 - r_B^2}}{\sqrt{\eta_B^2 - 2r_B \eta_B + 1}} = \frac{\eta_G \sqrt{1 - r_G^2}}{\sqrt{\eta_G^2 - 2r_G \eta_G + 1}}.$$
(5)

Using two or more pixels with different zenith angles can estimate the refractive indices of one band pair (*e.g.* R and B). We further estimated the refractive indices η_R , η_G , η_B using RANSAC for robust estimation. The zenith angle is then estimated using the refractive indices and Fresnel transmission coefficients.

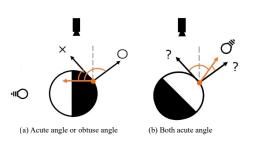


Figure 3: Overview of disambiguation. The condition under which the normal (orange arrow) can be disambiguated by whether it is an attached shadow or not is whether its status changes depending on the candidate normals. *i.e.*, when the angle between the normal and the light source is divided into (a) an acute angle and an obtuse angle, it can be resolved, while it cannot in the case of (b).

The azimuth angle is estimated from Eq. 3. Up to this point, we obtain two potential normals for the object with an unknown refractive index.

4.2 Azimuthal Disambiguation from Attached Shadow

4.2.1 Overview of Disambiguition

We resolve that azimuth angle ambiguity based on the attached shadow. The clue, whether the attached shadow or not, suggests the angle between the direction of the light source and the true normal. That is, the observed intensity depends on the inner product of the source direction and the true normal, which is positive when the pixel is illuminated. Also, the inner product at the attached shadow pixels is negative. Therefore, by taking the inner product of the source direction and the candidate normals and selecting the candidate normals that are consistent with the observed radiance, the π -ambiguity regarding the normal azimuth angle can be resolved.

Let us consider the example shown in Fig. 3 for a better understanding of the conditions required for disambiguation. In Fig. 3(a), the angle between the light source direction and the candidate normal is acute on one side and obtuse on the other, allowing us to resolve the ambiguity. However, both angles are acute in Fig. 3(b), so the ambiguity cannot be resolved for that pixel.

4.2.2 Design of Light Source Direction

All pixels need to be illuminated to obtain candidate normals by polarization analysis. Considering objects with various shapes, our reasonable approach is to illuminate one of the light sources coaxially with the viewing direction (we call it base light hereafter). Therefore, this section discusses the design of the re-

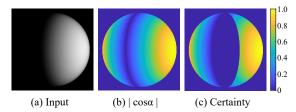


Figure 4: Certainty of disambiguation. (a) (b) Near the boundary of the attached shadow, it is ambiguous whether it is a shadow or not. (c) Furthermore, disambiguation is not feasible in the case corresponding to Fig. 3(b), so we define certainty as a combination of these two.

maining two source directions to disambiguate the normals.

The discussion in Sec. 4.2.1 indicates that when there is only a single side light source, it should be illuminated from a direction orthogonal to the base light source, but when there are two side light sources, the optimal arrangement is non-trivial. Therefore, we consider a practical layout by introducing the certainty of disambiguation.

Certainty of Disambiguation. Near the boundary of the attached shadow, where the inner product of the normal and light source direction is zero, shadow or not is ambiguous and difficult to determine. Therefore, we introduce a certainty of disambiguation s_p to minimize the number of ambiguous pixels.

Basically, the certainty of either attached shadow or not can be measured by the absolute value of the inner product of the light source direction and the normal. Thus, we define the certainty of disambiguation for a side light source as follows,

$$s_p = \begin{cases} |\cos\alpha| & (\cos\alpha\cos\alpha < 0), \\ 0 & \text{otherwise,} \end{cases}$$
(6)

where $\cos \alpha$ and $\cos \alpha$ denote the inner products of a light source and two candidate normals, respectively. Fig. 4 shows an example of this certainty. A pixel that satisfies $\cos \alpha \cos \alpha < 0$ (the case of Fig. 3(b)) has zero certainty because the ambiguity cannot be resolved.

For extensions on multiple side light sources, we can focus on the fact that disambiguation is to be achieved by one of them. Therefore, suppose that the base light source corresponds to the G channel; the certainty with the two side light sources can be described using the individual certainties $s_{p,R}$ and $s_{p,B}$ as follows,

$$s_p = \max\{s_{p,R}, s_{p,B}\}.$$
 (7)

As a simulation experiment, we conducted a grid search with 1-degree intervals to find the optimal direction of the two-side light sources that maximizes the certainty calculated by Eq. 7 for a reference sphere. Our findings suggest that the position

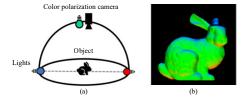


Figure 5: Our light source configuration. (a) Overview. (b) An example of the captured image.

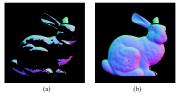


Figure 6: Uncertainty-aware Azimuthal Disambiguation. (a) We detect cast shadows and ambiguous attached shadows by our proposed certainty and (b) disambiguate normals by belief propagation.

where the three light sources are orthogonal to each other is suitable. Furthermore, as discussed in the next section, we extend this model to consider cast shadows and determine the practical arrangement of light sources.

