Sequential Counter Encoding for Staircase At-Most-One Constraints

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Abstract: This paper presents a new SAT encoding to represent Staircase At-Most-One (SCAMO) constraints by combining similar sub-formulae between At-Most-One (AMO) constraints within constructing blocks. The SCAMO constraints exhibit a staircase shape due to the structural similarity between consecutive AMO constraints. The proposed method utilizes Sequential Counter (SC) encoding to represent each block in a staircase form, taking advantage of connecting the constraint representation for two consecutive blocks. Compared to the existing SCAMO representation based on Binary Decision Diagrams (BDD), our method requires fewer variables and clauses, resulting in improved solving time for SCAMO. Experimental results on real-world problems, such as Anti-bandwidth problems, demonstrate that the SC encoding representation method for SCAMO consistently outperforms alternative methods.

1 INTRODUCTION

Sequence constraints are a common type of constraint appearing in many combinatorial problems, such as Car Sequencing, Nurse Rostering, and Employee Scheduling or Crew Rostering. For example, in the Car Sequencing problem (Artigues et al., 2014) (Siala, 2015), a sequence constraint limits the at-most number of cars in a sequence that can be assembled with a particular option. In the Nurse Rostering problem (Ceschia et al., 2015) (Kletzander and Musliu, 2020), a constraint might limit nurses to work a maximum of 3-night shifts in 7 consecutive working days. Similarly, the Employee Scheduling problem (Nieuwenhuis et al., 2021) has a fairness constraint to balance morning and afternoon shifts. Sequence constraints restrict the number of occurrences of certain values in a sequence of k variables, denoted as AmongSeq (Bessiere et al., 2007) and AtMostSeq (Artigues et al., 2014).

Sequence constraint is also in the anti-bandwidth problem (Sinnl, 2021), applied for many applications in scheduling (Leung et al., 1984), radio frequency assignment (Hale, 1980), obnoxious facility location (Cappanera, 1999) and map coloring (Hu et al., 2010).

SAT solving is used in many real-life applications because SAT solvers have significantly improved in strength. When applying SAT encoding to combinatorial problems, a large number of clauses are often generated. To address this issue, various encoding techniques have been proposed to reduce the significant number of clauses (Haberlandt et al., 2023) (Vasconcellos-Gaete et al., 2020).

In our paper, we focus on addressing the At-Most-One (AMO) sequence constraints, which means that in any k consecutive elements, there is at most 1 element with the value TRUE. This constraint is called the Staircase At Most One (SCAMO) constraint (Fazekas et al., 2020). The paper presents a new SAT encoding for SCAMO by leveraging similar sub-formulae to encode for a set of AMOs instead of encoding each AMO separately. Our new encoding is based on the sequential counter encoding (SC) (Sinz, 2005), instead of applying BDD as in (Fazekas et al., 2020). The set of AMO constraints is divided into blocks that share similar sub-formulae among AMO constraints and then SC encoding is applied to represent a block and its neighboring blocks. This is done to reduce the number of clauses required when encoding each AMO constraint separately.

The key contributions of our research are as follows:

- We propose an efficient SAT encoding for SCAMO constraints, which significantly reduces the number of variables and clauses compared to using BDD and other encodings to represent each AMO separately.
- We propose an efficient SAT encoding to solve the anti-bandwidth problems.

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Truong, H. X., Van Kieu, T. and Van To, K. Sequential Counter Encoding for Staircase At-Most-One Constraints. DOI: 10.5220/0013124600003890 Paper published under CC license (CC BY-NC-ND 4.0) In Proceedings of the 17th International Conference on Agents and Artificial Intelligence (ICAART 2025) - Volume 2, pages 164-175 ISBN: 978-989-758-737-5; ISSN: 2184-433X Proceedings Copyright © 2025 by SCITEPRESS – Science and Technology Publications, Lda. The paper is organized as follows: Section 1 introduces the research problem and summarizes the new contributions of this study. Section 2 covers the fundamentals of SCAMO constraints and encodings using Binary Decision Diagrams (BDD). Section 3 presents our approach to representing SCAMO using SC. Section 4 discusses the Antibandwidth problem and its reduction to propositional logic formulas. Section 5 details the experiments comparing our new SCAMO encoding with BDD, as well as the encoding techniques for each AMO constraint individually, including the experimental results for the Antibandwidth problem. Finally, Section 6 concludes the paper.

2 STAIRCASE AT-MOST-ONE CONSTRAINTS

2.1 SCAMO Definition

The Staircase At-Most-One (SCAMO) constraint, as discussed in (Fazekas et al., 2020), is a specialized variant of the At-Most-One (AMO) constraint. The SCAMO constraint set is created when a series of AMO constraints share overlapping variables across consecutive constraints, forming a "staircase" structure. This arrangement allows for the reuse of intermediate results among these consecutive constraints, thereby reducing the number of variables and clauses required for encoding.

Definition 1. Given a sequence of n Boolean variables $\Omega = \{x_1, x_2, ..., x_n\}$ and a number w st. $1 < w \le n$, a SCAMO with a width of w is formulated as follows:

$$SCAMO(\Omega, w) = \bigwedge_{i=0}^{n-w} (\sum_{j=i+1}^{i+w} x_j \le 1)$$

Example 1. Given a sequence of 10 Boolean variables $\Omega = \{x_1, x_2, ..., x_{10}\}$, the SCAMO constraints of the 4-width staircase can be illustrated as follows:

The SCAMO constraint, as defined in Definition 1 and illustrated in Example 1, can be decomposed into a set of overlapping AMO constraints that slide over the sequence of variables. The key feature of this "staircase" structure is the overlap between consecutive constraints. For instance, in Example 1, the first and second constraints both include the variables x_2, x_3, x_4 , and the second and third constraints share the variables x_3, x_4, x_5 . This overlap allows reuse of previous computation to evaluate the first AMO constraint as $x_1 + (x_2 + x_3 + x_4)$, which can just consider the sub-expression $(x_2 + x_3 + x_4)$ together with x_5 to evaluate the second AMO constraint. In general, each successive constraint shares a sum over w - 1 variables with both the previous constraint and the next constraint, allowing partial reuse of sub-expressions across constraints, as shown in Definition 1.

