Exhaustive Model Identification on Process Mining

Takeharu Mitsuda¹, Hiroyuki Nakagawa^{1,2}, Haruhiko Kaiya³, Hironori Takeuchi⁴, Sinpei Ogata⁵ and Tatsuhiro Tsuchiya¹

¹Osaka University, Japan

²Okayama University, Japan

³Kanagawa University, Japan

⁴Musashi University, Japan

⁵Shinshu University, Japan

 $\{t\text{-}mitsuda, \ nakagawa, \ t\text{-}tutiya}\} @ist.osaka-u.ac.jp, \ h\text{-}nakagawa} @okayama-u.ac.jp, \ kaiya} & kanagawa-u.ac.jp, \ h\text{-}nakagawa} & kayama-u.ac.jp, \ kaiya} & kanagawa-u.ac.jp, \ h\text{-}nakagawa} & kayama-u.ac.jp, \ kaiya} & kayama-u.ac.jp, \ kayama-u.ac.jp,$

Keywords: Process Mining, Process Discovery, HeuristicsMiner.

Abstract:

HeuristicsMiner is a process mining technique, which can construct a process model representing dependency relations of each activity from event logs. HeuristicsMiner is notable for its ability to output a process model that removes noise from the input data by allowing the user to set multiple parameters. However, it is difficult for users to understand the characteristics of each parameter and to identify parameter values that enable them to obtain ideal process models. In this study, we propose a method for identifying all possible process models that can be generated from an input event log in HeuristicsMiner. We extract the conditions under which the dependencies in the input logs are represented in the output model, and then create a process model transition table based on these conditions to identify these models. We applied this method to several large logs and mined process models using the combinations of parameter values obtained, and confirmed that process models were efficiently obtained without excesses or deficiencies.

1 INTRODUCTION

Process mining is a technique for extracting beneficial information from business process data called event logs. Process models, which can be represented by diagrams such as Petri nets can be generated by focusing on the sequence of executed activities. Over the past few decades, a number of process mining algorithms have been developed. One of the algorithms for process mining is HeuristicsMiner, which is more tolerant of noise in event logs than conventional algorithms.

Most of the process mining algorithms, including HeuristicsMiner require to determine multiple parameter values. In order to obtain a process model that correctly and concisely represents the current situation, it is important to find appropriate values for these parameters. However, finding good parameter values to generate a process model that the user desires is not easy because the effects of these parameter values are not intuitively understandable. Also, since some parameters can be specified using continuous values, there are countless combinations, making it difficult

to mine all models to be generated.

In this study, we propose a method for identifying all possible process models that can be generated from an input event log in HeuristicsMiner without excess or deficiency. We also implement the proposed method as a plug-in of a process mining tool ProM and apply it to a large event log to confirm that the proposed method can correctly identify all possible process models. The user's parameter adjustment work can be omitted by mining the parameter value combinations obtained with this method. This allows the user to obtain a useful process model more efficiently than before.

2 BACKGROUNDS

2.1 Process Mining

Process mining is a part of data mining techniques, which transforms logs collected from daily business work into models, and utilizes them for improvements

ISBN: 978-989-758-742-9: ISSN: 2184-4895

Table 1: An example event $\log W_1$.

| Case | Activity | Time |
|--------|------------|------------------|
| case 1 | activity A | 2024-10-01 00:18 |
| case 1 | activity E | 2024-10-01 01:53 |
| case 2 | activity A | 2024-10-01 20:52 |
| case 2 | activity C | 2024-10-02 13:07 |
| case 3 | activity A | 2024-10-03 04:56 |
| case 4 | activity A | 2024-10-03 06:57 |
| case 4 | activity B | 2024-10-04 05:38 |
| case 3 | activity C | 2024-10-04 06:19 |
| case 5 | activity A | 2024-10-05 03:11 |
| case 5 | activity B | 2024-10-05 18:45 |
| case 4 | activity C | 2024-10-06 03:53 |
| case 4 | activity D | 2024-10-06 21:34 |
| case 5 | activity C | 2024-10-07 08:28 |
| case 2 | activity B | 2024-10-08 02:12 |
| case 2 | activity D | 2024-10-08 11:58 |
| case 3 | activity B | 2024-10-09 11:05 |
| case 5 | activity D | 2024-10-10 10:07 |
| case 1 | activity D | 2024-10-11 09:09 |
| case 3 | activity D | 2024-10-11 22:43 |

