





2.5D Deep Learning Model with Attention Mechanism for Pancreas Segmentation on CT Scans

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Abstract: The accurate segmentation of the irregularly shaped pancreas on Computed Tomography (CT) scans, consisting of 3D images, is a crucial but difficult part of the diagnostic evaluation of pancreatic cancer. Most current deep learning (DL) methods tend to focus on the pancreas or the tumor separately. However, these methods often struggle because the pancreas region is affected by the surrounding complex and low-contrast tissues. This study aims to develop a DL system for pancreas segmentation to improve early detection of tumors. Recognizing the powerful performance with computational demands of 3D models, 2D models appear to be an alternative in terms of computation with a lightweight structure but they disregard the inter-slice correlation which affects the performance. To address this, we are investigating the effect of the data preparation by using a multi-channel input image on the pancreas segmentation model, which is referred to as 2.5D model. Our method is developed and evaluated on a widely used public dataset, the Medical Segmentation Decathlon (MSD) pancreas segmentation dataset. The 2.5D model demonstrates superior performance, reaching a Dice Similarity Coefficient of 75.1%, surpassing the 2D segmentation model, while remaining computationally efficient.


1 INTRODUCTION


Pancreatic cancer is one of the most lethal malignancies with an unfavorable prognosis (Liu et al., 2020) and a five-year overall survival rate of 9% for patients regardless of the stage of the disease (Kamisawa et al., 2016). According to the Global Cancer Observatory (GLOBOCAN) 2020 statistics, pancreatic cancer accounted for approximately 466,003 deaths worldwide, with 54,277 fatalities reported in the United States in the same year (Sung et al., 2021).


Pancreatic ductal adenocarcinoma (PDAC), the most common form of pancreatic cancer, originates in the exocrine glands and ducts of the pancreas (Luchini et al., 2016). Despite advancements in cancer treatment, the survival rate for PDAC remains very low, primarily due to late diagnosis and a lack of effective treatment options (Kamisawa et al., 2016). More


than half of patients present with metastasis and 30% have locally advanced disease at the time of diagnosis. As both the mortality and incidence rates of pancreatic cancer continue to rise globally, there is a critical need to improve survival outcomes through enhanced diagnostic and therapeutic approaches. Recent studies have shown that patients diagnosed at stage I can achieve a five-year survival rate of up to 80% (Blackford et al., 2020). Therefore accurate early detection is very crucial to enhance the prognosis of PDAC. It has been enhanced by Computed Tomography (CT) screening trials, significantly improving survival rates.

Accurately segmenting the pancreas from abdominal CT scans is vital for computer-aided diagnosis (CAD) and various quantitative and qualitative analyses. The volumetric images acquired with the CT X-ray based imaging modality provide a clear view of the pancreas. It is indeed the most commonly used imaging technique for detecting pancreatic cancer in clinical practice. However, visual reading and inspection of volumetric radiographic images such as CT scans, is tedious, time-consuming, and can lead to di-

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verse sources of variability, intra and inter-operator (Zhao et al., 2013). Thus, there is an urgent need for a system that can automate the segmentation of pancreas and pancreatic tumor, assisting imaging physicians in early screening, detection and quantitative characterization of pancreatic tumor.

Unlike other abdominal organs like the liver (Li et al., 2018), lungs (Zhao et al., 2021), and kidneys (Bouteldja et al., 2021), which can be effectively segmented using AI-based systems, the performance of pancreatic segmentation is still not sufficient for clinical practice and remains a persistent challenge. This is due to several key challenges (Ghorpade et al., 2023). The first one is the poor contrast around the boundaries, the boundary of the pancreas cannot be well defined due to the problem of fuzzy boundary perturbation caused by the similar density of the pancreas and the surrounding tissues and its proximity to other organs. The second one is the variable size and shape of the pancreas. The shape, size, and location of the pancreas vary greatly across individuals. This makes it difficult for Artificial Intelligence (AI)-based approaches to learn and represent its shape and location. The last one is the small size of the pancreas in the whole CT scan, as there is a serious imbalance between the size of the target and the background, which leads to the overfitting problem on the background region. These variations introduce significant complexity when attempting to accurately segment the pancreas and measure its volume on CT scans, an essential step for the timely diagnosis and treatment of pancreatic diseases, particularly pancreatic cancer. For these reasons, despite its clinical significance, the research studies focused on the pancreas segmentation problem are less frequent compared to the ones focused on the segmentation of other abdominal organs.