Cast Shadow Handling. Cast shadows are another factor that must be considered when designing the direction of the light source. It is essential to find the cast shadow since the method in Sec. 4.2.1 cannot be applied to resolve the ambiguity. However, since both attached and cast shadows are not illuminated, it is impossible to identify which shadow is which by the observed luminance.

Based on these results and insights, as shown in Fig. 5, we place the two side light sources (R and B) so that they are orthogonal to the base light source (G) and opposite each other. When either light source does not illuminate the pixels, they are in a cast shadow from at least one of the light sources. The disambiguation based on the attached shadow cannot be applied to such pixels. Thus, we extend the certainty for these pixels as the region where $s_p = 0$, and we utilize the method described in the next section to resolve the ambiguity.

4.3 Uncertainty-Aware Azimuthal Disambiguation

In this section, we propose a method for selecting candidate normals by propagating adjacent high-certainty azimuths for pixels with cast shadows or small certainty. We solve this as a graph optimization problem with each pixel as a node.

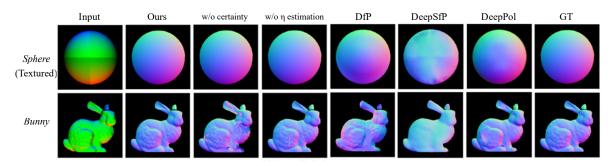


Figure 7: The reconstruction results with synthetic data. The leftmost is input single-shot images. The color of the results is shown based on the normal XYZ component.

Table 1: Quantitative evaluation of recovered normals. (Mean angular errors in degree).

Input	Object	Ours	w/o C.S. handling	w/o η estimation	DfP	DeepSfP	DeepPol
Synthetic	Sphere	0.03	0.03	2.01	8.17	30.77	11.84
	Bunny	0.20	12.25	2.13	15.75	33.56	14.74
Real	Sphere	6.44	22.95	6.48	22.92	35.89	12.89

In particular, assuming the azimuth angle of each pixel ϕ_p takes two states, ψ or $\psi + \pi$, consider the following energy cost function w.r.t. the set of azimuth angles Φ of all pixels;

$$E(\mathbf{\Phi}) = \sum_{p \in P} \sum_{q \in N_p} C_s(p, \phi_p, \phi_q) + \sum_{p \in P} C_d(p, \phi_p), \quad (8)$$

where *P* denotes the set of all pixels, and N_p denotes the set of pixels *q* in the four neighbors to pixel *p*. C_d represents the data cost term, which is set to a uniform value regardless of the state when the certainty s_p is $s_p < \gamma$ for some threshold γ . C_s represents the smoothness cost term formulated by the dissimilarity of azimuth angles between adjacent pixels. The smoothness cost term C_s can be described using the vector representation of azimuthal directions $v_p = (\cos \phi_p, \sin \phi_p)^\top$ and $v_q = (\cos \phi_q, \sin \phi_q)^\top$ as

$$C_s(p,\phi_p,\phi_q) = 1 - \frac{\boldsymbol{v}_p \cdot \boldsymbol{v}_q + 1}{2}.$$
 (9)

We minimize the energy function in Eq. 8 with belief propagation. Specifically, we input the energy $E(\Phi)$ into the potential function and compute Φ to maximize it. The potentials for C_d and C_s , respectively, become as follows,

$$B_d(p,\phi_p) = e^{-C_d(p,\phi_p)}, B_s(p,\phi_p,\phi_q) = e^{-C_s(p,\phi_p,\phi_q)}.$$
(10)

Therefore, using the following message function, Φ can be obtained by iteratively propagating belief to adjacent pixels until convergence,

$$m_{p \to q} (\phi_q) = \sum_{\substack{\phi_p = \{\eta, \eta + \pi\} \\ \prod_{k \in N_p \setminus q} m_{k \to q} (\phi_k, \phi_q)}} B_d(p, \phi_p) \times B_s(p, \phi_p, \phi_q)$$
(11)

Since only the message $m_{p \to q}$ is updated and B_d , B_s are fixed, it converges to a quasi-globally optimal solution.

Fig. 6 show an example of our disambiguation. In regions with cast shadows or low certainty s_p (black area in "*Bunny*"), our BP effectively resolves ambiguity, enabling us to select a candidate normal uniquely.

5 EXPERIMENTAL RESULT

We experimentally evaluate the effectiveness of our method using synthetic and real images. Based on the discussion in Sec. 4.2.2, our setup consists of the base light source illuminated from the viewing direction for the candidate normals estimation and two light sources illuminated from the side direction for the azimuth angle disambiguation.

As for disambiguation based on attached shadows, to avoid uncertain detection of illuminated pixels, the certainty threshold is empirically set to $\gamma = 0.4$ for all the data, and for the cast shadow pixels and low-certainty pixels, disambiguation is performed using the method described in Sec. 4.3.