of efficiency (van der Aalst, 2016). Using process mining techniques, records stored in enterprise system can be utilized for business improvements, such as detection of irregular activities in business and adjustments of current business flow. Process mining is now being studied for use in improving business operations in a wide range of industries, including software development (Keith and Vega, 2017), medicine (Mans et al., 2008), and semiconductor manufacturing (Rozinat et al., 2009).

In process mining, business activities recorded by information systems are referred to as "event logs". Table 1 shows an example of an event log in its simplest configuration, which includes the case, activity, and time attributes. The logs often contain additional attributes, such as the resource attribute, which indicates the organization or person that performed the event. Because the log contains a large amount of data, discovering and analyzing data patterns hidden in the log requires techniques that specialize in process mining.

2.2 Process Discovery Algorithm

The process discovery is a set of process mining techniques that build models from the history of actual operations stored in event logs, allowing accurate models to be used for workflow management.

The initial technique of process discovery from control-flow perspective is the α algorithm (van der Aalst et al., 2004). The algorithm regards that if an event is always followed by another event it is likely

to have a relation between both tasks. It classifies the relation between directly following (in sequence) and parallelism, and the result is represented as the Petrinet model. Today the α algorithm is said to be the most basic implementation of the process discovery algorithm, and many extentions of the α algorithm exist (Medeiros et al., 2004) (Wen et al., 2007) (Wen et al., 2010).

However, the algorithm has difficulty in correctly handling event logs stored in practical systems. This is because the algorithm presupposes the input log as perfect information, which means the log doesn't include any noise. In practical situation the log often has noise such as errors, low frequent activities, low frequent activity sequences and exceptions. This noise prevents the algorithm from correctly classifying the relation between activities.

2.3 HeuristicsMiner

2.3.1 Overview

The HeuristicsMiner (Weijters et al., 2006) is a process discovery algorithm which is less sensitive to noise. When it generates an output model, it considers not only the sequence of activities, but also on the frequency with which following relations are observed in the log. This allows the algorithm to output a model that accurately reflects the workflow.

The HeuristicsMiner employs following three steps to generate a model. The first step calculates measures which evaluates relations between activities and generates a model called "dependency graph". The second step determines for each split and join in the dependency graph whether it is an AND or an XOR relationship. The third step mines long-distance dependency relations, which could not be mined by the previous steps. This study focuses on metrics and thresholds that are mainly used in the first step.

2.3.2 Metrics

In HeuristicsMiner, a relation in which two activities tend to be executed consecutively is called a dependency relation. HeuristicsMiner employs a dependency measure to express and evaluate those relations between activities.

Let a and b be activities recorded in log W, and $|a>_W b|$ represents the total number of times b occurs immediately after a. The value of $a\Rightarrow_W b$ can be calculated as shown below:

$$a \Rightarrow_W b = \frac{|a >_W b| - |b >_W a|}{|a >_W b| + |b >_W a| + 1} (a \neq b)$$
 (1)

An increased value of $a \Rightarrow_W b$ suggests that there is a dependency relation between the activities a and b.