In summary, the main contributions proposed in this study are the following:

- We investigated the effect of the data preparation on the segmentation performance. We combine consecutive input 2D slices to form a multi-channel input.
- We developed a 2.5D segmentation model and show its effectiveness on the Medical Segmentation Decathlon (MSD) pancreas segmentation dataset.

2 RELATED WORKS

Early approaches to pancreas segmentation from abdominal CT scans primarily employed statistical shape models. However, with the advent of deep learning, Convolutional Neural Networks (CNNs)

quickly became the dominant technique for medical image segmentation. Despite their powerful representational capabilities, CNN-based segmentation networks often struggle when applied to small organs like the pancreas, particularly due to the varied background content in CT images. This inconsistency can degrade performance and result in suboptimal segmentation outcomes. To counteract these challenges, some methods attempt to refine the region of interest (ROIs) before performing dense predictions, yet such approaches only partially mitigate the issue.

State-of-the-art methods in pancreas segmentation can be divided into two main categories: 2D and 3D segmentation networks. The selection of 2D or 3D networks often hinges on the specific application requirements and the availability of computational resources. In 2D networks, the data is sliced along image planes, and each slice is independently fed into the model (Zhang et al., 2021). While this approach is computationally efficient, it fails to capture the full spatial context, potentially limiting segmentation accuracy. This is especially problematic when analyzing volumetric CT data, as 2D networks lack the ability to extract inter-slice relationships (Wang et al., 2021). In contrast, 3D models process entire CT volumes, providing a richer representation of volumetric relationships but at the cost of significantly higher computational requirements (Yan and Zhang, 2021).

U-Net, a popular neural network for biomedical image analysis (Ronneberger et al., 2015), has been widely adopted for pancreas segmentation on CT images (Huang et al., 2022). The symmetric encoder-decoder structure with skip connections allows U-Net to efficiently capture both local and global features (Ronneberger et al., 2015). The encoder extracts key features through convolution and pooling operations (Litjens et al., 2017), while the decoder restores the image through upsampling (Milletari et al., 2016). However, the U-Net performance is often suboptimal when dealing with organs as small and irregularly shaped as the pancreas. Ghorpade et al. (Ghorpade et al.,) proposed a hybrid two-stage U-Net for segmenting both the pancreas and pancreatic tumors, while Milletari et al. (Milletari et al., 2016) introduced V-Net, a 3D counterpart of U-Net with residual convolutional units. Although these models demonstrate improved performance, the pancreas occupies less than 2% of the total CT volume, and its blurred boundaries often confuse the network, leading to inaccurate segmentation.

With the help of an attention mechanism, the network can focus on the most relevant features without extra supervision. For example, Oktay et al. (Oktay et al., 2018) proposed attention U-net, which can eas-

ily integrate attention gates into the U-net model with increasing minimal computational resources while improving the segmentation performance.

Alves et al. (Alves et al., 2022) used the nn-UNet for the detection and segmentation of pancreas and pancreatic tumor. The nn-UNet architecture achieved better performance for the pancreas and showcased better results for tumor detection. However, a small receptive field of CNNs may limit their ability to capture distant regions and, to some extent, overlooks valuable global context, making it challenging to further enhance network performance.

This paper introduces a modified version of Attention U-net focusing on increasing the receptive field of CNNs for extracting effective features. Our main goal is to evaluate the effect of using adjacent slices as multi-channel input compared to the use of only one single slice for the pancreas segmentation task. Furthermore, we present our findings from analyzing CT images in the Medical Segmentation Decathlon (MSD) dataset, which is described in the next section.

3 METHODS

3.1 Dataset

MSD Tumors-Pancreas Dataset (Simpson et al., 2019): This dataset comprises 281 abdominal contrast-enhanced CT scans in the NIfTI format and includes labeled masks of pancreas and pancreatic tumors (see Fig. 1). This dataset is sourced from the Medical Segmentation Decathlon (MSD) pancreas segmentation dataset. Each CT volume has a resolution of $512 \times 512 \times L$ pixels, where L belonging to $[37, 751]$ is the number of slices along the third axis. For our pancreas segmentation experiments, we consider the union of the pancreas category and the tumor category as the target category. The data set is available here (<https://drive.google.com/drive/folders/1HqEgzS8BV2c7xYNrZdEAnrHk7osJJ-2>).

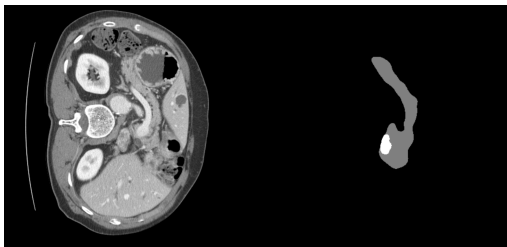


Figure 1: One sample CT slice of the MSD dataset: original image (left), and the corresponding mask (right).