2.2 Decomposition of SCAMO

Efficient encoding of SCAMO constraints necessitates their decomposition into smaller, reusable subconstraints. This decomposition strategy minimizes the number of variables and clauses required and leverages the inherent overlapping nature of SCAMO constraints to enhance computational efficiency. We illustrate the decomposition of SCAMO constraints in Figure 1.

Proposition 1. *The constraint* $x_1 + x_2 + \ldots + x_n \le 1$ *holds iff for all* $1 \le i < n$:

 $(x_1 + \ldots + x_i \le 1) \land (x_{i+1} + \ldots + x_n \le 1) \land$ $(x_1 + \ldots + x_i \le 0 \lor x_{i+1} + \ldots + x_n \le 0)$

Encoding SCAMO constraints requires breaking down the larger constraints into smaller subconstraints that can be reused across consecutive windows of variables. To begin the decomposition, we first partition the sequence of Boolean variables $\Omega = \langle x_1, x_2, ..., x_n \rangle$ into $M = \lceil \frac{n}{w} \rceil$ consecutive "windows", where each window contains *w* variables, except possibly the last window, which may contain fewer variables if *n* mod $w \neq 0$. Formally, each window ω_i contains the variables $\omega_1 = \langle x_1, x_2, ..., x_w \rangle$, $\omega_2 = \langle x_{w+1}, x_{w+2}, ..., x_{2w} \rangle$, etc.

The encoding process begins with the construction of individual constraints for each AMO constraint derived from the SCAMO decomposition. Each constraint accurately captures the exclusivity condition of its respective AMO constraint. However, to maintain the staircase structure, it is imperative to ensure consistency across overlapping variables in consecutive AMO constraints. This is achieved by introducing At-Most-Zero (AMZ) constraints, as shown in Proposition 1. The AMZ constraints ensure that shared variables do not violate the exclusivity conditions when transitioning between blocks. Specifically, the AMZ constraints $(x_1 + ... + x_i \le 0 \lor x_{i+1} + ... + x_n \le 0)$ in Proposition 1 guarantee that at least one of the two sub-expressions must be zero. This, combined with the individual AMO constraints on each subexpression, maintains the overall at-most-one property across the entire set of variables. Applying this





proposition to the SCAMO constraint illustrated in Figure 1, the constraint $x_2 + x_3 + x_4 + x_5 \le 1$ can be decomposed into:

$$\begin{array}{l} (x_2 \leq 1) \land (x_3 + x_4 + x_5 \leq 1) \land \\ (x_2 \leq 0 \lor x_3 + x_4 + x_5 \leq 0) \end{array}$$

2.3 BDD Encoding for SCAMO

To encode AMO and AMZ constraints, we use BDD encoding (Bryant, 1986), (Akers, 1978) to represent the constraints in a compact form. The BDD encoding consists of two parts: forward and backward BDDs for each window of variables. Forward BDD (ω^f) is constructed using a right-associative variable ordering, where variables are ordered from x_1 to x_w . It captures the AMO and AMZ constraints for the window, ensuring that At-Most-One variable can be set to true. Backward BDD (ω^b) uses a left-associative ordering, starting from the last variable x_w and proceeding backward to x_1 . Figure 2 illustrates two BDDs of ω_1, ω_2 with ordering $x_1 \prec x_2 \prec x_3 \prec x_4$ and $x_5 \prec x_6 \prec x_7 \prec x_8$ respectively in Example 1.

To maintain consistency across overlapping AMO constraints in consecutive windows, the forward BDD of one window is bonded to the backward BDD of the subsequent window. Specifically, for each pair of consecutive windows ω_i and ω_{i+1} , the j^{th} layer of ω_i^f is connected to the $(w - j + 2)^{th}$ layer of ω_{i+1}^b , where $2 \le j \le w$. This bonding is enforced through binary clauses that synchronize the sub-constraints of overlapping variables, ensuring that shared variables adhere to the SCAMO constraints without redundant encodings. On layers ω_1^f -BDD and ω_2^b -BDD, we have following sub-formulae:

$$\begin{array}{l} (x_1 + x_2 + x_3 + x_4 \le 1) \\ \land (x_2 + x_3 + x_4 \le 1) \land (x_5 \le 1) \\ \land (x_2 + x_3 + x_4 \le 0 \lor x_5 \le 0) \\ \land (x_3 + x_4 \le 1) \land (x_5 + x_6 \le 1) \\ \land ((x_3 + x_4 \le 0) \lor (x_5 + x_6 \le 0)) \\ \land (x_4 \le 1) \land (x_5 + x_6 + x_7 \le 1) \\ \land ((x_4 \le 0) \lor (x_5 + x_6 + x_7 \le 0)) \end{array}$$

Sequential Counter Encoding for Staircase At-Most-One Constraints

Duplex encoding (Fazekas et al., 2020) leverages BDDs to systematically decompose and encode SCAMO constraints, and reduces the number of clauses compared to naive encoding by reusing sub-constraints. It introduces additional complexity in both clause generation and memory usage, leading to increased clause generation (N(3(w-2) + 2(w-1) - 1) clauses), especially in larger problem instances (in the worst case it is $O(n^2)$). The need for auxiliary variables to bond BDDs across windows adds to the memory overhead (N(2w-3)). Each window requires multiple variables for both AMO and AMZ constraints, which may cause inefficiencies when scaling to larger datasets or higher dimensions.

3 THE SC ENCODING FOR SCAMO CONSTRAINTS

In this section, we will introduce our method for encoding SCAMO, called *SCL* (*Sequential Counter encoding for Ladder constraints*). Our approach takes advantage of the reusable potential of decomposed constraints. First, we break down the large SCAMO into smaller blocks based on related sub-expressions. These related sub-expressions can then be encoded into a single Sequential Counter (SC), which generates some auxiliary register bits. Finally, we connect these auxiliary bits to reformulate the original constraints of the SCAMO.

3.1 SCL Encoding for SCAMO

Given a SCAMO set of width *w* over *n* variables, let $\Omega = \{x_1, x_2, ..., x_n\}$, we divide Ω into $M = \lceil \frac{n}{w} \rceil$ subsets, denoted as $\{\omega_1, ..., \omega_M\}$. Each subset contains up to *w* unique variables, such that $\omega_i = \{x_{i,1}, ..., x_{i,w}\}$. For each subset ω_i , we create two SC blocks that represent the constraint $(x_{i,1} + ... + x_{i,w} \le 1)$ by using different variable orderings: a left ordering starting from $x_{i,1}$ to $x_{i,w}$ and a right ordering starting from $x_{i,w}$ to $x_{i,1}$. The sub-expressions obtained from the SC construction of adjacent blocks can then be combined to reconstruct the AMO constraints of the original SCAMO.

