Efficient Modeling of 3D Epithelial Cell Structure Dynamics via Backbone Spreads

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Abstract: An important means to study the morphogenesis of epithelial cell structures is faithful modeling and simulation of the underlying cell dynamics. In particular, changes in cell shapes and cell neighborhoods have to be captured to gain understanding of organ development and other complex processes. A typical 2D tissue model is cell-center based Voronoi tessellation. However, since epithelial cells form curved layers in three dimensional space, a 2D model cannot encompass all relevant aspects. In this paper, we provide a formal 3D model for epithelial cell structures based on the notion of backbone spreads and study its geometric properties. Based thereupon, we devise a modified version of the well-known Metropolis-Hastings algorithm to find cell tissue configurations that minimize a given energy function. We prove that our new algorithm is very efficient from a theoretical perspective and also demonstrate its good performance in practice on the example of tubular epithelia. Furthermore, we show that a rich set of cell shapes and connectivity structures emerge in our model, and we analyze their frequency of occurrence with respect to the model parameters.

1 INTRODUCTION

The organization and structure of cells impact the development and functionality of many types of tissues and organs in multicellular organisms. Although bioimaging techniques provide crucial insight into cell arrangements and dynamics, continuous monitoring of cell reconfiguration processes is intricate and often impossible. Thus, deducing suitable cell models from existing biological and biophysical data is tremendously helpful in simulating these processes and evaluating the impact of the relevant parameters.

Simple epithelial tissue usually consists of a single layer of cells in which each cell is connected to a basal and an apical surface. Epithelia are often involved in absorption and filtration processes and have protective functions. As epithelium tissues do not contain blood vessels, substances are transported via diffusion and junctions between cells within the layer. This makes the study of the shapes and neighborhood structures of these cells particularly important. It is tempting to model monolayer cell structures in 2D as this allows for simple and robust simulation of cell dynamics. Although such models can certainly provide valuable insights (see, for example (Lau et al., 2021)), they might not capture all relevant aspects. In their seminal work, (Gómez-Gálvez et al., 2018) show that in curved epithelia a cell shape called *scutoid* (a mixture between a frustum and a prismatoid) can occur, which has different neighbors on the basal and apical surfaces. An example is shown in Figure 1. Here we show the cells with a transparent basal surface.



Figure 1: Left: Closeup of a curved epithelium. Right: Neighborhood structure of the red cell: The basal surface (top) has eight neighbors and the apical surface (center) has 10 due to scutoid cell shape (visible in the bottom image).

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This cell shape stabilizes the tissue and thus helps to minimize tissue energy. Such shapes only emerge when considering cell arrangements in 3D. This and similar findings have created the need for 3D cell models and efficient simulation methods that can work with them.

Two common models for cells are the vertex model and the cell-center model. In *vertex model*, cells are explicitly described by the coordinates of their boundary vertices. In 2D, each cell coincides with a polygon (Alt et al., 2017) and in 3D each cell is modeled as a polyhedron (Okuda et al., 2013; Honda et al., 2004). While the vertex model is able to capture arbitrary cell shapes, the large degree of freedom also results in quite complicated algorithms for cell reconfiguration and resource demanding simulations.

In the cell-center model, the cell centers are sufficient to describe the entire cell arrangement since it is assumed that the cell shapes can be derived from a suitable tessellation. Due to their strong resemblance to real cell structures, Voronoi tessellations are often used for this purpose. A Voronoi tessellation for a given point set divides the space into cells where for each point its corresponding cell contains the portion of the space that is closer to it than to all other input points. This type of tessellation - also called Voronoi diagram - works in both 2D and 3D (and beyond). However, in 3D, a Voronoi diagram of a given point set might not coincide with a monolayer cell structure as it is required for epithelia, even if the diagram is restricted to the space enclosed by the apical and basal surface. Figure 2 illustrates the issue.