3.2 Preprocessing Step

Some pre-processing operations have been applied to improve the quality of CT scans. The first one is the Hounsfield Units (HU) windowing which consists of selecting a specific range of grey values to enhance the appearance of the tissues of interest. We applied two different windows, clipping the pixel grey values to reside within the range of $[-200, 300]$ or $[-100, 240]$, to see whether they produce different effects.

Images are normalized according to min-max normalization in the range $[0, 1]$. Intensity normalization is standardizing the pixel values such that images should have consistent pixel values for segmentation, potentially improving the model's consistency, training stability and performance.

Given the computational demands of 3D convolutional neural networks, particularly during the initial exploration phase, it was chosen to simplify the task by taking 2D slices from the 3D CT volumes. We extracted slices along the axial plane, effectively converting 3D data into 2D images.

Finally, CT images are resized to a dimension of 256×256 pixels, this helps to change the resolution or spatial dimensions of the CT scans to achieve the desired resolution.

3.3 Modified Attention U-Net Model

The Attention U-Net is an advanced variation of the U-Net model designed for medical image segmentation tasks, where precise localization of regions of interest is crucial. It incorporates attention mechanisms into the traditional U-Net architecture to enhance the model's ability to focus on relevant regions in the input images while suppressing irrelevant background information (Oktay et al., 2018). These attention mechanisms are introduced in the skip connections as illustrated in Fig. 2, enabling the model to learn which spatial regions to emphasize based on the features propagated from the encoder to the decoder. This selective attention improves segmentation accuracy, especially in cases with complex or small structures.

The model works by generating attention maps that dynamically weigh the importance of spatial features, depending on the task at hand. This process allows the network to filter out less relevant features before merging the encoder and decoder paths. By combining the U-Net's strength in localization with attention's focus mechanism, the Attention U-Net achieves better performance in segmenting challenging datasets. It is particularly effective in scenarios where there is a significant imbalance between the

size of the target structures and the surrounding context.

Similar to the Attention U-Net, the modified architecture consists of an encoder and decoder, each with four blocks. However, unlike the original design, our modified version incorporates dense convolutional layers to expand the receptive field, enhancing the network’s ability to extract effective features for segmenting contextual regions. By increasing the effective receptive field, deeper neurons are connected to a larger portion of the input image, enabling the model to capture more contextual information. This is particularly valuable for segmentation tasks, where global context plays a crucial role in accurately identifying and delineating regions of interest.

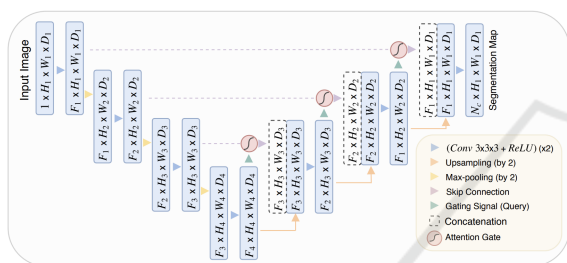


Figure 2: Overview of the Attention U-net model architecture (Oktay et al., 2018).

3.4 2.5D Network

In this section, we present a novel 2.5D segmentation network designed to address the limitations of 2D and 3D segmentation models. While U-Net has demonstrated exceptional performance in medical image segmentation, traditional 3D networks require substantial computational resources, and 2D models struggle to capture spatial information along the third dimension. To overcome these challenges, our 2.5D approach combines the efficiency of 2D convolutional layers with the ability to extract inter-slice features by incorporating 3D spatial context. We use adjacent slices to form multi-channel input images, allowing the network to leverage 3D information without the computational complexity of a full 3D model. This design strikes a balance between accuracy and resource efficiency, enabling the extraction of meaningful inter-slice features while requiring significantly fewer resources than 3D networks. Specifically, We examine the use of three (03) input slices to form n-channel input (n=3), comprising the central slice and one slice from each side described as (S_{i-1}, S_i, S_{i+1}) , where S_i represents the i-th slice or middle slice.

3.5 Implementation Details

We implemented a modified version of the Attention U-Net model from scratch using the TensorFlow framework. The model is trained for 50 epochs with an Adam optimizer. The learning rate is set to 0.0001 and batch size is set to 4. This model incorporates denser convolutional layers and an attention mechanism to help focus the network attention on the relevant regions of interest, i.e. on the pancreas. Additionally, we introduced L2 regularisation during training, a technique to prevent the model’s weights from becoming too large and potentially leading to overfitting. The dataset has been partitioned into training, test and validation sets, allocating 70% (200 patients), 20% (50 patients), and 10%(32 patients) of the data to each set, respectively. The validation set is set to check for overfitting.