As illustrated in Figure 1, the set of variables in the example SCAMO is divided into three subsets: $\omega_1 = \{x_1, x_2, x_3, x_4\}, \omega_2 = \{x_5, x_6, x_7, x_8\}, \text{ and } \omega_3 = \{x_9, x_{10}\}.$ Constructing ω_1 using SC with right variable ordering yields a block of four sub-expressions: $R_{1,1} = \{x_4\}, R_{1,2} = \{x_3+x_4\}, R_{1,3} = \{x_2+x_3+x_4\} \text{ and} R_{1,4} = \{x_1+x_2+x_3+x_4\}.$ Similarly, constructing ω_2 using the same method but in reverse order produces another block of four sub-expressions: $R_{2,1} = \{x_5\}, R_{2,2} = \{x_5+x_6\}, R_{2,3} = \{x_5+x_6+x_7\}, \text{ and } R_{2,4} =$ { $x_5 + x_6 + x_7 + x_8$ }. Combining $R_{1,3}$ and $R_{2,1}$ results in the expression { $x_2 + x_3 + x_4 + x_5$ }. Meanwhile, combining $R_{1,2}$ and $R_{2,2}$ yields { $x_3 + x_4 + x_5 + x_6$ }, and so on for other combinations.

We observe that when two blocks represent the same set of variables but are ordered differently, it is sufficient to use only one of these blocks to satisfy the AMO constraint. We refer to the block that satisfies the AMO constraint as the AMO block, while the other block is called the AMZ block.

Note that the first and the last subsets are the special cases. They are adjacent to only one subset, either on the left or the right side, unlike the other subsets, which are adjacent to two subsets on both sides. Therefore, their construction consists of only one block with the AMO constraint, i.e., the AMO block.

Let $R_{i,j}$ represent the register bit that indicates the sum of the first *j* variables. Let $x_{i,j}$ denote the *j*th variable of block B_i according to the variable ordering. The relationship for $R_{i,j}$ is defined as follows:

$$R_{i,j}$$
 is true if and only if $\sum_{j'=1}^{j} x_{i,j'} = 1$.

which means that exactly one of the first j variables in block B_i is true. Conversely,

$$R_{i,j}$$
 is false if and only if $\sum_{j'=1}^{j} x_{i,j'} \le 0$,

indicating that all of the first j variables in block B_i are false.

AMO and AMZ blocks are encoded by the following four formulas:

(1)
$$\bigwedge_{j=2}^{w} x_{i,j} \to R_{i,j}$$
(2)
$$\bigwedge_{j=2}^{w} R_{i,j-1} \to R_{i,j}$$
(3)
$$\bigwedge_{j=2}^{w} \neg x_{i,j} \land \neg R_{i,j-1} \to \neg R_{i,j}$$
(4)
$$\bigwedge_{i=2}^{w} x_{i,j} \to \neg R_{i,j-1}$$

Formula (1) sets the register bits $R_{i,j}$ to true when $x_{i,j}$ is true. Formula (2) sets $R_{i,j}$ to true if the previous register bit $R_{i,j-1}$ is true. Formula (3) sets $R_{i,j}$ to false when all the *j* variables are false. Finally, we include formula (4) to ensure that at most one variable can be true.

For example, we indexed four blocks derived from the decomposition of the SCAMO as illustrated in Figure 1. The corresponding register bits of their subexpressions are shown in Figure 3. Block B_1 represents the first subset and must therefore be classified as an AMO block. Its construction utilizes all four formulas as follows:



Figure 3: Register bits constructing of SC blocks.

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(1)	$\bigwedge_{j=2}^{4} x_{1,j} \to R_{1,j}$	\Leftrightarrow	$x_{1,2} \\ x_{1,3} \\ x_{1,4}$	$\stackrel{'}{\rightarrow}$	$R_{1,2}$ $R_{1,3}$ $R_{1,4}$
(2)	$\bigwedge_{j=2}^{4} R_{1,j-1} \to R_{1,j}$	\Leftrightarrow	$R_{1,1} \ R_{1,2} \ R_{1,3}$	$\begin{array}{c} \rightarrow \\ \rightarrow \\ \rightarrow \end{array}$	$R_{1,2} \\ R_{1,3} \\ R_{1,4}$
(3)	$\bigwedge_{j=2}^{4} \neg x_{1,j} \land \neg R_{1,j-1} \to \neg R_{1,j}$	\Leftrightarrow	$ \neg x_{1,2} \land \neg R_{1,1} \\ \neg x_{1,3} \land \neg R_{1,2} \\ \neg x_{1,4} \land \neg R_{1,3} $	$\begin{array}{c} \rightarrow \\ \rightarrow \\ \rightarrow \end{array}$	$ \neg R_{1,2} \\ \neg R_{1,3} \\ \neg R_{1,4} $
(4)	$\bigwedge_{j=2}^{4} x_{1,j} \to \neg R_{1,j-1}$	\Leftrightarrow	$x_{1,2} \\ x_{1,3} \\ x_{1,4}$	$\begin{array}{c} \rightarrow \\ \rightarrow \\ \rightarrow \end{array}$	

According to the variable ordering of block B_1 , we have $x_{1,1} \equiv x_4$, $x_{1,2} \equiv x_3$, $x_{1,3} \equiv x_2$, $x_{1,4} \equiv x_1$, and $x_4 \equiv R_{1,1}$. As a result, the constraints above are now equivalent to:

$x_{1,2} \\ x_{1,3} \\ x_{1,4}$	$\stackrel{\rightarrow}{\rightarrow}$	$R_{1,2} \\ R_{1,3} \\ R_{1,4}$	\Leftrightarrow	$\begin{array}{c} x_3\\ x_2\\ x_1\end{array}$	$\stackrel{\rightarrow}{\rightarrow}$	$R_{1,2} \\ R_{1,3} \\ R_{1,4}$
$R_{1,1} \\ R_{1,2} \\ R_{1,3}$	$\stackrel{\rightarrow}{\rightarrow} \rightarrow$	$R_{1,2} \\ R_{1,3} \\ R_{1,4}$	\Leftrightarrow	$egin{array}{c} x_4 \ R_{1,2} \ R_{1,3} \end{array}$	$\stackrel{\rightarrow}{\rightarrow} \rightarrow$	$R_{1,2} \\ R_{1,3} \\ R_{1,4}$
$ \neg x_{1,2} \land \neg R_{1,1} \neg x_{1,3} \land \neg R_{1,2} \neg x_{1,4} \land \neg R_{1,3} $	$\stackrel{\rightarrow}{\rightarrow} \rightarrow$	$ \neg R_{1,2} \\ \neg R_{1,3} \\ \neg R_{1,4} $	\Leftrightarrow	$ egin{aligned} end{aligned} e$	$\stackrel{\rightarrow}{\rightarrow} \rightarrow$	$ \neg R_{1,2} \\ \neg R_{1,3} \\ \neg R_{1,4} $
$x_{1,2} \\ x_{1,3} \\ x_{1,4}$	$\stackrel{\rightarrow}{\rightarrow} \rightarrow$	$ \neg R_{1,1} \\ \neg R_{1,2} \\ \neg R_{1,3} $	\Leftrightarrow	$\begin{array}{c} x_3 \\ x_2 \\ x_1 \end{array}$	$\stackrel{\rightarrow}{\rightarrow} \rightarrow$	$\neg x_4 \\ \neg R_{1,2} \\ \neg R_{1,3}$

Let block B_2 be an AMO block from the second subset. The construction of the block B_2 is as follows:

$\begin{array}{c} x_6 \\ x_7 \\ x_8 \end{array}$	$\begin{array}{c} \rightarrow \\ \rightarrow \\ \rightarrow \end{array}$	$R_{2,2} \\ R_{2,3} \\ R_{2,4}$	$ egin{aligned} end{aligned} e$	$\stackrel{ ightarrow}{ i$	$ \neg R_{2,2} \\ \neg R_{2,3} \\ \neg R_{2,4} $
$\begin{array}{c} x_5\\ R_{2,2}\\ R_{2,3} \end{array}$	${\rightarrow}$ ${\rightarrow}$	$R_{2,2} \\ R_{2,3} \\ R_{2,4}$	x ₆ x ₇ x ₈	$\stackrel{ ightarrow}{ i$	$ \neg x_5 \\ \neg R_{2,2} \\ \neg R_{2,3} $

The block B_3 also represents the second subset, just as block B_2 does. Since block B_2 is an AMO block, block B_3 is designated as an AMZ block and is constructed without applying formula (4):

The block B_4 represents the final subset and can be constructed using the same method as the B_1 and B_2 blocks. The important point is that B_4 is an incomplete block; its width is only 2. Therefore, rather than creating a wide SC of length w, we will create an SC that matches the actual width of the B_4 block by implementing the following constraints:

After breaking down into SC blocks, we need to connect these blocks to reformulate the original SCAMO. In this process, we connect each block from every subset to the corresponding block of the neighboring subsets.

The connection between blocks B_1 and B_2 is intended to reformulate the following five AMO constraints:

$$\begin{array}{l} (x_1 + x_2 + x_3 + x_4 \le 1) \land \\ (x_2 + x_3 + x_4 + x_5 \le 1) \land \\ (x_3 + x_4 + x_5 + x_6 \le 1) \land \\ (x_4 + x_5 + x_6 + x_7 \le 1) \land \\ (x_5 + x_6 + x_7 + x_8 \le 1) \end{array}$$

Proposition 1 is applied to decompose these five constraints into:

 $\begin{array}{l} (x_1 + x_2 + x_3 + x_4 \le 1) \land \\ (x_2 + x_3 + x_4 \le 1) \land (x_5 \le 1) \land (x_2 + x_3 + x_4 \le 0 \lor x_5 \le 0) \land \\ (x_3 + x_4 \le 1) \land (x_5 + x_6 \le 1) \land (x_3 + x_4 \le 0 \lor x_5 + x_6 \le 0) \land \\ (x_4 \le 1) \land (x_5 + x_6 + x_7 \le 1) \land (x_4 \le 0 \lor x_5 + x_6 + x_7 \le 0) \land \\ (x_5 + x_6 + x_7 + x_8 \le 1) \end{array}$

The resulting AMZ constraints can then be replaced with the corresponding register bits as follows:

Encoding	Auxiliary variables	Clauses	Complexity
Naive	0	$\frac{1}{2}N(w-1)w$	$O(n^3)$
Reduced	0	$\frac{1}{2}(w-1)w + (N-1)(w-1)$	$O(n^2)$
Seq	N(w-2)	N(3(w-2)+1)	$O(n^2)$
BDD	N(2w-3)	N(3(w-2)+2(w-1)-1)	$O(n^2)$
2-product	$N(2\sqrt{w}+O\sqrt[4]{w})$	$N(2w + 4\sqrt{w} + O\sqrt[4]{w})$	$O(n^2)$
Duplex	4Mw - 4M	13Mw - 14M - 3w + 2	O(n)
SCL	2Mw - 3M - 2w + 4	8Mw - 8M - 7w + 7	O(n)

Table 1: Size of SAT encoding for a SCAMO constraint over *n* variables with width *w*.

