Assessing the Influence of scRNA-Seq Data Normalization on Dimensionality Reduction Outcomes

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Abstract: Through the decades, improvements in high-throughput molecular biology techniques have brought to the level of sequencing transcripts from single cells (scRNA-Seq) instead of bulk material. Implementing these new techniques requires innovative analytical methods and knowledge about their performance. Data normalization is a crucial step in the bioinformatical pipeline applied in scRNA-Seq analysis. We evaluated the impact of six commonly used normalization methods on two dimensionality reduction methods, namely tSNE and UMAP, using three real scRNA-Seq datasets. We tested dispersion and clustering efficiency using three clustering algorithms after dimensionality reduction. Our results demonstrated that simple normalization methods, such as log2 or Freeman-Tukey, as well as scran normalization and clustering efficiency for small and medium-sized datasets. Regardless of no statistically significant enhancement in results for any dimensionality reduction methods or clustering techniques, the Louvain clustering method consistently demonstrated lower performance results. We conclude, that the choice of normalization technique should be carefully tailored to the dataset's size and characteristics since it may affect the final within-pipeline processing results.

1 INTRODUCTION

Recent advances in RNA sequencing technologies have increased the sensitivity and specificity of transcriptome analysis. The latest solutions allow for precise analysis of transcript heterogeneity and reveal novel subpopulations and cell types on an individual cell level (single-cell RNA sequencing; scRNA-Seq). Yet, the introduction of scRNA-Seq brought many challenges to bioinformatical analysis (Hwang et al., 2018). One of the first steps in scRNA-Seq analysis is data normalization which reduces technical noise and existing biases. Moreover, normalization results in comparable gene counts within and between cells that allow for more precise downstream analysis. Throughout the development of scRNA-seq, a variety of normalization methods have been employed, including adaptations of bulk sequencing techniques (Hafemeister and Satija, 2019) as well as novel

approaches specifically designed for scRNA-Seq studies. Yet, the first one can overcorrect for scaling factor sizes (Vallejos et al., 2017). Recently, many normalization methods were introduced and several studies tested their efficiency and impact on further analysis (Cole et al., 2019, Vieth et al., 2019). In (Lytal et al., 2020) authors assessed using empirical visualization, impact on classification, and computational time. In (Brown et al., 2021) authors introduced a new normalization method (Dino) with comparison to other solutions and tested their influence on differential expression analysis based on a relationship between average TPR and average FPR for a Wilcoxon rank-sum test, as well as on clustering. Finally, one of the biggest studies (Ahlmann-Eltze and Huber, 2023) tested methods for consistency, simulation, and downsampling.

In the presented manuscript, we concentrated on the impact of the normalization step on dimensiona-

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lity reduction outcomes and their clustering ability. The dimensionality reduction by tSNE or UMAP is one of the most common ways to present scRNA-Seq data studies (Cakir et al., 2020). Moreover, it is one of the most important steps to visualize the heterogeneity of the analyzed dataset. Thus, joint solutions were also introduced to compare different datasets (j-tSNE and j-UMAP) (Do and Canzar, 2021). Yet, to our best knowledge, the impact of normalization to reductions given by tSNE and UMAP was not tackled before in non-empirical way.

To reach the gain of the study we collected three real scRNA-Seq datasets with known cell labels and different sample sizes, for which we ran six different normalization techniques. Next, based on normalized data we extracted the tSNE and UMAP 2D embeddings and measured the effect of normalization on dispersion in dimensionality reduction. Moreover, we checked the impact of normalization on clustering performance based on 2D transformed data by three different clustering methods.

2 MATERIALS AND METHODS

2.1 scRNA-Seq Datasets

Three scRNA-Seq datasets of diverse sample sizes and labeled cell types were used to assess the quality of performed clustering (Table 1). The first dataset, called Liver, including immunological cells, was extracted from liver tissue (Wang et al., 2021) and is available under access number E-MTAB-10553. The dataset includes 15,650 labeled cells divided into 13 groups. The second dataset, PBMC, provides information from peripheral blood mononuclear cells (Ding et al., 2020). Only experiment 1A performed on Chromium v2 10x platform, where 3,222 cells were grouped into 9 cell types, was used here. The data are available at the single-cell portal of Broad Institute (https://singlecell.broadinstitute.org). The smallest dataset includes cells derived from different tissues of breast cancer (BC) subtypes (HER+, Luminal A, Luminal B, and Tripple Negative Breast Cancer) as well as normal ones (Chung et al., 2017). Due to the presence of samples from healthy tissue, this dataset was investigated in two ways: (i) with all possible groups i.e. 5 (BC_sub), and (ii) healthy vs cancer tissue cells (BC_dis). The dataset is publicly available under access number E-GEOD-75367.

