

# UNIFYING SEMANTIC ANNOTATION AND QUERYING IN BIOMEDICAL IMAGE REPOSITORIES

## *One Solution for Two Problems of Medical Knowledge Engineering*

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**Abstract:** In the medical domain, semantic image retrieval should provide the basis for the help in decision support and computer aided diagnosis. But knowledge engineers cannot easily acquire the necessary medical knowledge about the image contents. Based on their semantics, we present a set of techniques for annotating images and querying image data sets. The unification of semantic annotation (using a GUI) and querying (using natural dialogue) in biomedical image repositories is based on a unified view of the knowledge acquisition process. We use a central RDF repository to capture both medical domain knowledge as well as image annotations and understand medical knowledge engineering as an interactive process between the knowledge engineer and the clinician. Our system also supports the interactive process between the dialogue engineer and the clinician.

## 1 INTRODUCTION

Image analysis in the biomedical context plays an important role in diagnosing and treating diseases; so does semantic querying of medial image content. The objective is to enable a seamless integration of medical images and different user applications by providing direct access to image semantics. Semantic image retrieval should provide the basis for the help in clinical decision support and computer aided diagnosis.

For example, during the course of lymphoma diagnosis and continual treatment, image data is produced several times using different modalities. As a result, the image data consist of many medical images in different formats, which additionally need to be associated with the corresponding patient data.

With traditional applications, users may browse or explore visualized patient data, but little to no help is given when it comes to the interpretation of what is being displayed. This is due to the fact that the semantics of the data are not explicitly stated, the semantics therefore remain inaccessible to the system and in turn also to the medical expert user. This can be overcome by incorporating external medical knowledge from ontologies which provide the meaning (i.e., the formal semantics) of the data at hand.

To overcome the limitations of current medical image systems, the authors use the Semantic Web standards OWL and RDF as a common representational basis for both medical domain knowledge and annotations in the same formalism. On the application layer, the system leverages the structural information in the ontologies to allow a multilingual and multimodal search, and to perform query expansion in order to retrieve images which are annotated with semantically similar concepts.<sup>1</sup>

In this text, the authors describe the challenges in Medical Knowledge Engineering (section 2) and present a set of techniques for analyzing and querying image data sets based on image semantics (section 3). We use a natural, dialogue-based interaction in a multimodal query interface (section 4) accessing a semantic image repository embedded into an annotation and querying framework (section 5). Section 6 provides related work and a conclusion.

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## 2 CHALLENGES

Various challenges exist in medical knowledge engineering, all of which arise from the requirements of the clinical reporting process. The clinical reporting process focuses on the general question

*What is the disease?* (or, as in the lymphoma case, *Which lymphoma?*). To answer these questions, semantic annotations on medical image contents are required. These are typically anatomical parts such as organs, vessels, lymph nodes, etc. Image parsing and pattern recognition algorithms can extract the low-level image feature information. The low-level information is used to produce higher-level semantic annotations to support tasks such as differential diagnosis.

For this purpose, we envision a flexible and generic image understanding software for which image semantics, which are expressed using concepts from existing medical domain ontologies, play a major role for access and retrieval. Unfortunately, although automatic detection of image semantics seems to be technically feasible (e.g., see (Kumar et al., 2008)), it is too error-prone (at least on the desired annotation level where multiple layers of tissue have to be annotated at different image resolutions). Accordingly, one of the major challenges is the so-called *knowledge acquisition bottleneck*. We cannot easily acquire the necessary medical knowledge about the image contents which makes the image retrieval stage difficult (also cf. (Sonntag et al., 2009)). Furthermore, the representational basis of the image annotations must match the querying architecture. Thus, we address the *knowledge acquisition bottleneck* problem by concerning ourselves with the problems how to (1) provide a semantic image annotation tool; (2) provide a multimodal interface for semantic image querying; and (3) connect the annotation and querying task into a common framework.