For the special case of tubular epithelia, a remedy was proposed in (Gómez-Gálvez et al., 2022). Here, cell centers are assumed to be known on the apical surface and are projected to the basal surface. The segment between the apical and the basal surface intersection point is called the backbone of the cell. A 3D Voronoi diagram for these line segments is guaranteed to provide the desired monolayer structure. However, as the computation of Voronoi diagrams for line segments is very involved even in 2D, see, e.g., (Burnikel et al., 1994a), their approach relies on subsampling of the backbones and projection of each sample to 2D. Voronoi diagrams are computed for each 2D layer individually and then combined and



Figure 2: Cross section through a 3D point based Voronoi diagram confined between the basal and apical layer. The red cell does not reach the basal surface and thus the cell structure does not coincide with a simple epithelium.

interpolated to estimate the 3D shapes of the cells at each point in time during a simulation. The model and the implementation were shown to be powerful in describing and understanding the emergence and role of scutoids among other cell structure phenomena.

In this paper, we provide a general parameterized model for monolayer cell arrangements in 3D. The model extends upon the idea of cell backbones as used in (Gómez-Gálvez et al., 2022) but restricts their relative positions in a biological meaningful way. This allows us to study geometric properties of epithelia even before running any simulations, which is not possible in other existing models. Furthermore, it provides us with a framework in which we can study the complexity of cell reconfiguration algorithms.

1.1 Related Work

An alternative cell-center model for epithelia based on a given point sets was recently proposed in (Mimura and Inoue, 2023). Here, the points are first considered in 2D and cell shapes are deduced based on a proper triangulation of the point set. The 3D shape of the cell is extrapolated from its 2D contour. Deformations of the basal or apical surface are used to simulate reshaping of the tissue, as e.g. invagination. While this impacts the 3D structure of the cells, complex cell shapes as scutoids cannot emerge in this model.

Most other existing models rely on Voronoi diagrams. Voronoi diagrams have been well studied from a computational geometry perspective. This includes dynamic Voronoi diagrams, where seed points can move over time (Roos, 1993), 3D Voronoi diagrams (Ledoux, 2007), and Voronoi diagrams induced by geometric objects as lines, line segments, balls or polygons (Manák and Kolingerová, 2010; Held, 2001; Burnikel et al., 1994b; Alt and Schwarzkopf, 1995; Mayya and Rajan, 1996). We will discuss more details about the relevant aspects of these data structures when we describe their role in our algorithms.

We remark, that there are also many related applications which rely on efficient dynamic Voronoi cell reconfiguration, for example, environmental simulations (Ledoux, 2008).

1.2 Contribution

We propose a novel cell-center model for 3D monolayer epithelia which we call *tight backbone spread*. Our model allows to upper bound the number of neighbors a cell can have a priori, based on the model parameter. This is relevant for understanding and assessing the connectivity structures of epithelia and is also an important factor in the running time of simulations that study cell dynamics. In fact, we propose a new simulation algorithm for energy minimization in epithelia that is provably efficient on tissues adhering to our model.

We also provide an extensive experimental evaluation which demonstrates the usefulness of the model and the algorithm in practice. Importantly, our implementation does not rely on projection to 2D Voronoi diagrams, as done in previous work, but directly operates on 3D Voronoi cells. This results in a more faithful representation of cell shapes and neighborhood structures. Indeed, we show that complex scutoid structures emerge in our simulations as well as novel connectivity structures that were not described in previous work.

2 BACKBONE SPREAD MODEL

In this section, we propose our 3D epithelium model. A model should be general enough to capture the diversity of cell structures found in epithelia, but also precise enough to gain meaningful insights from the simulations that use the model.

Our model falls in the well-established category of cell-center based Voronoi tessellation. However, we use cell backbones presented as line segments instead of single points and ensure that we always produce a monolayer cell arrangement.

2.1 Tight Backbone Spreads

Our model needs to encompass two important aspects: The monolayer structure of simple epithelia and the sensible arrangement of cells within. The goal is to formalize these aspects solely based on the cell backbones to align with the concept of cell-center based models. We first focus on the second aspect, the cell arrangement. In a real epithelium, cells should have a certain minimum size and the sizes should not differ too severely among different cells. Regarding the cell backbones, this implies that they should have a minimum distance but also that there should be a close-by backbone to each point in the tissue. This leads us to the following definition.