For every dataset, three pre-processing steps were performed: (i) transcripts with only zero counts across all cells and with low variance of normalized expression were filtered out using GaMRed (Marczyk et al., 2018); (ii) transcripts without annotation were removed; (iii) for the transcripts with duplicated Ensembl ID the one with higher variance were kept.

Dataset	# of samples	# of features	# of cell types / classes
Liver	15000	15,650	13
PBMC	3,222	15,817	9
Breast Cancer (BC_sub)	244	16,639	5
Breast Cancer (BC_dis)	244	16,639	2

Table 1: Summary of used scRNA-Seq datasets.

2.2 Data Normalization Methods

Several normalization techniques widely used in scRNA-seq data analysis were tested (Table 2). Both, primary methods like the log2 transformation and the Freeman-Tukey square root (FT) transformation, as well as several novel normalization techniques specifically suited for scRNA-seq data, were included. Before basic transformations, data were scaled by the median counts across all cells to mitigate the sequencing-depth normalization and stabilize the variance across the different gene expression levels.

2.2.1 Simple Transformations

Logarithmic normalization, particularly the log2 transformation, is a popular choice for reducing distribution skewness and is typically used in standard RNA-seq preprocessing pipelines before downstream feature selection (Luecken et al., 2019, Lytal et al., 2020, Cuevas-Diaz et al., 2024). Importantly, before applying the log2 transformation, a small 'pseudocount' of one was added to all gene counts to account for both technical and cell-specific absences of transcript counts. This step is a well-established standard in such a pipeline (Lytal et al., 2020).

Square root transformation, though less common than logarithmic one, is another effective normalization technique in scRNA-seq data processing (Lause et al., 2021, Booeshaghi et al., 2022). The choice of square root transformation, especially the FT transformation, is often vastly justified by the characteristics of scRNA-seq data, which are frequently modeled using a Poisson distribution (Brown et al., 2021, Lause et al., 2021, Choudhary and Satija, 2022).

2.2.2 Scran – Normalization via Deconvolution Across Pooled Cells

The Scran normalization method (Lun et al., 2016) aims to enhance overall normalization efficiency through a deconvolution process. The core objective of this approach is to estimate the adjusted cell transcript count based on cell-specific parameters, which describe the cell bias and its corresponding adjustment factor, respectively. However, to obtain unbiased estimates of true expressions, several assumptions and computations must be taken into account. First of all, cell pools are created by grouping cells with similar library sizes. This is a pivotal step in Scran normalization, that helps to reduce variability arising from technical differences, e.g. sequencing depth. Next, the pool-based size factor can be determined as the ratio of the sum of transcript counts within the k-th pool, and the mean of gene counts across the entire cell population. The estimates of the factor within all cell pools are then calculated as the median across genes, based on the assumption that the majority of genes are nondifferentially expressed. Based on that, we can construct a system of linear equations to estimate the cell-specific biases, that finally can be solved with a standard least-squares method.

2.2.3 SCnorm – Normalization Using Quantile Regression with Gene Grouping

The SCnorm approach (Bacher et al., 2017) models the relationship between gene log-transformed expression counts and the corresponding cells' logtransformed sequencing depth (hereafter, the 'logparticiple will be omitted for transformed' simplicity). The genes are initially grouped into Kpools (by default at the first step *K*=1) to preserve cell variability. For each of these K groups, the relationship between gene expression counts and sequencing depth is modeled using median quantile regression for each gene and cell. Additionally, quantile regression is employed to estimate a similar relationship for the overall expression of all genes. SCnorm assumes that the median may not always be the best estimate for the entire set of genes, thus it considers multiple quantiles, as well as several degrees of polynomial, to improve accuracy. The authors propose that the optimal quantiles and degrees minimize the difference between the countdepth relationship value across predicted expressions, estimated via median regression using a first-degree polynomial, and the mode of such a relationship for

un-normalized counts. The scale factor for each cell is computed based on the estimated quantiles for each group. Specifically, for each gene group, the scale factor for a cell is defined as the ratio between the gene expression values at a selected quantile and the corresponding predicted values from the regression model. Moreover, to adjust the number of K, a specific condition is defined; the modes of the slopes within equal-sized gene groups must be less than 0.1. If at least one of them is greater, the initial number of K = 1 is increased by one, and the genes are pooled across groups with the k-medoids algorithm. However, the authors suggested considering predefined conditions under which the normalization procedure may proceed before being applied to the entire dataset. To maintain the unsupervised nature of the pipeline, we decided to pre-aggregate cells into separate groups using hierarchical clustering, as described in the supplementary materials provided in the Bioconductor guides.