However, the metric $a \Rightarrow_W b$ is unable to evaluate the relations correctly when short loops are included in the input log. There are two loop patterns which cannot be mined by the metric: length-one loops (the same activity is recorded consecutively) and lengthtwo loops (two different activities are recorded alternately). To recognize these patterns as a dependency relation, HeuristicsMiner introduces two additional metrics for loop patterns. Let $|a>>_W b|$ be the total number of times b occurs immediately before a and immediately after a. The values of $a \Rightarrow_{1W} a$ and $a \Rightarrow_{2W} b$ can be calculated as shown below:

$$a \Rightarrow_{1W} a = \frac{|a>_W a|}{|a>_W a|+1}$$
 (2)

$$a \Rightarrow_{1W} a = \frac{|a>_{W} a|}{|a>_{W} a|+1}$$

$$a \Rightarrow_{2W} b = \frac{|a>_{W} b|+|b>>_{W} a|}{|a>>_{W} b|+|b>>_{W} a|+1}$$

$$(3)$$

The "relative to best" is a metric that represents the relative importance of its dependency to others. For any activity a and any activity x present in the log, the maximum value of $a \Rightarrow_W x$ is denoted as $dep_{max}(a)$. For any pair of activities (a,b), the relative to best value is calculated as follows:

$$rtb(a,b) = dep_{max}(a) - (a \Rightarrow_W b)$$
 (4)

If the value of relative to best for a pair of activities is less than the value of the relative to best threshold, the dependency between activities is not represented in the dependency graph.

Note that the value of relative to best only affects normal dependencies, and it will not affect short loops.

2.3.3 Parameters

HeuristicsMiner can be configured with several parameters. The set values of those parameters determine which dependencies between each activity are represented in the dependency graph. By adjusting the values of these parameters as needed, users can obtain a high-quality process model for their particular use. The parameters of HeuristicsMiner that have a particularly large impact on the output model are listed below.

Dependency Threshold. The dependency threshold is a parameter used to determine which dependencies to represent in the dependency graph by comparing them to the dependency value of each dependencies. The value of this parameter has a strong influence on the number of dependencies being represented in the dependency graph.

Relative-to-Best Threshold. The relative-to-best threshold is a parameter used to determine which dependencies to represent in the dependency graph by comparing them to the relative-to-best value of each dependencies. The value of this parameter affects on the number of dependencies connected from each activity in the dependency graph, thereby working to adjust the graph density.

2.4 Problem

HeuristicsMiner has a number of parameters, including the thresholds described in Section 2.2. Users must specify those parameters before execution. It is difficult to uniquely determine the values of these parameters to generate a useful model, as they are affected by the user's usage, the size of the input logs, the amount of noise, etc. Therefore, users need to keep checking the output model and manipulating the parameters until the model they want is output.

One possible improvement to reduce the burden of parameter setting on users is to mine all possible process models for the input log in advance and present them to users for selection. This method allows users to easily obtain process models without understanding the concept of parameters. However, HeuristicsMiner cannot mine all process models in advance because there are countless combinations of parameter values. In order to implement this method in HeuristicsMiner, it is necessary to develop a method to extract all combinations of parameter values corresponding to each output model.

2.5 **Related Works**

A number of studies have been conducted on the application of meta-heuristics to process discovery with the objective of improving model output (Montasser and Helal, 2023). With regard to the improvement of the output of HeuristicsMiner, Burattin et al. (Burattin and Sperduti, 2010) explored the use of Heuristics Miner++ (Burattin, 2015), a derivative of HeuristicsMiner, and assumed that there are only a finite number of values for parameters that affect the model construction. It was demonstrated that when the set of activities in log W can be represented as \mathcal{A}_W , there are at most $|\mathcal{A}_W|^i (i \in \mathcal{N})$ valid parameter value combinations, which is finite. Additionally, a method for generating an optimal process model was proposed, which involved conducting a local search in the search space with each parameter representing a phase. Moreover, research has been conducted on frameworks that run multiple process mining methods and meta-heuristics simultaneously, with the ob-

Table 2: Parameters and their values fixed in this study.

| Parameter name | Value |
|-----------------------------------|-------|
| Positive observations threshold | 1 |
| AND-threshold | ∞ |
| All-tasks connected | False |
| Ignore loop dependency thresholds | False |
| Long distance dependency | False |

Table 3: The summary of $\log W_2$.

| Case | Sequence of activities |
|---------------|------------------------|
| case 1 to 3 | A, B, B, D |
| case 4 to 9 | A, B, C, B, D |
| case 10 to 18 | A, B, C, D |
| case 19 to 27 | A, C, B, D |
| case 28 to 30 | A, D |
| case 31 to 42 | A, E, D |
| | , |

jective of generating more satisfactory models (Augusto et al., 2021).