Definition 1 (Tight ϕ -Backbone Spread). *Given a* subdomain $\mathcal{D} \subseteq \mathbb{R}^3$ and a set of line segments (backbones) $\mathcal{L} \subset \mathcal{D}$, we call $(\mathcal{D}, \mathcal{L})$ a Tight ϕ -Backbone Spread $(T\phi BS)$ for $\phi \ge 1$ if the following two properties are met with d(.,.) denoting the Euclidean distance within \mathcal{D} :

- 1. $\forall L, L' \in \mathcal{L}, L \neq L' : d(L, L') \ge 1$
- 2. $\forall x \in \mathcal{D} : \exists L \in \mathcal{L} : d(x,L) \leq \phi$

The parameter ϕ allows to modulate the diversity of cell sizes. So far, this model does not guarantee a monolayer cell structure. Nevertheless, we can already explore how ϕ impacts the neighborhood structure of the Voronoi tessellation induced by a T ϕ BS.

Lemma 1. Let $(\mathcal{D}, \mathcal{L})$ be a T φ BS. In the 3D Voronoi diagram V of \mathcal{L} restricted to \mathcal{D} , a Voronoi cell V(L) induced by $L \in \mathcal{L}$ has $O(\varphi^3 + \varphi^2 l)$ neighboring Voronoi cells with l = |L| denoting the length of segment L.

Proof. Let $L' \in \mathcal{L}$ be a backbone such that the Voronoi cells V(L) and V(L') are neighbors. It follows that $d(L,L') \leq 2\phi$. This holds as each point *x* at the boundary of V(L) and V(L') has no closer backbones than *L* and *L'* by definition of the Voronoi diagram, and by Property 2 of Definition 1, we know that the distance of any point *x* to its closest backbone is not allowed to exceed ϕ . Therefore, every L' that induces a neighboring cell to V(L) needs to intersect the 2ϕ -tube around *L*. Furthermore, based on Property 1 of Definition 1, we know that the 1-tube around L' has to be void of any other backbones are not allowed to intersect at all. If we now consider the $(2\phi + \frac{1}{2})^{-1}$ tube around *L*, it has a volume of $V_T = \frac{4\pi}{3}(2\phi + \frac{1}{2})^3 + \pi(2\phi + \frac{1}{2})^2 \cdot |L|$ and can accommodate at most

$$\frac{V_T}{\frac{1}{6}\pi} = 8(2\phi + \frac{1}{2})^3 + 6(2\phi + \frac{1}{2})^2 \cdot |L|$$

pairwise intersection-free balls of radius $\frac{1}{2}$, which upper bounds the number of neighbors of V(L). Figure 3 depicts the proof concept schematically.



Figure 3: Illustration of the proof of Lemma 1.

In real epithelia, we expect the backbone lengths to be similar to each other and also quite short. If we assume constant ϕ and a constant upper bound on |L| for each $L \in \mathcal{L}$, the lemma implies a constant upper bound on the neighborhood size.

2.2 Epithelium Model

A general T ϕ BS with any type of subdomain \mathcal{D} and an unrestricted segment set \mathcal{L} does not necessarily model the structure of a real epithelium. To make the model and the bounds more realistic, we now constrain \mathcal{D} and \mathcal{L} as follows.

Definition 2 (Epithelium T ϕ BS). A T ϕ BS is an Epithelium T ϕ BS if

- D is defined by two intersection-free twodimensional surfaces S_a (apical surface) and S_b (basal surface) and the set of points that lie on line segments that connect the two surfaces,
- all segments in *L* have one endpoint in *S_a* and the other in *S_b*.

Figure 4 illustrates this definition. If we now constrain the maximum distance between S_a and S_b and the slope of the backbones, we can derive upper bounds on the maximum backbone length and thus can leverage Lemma 1 to retrieve meaningful upper bound son the neighborhood sizes. One important special type of epithelia are tubular epithelia. They can be defined consistent with our model as follows.

Definition 3 (Tubular Epithelium T ϕ BS). An Epithelium T ϕ BS is called tubular, if the surfaces S_a and S_b form nested cylinders centered at the x-axis of the same x-extent. The inner cylinder S_a with radius R_a is the apical surface and the outer cylinder S_b with radius R_b the basal surface. For the segments in \mathcal{L} , we additionally require that the line through the segment is orthogonal to the x-axis.

We now prove significantly better bounds for neighborhood sizes in Tubular Epithelium T ϕ BS. Indeed, the bound no longer depends on the backbone lengths but solely on ϕ .