2.2.4 Dino – Normalization by Distributional Resampling

Dino (Brown et al., 2021) is an approach that aims to reconstruct transcript expression distributions that are independent of the cell's library size. Those distributions are Poisson means modeled as Gamma mixtures. In this study, the number of Gamma components is set to 100, as a default value proposed in the original paper. The normalized values of transcript expression can be sampled from the distribution posterior with an additional concentration parameter, that reduces the variability and centers the normalized values (set to 15, as originally proposed by the authors).

2.2.5 SCtransform – Normalization with Variance Stabilization Using Regularized Negative Binomial Distribution

The SCtransform normalization method (Hafemeister and Satija, 2019) utilizes generalized linear models with regularized Negative Binomial distributions to model un-normalized transcript counts. Each model is fitted separately for individual genes, based on the assumption that uniform scaling factors across all genes result in inefficient normalization, particularly for high and medium-high abundance transcripts. To prevent overfitting, the model parameters are regularized by pooling information across genes with similar average expression levels. To learn robust and smoothed parameter estimates, the Kernel regression is applied. Finally, the true gene counts are calculated as Pearson residuals. An improved version of the method was chosen (Choudhary and Satija, 2022) which excludes low-expressed genes from regularization.

Table 2: Summary of applied scRNA-Seq datanormalization along with R package used.

Method	Source	Ver.
log2	-	-
Freeman- Tukey	-	-
SCtransform	https://cran.r- project.org/web/packages/Se urat/index.html	5.1.0
scran	scran https://bioconductor.org/pac kages/devel/bioc/vignettes/s cran/inst/doc/scran.html	
Dino https://www.bioconductor.or g/packages/release/bioc/html /Dino.html		1.10.0
SCnorm https://github.com/rhondaba cher/SCnorm		1.26.0

2.2.6 Normalized Transcript Post-Processing

After applying all normalization methods, only the top 20% of genes with the highest between-cells normalized transcript variance was left to potentially filter out non-differentially expressed ones. Next, principal component analysis was performed and the first 50 principal components were taken for further analysis to reduce background noise of data.

2.3 Unsupervised Learning

For normalized and filtered data the following unsupervised learning techniques were applied: (i) two dimensionality reduction methods, and (ii) three clustering methods.

2.3.1 Dimensionality Reduction

The first method was t-distributed stochastic neighbor embedding (tSNE) (Van der Maaten and Hinton, 2008). At first, similarities between data points are estimated (Euclidean distance here) and then transformed into probabilities using Gaussian kernel (high-dimensional space). Next, the low-dimensional space is randomly generated for which each data point has an assigned position. Similarly, the pairwise similarities between data points are computed but with the usage of t-distribution. The goal of tSNE is to minimize the divergence between the pairwise similarities in the high-dimensional space and corresponding similarities in the low-dimensional space. This procedure allows to preserve local relationships and clusters within the data.

The second applied procedure was Uniform Manifold Approximation and Projection (UMAP) (McInnes, 2018). At first, pairwise similarities between data points are calculated using specified metrics (Euclidean metric here). Next, local neighborhood structure is created based on pairwise similarities. The optimization process between, a random low-dimensional embedding and highdimensional structure is done by stochastic gradient descent which minimizes the discrepancy between the pairwise similarities of spaces. Moreover, the UMAP procedure allows to preserve not only the local relationships like tSNE but also the global ones by constructing graph representation based on the low-dimensional embedding (updated in iterations)

2.3.2 Clustering Algorithms

To evaluate the influence of normalization on clustering outcomes, several common methods were chosen. For each method, the Euclidean distance metric was used. The optimal number of clusters was determined by maximizing the Silhouette Index (SI) value (Rousseeuw, 1987), calculated as the mean of the Silhouette values computed for the entire dataset.

The first method used in this paper was k-means (MacQueen, 1967). The main idea behind k-means is to group observations into k pre-defined clusters, minimizing the overall distance of each point to the centroid of its respective cluster. When the observations are assigned to each cluster, the centroids are recalculated iteratively, until the loss function reaches a plateau. Since the algorithm begins with random initial conditions (where the preliminary centroids are chosen from the data points), it may produce non-deterministic outcomes. Therefore, to find the optimal solution, it is recommended to run the algorithm multiple times for the same pre-defined value of k.