## 3 IMAGE ANNOTATION TOOL

The image annotation tool consists of a component that implements a method to annotate images and upload/maintain a remote RDF repository of the images and image semantics. For annotations, we reuse existing reference ontologies and terminologies. For anatomical annotations we use the Foundational Model of Anatomy (FMA) ontology (Mejino et al., 2008). To express features of the visual manifestation of a particular anatomical entity or disease of the current image, we use fragments of RadLex (Langlotz, 2006). Diseases are formalized using the Inter-

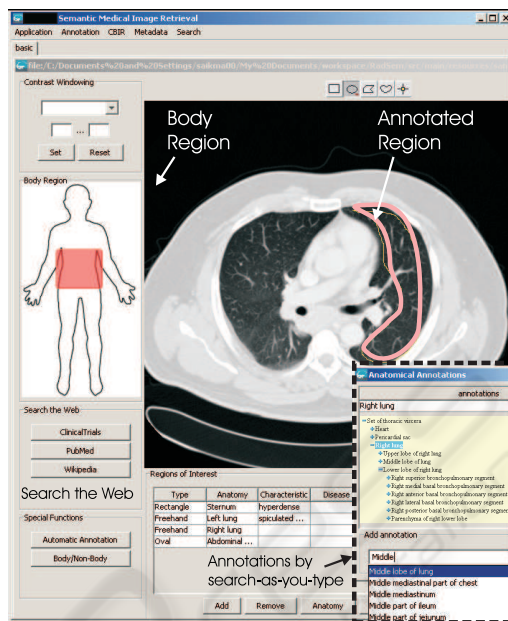


Figure 1: Graphical User Interface of the Annotation Tool.

national Classification of Diseases (ICD-10). Figure 1 shows the graphical user interface of the annotation tool. Images can be segmented into *regions of interest* (ROI). Each of these regions can be annotated independently with anatomical concepts (e.g., “lymph node”), with information about the visual manifestation of the anatomical concept (e.g., “enlarged”), and with a disease category using ICD-10 classes (e.g., “Nodular lymphoma” or “lymphoblastic”). However, any combination of anatomical, visual, and disease annotations is allowed and multiple annotations of the same region are possible. In order to ease the task of finding appropriate annotations, we use *auto-completing* combo-boxes. While typing in a search term, concept names with matching prefixes are shown in a drop down box and can be selected.

The annotation application leverages information from headers of images in the medical exchange format DICOM (Mildenberger et al., 2002) to collect demographic data about the patient and imaging acquisition parameters. These data are used to provide the visualization in the top left corner of figure 1. It shows which body part the current image belongs to in order to ease the navigation in the image of the human body. The extracted metadata can further be used to construct a history of examinations for a patient. This automatically acquired history is stored together with the manually added semantic annotations (representing the expert’s diagnoses) in RDF format in a central Triple Store (see section 5.2). Existing annotations of an image can also be used to query online resources on the web such as PubMed

(<http://www.ncbi.nlm.nih.gov/pubmed>) and Clinical-Trials (<http://clinicaltrials.gov>) for similar cases.

## 4 MULTIMODAL INTERFACE

The multimodal query interface implements a situation-aware dialogue shell for semantic access to image media, their annotations, and additional textual material. It enhances user experience and usability by providing multimodal interaction scenarios, i.e., speech-based interaction with touchscreen installations for the health professional.

### 4.1 Medical Dialogue

Which recommendations can support building up and querying new medical knowledge repositories? A knowledge engineering methodology (Wennerberg et al., 2008) helped us to formalize these requirements. The medical dialogue illustrates how this relates to the doctor's practical interest in using a semantic search engine or dialogue interface.

For example, consider a radiologist at his daily work: The diagnostic analysis of medical images typically concentrates around three questions: i) what is the anatomy? ii) what is the name of the body part? iii) is it normal or is it abnormal? To satisfy the radiologist's information requirement, this scattered knowledge has to be gathered and integrated from disparate dynamic information sources. According to the *Query Pattern Derivation* step, a set of hypothetical user queries is derived while using the domain ontologies and domain corpora (subsequently evaluated by the clinicians). After identifying the relevant subparts of the domain ontologies, the query patterns can be combined into a multimodal dialogue.

#### Multimodal Example Dialogue

- 1 U: "Show me the CTs, last examination, patient XY."
- 2 S: Shows corresponding patient CT studies as DICOM picture series and MR videos.
- 3 U: "Show me the internal organs: lungs, liver, then spleen and colon."
- 4 S: Shows corresponding patient image data according to referral record.
- 5 U: "This lymph node here (+ pointing gesture) is enlarged; so *lymphoblastic*. Are there any comparative cases in the hospital?"
- 6 S: "The search obtained this list of patients with similar lesions."
- 7 U: "Ah okay."

Our system switches to the comparative records to help the radiologist in the differential diagnosis of the suspicious case, before the next organ (liver) is examined.

- 8 U: "Find similar liver lesions with the characteristics: hyper-intense and/or coarse texture ..."
- 9 S: Our system again displays the search results ranked by the similarity and matching of the medical ontology terms that constrain the semantic search.