Lemma 2. In a Tubular Epithelium $T\phi BS$ each Voronoi cell has at most $4 \cdot (2\phi + \frac{1}{2})^2$ neighbors.

Proof. We first observe that for any pair $L, L' \in \mathcal{L}$ (neighbors and non-neighbors) their minimum distance is assumed between their endpoints on the apical (inner) surface. If V(L) and V(L') are neighbors in \mathcal{D} (anywhere between the apical and basal surface), we can again argue that a point *x* on the border between the two cells can have at most distance ϕ to both backbones, and hence also $d(L \cap S_a, L' \cap S_a) \leq 2\phi$. Consequently, if we consider a circle of radius $2\phi + \frac{1}{2}$



Figure 4: Example of an Epithelium TøBS.

around $L \cap S_a$ on the apical layer, it has to fully contain a circle of radius $\frac{1}{2}$ around $L' \cap S_a$ for each neighbor L', and the respective circles of these neighbors are not allowed to intersect. The area of the circle around $L \cap S_a$ is bounded by $\pi \cdot (2\phi + \frac{1}{2})^2$ and the area of each disjoint circle around $L' \cap S_a$ is $\frac{\pi}{4}$. Hence the number of neighbors L' is bounded by $4(2\phi + \frac{1}{2})^2$.

In cell dynamic simulations, sometimes not only the direct neighbors of a cell are of interest, but also extended neighborhoods. We call a cell an *h*-hop neighbor of another cell if one can get from one cell to the other via a continuous path that traverses at most *h* cells different from the start cell. Leveraging the same proof idea as above, we observe that any *h*-hop neighbor has to be within a circle of radius $2h\phi$ around the cell backbone of the cell in question on the apical layer. Thus, we get the following upper bound on the *h*-hop neighborhood.

Corollary 1. In a Tubular Epithelium $T\phi BS$, each Voronoi cell has $O(\phi^2 h^2)$ h-hop neighbors.

Note that this bound is significantly better than the naive bound which would assume that each neighbor can have $O(\phi^2)$ other neighbors and thus the number of *h*-hop neighbors would be in $O(\phi^{2h})$.

3 ENERGY MINIMIZATION

Many intricate cell reconfiguration processes are studied with the help of simulations, including proliferation (Carpenter et al., 2024), epithelial-mesenchymal transformations (Neagu et al., 2010), and other cell-to-cell interactions (Markovič et al., 2020). To guide these processes, it is usually assumed that the tissue converges towards a low-energy state (R. Noppe et al., 2015). In the following, we discuss how energy minimization in epithelia can be simulated based on our proposed model. Our focus is on the design and analysis of efficient algorithms.

3.1 3D Energy Model

Different energy models are used to study epithelia. The granularity of the model and the chosen parameters depend on the type of epithelia and the underlying research question. Given an epithelium represented as a 3D Voronoi diagram VD with cells C_1, \ldots, C_n , we use the following simple energy function in our simulations:

$$E(C_i) = \frac{K}{2} (\overline{V} - V_i)^2 + \Lambda \cdot A$$

where V_i and A_i are the volume and the lateral area. $\overline{V} := \frac{1}{n} \sum_{i=j}^{n} V_j$ is the average volume of all cells, and



Figure 5: Tubular epithelium before (top) and after (bottom) cell reconfiguration towards a lower energy state. Before optimization the red cell has a volume of around 9, whereas after reconfiguration it has a volume of 40, which is much closer to the average cell volume of 44.

K and Λ are parameters that correspond to the elastic modulus and the surface tension coefficient. The tissue energy is simply the sum of the individual cell energies: $E(VD) := \sum_{i=1}^{n} E(C_i)$. The function encapsulates that cells in an epithelium tend to have similar volumes and compact shape, see Figure 5 for an illustration. We remark that our model and the algorithm we will present are compatible with a wide range of energy functions, as long as the total tissue energy can be expressed as the accumulated energy of the individual cells.