The second approach was hierarchical clustering (h-clust). Specifically, agglomerative, completelinkage h-clust was employed, where clusters with the smallest between-cluster distance are iteratively combined into larger groups. This process continues until all objects are grouped into a single cluster. In the complete-linkage form, the between-cluster distance is measured between the two furthest points of each cluster (Hubert, 1974).

As the third method, the Louvain community detection approach was used (Blondel et al., 2008).

Here, each cell is considered as a node and initially assigned to its cluster. The algorithm iterates through each node in the network, calculating the change in modularity that would result from moving the node to each of its neighboring clusters. If the modularity increases, the node is merged with the cluster. This step is repeated as long, as the increase in modularity is no further observed. Then, the clusters are aggregated, creating a set of new meta-communities, and forming the nodes of a new network. The weights of links between these meta-communities are calculated as the sum of the weights of links between the nodes in the corresponding original clusters. The process is sequentially repeated, until the modularity reaches its maximum and no further changes in community structure occur. Before clustering, a graph structure using the k-nearest neighbors algorithm was constructed. To find the optimal number of clusters, k was changed within the range 5-100 with a step equal to 5, and the resolution parameter from 0.4 to 2, with a step equal to 0.1.

2.4 Performance Metrics Used in Evaluation

The silhouette index was used to estimate the effect of normalization on dispersion after dimensionality reduction. The index was also calculated for original labels for comparison. The second evaluation relied on clustering performance itself. For that, the Adjusted Rand Index (ARI, Rand, 1971), Dice-Sørensen coefficient (Dice, 1945; Sørensen, 1948), and Mutual Information (Shannon, 1948) measures were calculated.

Kruskal-Wallis test (Kruskal and Wallis, 1952) was applied with Conover post-hoc (Conover and Iman, 1979) to assess the difference in clustering performance between normalization techniques. The significance level was set to α =0.05. Additionally, the effect size was measured using Cohen's d modified Conover's d coefficient to support our inference.

During the final analysis, clustering and dimensionality reduction methods were compared for the same measures and statistical tests as in the clustering performance evaluation. However, to test differences between tSNE and UMAP the Wilcoxon test was used (Wilcoxon, 1945).

All testing was conducted on the same PC with the following parameters: Intel Core i5-10500 CPU @ 3.10 GHz, and 64 GB of RAM. For all calculations, computational time was collected and evaluated alongside other performance metrics to ensure comprehensive analysis. Furthermore, if parallel computation was enabled within the implemented

functions, the number of cores to utilize was set to the maximum available.

3 RESULTS

3.1 Effect of Normalization on Data Dispersion in Reduced Space

For the BC dis dataset reduced using tSNE, the Kruskal-Wallis test indicated a significant difference between methods (Figure 1A). Post-hoc Conover tests revealed statistically significant differences compared to non-normalized data for log2 normalization (p-value < 5.5e-6) and scran normalization (p-value < 1.8e-9). Interestingly, FT transformation achieved significance only before the Bonferroni correction (uncorrected p-value = 0.03; corrected p-value = 0.67). Conover's d effect sizes suggest moderate effects for $\log 2$ (d = 0.47) and scran (d = 0.59), while FT normalization exhibited a small effect (d = 0.21) (Figure 2). In contrast, when using UMAP for dimensionality reduction, the Kruskal-Wallis test yielded insignificant results (Figure 1A) marking a drastic change in findings between reduction methods.

For the BC_sub dataset reduced using tSNE, the Kruskal-Wallis test revealed highly significant differences (p-value < 2.2e-16, Figure 1B). Pairwise Conover tests confirmed significant differences for FT (p-value < 1.3e-29), log2 (p-value < 2.8e-47), and scran (p-value < 1.2e-50) normalizations, with large effect sizes (d = 1.07, d = 1.37, and d = 1.42, respectively, Fig 2). The other normalization techniques yielded relatively small effect sizes. When UMAP was used for dimensionality reduction, the Kruskal-Wallis test results remained significant, but the Conover pairwise comparisons revealed even greater significance for FT, log2, and scran normalizations, with corresponding effect sizes of d = 1.25, d = 1.48, and d = 1.78, respectively (Figure 2).

For the PBMC dataset, the Kruskal-Wallis test produced significant results regardless of the dimensionality reduction method (Figure 1C). Pairwise multiple comparisons revealed significant outcomes for all normalization techniques except SCnorm. However, large effect sizes were observed only for FT, log2, and scran normalizations. Under tSNE, the effect sizes were d = 0.98, d = 1.04, and d= 1.14, respectively, while UMAP yielded slightly different effect sizes of d = 0.84, d = 1.14, and d =1.09, respectively (Figure 2).