These metaheuristics are capable of deriving superior approximate solutions in a shorter time than other algorithms. However, the solutions derived by metaheuristics, including local search, are locally optimal and cannot be guaranteed to be optimal over the entire parameter space. Consequently, in order to output an optimal model for a metric, it is necessary to construct the model without the use of metaheuristics.

3 PROPOSED MODEL IDENTIFICATION METHOD

3.1 Overview

In order to address the issue identified in the preceding section, we propose a method that identifies all possible process models that can be output from an input log using a process model transition table. For the sake of simplicity, only the values of the Dependency threshold (*dep-TH*) and the Relative to best threshold (*rtb-TH*) are manipulated in this method, while the values of the other parameters are fixed at specific values as indicated in Table 2.

This section describes steps of the proposing method, with the case of mining the event $\log W_2$ shown in Table 3 as an illustrative example. Note that cases with the same pattern of the sequence of activities are aggregated into a single row. The $\log W_2$ includes 156 records of event, and involves with 42 cases and 5 activities.

The proposed method consists of two steps. First, we identify the conditions for parameters when dependency relations in the input log appear in the out-

Table 4: Matrix in which the value of Formula (1) is recorded.

| $a \Rightarrow_W b$ | Α | В | С | D | Е |
|---------------------|---|-------|-------|-------|-------|
| A | | 0.947 | 0.900 | 0.750 | 0.857 |
| В | | | | 0.947 | |
| С | | | | 0.900 | |
| D | | | | | |
| Е | | | | 0.857 | |

Table 5: Matrix in which the value of Formula (2) is recorded.

| <i>a</i> ⇒ <i>a</i> | Α | В | С | D | Е |
|------------------------|---|-------|---|---|---|
| $a \Rightarrow_{1W} a$ | | 0.750 | | | |

put model and list them in the list called "dependency output list." Using the list, we generate the table called "process model transition table," which is used to mark cells in order to filter out duplicate models that can be constructed from the input log.

3.2 Extraction of Dependency Output Range

This step identifies the parameter value conditions under which the dependencies between activities in the event log are output to the process model.

First, we create 4 matrices that record the values of each metrics denoted in Formula (1) through Formula (4). The matrices generated for each $\log W_2$ are presented in Table 4 through Table 7. To improve readability, dependencies that have never been logged (which are never shown in the graph because they do not meet the positive observation threshold condition) and dependencies that have a dependency value of zero are omitted.

We then list all the dependencies recorded in the matrices, along with the conditions under which the dependencies appear in the graph. The list is referred to as the dependency output list, and the list for log W is shown in Table 8. The procedure for obtaining conditions for each dependency varies by type of dependency; length-one-loop dependencies, length-two-loop dependencies, and other dependencies. Details on how to obtain these conditions are described in the following sections for each type of dependency.

3.2.1 Length-One-Loop Dependency

Length-one-loop dependency is represented in the graph when the dep-TH value is smaller than or equal to the value of $a \Rightarrow_{1W} a$. The value of rtb-TH does not determine whether the dependencies are represented or not.

Table 6: Matrix in which the value of Formula (3) is recorded.

| $a \Rightarrow_{2W} b$ | A | В | С | D | Е |
|------------------------|---|-------|-------|---|---|
| A | | | | | |
| В | | | 0.857 | | |
| С | | 0.857 | | | |
| D | | | | | |
| Е | | | | | |

Table 7: Matrix in which the value of Formula (4) is recorded.

| rtb(a,b) | Α | В | С | D | Е |
|----------|---|-------|-------|-------|-------|
| A | | 0.000 | 0.047 | 0.197 | 0.090 |
| В | | | | 0.000 | |
| С | | | | 0.000 | |
| D | | | | | |
| Е | | | | 0.000 | |

3.2.2 Length-Two-Loop Dependency

When an activity is executed repeatedly and forms a length-one-loop dependency, and another activity is executed at the same time, it may be misinterpreted that the two activities have dependencies. To avoid this, HeuristicsMiner represents length-two-loop dependencies only when there are no length-one-loop dependencies in the related activities.