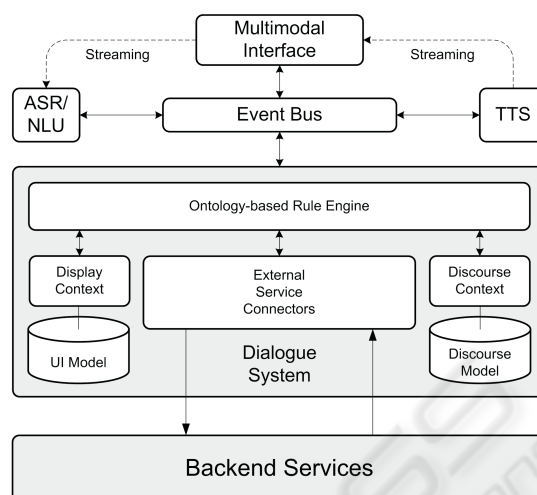


Figure 2: Architecture of the Dialogue System, where external components, such as automatic speech recognition (ASR), natural language understanding (NLU), and text-to-speech Synthesis (TTS), are integrated.

### 4.2 Technical Architecture

In order to accommodate the limited processing capabilities of (mobile) user interface platforms, we use a distributed dialogue system architecture, where every major component can be run on a different platform, increasing the scalability of the overall system (figure 2). Thereby, the dialogue system also acts as middleware between the clients and the backend services that hide complexity from the user by presenting aggregated data. There are three major parts: the multimodal interface, the dialogue system, and the event bus.

#### 4.2.1 Multimodal Interface

The multimodal interface is implemented as a native application using a special window manager for pointing gestures on a touchscreen display (figure 3). The client provides means to connect to the dialogue system via the event bus, to notify it of occurred events, to record and playback audio streams, and to render the received display data obtained from the dialogue system. In general, the client application is designed as a lightweight component, and the dialogue system is responsible for maintaining the interaction and display context.

#### 4.2.2 Dialogue System

The ontology-based dialogue platform (including ASR/NLU and text-to-speech (TTS)) provides a runtime environment for multimodal dialogue applications supporting advanced dialogue interaction. The



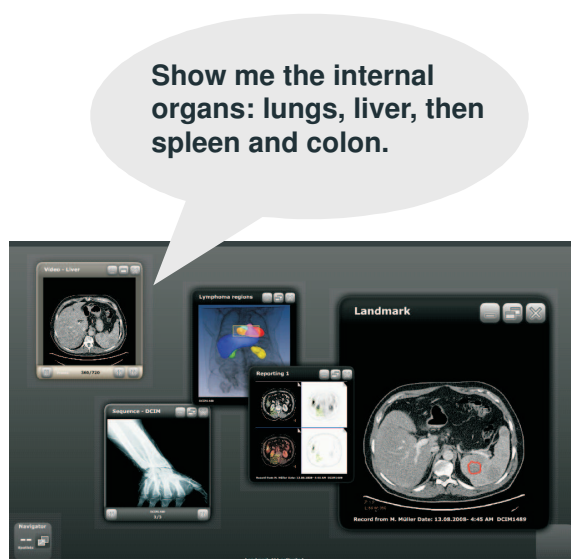


Figure 3: Multimodal Touchscreen Interface. The clinician can touch the items and ask questions about them.

central component is a dialogue system which provides a programming model for connecting external components (both in the frontend and backend layer). On the frontend side, it connects with the mobile device for presentation and interaction purposes. This includes the representation of displayed graphics and speech output, natural language understanding, and the reaction to pointing gestures. On the backend side, the dialogue system provides interfaces to relevant third-party software, e.g., ASR and TTS. Interestingly, the NLU component directly delivers the concepts to be searched for in ontological form according to the domain ontologies. These concepts are the input to generate the SPARQL queries (following the guidelines in (Sonntag et al., 2007)).

#### 4.2.3 Event Bus

The main task of the event bus is routing messages between each connected component which currently includes a third-party ASR, a third-party TTS module, and several client applications (i.e., the touchscreen client and the dialogue system itself). When the multimodal client connects to the event bus, it establishes a new session for the client at the dialogue system. It informs the client about the connection parameters of the ASR and TTS. The speech data is streamed to/from the device in order to ensure fast reaction times. Since we use push-to-activate for the microphone (the user activates the microphone manually), a typical message flow for speech interaction is as follows:

1. The user pushes the microphone button on the

GUI.