 $(x_1 + x_2 + x_3 + x_4 \le 1) \land$ $(x_2 + x_3 + x_4 \le 1) \land (x_5 \le 1) \land (\neg R_{1,3} \lor \neg x_5) \land$ $(x_3 + x_4 \le 1) \land (x_5 + x_6 \le 1) \land (\neg R_{1,2} \lor \neg R_{2,2}) \land$ $(x_4 \le 1) \land (x_5 + x_6 + x_7 \le 1) \land (\neg x_4 \lor \neg R_{2,3}) \land$ $(x_5 + x_6 + x_7 + x_8 \le 1)$

Likewise, the connection between blocks B_3 and B_4 is encoded by:

$$(x_{5} + x_{6} + x_{7} + x_{8} \le 1) \land (x_{6} + x_{7} + x_{8} + x_{9} \le 1) \land (x_{7} + x_{8} + x_{9} + x_{10} \le 1) \equiv (x_{5} + x_{6} + x_{7} + x_{8} \le 1) \land (x_{6} + x_{7} + x_{8} \le 1) \land (x_{9} \le 1) \land (\neg R_{3,3} \lor \neg x_{9}) \land (x_{7} + x_{8} \le 1) \land (x_{9} + x_{10} \le 1) \land (\neg R_{3,2} \lor \neg R_{4,2})$$

All in all, given two blocks that represent two consecutive windows of width $w \{x_i, \ldots, x_{i+w}\}$ and $\{x_{i+w+1},\ldots,x_{i+w+w}\}$. Connecting these two blocks requires w - 1 clauses:

$$\sum_{j=2}^{w} (\sum_{k=i+j}^{i+w} x_k \le 0 \lor \sum_{k'=i+w+1}^{i+w+j-1} x_{k'} \le 0)$$

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Comparison of SCAMO Encodings 3.2

In this section, we compare our proposed SCL encoding with Duplex (Fazekas et al., 2020) and several encodings for each AMO constraint, including Pairwise, Sequential Counter (Sinz, 2005), BDD (Abío et al., 2012), and 2-Product (Chen, 2010).

Table 1 presents the results concerning the number of new variables and clauses generated for each encoding, both from the study by (Fazekas et al., 2020) and our SCL encoding. The Naive method utilized Pairwise encoding, while the Reduced method eliminated duplicate binary clauses in the pairwise encoding process. Note that in Table 1, N = (n - w) + 1 and $M = \left\lceil \frac{n}{w} \right\rceil.$

The Duplex encoding encodes each window separately by creating two BDDs, each containing 2(w +1) nodes. After constructing these diagrams, the layers of the neighboring BDDs are connected, resulting in M-1 bond clauses. According to the estimation in (Fazekas et al., 2020), Duplex encoding requires approximately 13Mw - 14M - 3w + 2 clauses and 4Mw - 4M auxiliary variables.

When comparing the construction of two BDDs used in Duplex encoding with our SCL encoding, we find that SCL requires fewer variables and clauses. To simplify the calculation, let's assume that each block has the same size, although most of the time the last block is smaller. For the first and last subsets, SCL creates one AMO block, while for the remaining (M-2) subsets, SCL creates one AMO block and one AMZ block each. This results in a total of M AMO blocks and M - 2 AMZ blocks. Additionally, M + M - 2 =2M-2 blocks require M-1 connections. In conclusion, the number of clauses in SCL encoding is:

AMO-block-clauses	\leq	M(4(w-1))
	-	4Mw - 4M
AMZ-block-clauses	\leq	(M-2)(3(w-1))
	=	3Mw - 3M - 6w + 6
Connect-clauses	\leq	(M-1)(w-1)
	=	Mw - M - w + 1
Total-clauses	=	AMO-block-clauses +
		AMZ-block-clauses +
.OGY PU		Connect-clauses +
	\leq	8Mw - 8M - 7w + 7

The total number of auxiliary variables in our encoding is at most (w-1) + (M-2)((w-1) + (w-1)) + (w-1) + (w-(2) + (w - 1) = 2Mw - 3M - 2w + 4. In this expression, the first and last (w-1) variables are used to encode the AMO block of the first and last subsets. The remaining (M-2)((w-1)+(w-2)) variables are used to encode the (M-2) subsets between the first and last subsets, with each subset using (w-1)variables for the AMO block and (w-2) variables for the AMZ block. The AMZ blocks require one variable less than the AMO blocks because the highest register bits of both blocks are the same, allowing AMZ blocks to reuse them from the AMO blocks instead of creating new ones. For example, the register bit $R_{2,4}$ of block B_2 and the register bit $R_{3,4}$ of block B_3 in Figure 3 both represent $\{x_5 + x_6 + x_7 + x_8\}$, hence $R_{2,4} \equiv R_{3,4}$.

Table 1 shows the number of auxiliary variables, the number of clauses, and the complexity of different SAT encodings in the SCAMO constraint set of nvariable with AMO constraints of width w. The complexity of each approach is calculated based on the number of clauses in the worst case (i.e., w is approximately $\frac{n}{2}$).

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Figure 4: Comparison of the number of clauses of a SCAMO with parameters set to n = 1000 and $w \in (1, 1000)$.

The theoretical calculations provided above show that our proposed encoding demonstrates superior performance in terms of the number of clauses. It has linear complexity and uses just over half the number of variables compared to the *Duplex* encoding, which also has linear complexity. Importantly, our *SCL* encoding requires fewer auxiliary variables than the *Sequential counter*, *BDD*, 2-product, and even *Duplex* encodings. The *Naive* and *Reduced* encodings are the only ones that do not use any auxiliary variables; however, their complexities of $O(n^3)$ and $O(n^2)$ cannot be compared to our complexity of O(n).

Figures 4 and 5 illustrate the number of clauses and auxiliary variables needed to encode a set of SCAMO constraints with n = 1000 and $w \in (1, 1000)$ for various SAT encodings, based on calculations from Table 1.

4 ANTI-BANDWIDTH PROBLEM

We apply our proposed method to the anti-bandwidth problem (ABP) (Sinnl, 2021), which is an NP-hard problem with applications in various scheduling scenarios, including radio frequency assignment, obnoxious facility location, and map coloring.

4.1 **Problem Definition**

Let G = (V, E) be a graph where V is the set of vertices and E is the set of edges. Let n = |V| be the number of vertices, and m = |E| be the number of edges. A labeling f of the vertices is a bijection $V \rightarrow \{1, ..., n\}$ such that each vertex $i \in V$ receives a unique label $f(i) \in \{1, ..., n\}$.

Given graph *G* and labeling *f*, $AB_f(i)$ is the minimum bandwidth of vertex $i \in V$ and the labeling f:

$$AB_{f}(i) = min\{|f(i) - f(i')| : \{i, i'\} \in E\}$$



Figure 5: Comparison of the number of auxiliary variables of a SCAMO with parameters set to n = 1000 and $w \in (1, 1000)$.