Figure 1: The violin plots illustrate the distribution of SI values after dimensionality reduction with t-SNE (left) and UMAP (right) across all normalizations. Each point represents the mean SI values calculated across cell types. The panels show results for A) PBMC, B) breast cancer disease, C) breast cancer subtypes, and D) liver datasets.

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Figure 2: Results of multiple pairwise comparison. The color indicates p-value ranges after Bonferroni's correction, while the values inside the boxes indicate the Conover's d effect size.

In contrast to the other datasets, the Liver dataset showed variation in outcomes depending on the dimensionality reduction method used, despite the Kruskal-Wallis test remaining significant overall (Figure 1D). For tSNE, neither scran nor FT methods reached significance, with the highest effects observed for log2 (d = 0.25), SCnorm (d = 0.11), and SCtransform (d = 0.09). When UMAP was applied, log2 and scran normalizations failed to achieve significant results. The greatest, even relatively small effect sizes, were observed for SCnorm (d = 0.33), SCtransform (d = 0.22), and FT (d = 0.11) (Figure 2). These differences underscore the influence of the dimensionality reduction method on the results.

3.2 Clustering Performance after Normalization with Different Methods

In BC_dis, for both ARI and MI, log2 and scran normalization techniques outperformed all other methods, particularly when applied in combination with tSNE. FT transformation demonstrated better performance than scran in scenarios where UMAP was utilized. Overall, log2 and scran normalization enabled the achievement of the best results for kmeans clustering, especially when paired with tSNE (Figure 3A). For Dice and SI metrics, all techniques produced relatively similar results, but slight improvements were observed with Dice when combined with tSNE, while UMAP yielded noticeably better outcomes for SI.

For the BC_sub, when combined with tSNE, the results across various clustering methods consistently demonstrated the superior performance of both log2 and scran normalizations. In contrast, when paired with UMAP, FT normalization performed slightly better than scran. Furthermore, these normalization techniques enabled k-means clustering to outperform the other clustering methods (Figure 3B).

In PBMC, according to Dice values, the weakest outcomes were observed with log2, FT, and scran normalizations, while the best results were achieved using tSNE combined with k-means clustering (Figure 3C). In the case of MI values, and for Louvain clustering, all normalization methods, except SCnorm, demonstrated relatively better performance compared to non-normalized data. In an overall comparison, log2, FT, and scran normalization methods outperformed the others. In Louvain clustering, the choice of dimensionality reduction method did not significantly impact the results, except for dino, which showed a marked improvement when combined with UMAP. It is worth mentioning that SCransform followed by UMAP and clustering with either h-clust or k-means yielded results even worse than non-normalized data. ARI results consistently highlighted the advantages of log2, FT, and scran normalization techniques, particularly when combined with tSNE and k-means clustering. In contrast to non-normalized data, SI values showed little to no improvement when reduced with tSNE across all clustering methods. However, the scenario drastically changed when UMAP was used. Here, improvements were observed across all normalizations, with notable gains seen in k-means and h-clust, especially with FT, log2, scran, and dino techniques.

In contrast to the previous datasets, in liver for tSNE reduction, only SCnorm normalization led to improved ARI values compared to non-normalized data - and this improvement was observed exclusively after applying k-means clustering (Figure 3D). For UMAP, small improvements were noted with SCtransform, while the other normalization methods resulted in performance deterioration. Similar trends were observed for Dice coefficient values. For MI values, when tSNE was used, all normalization methods led to slight improvements. However, log2, FT, and scran showed marginally better performance compared to the others. When UMAP was applied, the results improved across all normalization methods, with the best outcomes achieved using the same methods as in tSNE. It was observed that the performance of SCnorm varied depending on the dimensionality reduction method and clustering technique. SCnorm performed worse with tSNE but showed significantly better performance with UMAP when followed by h-clust. Similarly, dino normalization performed substantially better with tSNE but slightly worse with UMAP when followed by k-means clustering. The SI values were relatively poor, similar to those observed with the PBMC dataset. However, overall performance improved when the data was reduced using UMAP.

3.3 Unsupervised Method Impact

We observed differences in clustering efficiency across the same normalization methods with varying dimensionality reduction methods. Therefore, the results were compared between these reduction methods, with particular attention to the clustering metrics utilized in this study. The results of Wilcoxon testing (Figure 4A) showed no statistically significant differences for both ARI and MI metrics. Although, such differences exist for Dice and SI. Kruskal-Wallis test did not reveal statistically significant differences between clustering methods for any of the approaches used (Figure 4B), though, Louvain performed slightly worse compared to both k-means and h-clust.