2. The client sends a respective pointing gesture event via the event bus to the dialogue system.
3. The dialogue system resolves the pointing gesture as *open the microphone* and informs the ASR/NLU via the event bus that it should prepare for speech input. (The doctor poses a medical question.)
4. The ASR/NLU acknowledges this to the dialogue system, which in turn notifies the client that recording and streaming can now begin (on the client GUI, the microphone button turns green).
5. The user can talk to the client/touchscreen interface. Upon successful recognition of a spoken phrase, the ASR/NLU sends the recognition result (as NLU-Info structure) to the dialogue system.
6. The dialogue system informs both the ASR and the client to stop the recording and close the microphone (the microphone button turns red again).
7. Finally, the dialogue system processes the result by sending a SPARQL query to the backend servers.

## 5 ANNOTATION AND QUERYING

### 5.1 Basic Strategy

Maintaining a remote repository, we view medical knowledge engineering as an interactive process between the knowledge engineer and the clinician. The first essential step requires the knowledge engineer to gather and pre-processes available medical knowledge from various resources such as domain ontologies and domain corpora, whereupon the domain expert, i.e., the clinician, evaluates the outcome of the process and provides feedback and, finally, the image annotations. To provide access to the incremental knowledge base, a subset of SPARQL can be used (a popular standard used to access RDF and OWL data). The semantic RDF store Sesame, also see <http://www.openrdf.org>, serves assertions on elements (e.g., images and image annotations, i.e., relationships such as *is\_part\_of*, *has\_disease\_annotation*, or *has\_anatomy*) in the medical datasets provided by the use case.

Within the Interactive Semantic Mediator, we implemented a *highest-level* API for the purpose of interactive semantic mediation within the dialogue shell. For example, we can populate and maintain an RDF store with only two upper-level Java functions. The HTTP Server consists of a number of Java servlets that implement a protocol for accessing Sesame repositories over HTTP. Here, we provide a

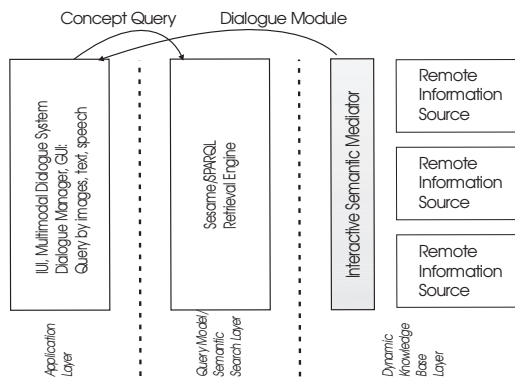


Figure 4: Three Tier Querying Architecture.

wrapper around the Sesame client library to handle the communication for Remote Use Case Repositories. Figure 4 outlines the three tier architecture consisting of an application layer (the dialogue system), a query model/semantic search layer, and a dynamic knowledge base layer which addresses information sources in general. The knowledge layer hosts the access ontologies and the interactive semantic mediator which is responsible for inducing an appropriate (partial) alignment between two heterogeneous information services, e.g., different ontologies.

## 5.2 Central RDF Repository

The semantic image repository, a triple store setup at the remote RDF repository site, is based on two VMWare instances which differentiate between development and production environment. (Both systems use the open source triple store Sesame.) We use this central RDF repository to store and retrieve information about the medical domain, clinical practice, patient metadata, and image annotations. (Also cf. the dynamic knowledge base layer in figure 4.) OWL-Horst reasoning (supporting a subset of OWL-DL) is performed using Ontotext's OWLIM on top of Sesame.

The integration cycle for new ontologies and updates begins with a check-in to a central subversion repository. Nightly checks with the open source tool Eyeball (<http://jena.sourceforge.net/Eyeball>) ensure syntactic correctness and detect common modeling mistakes. New versions of the ontology are first checked out from the SVN to the development RDF repository and tested before being propagated to the production system. From here the ontologies are accessed by with the Interactive Semantic Mediator.

The central repository offers different interfaces for data retrieval and manipulation. They provide access to two different abstraction layers of the

data. A direct access to the RDF statements is possible while using the query language SPARQL. This allows us to specify queries of almost arbitrary complexity. They can span from patient metadata to image annotations to medical domain knowledge and are used to translate most of the dialogue questions presented in section 4.