The bandwidth of G is the minimal value among the $AB_f(i)$ values:

$$AB_f(G) = min\{AB_f(i) : i \in V\}$$

Let F(G) denote all labels of G. The antibandwidth problem aims at finding a labeling f^* that maximizes the bandwidth of G. The corresponding value $AB_{f^*}(G)$ is called anti-bandwidth AB(G) of G, i.e.,

$$AB(G) = \max_{f \in F} AB_f(G)$$

4.2 Constraint Representation

(Duarte et al., 2011) introduced a mixed-integer programming (MIP) approach to provide a solution to ABP. Then, based on the MIP approach, (Sinnl, 2021) presented an iterative formulation, which is a feasibility problem, to answer the question "Does there exist a solution with $AB(G) \ge k + 1$?". This iterative approach can be stated as follows:

Let boolean variables x_i^l take the value true *iff* vertex *i* gets labels *l*, i.e. $f_i = l$. To make sure that every vertex gets a unique labeling, the following set of constraints (*VERTICES*) and (*LABELS*) are used:

$$\begin{array}{ll} \sum_{i \in V} x_i^l = 1 & \forall l \in \{1, \dots, |V|\} & (VERTICES) \\ \sum_{l \in \{1, \dots, |V|\}} x_i^l = 1 & \forall i \in V & (LABELS) \end{array}$$

If k is a feasible bandwidth of the ABP, the constraint (OBJ - k), which makes sure that for each edge $\{i, i'\} \in E$, the difference between the labeling of vertex i and i' must not be lower than or equal to k, must be satisfied; otherwise, it is unsatisfied:

$$\begin{split} \sum_{l'=l_2}^{l_{2+k}} (x_i^{l'}+x_{i'}^{l'}) &\leq 1 \quad \forall \{i,i'\} \in E \\ \forall 1 \leq l_2 \leq |V|-k \end{split} \tag{OBJ-k}$$

This iterative approach firstly assigns $k \leftarrow 1$ then solves the set of three constraints above. After that, it continues to increase k by one and restart the solving process until we obtain an unsatisfied result. The value of k when the solution process yields the value *unsatis fiability* is the AB(G) of ABP.

For each edge in E, there should be an OBJ - kconstraint to ensure that the difference between the two vertices of that edge is at least k. It means encoding all the edges in E results in |E| different SCAMOs. In order to reduce the number of SCAMOs, (Fazekas et al., 2020) uses Proposition 1 to decompose (OBJ *k*) constraints into:

$$\begin{array}{l} \sum_{l'=l_2}^{l_{2+k}}(x_i^{l'}+x_{i'}^{l'}) \leq 1 \stackrel{\text{Prop. 1}}{\equiv} \\ \sum_{l'=l_2}^{l_{2+k}}x_i^{l'} \leq 1 \wedge \sum_{l'=l_2}^{l_{2+k}}x_i^{l'} \leq 1 \wedge \\ (\sum_{l'=l_2}^{l_{2+k}}x_i^{l'} \leq 0 \vee \sum_{l'=l_2}^{l_{2+k}}x_{i'}^{l'} \leq 0) \end{array}$$

This decomposition breaks a SCAMO of an edge into two SCAMOs of a single vertex. Since the number of edges |E| is much more than the number of vertices |V| in most of the graphs, the number of SCAMOs obtained from this decomposition after terminating all duplicates is lower than from the original OBJ - k constraints. Then, the two SCAMOs are reconnected using a disjunction of some AMZ constraints of width k + 1, which can be formulated by combining our constructed AMZ sub-expressions in SCAMOs, as outlined in Proposition 2:

Proposition 2. A constraint $x_1 + x_2 + \ldots + x_n \leq 0$ holds iff for all $1 \le i < n$: $(x_1 + \ldots + x_i \le 0) \land (x_{i+1} + \ldots + x_n \le 0)$

Let the SCAMO in Figure 1 be the SCAMO of a single vertex got from decomposing a SCAMO of an edge. The connect *disjunction* now contains all the constraints of the SCAMO in Figure 1 but in AMZ form, such as $(x_2 + x_3 + x_4 + x_5 \le 0)$. Proposition 2 then breaks the constraint $(x_2 + x_3 + x_4 + x_5 \le 0)$ into $(x_2 + x_3 + x_4 \le 0) \land (x_5 \le 0)$, which is equivalent to $\neg R_{1,3} \land \neg R_{2,1}$ (see Figure 3).

Take note that the (LABELS) constraint can be formulated by merging subsets in corresponding SCAMO, so instead of creating AMO constraints for all variables, we focus on creating AMO constraints for the subsets only. Because every subset also is an AMO constraint and already constructed in the (OBJ-k) constraint, this approach not only yields the same result but also takes advantage of reusing subset constructions. For example, in the Figure 1, instead of creating the AMO constraint of all the 10 variables, we only need to create the AMO constraint of 3 subsets $\{x_1, x_2, x_3, x_4\}$, $\{x_5, x_6, x_7, x_8\}$ and $\{x_9, x_{10}\}$.

In addition, we can see that for every labeling fof the n-vertex graph, there exists a corresponding reversed labeling f' where f' = n + 1 - f. Since f' is a linear transformation of f, it ensures that for each value in f, there is exactly one corresponding value in f'. This means that if f satisfies the conditions of (VERTICES) and (LABELS) then f' also satisfies these conditions. Furthermore, f' maintains the same bandwidth as *f*:

$$\begin{array}{ll} |f'(i)-f'(i')| & = & |(n+1-f(i))-(n+1-f(i'))| \\ & = & |f(i')-f(i)| \end{array}$$

Based on this observation, we apply the symmetry breaking technique (Gent et al., 2006) to reduce the search space. In our implementation of ABP, we employ symmetry breaking at one selected node using two different configurations: the first node and the highest-degree node.

EXPERIMENTAL EVALUATION 5

5.1 Experimental Setup

We implemented two frameworks to compare stateof-the-art methods with our proposed encoding, SCL. The first framework focuses on the SCAMO, while the second focuses on the ABP.

In the first framework¹, we compare SCL alongside five other SAT encodings: Naive, Reduced, Sequential counter, 2-product (Product), and Duplex, as detailed in Section 3.2. These methods were applied to a SCAMO with parameters set to n = 1000 and w varying between 1 and 1000.