Considering the special condition above, length-two-loop dependency is represented in the graph when the dep-TH value is smaller than or equal to the value of $a \Rightarrow_{2W} b$ and neither $a \Rightarrow_{1W} a$ nor $b \Rightarrow_{1W} b$ appear in the dependency graph. For instance, Table 8 records dep-TH range condition of $b >_{W} c$ as (0.750, 0.857]. Length-one-loop dependency between activities b and c is only represented when dep-TH is greater than 0.750, because activity b is considered to have length-one-loop dependency when dep-TH is smaller than or equals to the value.

As with length-one-loop dependencies, the value of rtb-TH does not determine whether the dependencies are represented or not.

3.2.3 Non-Loop Dependency

In this section, the term "non-loop dependency" refers to a dependency that is not "length-one-loops" or "length-two-loops." The conditions under which "non-loop dependencies" are represented in the output model are contingent upon both dep-TH and rtb-TH.

The dep-TH condition for a non-loop dependency to be represented in the dependency graph is that the value of dep-TH is greater than or equal to $a \Rightarrow_W b$. It should be noted, however, that if length-two-loop dependency between a and b is considered to exist, the output model may not change whether non-loop

Table 8: Dependency output list for $\log W_2$.

| dependency | dep-TH | rtb-TH |
|------------|----------------|----------------|
| $b>_W b$ | (0.000, 0.750] | [0.000, 1.000) |
| $b>>_W c$ | (0.750, 0.857] | [0.000, 1.000) |
| $c >>_W b$ | (0.750, 0.857] | [0.000, 1.000) |
| $a>_W b$ | (0.000, 0.947] | [0.000, 1.000) |
| $a>_W c$ | (0.000, 0.900] | [0.047, 1.000) |
| $a>_W d$ | (0.000, 0.750] | [0.197, 1.000) |
| $a>_W e$ | (0.000, 0.923] | [0.024, 1.000) |
| $b>_W d$ | (0.000, 0.947] | [0.000, 1.000) |
| $c>_W d$ | (0.000, 0.900] | [0.000, 1.000) |
| $e>_W d$ | (0.000, 0.923] | [0.000, 1.000) |

dependency from a to b exist or not. The presence or absence of a length-two-loop in the dependency graph is contingent upon the relationship between the values of $a \Rightarrow_{2W} b$, $a \Rightarrow_{1W} a$, and $b \Rightarrow_{1W} b$. Consequently, the condition for the emergence of a dependency from a to b is influenced by the interplay between four metric values, including $a \Rightarrow_{W} b$.

In contrast to the dep-TH condition, the rtb-TH condition for a non-loop dependency is simple; non-loop dependency is represented in the graph when the rtb-TH value is greater than or equal to the value of r(a,b).

3.3 Generation of Process Model Transition Table

In this step, a process model transition table is created based on the dependency output list created in the Section 3.2. This table shows all output models that can be output when the values of the parameters are continuously changed.

The output model may undergo a change only when a parameter value crosses the values of parameters appearing in the dependency output list derived from the input logs. In contrast, the output model never undergoes a change with any other values. This property is utilized to generate a two-dimensional array, wherein each column represents the value of dep-TH appearing in the dependency output list and each row represents the value of rtb-TH appearing in the dependency output list. Each cell of the array can then be represented as one of the output models.

Table 8 contains four values within the range (0,1) for dep-TH and two values within the range [0,1) for rtb-TH. The process model transition table created based on this list is shown in Table 9.