The system also allows us to perform a semantic query expansion based on the information in the medical ontologies. Accordingly, a query for the anatomical concept lung also retrieves images which are not annotated with "lung" itself but parts of the lung. The query expansion technique is implemented in Java and provided as an API. Below we show a SPARQL query example, according to our query model in the semantic search layer in figure 4, which retrieves all images of patient XY annotated with the FMA concept "lung".

```
SELECT ?personInstance ?patientInstance ?imageRegion ?imageURL WHERE {
?personInstance surname ?var0 .
FILTER (regex(?var0, "XY", "i")) .
?patientInstance referToPerson ?personInstance .
?patientInstance participatesStudies ?studyInstance .
?seriesInstance containedInStudy ?studyInstance .
?seriesInstance containsImage ?mdoImageInstance .
?mdoImageInstance referenceFile ?imageURL .
?imageRegion hasAnnotation ?imageAnnotation1 .
?imageAnnotation1 hasAnatomicalAnnotation ?medicalInstance1 .
?medicalInstance1 rdf:type fma:Lung.
?imageInstance hasComponent ?imageRegion .
?imageInstance hasImageURL ?imageURL .
?mdoImageInstance referenceFile ?imageURL . }
```

Note that this query spans across patient metadata (the name, automatically extracted from the image header) and anatomical annotations (manually added by the radiologist). For readability, we removed the name spaces from most of the properties. The query example is an indirect translation of the clinician's dialogue question. The dialogical competence and the query complexity increases with additional image annotations. Figure 5 comprises an attempt to illustrate this process, in which the clinician's expertise is paramount, in a common view.

Medical knowledge engineering is an interactive process between the *knowledge* engineer and the clinician; and dialogue engineering is an interactive process between the *dialogue* engineer and the clinician.

## 6 RELATED WORK AND CONCLUSIONS

Large scale efforts exist for the effective organization and aggregation of medical image data, for example the Cancer Biomedical Information Grid (<https://cabig.nci.nih.gov>), myGrid (<http://www.mygrid.org.uk>), and the THESEUS

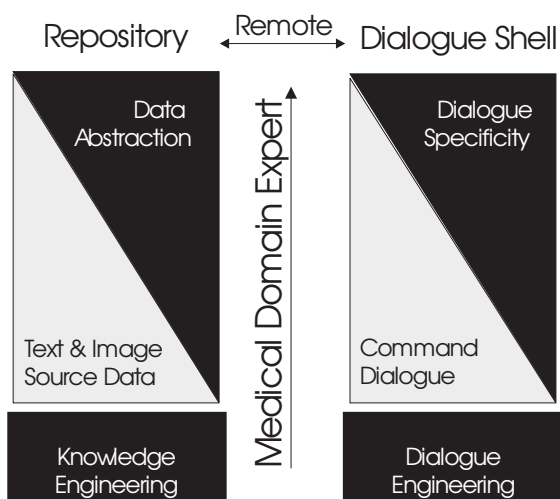


Figure 5: Knowledge and Dialogue Engineering in a common view. More data abstraction (i.e., image annotation through the medical expert) leads to more dialogue possibilities according to the image semantics.

MEDICO program (<http://theseus-programm.de/en-us>), whereby only the latter two explicitly state working with Semantic Web data structures and formats. In recent years there has been great interest in storage, querying, and reasoning on assertion box (ABox) instances, for which several Semantic Web frameworks for Java (e.g., JENA and OWLIM) have been proposed. We chose Sesame because of its easy online deployment and fast built-in persistence strategies.

Maintaining a single central repository with remote access, we presented medical knowledge engineering as an interactive process between the knowledge engineer and the clinician. The first essential step requires the knowledge engineer to gather and pre-processes available medical knowledge from various resources such as domain ontologies and domain corpora. The domain expert, i.e., the clinician, evaluates the outcome of the process and provides feedback and, finally, the image annotations, as well as the corresponding dialogue questions. To satisfy the radiologist's information need, scattered, heterogeneous information has to be gathered, semantically integrated and presented to the user in a coherent way. An enabling force towards this goal has been provided, principally, by unifying semantic annotation and querying, as discussed. The common annotation and dialogue querying framework will now be tested in a clinical environment (University Hospitals Erlangen). Furthermore, the question of how to integrate this information and image knowledge with other types of data, such as patient data, is paramount.

In intensive discussions with clinicians we analyzed how the use of semantic technologies can support the clinician's daily work tasks, apart from the fact that in daily hospital work, clinicians can only manually search for *similar* images—for which we provided a solution. For clinical staging and patient management the major concern is which procedure step has to be performed next in the treatment process. This is where the textual content of the patient records and other semi- and unstructured external medical knowledge comes into play and has to be semantically integrated. Thus, our current work focuses on investigating information extraction techniques to include patient health record information into the remote RDF repository.

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