In the second framework², in addition to our proposed encoding and the other SAT encodings from the first framework, we also included several Constraint Programming (CP) and Mixed Integer Programming (MIP) approaches. We benchmarked our experiments using 24 matrices from the Harwell-Boeing Sparse Matrix Collection (Rodriguez-Tello et al., 2015), which consists of 12 relatively small to medium-sized graphs and 12 significantly larger graphs. These matrices were tested on a cluster in Google Cloud Platform³ with configurations of machine type e2-highmem-8 (8 vCPUs, 4 cores, 64GB memory) and Debian GNU/Linux 12 operating system. For selected SAT solver, we used version 1.2.1 of the CaDiCal solver (Biere, 2019).

Table 2 presents information on 24 matrices, including their names, the number of vertices |V|, and

StaircaseEncoderSCL.git ²https://github.com/TruongXuanHieu-H/

¹https://github.com/TruongXuanHieu-H/

AntiBandwidthSCL.git

³https://console.cloud.google.com/compute

Instance	V	E	LB	UB
A-pores_1	30	103	6	8
B-ibm32	32	90	9	9
C-bcspwr01	39	46	16	17
D-bcsstk01	48	176	8	9
E-bcspwr02	49	59	21	22
F-curtis54	54	124	12	13
G-will57	57	127	12	14
H-impcol_b	59	281	8	8
I-ash85	85	219	19	27
J-nos4	100	247	32	40
K-dwt234	117	162	46	58
L-bcspwr03	118	179	39	39
M-bcsstk06	420	3720	28	72
N-bcsstk07	420	3720	28	72
O-impcol_d	425	1267	91	173
P-can445	445	1682	78	120
Q-494_bus	494	586	219	246
R-dwt503	503	2762	46	71
S-sherman4	546	1341	256	272
T-dwt592	592	2256	103	150
U-662_bus	662	906	219	220
V-nos6	675	1290	326	337
W-685_bus	685	1282	136	136
X-can_715	715	2975	112	142

Table 2: Harwell-Boeing Sparse Matrix benchmark.

the number of edges |E|. The corresponding lower and upper bounds (LB and UB) are provided in (Fazekas et al., 2020).

5.2 Evaluation for the SCAMO

We have evaluated the performance in terms of solving time for the given SCAMO with n = 1000 variables, where the width w was adjusted from 5 to 995 (i.e., 5, 10, 15, ..., 995), as shown in Figure 6. Among the three best encodings, *SCL* emerged as the most effective, surpassing Duplex, which was previously found to be the efficient encoding. A detailed comparison of all implemented encodings can be found in Figure 9 in the Appendix. Overall, the results indicate that, in most cases, the *SCL* encoding significantly outperforms all other SAT encodings in terms of time efficiency. Additionally, the effectiveness of the generated clauses and the required auxiliary variables for *SCL* are discussed earlier in Figure 4 and 5.

5.3 Evaluation for the ABP

In the second framework, we implemented our approach along with four SAT encodings: *Product, Reduced, Sequential counter*, and *Duplex*. We followed the same process throughout. Initially, we consider



Figure 6: Comparison of solving time for three best encodings of a given SCAMO with n = 1000 and $w \in (1, 1000)$.

LB as the starting width of SCAMO. If the SAT solver yields a satisfiable result, we increase the width by 1 and restart the process. If the solver returns unsatisfiable or reaches the upper bound (UB), it indicates that the optimal solution has been found and the iteration process ends.

If the computation time exceeds 1800 seconds or the memory usage exceeds 30GB, a termination signal is raised, causing the process to stop due to a timeout (TO) or memory overload (MO), respectively. In these cases, the highest solved width is reported as the best result of the technique. If the technique fails to solve the problem with the initial LB value, the result is marked with a "-".

We also explore three approaches based on Constraint Programming (CP): $F_e(k)$, *CP-CPLEX*, and *CP-MZ-Chuffed*. In our experiment, the $F_e(k)$ encoding utilizes the MIP (Mixed Integer Programming) APIs provided by IBM ILOG CPLEX Optimization Studio⁴ version 20.1. Meanwhile, *CP-CPLEX* employs the CP APIs of IBM ILOG CPLEX Optimization Studio version 22.1.1 (latest version). *CP-MZ-Chuffed* makes use of the MiniZinc language (Nethercote et al., 2007) version 2.8.6 and incorporates Chuffed solver⁵ version 0.13.2. While these methods take advantage of constraint programming techniques, treating the ABP as a labeling problem and encoding it straightforwardly still poses a considerable performance drawback.

Table 3 presents a summary of our experimental results on 24 selected matrices, with a time limit of 1800 seconds and a memory limit of 30 GB for the *Duplex, SCL, F_e(k), CP-CPLEX, and CP-MZ-Chuffed* approaches. The *Reduced, Sequential counter,* and 2-*product* encodings showed poorer performance compared to both *Duplex* and *SCL*, therefore these encod-

⁴https://www.ibm.com/products/

ilog-cplex-optimization-studio/cplex-optimizer ⁵https://github.com/chuffed/chuffed.git

Table 3: ABP solving results with TO = 1800s and MO = 30GB.