To compute the energy of a given T ϕ BS, we first need to compute the 3D Voronoi diagram induced by the cell backbones and then calculate for each individual cell its volume, its lateral area, and its perimeter on the basal surface. In general, such a Voronoi diagram on *n* line segments has a combinatorial complexity (that is, the number of vertices, edges, and faces) in $O(n^{3+\varepsilon})$ for $\varepsilon > 0$ (Koltun and Sharir, 2002). The facets cannot simply be represented as half-space intersections, but need to be described as an arrangement of semi-algebraic functions. This also makes area and volume computation of cells quite intricate



Figure 6: Cell (blue) represented as union of Voronoi cells of its backbone samples.

and impractically slow already for small n. Therefore, in practice, one usually approximates the 3D line segment Voronoi diagrams by sampling the line segments, computing a 3D Voronoi diagram on these samples, and then combining the cells that are induced by samples from the same backbone into one cell. Figure 6 shows an example in 2D. A 3D Voronoi diagram on *m* points has a combinatorial complexity of $O(m^2)$ and its facets are convex subsets of twodimensional planes (Icking and Ha, 2001). Thus, cell volumes and cell surface areas can also be computed in $O(m^2)$. If we use s sample points for each of the *n* cell backbones, we get m = ns and thus an overall running time in $O(n^2 s^2)$. However, since we know that a (super)cell has at most k neighbors, the number of facets of this (super)cell decreases to $O(s^2k)$ and it can be computed in $O(s^2k\log(s^2k))$. As $s \in O(1)$ is a constant independent of the number of cells in the tissue, we can compute each (super)cell in $O(k \log k)$ or all cells in $O(nk\log k)$. Thus, especially if k is small, the computation time is greatly reduced. Due to Lemma 2, we know that $k \in O(\phi^2)$ in a tubular TØBS.

3.2 Metropolis–Hastings Algorithm

The Metropolis-Hastings algorithm (MH) is widely used in cell tissue simulations, particularly for energy minimization. It provides a stochastic, iterative approach to searching for lower-energy states. It starts with some initial configuration, which can be obtained, for example, by choosing random cell centers or backbone positions. The initial energy of this configuration is denoted by E_0 . Then, a move is proposed. Here, one selects a backbone and perturbs its location on the apical or basal layer or both. Subsequently, the energy E' of the resulting new configuration is computed. The move might either be accepted or rejected based on the energy difference $\Delta E = E' - E_0$. If $\Delta E < 0$, the move decreases the energy of the tissue and is thus always accepted. However, it might also make sense to accept a move in which $\Delta E \ge 0$ and the tissue energy is increased in order to escape a local minimum. The acceptance probability p is usually set to $p := \min(1, e^{-\beta \Delta E})$ where

 $\beta = \frac{1}{k_B T}$ with k_B denoting the Boltzmann constant ("energy per degree") and *T* ("temperature") being a parameter that controls how often a system accepts such a "bad" move. The process is then iterated, either with respect to the old energy state if the move was rejected or with respect to the new energy state if the move was accepted. The process is stopped either after a fixed number of moves have been proposed or if for many moves in a row now further improvement could be found. The temperature *T* can be reduced over the course of the algorithm to increase the chance of settling in a global minimum instead of a local one (also referred to as *simulated annealing*).

Oftentimes, the MH algorithm is executed in so called *steps*, where in each step a move is proposed for each backbone. The running time of a step is then dominated by computing the tissue energy after each proposed move. As discussed in the last subsection, this amounts to $O(nk\log k)$ per computation, where n is the number of backbones and k is an upper bound on the number of neighbors per cell. Performing this operation for each backbone, we get a running time of $O(n^2k\log k)$ per step, which is quite expensive. Clearly, recomputing the tissue energy from scratch every time a single backbone is moved is wasteful, as only few surrounding cells might be affected. One remedy is to use a dynamic Voronoi diagram data structure which can be locally repaired after a change. However, these data structures are quite intricate and require numerically robust components, especially in 3D. Next, we discuss how to significantly decrease the running time per step while avoiding the issues that come with using a dynamic Voronoi data structure.

3.3 Move-Independent Set Sampling

The basic idea to improve the running time of a step is to propose and assess multiple moves simultaneously. Given this set of proposed moves, the new Voronoi diagram is computed for all of them at once. Then, instead of comparing the global tissue energy to the previous one, we compute the local energy difference of a move to the energy level of the surrounding cells to adequately calculate its acceptance probability. For this concept to work, we have to ensure that the energy of a cell is never influenced by two proposed moves at the same time as this could distort the result. Thus, the question is how many cells can be affected by a single move and how one can efficiently identify a set of moves that can be proposed simultaneously without interference.