Figure 3: Results evaluated on aggregated datasets. Panel A) represents a comparison of dimensionality reduction methods, without distinguishing between clustering methods. Panel B) represents a comparison of clustering method without division between dimensionality reduction. For each comparison, the corresponding Wilcoxon's test p-values are indicated.

3.4 Computational Time

Finally, we investigated computational time of tested normalizations (Table 3) as well as dimensionality reduction methods (Table 4). As can be observed all normalizations computational time increase with the increasing number of samples/cells in experiment. As expected the simplest mathematical procedures were the fastest i.e. the log2 and FT normalization. Next scran and SCtransform can be distinguished. The worst time performance was observed for SCnorm. A similar trend was observed for dimensionality reduction methods, however, UMAP significantly outperformed tSNE in terms of computational time.

A)	hclust	kmeans	louvain			hclust	kmeans	louvain		
	dino	0.08 0.01	0.06 0.01	0.03 0.01	ARI	dino -	0.58 0.58	0.57 0.58	0.57 0.58	Dice	
	sctransform - scnorm -	0 0.01 0.02 0.02	0.03 0.01 0.04 0.02	0.02 0.01 0.03 0.02	0.3	sctransform - scnorm -	0.58 0.58 0.58 0.58	0.56 0.58 0.57 0.58	0.57 0.58 0.57 0.58	0.600	
	scran -	0.37 0.04	0.37 0.11	0.37 0.11	- 0.2	scran-	0.59 0.55	0.59 0.6	0.59 0.6	0.575	
	log2 -	0.39 0.23	0.4 0.23	0.4 0.27	0.1	log2-	0.61 0.54	0.61 0.54	0.61 0.58	0.525	
	nonorm	0.17 0.17 tSNEUMAP	0.18 0.17 tSNEUMAP	0.17 0.17 tSNE UMAP		nonorm-	0.52 0.52	0.52 0.52 tSNE UMAP	0.52 0.52 tSNEUMAP		
		hclust	kmeans	louvain			hclust	kmeans	louvain		
	dino	0.11 0.19	0.1 0.19	0.11 0.19	MI	dino-	0.57 0.79	0.57 0.79	0.58 0.79	SI	
	sctransform - scnorm -	0.18 0.19 0.2 0.2	0.08 0.19 0.11 0.2	0.11 0.19 0.13 0.2	0.8	sctransform - scnorm -	0.6 0.76 0.62 0.76	0.61 0.76 0.63 0.76	0.61 0.76 0.64 0.76	0.8	
	scran -	0.76 0.25	0.76 0.25	0.76 0.25	0.0	scran-	0.67 0.84	0.67 0.79	0.67 0.79	0.7	
	log2 -	0.82 0.49	0.85 0.49	0.85 0.49	0.4	log2-	0.65 0.9	0.65 0.9	0.65 0.81	- 0.6	
	nonorm ·	tSNEUMAP	tSNEUMAP	tSNE UMAP	0.2	nonorm -	tSNE UMAP	0.53 0.73 tSNE UMAP	tSNEUMAP		
В)										
	dino		kmeans		ARI	dino		kmeans		Dice	
	sctransform	0.13 0.13	0.08 0.13	0.1 0.13	- 0.4	sctransform	0.26 0.27	0.22 0.27	0.24 0.27		
	scnorm scran	0.14 0.14 0.38 0.18	0.1 0.14 0.38 0.16	0.11 0.14 0.38 0.16	0.3	scnorm ⁻ scran ⁻	0.27 0.27 0.46 0.31	0.24 0.27 0.46 0.29	0.24 0.27 0.46 0.29	0.4	
	sqrt ·	0.14 0.22	0.14 0.21	0.14 0.22	0.2	sqrt ·	0.27 0.33	0.27 0.31	0.27 0.33	0.3	
	nonorm	0.16 0.15	0.16 0.15	0.16 0.15	0.1	nonorm	0.27 0.27	0.28 0.27	0.27 0.27		
		tSNE UMAP	tSNE UMAP	tSNE UMAP			tSNE UMAP	tSNE UMAP	tSNE UMAP		
		holyot	kmaana	louvoin			holuot	kmeene	louvoin		
	dino	0.08 0.15	0.07 0.15	0.08 0.15	MI	dino	0.57 0.79	0.57 0.79	0.58 0.79	SI	
	sctransform	0.15 0.15	0.06 0.15	0.08 0.15	- 0.4	sctransform	0.6 0.76	0.61 0.76	0.61 0.76		