However, it should be noted that some of the cells shown in Table 9 represent the same models. For example, cells (1) through (5) in Table 9 represents different pairs of parameter values, but the resulting process models produced by the HeuristicsMiner are

Table 9: Process model transition table for $\log W$.

| dep-TH rtb-TH | 0.947 | 0.923 | 0.900 | 0.857 | 0.750 |
|---------------|-------|-------|-------|-------|-------|
| 0.000 | (1) | (5) | | | |
| 0.024 | (2) | | | | |
| 0.047 | (3) | | | | |
| 0.197 | (4) | | | | |

Table 10: Process model transition table for $\log W$ (with markings).

| dep-TH rtb-TH | 0.947 | 0.923 | 0.900 | 0.857 | 0.750 |
|---------------|-------|-------|-------|-------|-------|
| 0.000 | | x | | | |
| 0.024 | х | | | | |
| 0.047 | х | х | | | |
| 0.197 | х | х | х | Х | |

exactly the same.

In order to identify the elements that represent identical output models, we focus on the cells contained in each row and column of this table. We mark the cells that correspond to a model that are identical to another model. For example, let us assume that we focus on the column in Table 9 where dep-TH is 0.947. Based on the conditions recorded in Table 8, the dependencies that can be represented in the model when dep-TH is set to 0.947 are $a >_W b$ and $b >_W d$. The conditions for rtb-TH for these two dependencies to appear in the model are [0.000, 1.000) for both, as indicated in Table 8. Consequently, regardless of the value of rtb-TH, the two dependencies are always represented in the model, and the output model remains unchanged. Therefore, the elements shown in (1) through (4) in the process model transition table correspond to the same process model. In this case, we mark against cells (2) through (4), except for cell (1), which is the uppermost.

The execution of the procedures for each column and row of the Table 9 results in the generation of the Table 10. The cells marked with an "x" in the Table 10 are those cells which have been marked to indicate that they correspond with the same process model as the other cells. The cells not marked in this table represent output models that do not overlap with other models. In the example in $\log W_2$, the 25 possible combinations at the outset of this step were reduced to 12. By recording the values of the parameters of these cells and mining them by inputting them into HeuristicsMiner in sequence, the process model obtained by HeuristicsMiner can be obtained without excess or deficiency.

4 EXPERIMENT

In this section, we apply the proposed method to a large event log in order to confirm its effectiveness. We then mine process models from the generated process model transition table using HeuristicsMiner. In order to confirm that the proposed method can identify process models without excess or deficiency, we will demonstrate that there are no duplicate models in the output models.

4.1 Experimental Procedures

We developed a ProM plug-in named "PMenum" to excecute our proposed method and automatically generate process model transition table¹. The plug-in works in ProM 6.13 (ProM, 2023), which is an open-source software for process mining distributed free of charge under the GPL license.

Using the plug-in, we generated process model transition tables from three different large-scale event logs. Additionally, we outputted each process model associated with each cell of the process model transition table we obtained. Since the HeuristicsMiner is not available in ProM 6.13, we used the Flexible Heuristic Miner (Weijters and Ribeiro, 2011) instead, which is an extended version of the HeuristicsMiner.

The group of process models generated by Flexible Heuristic Miner was divided into two categories according to whether the cell on the process model transition table to which each model is associated is marked or not. For the group of models associated with unmarked cells (hereafter referred to as the "unique model group"), we conducted a verification process to ascertain that no model in the group matched any of the models in the group. For the group of models associated with the marked cells (hereafter referred to as the "duplicate model group"), we verified that all models are consistent with one of the models in the unique model group.

In this experiment, we used event logs distributed for the Process Discovery Contest 2023 (PDC2023, 2023). The contest is sponsored by the Task Force on Process Mining of the IEEE, where event logs are published in XES Standard format. We randomly picked 3 test logs from the distributed data set.

A summary of the basic metrics of each log used in this experiment is presented in Table 11. Each log comprises 1000 cases, although the number of activity types and the presence or absence of loops varies between logs.