If backbones could move arbitrarily far, any other cell in the tissue could be affected. But this is clearly not possible from a biological perspective where the tissue is expected to slowly reconfigure by small backbone movements. Thus, we assume from now on that backbone moves are restricted to a new position that is still within the current cell. This implies a move distance of at most $d = 2\phi$ in our T ϕ BS model. For tubular epithelia, this distance bound is evaluated on the apical layer. Following our tubular T ϕ BS model, only cells fully contained in a circle of radius r = $d + 2\phi = 4\phi$ can be affected by such a move. We now call two cells *move-independent* if their distance is larger than $2r = 8\phi$ and devise the following algorithm for one MH step:

- 1. *Partitioning*. Partition the cells C_1, \ldots, C_n into sets S_1, \ldots, S_t of pairwise move-independent cells.
- 2. *Set Processing.* Consider the sets one after the other and perform the following operations:
 - Compute the local energy $E_L(C_i)$ for each cell C_i in the current set *S*.
 - For each $C_i \in S$, propose a backbone move.
 - Recompute the Voronoi diagram based on all proposed moves.
 - For each $C_i \in S$, compute its new local energy $E'_L(C_i)$ and accept or reject the respective move based on $\Delta E_L = E_L(C_i) E'_L(C_i)$.
 - Recompute the Voronoi diagram with accepted moves only.

Clearly, if we look at one specific move of the backbone of cell C_i , then the following equality should hold: $E(VD) - E'(VD) = E_L(C_i) - E'_I(C_i)$. That means, the global change in tissue energy induced by the move needs to be captured by the local energy computation. Based on our observation that only cells within a radius of r from the original backbone position might be affected, it suffices to compute the respective node set before the move, and then evaluate the energy of the cells in that set before the move to get $E_L(C_i)$ and after the move to get $E'_L(C_i)$. Based on the same argument as used in the proof of Lemma 1, we observe that there $O(\phi^2)$ such cells in the set. Thus the local energy computations amount to $O(n\phi^2 \log \phi)$ for each step. Computing the Voronoi diagram, once for all proposed moves and once for only accepted moves also takes $O(n\phi^2 \log \phi)$ for tubular epithelia as discussed in Section 3.1. The total running time of the algorithm for each step is therefore in $O(tn\phi^2\log\phi)$ where t denotes the number of sets created in the partitioning phase.

To upper bound *t*, we make use of Brook's theorem (Brooks, 1941). It states that a graph with *n* nodes and maximum degree *k* has a chromatic number of at most k + 1, which means that its nodes can be partitioned into at most k + 1 independent sets. The partitioning can be computed in time O(nk). To use the theorem, we want to construct a graph where the nodes represent the cells and an edge exists between any pair of cells that are *not* move-independent. This can be accomplished by inducing an edge between two nodes with the respective cell backbones having a distance of at most 2r between each other. According to our tubular T ϕ BS model, the maximum degree in this graph is $k \in O(\phi^2)$ and thus we can partition the cells into $t \in O(\phi^2)$ sets with pairwise moveindependent elements.

In total, the running time of a MH step is in $O(n\phi^4 \log \phi)$ for tubular epithelia that adhere to our model, which is essentially linear in the number of cells. This is significantly better than the running time of the classical implementation described in the last subsection, where we would end up with a running time of $O(n^2\phi^2 \log \phi)$ in our tubular T ϕ BS model. In general T ϕ BS model with a constant upper bound on the maximum backbone length, the number of neighbors is $k \in O(\phi^3)$ and thus the total running time of a MH step would be $O(n\phi^6 \log \phi)$ with our improved algorithm.

4 SIMULATION & EVALUATION

To test the usefulness of our proposed (tubular) $T\phi BS$ model and to assess the efficiency of the independent-set based Metropolis-Hastings (MH) algorithm, called IS-MH from now on, over the classical MH algorithm for computing epithelia with low tissue energy, we implemented the described methods in C++. Experiments were conducted on a Intel(R) Core(TM) i5-8250U CPU@1.60GHz with 4 cores and 32GB of RAM.