As a baseline for comparison, we used the physics-based (Smith et al., 2016), learning-based (Ba et al., 2020)(Deschaintre et al., 2021), our method without cast shadow handling ("w/o certainty") and our method without refractive index estimation ("w/o η estimation"). For the methods (Smith et al., 2016) and ("w/o η estimation"), the normal is estimated by assuming that the refractive index of the base light source is 1.5, following previous works.

5.1 Quantitative Evaluation with Synthetic Data

We quantitatively evaluate the reconstruction accuracy of our method using synthetic images. As shown in Fig. 5, we correspond the base light source to the G-channel for the proof of concept. We used rendered objects with different shapes (*"Sphere"* and *"Bunny"*) which have ideal diffuse reflective surfaces. We added texture to the *"Sphere"* to represent the spatially varying albedo. The refractive index was set to (R:1.44, G:1.45, B:1.46) for all the objects.

Fig. 7 and Table 1 show the results of surface normal recovery. These results clearly demonstrate that the proposed method can recover the shape of objects with bumps, such as "*Bunny*," with higher accuracy than the baseline results. In addition, the shape is successfully recovered without any assumptions regarding texture or albedo, as in the results for the textureadded "*Sphere*."

5.2 Real World Objects

We also conduct evaluation experiments using real images to demonstrate the effectiveness of our method qualitatively. We use narrow-band LED light sources of 450 nm, 525 nm, and 640 nm as unpolarized light sources. We capture the image using a quad-Bayer color polarization camera (Blackfly BFS-U3-51S5P-C). We built a single-shot system in our indoor environment to demonstrate the proof of concept. The position of the light source was adjusted in advance using a reference sphere different from the one used for the evaluation. The objects we use have different shapes, textures, and materials (*"Sphere," "Pear," "Elephant"*).

Fig. 8 shows the results of the surface normal estimation. Note that our method does not account for specular reflection, so the areas of specular reflection in the input image are masked out for evaluation. The results clearly show that our method can successfully recover the normals of these objects, regardless of the textures and complex surface geometry. In order to quantitatively evaluate the shape recovery results of real objects, we obtain the ground truth of the normal using a sphere with a known diameter (*"Sphere"*). Table 1, the bottom row shows the result of our method has the best performance for the surface recovery.

5.3 Ablation Study

The effectiveness of introducing certainty and addressing cast shadows by BP is demonstrated by the method ("w/o certainty"). As Figs 7,8 show, qualiTable 2: Results of refractive index estimation.

Input	Object	Ours	GT
Crinthatia	Sphere	1.45	1.45
Synthetic	Bunny	1.45	1.45
Real	Sphere	1.51	-
Keal	Pear	1.44	-
	Elephant	1.41	-

tative differences are clearly evident in the concave areas where cast shadows occur and in the boundary areas and attached shadows become ambiguous. Table 1 also demonstrates the effect quantitatively.

Additionally, the method ("w/o η estimation") demonstrates the impact of refractive index estimation on the estimation results. This appears to be a distortion of the normal's zenith angle, and its improvement is shown especially in Table 1. Most importantly, this result shows that the proposed method is applicable to objects with unknown refractive indices.

5.4 Refractive Index Estimation

Table 2 shows the results of refractive index estimation. We show the refractive indices of the base light sources (the G channel in the synthetic image experiment and the B channel in the real image experiment) used for zenith angle estimation. The results with synthetic images quantitatively show that the proposed method is capable of highly accurate estimation. Also, while ground truth is not available in the results with real images, we find they are within the typical range of refractive indices for each material.

6 CONCLUSION

This paper proposes a practical single-shot SfP that estimates the per-pixel normal of objects with unknown refractive indices. By effectively arranging narrow-band R, G, and B light sources and a color polarization camera, we achieve effective disambiguation by utilizing attached shadows and estimating the unknown refractive indices, thus relaxing the conventional single-shot SfP assumptions. Furthermore, we introduce a novel BP-based uncertainty-aware disambiguation to address regions with cast shadows and ambiguous presence or absence of attached shadows. The major limitation of our method is that it assumes diffuse-dominant surfaces. Even when leveraging a distant point source, for some objects with high surface roughness and specular reflectance, the specular component will dominate in a wide area, limiting the range of objects that can be recovered. Therefore, one

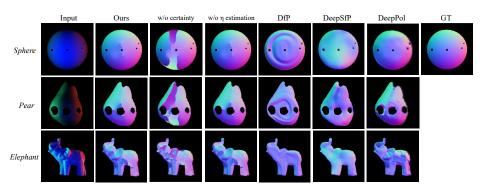


Figure 8: The reconstruction results of real-world objects. The leftmost is input single-shot images. The color of the results is shown based on the normal XYZ component.

future work is to extend our method to the speculardominant surfaces. Another limitation arises from overly complex surfaces, which disrupt the azimuthal similarity of neighboring pixels and create extensive cast shadows.

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