	scran	0.45 0.2	0.45 0.2	0.45 0.2	0.3	scran ·	0.67 0.84	0.67 0.79	0.67 0.79	0.8	
	sqrt · log2 ·	0.16 0.23 0.49 0.35	0.16 0.34 0.5 0.35	0.16 0.23 0.5 0.35	0.2	sqrt- log2-	0.66 0.86 0.65 0.9	0.66 0.79 0.65 0.9	0.66 0.86 0.65 0.81	0.7	
	nonorm	0.22 0.2	0.22 0.2	0.22 0.2	0.1	nonorm	0.53 0.73	0.53 0.73	0.53 0.73	0.6	
C)	tSNE UMAP	tSNE UMAP	tSNE UMAP			tSNE UMAP	tSNE UMAP	tSNE UMAP		
		hclust	kmeans	louvain	ARI		hclust	kmeans	louvain	Dice	
	sctransform	0.38 0.36 0.36 0.25	0.33 0.36	0.38 0.27 0.39 0.38	0.6	sctransform	0.54 0.56 0.49 0.49	0.5 0.56 0.47 0.49	0.51 0.34 0.5 0.49	0.7	
	scnorm - scran -	0.22 0.27 0.49 0.54	0.22 0.28 0.63 0.47	0.25 0.26 0.47 0.47	0.5	scnorm - scran -	0.32 0.47 0.63 0.67	0.33 0.49 0.72 0.63	0.36 0.37 0.55 0.55	0.6	
	sqrt -	0.59 0.53	0.53 0.53	0.53 0.52	0.4	sqrt-	0.68 0.67	0.61 0.67	0.62 0.6	0.5	
	nonorm	0.21 0.32	0.23 0.32	0.25 0.25	0.3	nonorm -	0.36 0.53	0.36 0.53	0.36 0.36	0.4	
		tSNE UMAP	tSNE UMAP	tSNE UMAP			tSNE UMAP	tSNE UMAP	tSNE UMAP		
		h a h a h					had the				
	dino	1.11 1.02	1.07 1.02	1.37 1.73	MI	dino		0.52 0.78	0.43 0.48	SI	
	sctransform ·	1.29 0.69	0.82 0.69	1.47 1.51	2.00	sctransform	0.45 0.71	0.5 0.71	0.45 0.46	0.8	
	schorm -	1.36 1.46	1.18 0.82 1.68 1.21	1.28 1.32 2.04 2.01	1.50	schorm	- 0.42 0.62 - 0.48 0.82	0.45 0.6	0.45 0.53	0.7	
	sqrt - log2 -	1.66 1.45 1.91 1.45	1.881.561.591.57	1.89 1.96 1.97 1.98	1.25	sqrt log2	- 0.5 0.85 - 0.5 0.8	0.53 0.77 0.54 0.75	0.52 0.57 0.5 0.56	0.6	
	nonorm	1.01 0.78	1.1 0.78	1.26 1.3	0.75	nonorm	0.41 0.7	0.44 0.7	0.43 0.5	0.5	
		tSNE UMAP	tSNE UMAP	tSNE UMAP			tSNE UMAP	tSNE UMAP	tSNE UMAP		
D))	hclust	kmeans	louvain			hclust	kmeans	louvain		
	dino - sctransform -	0.19 0.42 0.18 0.58	0.2 0.44 0.21 0.62	0.22 0.19 0.14 0.18	0.6	- dino - sctransform	0.29 0.55 0.28 0.72	0.3 0.65 0.31 0.74	0.32 0.27 0.22 0.26	Dice	
	scnorm	0.45 0.24	0.15 0.21	0.1 0.2		scnorm -	0.67 0.34	0.23 0.3	0.15 0.29	0.6	
	scran sqrt	0.17 0.24 0.16 0.31	0.16 0.37 0.19 0.37	0.08 0.08 0.11	0.4	scran - sqrt -	0.25 0.33 0.24 0.42	0.24 0.48 0.3 0.49	0.13 0.12 0.12 0.16	0.4	
	log2 · nonorm ·	0.17 0.39 0.14 0.54	0.15 0.28 0.16 0.51	0.09 0.08 0.2 0.2	0.2	log2 - - nonorm	0.25 0.51 0.22 0.7	0.23 0.4 0.25 0.68	0.13 0.13 0.29 0.29	0.2	
		tSNE UMAP	tSNE UMAP	tSNE UMAP			tSNE UMAP	tSNE UMAP	tSNE UMAP	-	
		hclust	kmeans	louvain	м		hclust	kmeans	louvain	51	
	dino - sctransform -	1.59 1.65 1.58 1.34	1.57 0.89 1.58 1.39	1.69 1.8 1.8 1.82	1 75	dino - sctransform -	0.39 0.56 0.4 0.65	0.44 0.62 0.45 0.65	0.43 0.53 0.4 0.54	0.65	
	scnorm	0.71 1.57	1.58 1.65 1.71 1.78	1.75 1.69 1.93 1.92	1.50	schorm	0.35 0.53	0.41 0.57	0.39 0.52	0.60	
	sqrt	1.73 1.79	1.52 1.68	1.92 1.91	1.25	sqrt	0.39 0.66	0.44 0.65	0.47 0.63	0.50	
	log2 - nonorm -	1.65 1.73 1.53 1.12	1.69 1.64 1.5 0.97	1.93 1.91 1.67 1.67	- 1.00	log2 - nonorm -	0.41 0.59 0.35 0.55	0.44 0.6 0.41 0.59	0.48 0.63 0.38 0.53	0.40	
		tSNE UMAP	tSNE UMAP	tSNE UMAP	0.75		tSNE UMAP	tSNE UMAP	tSNE UMAP	_	