We employ a weighted combination of Binary Cross Entropy (BCE) loss and Dice loss to effectively balance the contributions of both losses as described by (He et al., 2024).

4 RESULTS

4.1 Evaluation Metric

The Dice Similarity Coefficient (DSC) has been used as the primary metric to evaluate the model performance. The DSC measures the similarity between the segmentation mask predicted by the model and the ground truth annotation. The formula is defined as follow (Xia et al., 2024):

$$DSC = \frac{2|y_g \cap y_p|}{|y_g| + |y_p|},$$

where y_p and y_g represent the prediction and the ground truth, respectively.

4.2 Evaluation and Analysis

The results of the training in terms of average Dice score for the test subset of MSD dataset, are reported in Table 1. Different intensity windowing strategy have been used, [-200, 300] for model 1 and [-100, 240] for model 2. We trained a U-net model and our revised version of Attention-Unet.

From the table 1, we can observe that not much variation in performance has been achieved by varying the intensity windowing strategy and an improvement of the performance for pancreatic segmentation has been achieved with the 2.5D model, demonstrating the potential benefits of the 2.5D network.

The Dice score of the model with attention mechanism surpasses that obtained with the model without an attention module. This is because the attention module helps the network to focus on the relevant regions of interest. Three examples of segmentation obtained on test samples of the MSD dataset is illustrated in Fig. 3. We can clearly visualize the result performed by the two models. The 2.5D model is able to predict correctly the mask of the pancreas.

Table 1: Segmentation results on the test set in terms of Dice Similarity Coefficient (DSC) on the MSD Pancreas dataset.

model	2D	2.5D
Unet1	70.1	72.2
Unet2	70.5	72.4
Modified Atten-Unet1	71.1	74.8
Modified Atten-Unet2	71.3	75.1

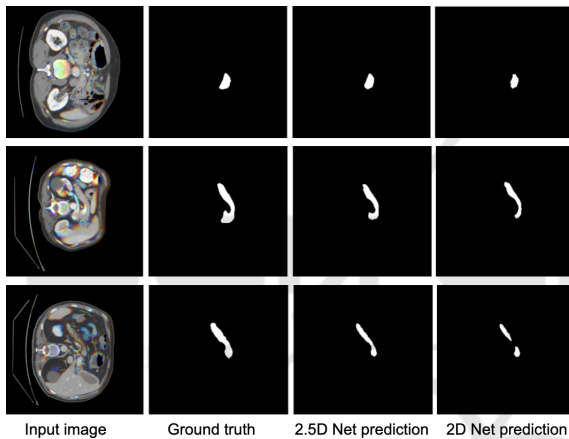


Figure 3: Qualitative results of three different inputs. From left to right, we have the input image, the corresponding mask and the prediction.

Table 2: Comparison of segmentation results in Dice score in the MSD dataset.

Method	Dice Score
(Zhu et al., 2019)	79.94
UMRFormer-Net (Fang et al., 2023)	77.36
MDAG-Net (Cao et al., 2023)	83.39
(Fang et al., 2019)	84.71

When comparing methods applied to the MSD dataset, as shown in Table 2, we observe that our current results exhibit a lower Dice coefficient than those reported in the literature. This discrepancy can be explained by the fact that most state-of-the-art methods in the literature are based on 3D models. In future steps, we plan to transition to 3D models, which we expect will lead to significant improvements in performance.

5 CONCLUSIONS

In this paper, we develop a 2.5D model with a modified version of Attention U-Net designed for pancreas segmentation. The model incorporates an attention module that enables the network to focus on relevant regions while reducing the influence of background noise. To enhance computational efficiency, our 2.5D U-Net relies exclusively on 2D convolutional layers and processes 3 adjacent slices as a 3-channel input. This approach effectively captures inter-slice information, achieving a higher Dice coefficient compared to 3D networks while requiring fewer computational resources.

We evaluate our model on the MSD pancreas dataset, demonstrating its effectiveness. Additionally, the results highlight the significant impact of data preparation on segmentation performance, underscoring the importance of preprocessing in medical imaging tasks.

As future work, we aim to evaluate our method on another benchmark pancreas dataset. Additionally, we plan to develop more advanced and robust 2.5D models, such as transformer-based architectures, and conduct comprehensive comparisons with state-of-the-art models.

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