¹The implementation of the PMenum is uploaded to the following webpage: https://github.com/tmitsuda/PMenum

Table 11: Summary of logs used in the experiment.

| Log | cases | events | event types |
|----------------------------|-------|--------|-------------|
| Log A (pdc2023_000000.xes) | 1,000 | 20,000 | 28 |
| Log B (pdc2023_010000.xes) | 1,000 | 37,490 | 34 |
| Log C (pdc2023_020000.xes) | 1,000 | 55,377 | 36 |

Table 12: Summary of process model transition table obtained by the proposed method.

| Log | Column | Row | Cell | Unmarked cell |
|-------|--------|-----|--------|------------------|
| Log A | 173 | 166 | 28,718 | 472 |
| Log B | 220 | 247 | 54,340 | 733 |
| Log C | 232 | 287 | 66,584 | 1,147 |

4.2 Experimental Results

The summary of the process model transition table obtained by applying the proposed method to the event logs in Table 11 is shown in Table 12. The number of columns referred in the Table 12 represents the number of cells in the horizontal direction of the process model transition table and is equal to the number of the dependency values that appear in the dependency output list. The number of rows referred in the Table 12 represents the number of cells in the vertical direction of the process model transition table and is equal to the number of the relative to best values that appear in the dependency output list. The product of the number of columns and the number of rows is the number of cells in the process model transition table, which is shown in the column "cell" of the Table 12. According to the test result conducted using the three logs, the proposed algorithm can detect 98.4% of the duplicate process models on average.

Observing the process model transition table obtained by the proposed method, it can be confirmed that unmarked cells are aligned in a linear pattern. This is because the relative-to-best value represents the difference in the dependency value between the dependency relation with the largest dependency value for each activity and the dependency with the smallest dependency value, and thus the dependency relation with the smallest dependency value is likely to have a larger value of relative-to-best.

The validation against the unique model group described in Section 4.1 was performed, and it was confirmed that all models did not match any of the unique model group models. In other words, the models identified by the proposed method are not excessive. Furthermore, when the validation was tested on the duplicate model group, it was confirmed that all

the models were consistent with one of the models in the unique model group. In other words, the number of models identified by the proposed method is sufficient. Therefore, we can conclude that the proposed method is capable of identifying process models without excess or deficiency.

5 DISCUSSION

As HeuristicsMiner's parameters can be set with continuous values, there are infinite combinations of parameter values, rendering it challenging to enumerate the output models that can be mined by HeuristicsMiner. Using the process model transition table, all possible process models generated from the input log can be enumerated. This enables the identification of the model that scores the best metric value, as the method outputs a list of all process models that can be output by the input log. Also, the marking procedure to the process model transition table conducted in the proposed method can drastically reduce the choice of models. This will help users to pick their ideal model easier, and other systems can reduce their calculation time of process discovery.

In the conventional HeuristicsMiner plug-in implemented in ProM, the user must adjust parameter settings while referencing the output results. Furthermore, changing parameter values does not always result in the anticipated change in the process model, necessitating a significant amount of time to output the process model that the user desires. However, by utilizing the list of output models obtained by this method, it is possible to create a tool that allows the user to change the output model and select an appropriate model without manipulating parameters. For example, the process model visualizer implemented in PMenum can sequentially display process models that can be generated from input logs by simply clicking a button. Since it doesn't require any prior knowledge of HeuristicsMiner's parameters, the tool is friendly for users who are not familiar with process mining.

6 CONCLUSION

This study proposed a method for obtaining parameter combinations that output different process models for HeuristicsMiner, one of the process discovery algorithms, without excess or deficiency. The proposed method can narrow down the number of valid parameter combinations to a finite number, thus enabling the user to obtain process models with fewer man-hours.

In the experiment, the proposed method was applied to three large-scale event logs, and it was demonstrated that effective parameter combinations could be obtained without excess or deficiency.

In this study, we focused on only two of the parameters used in HeuristicsMiner for model identification. Adapting this approach to other discovery techniques and more parameters would make the process discovery more efficient. There are many other parameter-based process discovery techniques such as Fuzzy Miner (Günther and van der Aalst, 2007), each with different characteristics and analytical capabilities. In our future work, we would like to support other techniques in this way so that users can use more algorithms with little knowledge of process discovery and are more likely to encounter models that more accurately reflect their business flow.