4.1 Voronoi Diagram Computation

Our model and the simulation algorithms rely on Voronoi diagram computation for a given set of cell backbones. As discussed in Section 3.1, we do not compute the 3D line segment Voronoi diagram but instead we construct a 3D point Voronoi diagram on backbone samples and then merge the respective Voronoi cells for each backbone. The Voronoi diagram is computed via its dual, the Delaunay triangulation. We use the Computational Geometry Algorithms Library (The CGAL Project, 2024), in particular its robust implementation of 3D Delaunay triangulations, to retrieve the cells and to compute the properties that are important for energy minimization (volume, area, perimeter). In all experiments, parallelization is enabled.



Figure 7: Synthetically generated cell backbones.

4.2 Backbone Generator

We implemented a backbone generator for tubular epithelia. It takes the following input parameters: The length of the tube *L*, the apical radius R_a , the basal radius R_b , and the number of backbones *n*. The apical and basal surface are centered around the *x*-axis from x = 0 to x = L. For each backbone i = 1, ..., n, we choose a random *x*-position in $x_i \in (0, L)$ as well as a random angle $\alpha_i \in [0, 2\pi)$ in radians. We then construct a ray emerging from $(x_i, 0, 0)$, which is orthogonal to the *x*-axis and has angle α to the y = 0plane. The intersection points of the ray with the apical surface and the basal surface constitute the start and end point of the backbone segment. Figure 7 shows an example of such a backbone arrangement with $L = 80, R_a = 10, R_b = 20, n = 1000$.

The resulting 3D Voronoi diagram when using s = 50 samples per backbone is shown in Figure 8. The basal and apical surface are illustrated in Figure 9. We remark that for biological simulation oftentimes the number of cells *n* is determined based on the choice of *L*, R_a and R_b by setting *n* such that the average cell volume is equal to 1. Of course, this could be easily done in our approach as well. However, as one of the goals of the paper is to study the running time of the proposed energy minimization algorithm depending on the number of cells, we keep *n* flexible in our implementation.



Figure 8: Voronoi cell structure for synthetically generated cell backbones.



Figure 9: Basal surface (top) and apical surface (bottom) of a Voronoi cell structure based on synthetically generated cell backbones.

4.3 Energy Minimization

We use the following parameters for our implementation of the Metropolis-Hastings algorithm: For the energy formula, we set K = 1 and $\Lambda = 0.4$. For backbone movements, we use an upper bound of dislocation on the apical layer of

$$0.25\sqrt{\overline{A}/\pi} \approx 0.14\overline{A}^{1/2}$$

where \overline{A} denotes the average apical area of a cell. As temperature value *T*, we use 2. If not specified otherwise, we set $L = 100, R_b = 10, n = 500$, and vary the inner radius R_a to study the influence of the so called surface ratio R_b/R_a on the cell structure. Results are always averaged over 10 runs.

We first investigate whether our newly proposed IS-MH simulation algorithm is superior to the classical MH algorithm with respect to running time. Table 1 shows the running time per step for both algorithms for different tubular configurations. In a step, a move is proposed and evaluated for each of the n backbones. We observe that IS-MH is significantly faster than MH (up to factor of 25), and the speed-up grows for larger *n*. While MH has to reconstruct the whole Voronoi diagram for each backbone individually, IS-MH profits from processing independent sets at once. As also shown in Table 1, the number of rounds that IS-MH uses, which is the number of independent sets, grows only very mildly with n. This makes sense a larger number of cells usually also allows to identify larger move-independent sets. It can also be seen that for $R_a = 5$ the running times and the number of rounds are lower than for $R_a = 1$. This can be explained by the larger number of cells that are within the movedependent distance bound for $R_a = 1$, as backbones are longer than for $R_a = 5$. However, for both R_a and R_b we see an at most linear running time growth in *n*. This complies with our analysis in the TøBS model where we predicted a running time of $O(n\phi^4 \log \phi)$ per step which is linear in *n* for constant ϕ . While the initial ϕ -value was oftentimes large in the randomly generated tissue (which is not expected to resemble a real epithelium), it typically dropped quickly within the first steps and stabilized at values between 1 and 5. For MH, we observe as expected from our analysis a quadratic growth of the running time with increasing n. It nearly quadruples when we double the number of cells from 500 to 1000 and already takes close to an hour for the latter, while IS-MH takes less than 2 minutes. We verified that both algorithms produce similar energy levels after the same number of steps. We conclude that our IS-MH algorithm is preferable, as it achieves the same result faster. In the remainder of the paper, we always use IS-MH.