Instance	LB	UB		Duplex		SCL			$F_e(k)$			CP-CPLEX			CP-MZ-Chuffed		
Instance			Obj-k	Time(s)	MB	Obj-k	Time(s)	MB	Obj-k	Time(s)	MB	Obj-k	Time(s)	MB	Obj-k	Time(s)	MB
A-pores_1	6	8	6	3.40	14.1	6	3.48	12.6	6	3.53	74.9	7	TO	579.3	6	4.75	42.3
B-ibm32	9	9	9	0.19	8.8	9	0.3	7.8	9	4.57	106.8	9	0.39	41.3	9	1.06	29.3
C-bcspwr01	16	17	17	1.11	11.2	17	4.19	18.6	17	3.59	97.5	17	0.67	41.0	17	0.43	28.6
D-bcsstk01	8	9	9	0.54	14.9	9	0.19	12.6	9	14.9	147.0	9	2.28	46.8	9	1.12	41.0
E-bcspwr02	21	22	21	2.15	14.9	21	1.52	11	21	17.8	170.2	21	15.83	51.5	21	0.8	31.5
F-curtis54	12	13	13	0.27	14.1	13	0.28	12.3	13	13.3	145.8	13	2.5	47.2	13	1.35	38.8
G-will57	12	14	13	0.29	14.4	13	0.47	13.9	13	18.5	175.6	13	14.18	51.5	13	1.09	39.9
H-impcol_b	8	8	8	0.26	21.0	8	0.23	16.8	8	3.12	167.4	8	0.32	44.7	8	0.86	55.5
I-ash85	19	27	24	TO	272	24	TO	381	22	TO	460.3	23	TO	288.2	23	TO	374.8
J-nos4	32	40	35	472	177	35	980	503	-	TO	927.0	35	TO	272.7	35	384.4	343.9
K-dwt_234	46	58	51	TO	685	51	TO	530	50	TO	895.3	52	TO	243.8	47	TO	244.6
L-bcspwr03	39	39	39	0.58	53	39	0.69	40.9	39	11.15	559.0	39	0.25	48.2	39	2.34	66.6
M-bcsstk06	28	72	35	TO	1726	35	TO	1398	-	TO	12207	30	TO	738.4	-	TO	3016
N-bcsstk07	28	72	35	TO	1726	35	TO	1397	-	TO	12244	30	TO	737.9	-	TO	3016
O-impcol_d	91	173	101	TO	1745	102	TO	1802	-	-	MO	121	TO	491.3	-	TO	1410
P-can445	78	120	-	TO	2464	79	TO	2156	-	-	MO	79	TO	869.2	-	TO	1843
Q-494_bus	219	246	-	TO	1443	220	TO	1301	-	TO	23775	220	TO	701.6	-	TO	763.8
R-dwt503	46	71	64	TO	1634	64	TO	1474	-	TO	10633	56	TO	717.6	-	TO	1950
S-sherman4	256	272	-	TO	1326	-	TO	1073	-	-	MO	-	TO	689.9	-	TO	888.8
T-dwt592	103	150	-	TO	5357	104	TO	2619	-	-	MO	104	TO	1366	-	TO	1905
U-662_bus	219	220	220	471	2498	220	144	1377	-	-	MO	220	3.15	250.4	-	TO	1061
V-nos6	326	337	-	TO	1836	-	TO	1532	-	-	MO	-	TO	967.9	-	TO	1168
W-685_bus	136	136	136	10.7	1431	136	7.57	1164	-	-	MO	136	1.95	332.6	-	TO	1325
X-can715	112	142	-	TO	3060	113	TO	4599	-	-	MO	113	TO	1380	-	TO	2442



Figure 7: Comparison of the number of clauses between Duplex and SCL.

ings are not included in Table 3. For each problem, the table includes the best solution identified by each approach, the solving time (in seconds), and the memory consumption (in MB). The best anti-bandwidth value is highlighted in bold, while the best solving time is underlined.

Figures 7 and 8 illustrate the comparison of the number of clauses and auxiliary variables utilized in the ABP encoding across 24 problems, evaluated using both the *SCL* and *Duplex* encodings. Each problem is represented by the first letter of its name (*A for the problem A-pores_1, X for X-can__715*). We analyze the encodings achieved at the maximum width that both methods can solve within specified time and memory limits. The results indicate that as the problem size increases, *SCL* requires fewer clauses and auxiliary variables than *Duplex*. This evidence demonstrates why *SCL* can outperform *Duplex* in



Figure 8: Comparison of the number of variables between Duplex and SCL.

solving certain ABPs, particularly in challenging scenarios characterized by a high number of edges and vertices in the 12 larger graphs, as well as in terms of memory usage.

In the 12 relatively small to medium-sized graphs, both SCL and Duplex demonstrate competitive performance, generally surpassing other methods. Compared to the best CP approach, SCL outperforms CP-CPLEX in the problems A-pores_1, I-ash85, and Jnos4. However, CP-CPLEX performs better than SCL and Duplex in the problem K-dwt_234. Among the 12 larger graphs, SCL outperforms Duplex in 5 problems: O-impcol_d, P-can_445, Q-494_bus, Tdwt_592, and X-can_715. Additionally, SCL exceeds CP-CPLEX in 3 problems: M-bcsstk06, Nbcsstk07, and R-dwt_503. Nonetheless, CP-CPLEX achieves significantly outperforms SCL in the problem O-impcol_d. When solving UNSAT instances, CP- *CPLEX* is weaker than both *SCL* and *Duplex* in cases such as *A-pores_1* (UNSAT with a width of 17), *E-bcspwr02* (UNSAT with a width of 22), and *G-will57* (UNSAT with a width of 14).

5.4 Summary

Our proposed encoding, SCL, offers a valuable solution for addressing various SCAMO and ABP problems. In terms of SCAMO encoding, SCL outperforms all other SAT encodings regarding the number of clauses, auxiliary variables, and solving time. For ABP problems, SCL either matches or exceeds optimal values in many instances, while demonstrating competitive time efficiency and low memory usage. Its ability to find valid solutions in complex instances where other encodings timeout underscores its robustness and scalability. Experimental results show that SCL surpasses Duplex, which is recognized as an efficient encoding for SCAMO and ABP (Fazekas et al., 2020). Additionally, SCL outperforms CP-CPLEX, a well-known commercial tool developed by IBM; SCL exceeds CP-CPLEX in 6 out of 24 problems, while CP-CPLEX only surpasses SCL in 2 out of 24 problems. Overall, SCL effectively balances performance with resource management, making it a strong option for tackling SCAMO and ABP challenges.

6 CONCLUSIONS

The paper presents our proposed SAT encoding for SCAMO constraints, named *SCL* encoding. It utilizes Sequential Counter Encoding for at-most-one constraints with a staircase shape. *SCL* requires fewer auxiliary variables and generates fewer clauses, making it effective for encoding SCAMO constraints. It yields better results for the anti-bandwidth problem compared to other SAT encoding techniques as well as Constraint Programming (CP) and Mixed Integer Programming (MIP) approaches. Our proposed encoding, *SCL*, provides an efficient encoding for other combinatorial problems that involve SCAMO constraints.

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APPENDIX

Figure 9 shows the time taken (in milliseconds) by the Reduced, Seq, Naive, Product, Duplex, and SCL encodings for the SCAMO problem, where n = 1000 and w ranges from 1 to 1000 in increments of 5.



Figure 9: Comparison of solving time of different encodings in SCAMO with parameters set to n = 1000 and $w \in (1, 1000)$.