Figure 4: Comparison of clustering efficiency measures calculated with distinction to both normalization and dimensionality reduction methods. Each subplot presents metric values for ARI, Dice, MI, and SI, arranged from top left to bottom right. Subsequent subplots show results for A) breast cancer disease, B) breast cancer subtypes, C) PBMC, and D) liver datasets.

Dataset Liver		PBMC	Breast Cancer	
log2	12.31	2.02	0.25	
Freeman-Tukey	6.46	0.58	0.18	
SCtransform	117.33	25.55	7.00	
scran	93.72	17.55	2.70	
Dino	2431.92	459.36	295.98	
SCnorm	15850.23	3682.53	7640.03	

Table 3: Computational time of normalization methods (in seconds).

Table 4: Computational time of dimensionality reduction methods by average across normalizations (in seconds).

Dataset	Liver	PBMC	Breast Cancer
UMAP	25.06	10.71	1.78
t-SNE	474.84	105.82	0.89

4 DISCUSSION AND CONCLUSIONS

This study thoroughly examined the impact of specific data normalization methods on the efficiency of scRNA-seq data downstream analysis. Our results indicate that simple normalization methods, such as log2 and scran consistently enabled obtaining superior outcomes compared to scRNA-seq domainspecific techniques, especially for the small and medium-sized datasets. Moreover, depending on the dimensionality reduction method leveraged in the processing, FT normalization sometimes obtains superior performance compared to scran. However, as dataset size increases, the performance gap between simple normalizations and scRNA-seqspecific techniques diminishes. This observation suggests that for large and extra-large datasets, specialized normalization techniques may become essential to achieve optimal results. On the other hand, the SCnorm, SCtransform, and dino techniques appear to be sensitive to specific steps within the overall pipeline procedure, a trend particularly noticeable with the larger, Liver dataset. Therefore, we strongly recommend to be aware when deciding whether to use simple or domain-specific techniques.

It is worth noting that, in addition to overall outcomes and performance measures, domainspecific techniques demand significantly more computing time. SCnorm normalization, in particular, is better suited for smaller datasets, as its computational requirements increase drastically with larger datasets containing thousands of cells. Similar conclusions also occur in the literature (Zhang et al., 2023). Consequently, its inferior performance compared to other methods, especially for smaller datasets, is particularly surprising. The observed dependence was made on few datasets and large scale research is still needed.

In the overall comparison, it was noticed, that for a smaller breast cancer dataset, tSNE enabled to achieve slightly better clustering outcomes than UMAP, regardless of the level of cell-type differentiation. On the other hand, UMAP achieved even statistically significant better results within SI metric. However, these differences likely arise from the specific manner in which UMAP performs dimensionality reduction. Finally, the type of normalization technique used before reduction may affect the final level of data dispersion. Yet, presented research does not include all dimensionality reduction techniques like variational autoencoder (VAE) or SIMLR (Wang et al., 2017) which were teste in (Xiang et al., 2021) but not in terms of normalization impact. Next, for both tested dimensionality reduction techniques the impact of distance metric might be as well observed. In presented research only Euclidian distance was considered in UMAP and tSNE.

Furthermore, compared to Louvain clustering, the superior performance of k-means and h-clust was consistently observed. However, statistical inference did not reveal statistically significant differences for any clustering metric across the clustering methods evaluated.

Summarizing the results, it is evident that the choice of normalization technique depends on the size and diversity of the dataset, as different methods can produce varying outcomes. Simple normalization techniques, like log2 and FT, despite not accounting for the complexity of the scRNA-seq data characteristics, still yielded relatively good results. Therefore, careful planning of the scRNA-seq data processing pipeline is crucial, as each particular step can strongly affect the final analysis outcomes.

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