It is also necessary to consider a method for selecting ideal process models from the set of models obtained from the input logs identified by the proposed method. The experiment has shown that this method can significantly reduce the number of candidate models. However, when the input logs are large and the process model transition table contains many cells, it is still difficult to find the model that the user wants from the set of models generated by this method. A possible method to assist users in selecting a process model is to use metrics such as precision rate and recall rate to further narrow down the candidate models, and the specific procedure for this needs to be studied. In addition, if a tool with an interactive interface can be developed to present the model the user is seeking in a question-and-answer format, it will be easier to obtain a process model. In this way, it is important to develop tools that can be easily handled even by users without knowledge of process discovery, in order to popularize process mining.

REFERENCES

- Augusto, A., Dumas, M., La Rosa, M., Leemans, S. J. J., and vanden Broucke, S. K. L. M. (2021). Optimization framework for dfg-based automated process discovery approaches. *Software and Systems Modeling*, 20:1245–1270.
- Burattin, A. (2015). *Heuristics Miner for Time Inter*val, pages 85–95. Springer International Publishing, Cham.
- Burattin, A. and Sperduti, A. (2010). Automatic determination of parameters' values for heuristics miner++. In *IEEE Congress on Evolutionary Computation*, pages 1–8.
- Günther, C. W. and van der Aalst, W. M. P. (2007). Fuzzy mining – adaptive process simplification based on multi-perspective metrics. In *Business Process*

- *Management*, pages 328–343, Berlin, Heidelberg. Springer Berlin Heidelberg.
- Keith, B. and Vega, V. (2017). Process mining applications in software engineering. In *Trends and Applications in Software Engineering*, pages 47–56, Cham. Springer International Publishing.
- Mans, R., Schonenberg, H., Leonardi, G., Panzarasa, S., Cavallini, A., Quaglini, S., and van der Aalst, W. (2008). Process mining techniques: An application to stroke care. Studies in health technology and informatics. 136:573–578.
- Medeiros, A., Dongen, B., van der Aalst, W., and Weijters, A. (2004). Process mining for ubiquitous mobile systems: An overview and a concrete algorithm. In *Ubiquitous Mobile Information and Collaboration Systems*, volume 3272, pages 151–165.
- Montasser, R. K. and Helal, I. M. A. (2023). Process discovery automation: Benefits and limitations. In *2023 Intelligent Methods, Systems, and Applications (IMSA)*, pages 496–501.
- PDC2023 (2023). Process discovery contest 2023. https://icpmconference.org/2023/process-discovery-contest/.
- ProM (2023). Prom tools. https://promtools.org/ prom-6-13/.
- Rozinat, A., Jong, I., Gunther, C., and van der Aalst, W. (2009). Process mining applied to the test process of wafer scanners in asml. *Systems, Man, and Cybernetics, Part C: Applications and Reviews, IEEE Transactions on*, 39:474–479.
- van der Aalst, W. (2016). *Process Mining: Data Science in Action*. Springer Berlin, Heidelberg.
- van der Aalst, W., Weijters, T., and Maruster, L. (2004). Workflow mining: discovering process models from event logs. *IEEE Transactions on Knowledge and Data Engineering*, 16(9):1128–1142.
- Weijters, A. and Ribeiro, J. (2011). Flexible heuristics miner (fhm). In *Journal of Applied Physiology J APPL PHYSIOL*, pages 310–317.
- Weijters, A., van der Aalst, W., and Alves de Medeiros, A. (2006). Process mining with the HeuristicsMiner algorithm. BETA publicatie: working papers. Technische Universiteit Eindhoven.
- Wen, L., van der Aalst, W., Wang, J., and Sun, J. (2007). Mining process models with non-free-choice constructs. *Data Min. Knowl. Discov.*, 15:145–180.
- Wen, L., Wang, J., van der Aalst, W., Huang, B., and Sun, J. (2010). Mining process models with prime invisible tasks. *Data & Knowledge Engineering*, 69:999–1021.