Next, we verify that our novel 3D Voronoi diagram implementation of the IS-MH algorithm indeed produces tissues of low energy and with other desired

Table 1: Running times t(MH) and t(IS-MH) for the MH and IS-MH algorithm per step in seconds for varying R_a and n. For IS-MH also the number of rounds r(IS-MH) is stated, which is proportional to the number of Voronoi diagram computations. For MH the number of Voronoi diagram computations is proportional to n.

| R_a | R_b | п | t(MH) | t(IS-MH) | r(IS-MH) |
|-------|-------|------|--------|----------|----------|
| 1 | 10 | 100 | 47.1 | 15.6 | 17 |
| | | 500 | 703.8 | 54.6 | 20 |
| | | 1000 | 2525.0 | 103.7 | 21 |
| 5 | 10 | 100 | 56.1 | 10.8 | 10 |
| | | 500 | 712.8 | 42.4 | 14 |
| | | 1000 | 2597.2 | 76.7 | 15 |



Figure 10: Tissue energy over time using the IS-MH algorithm on n = 500 cells.



Figure 11: Cell volume distribution for $R_a = 1$ in selected steps. The x-axis reflects the cell ID after sorting them increasingly by volume after the step was completed. A clear convergence towards the average cell volume can be seen. The final tissue has a ϕ value around 3.

properties. Figure 10 shows the tissue energy over time for 100 steps, for $R_a = 1$ as well as $R_a = 5$. We see a steep decline in tissue energy for both setups, especially in early rounds, followed by a slow convergence towards smaller energy levels.

Figure 11 illustrates that the goal of producing cells with roughly equal volume is also met, and shows how the cell volume distribution changes over time. Most cells that do not reach the equilibrium volume are close to the border of the tubular epithelium (that is, their *x*-coordinates are close to 0 or *L*), where it is more difficult to escape a local minimum based on the limited move directions.

4.4 Cell Shapes & Connectivity

Finally, we want to gain insights into the connectivity structure of the energy-minimized epithelia and study which cell shapes emerge depending on the choice of R_a and R_b . We start with an analysis of the 3D neighborhoods of the cells. In (Gómez-Gálvez et al., 2021), the so called *Flintstones' law* was formulated, which



Figure 12: Average number of neighbors for varying surface ratios using $R_b = 10$ and n = 500.

states that the average number of 3D neighbors of a cell in a monolayer epithelium grows with the surface ratio R_b/R_a following a logistic function. We confirmed this behavior in our implementation, as shown in Figure 12 for a surface ratio between 1.25 and 200. Even for a surface ratio of 1000, the average number of neighbors stayed below 11.

Next, we take a closer look at the different types of neighbors of a cell. With the detection of scutoids (Gómez-Gálvez et al., 2018), it became clear that even in monolayer tubular epithelia with straight backbones a cell can have different neighbors on the apical and the basal surface. A cell is a scutoid if at least one of its faces has a node between the apical and the basal surface at which a neighbor transition occurs. It was already shown in (Gómez-Gálvez et al., 2018) that the number of scutoids in epithelia increases with the surface ratio. We confirmed this result in our experiments. But we also go a step beyond and analyze the number of transition points per cell that occur, see Figure 13. We observe a similar logistic behavior for the average number of transition points as for the average number of neighbors in Figure 12, but starting and ending at smaller values. Still, the numbers are surprisingly large, implying that the



Figure 13: Average and maximum number of transition points in dependency of the surface ratio. Having at least one transition point on its faces makes a cell a scutoid. For a surface ratio of at least 2, over 90% of cells are scutoids.



Figure 14: Complex cell structure with multiple transition points.



Figure 15: Average number of different types of neighbors depending on the surface ratio.

connectivity structure of cells is very complex even in these simple tissues. Figure 14 shows an example of a scutoid with multiple transition points.

Our study of neighborhood types revealed even more surprising results. It has been observed that 3D epithelium cells exhibit the following types of neighbors, based on whether the cells touch:

- 1. On both, the apical and basal surface.
- 2. On the apical surface but not on the basal surface.
- 3. On the basal surface but not on the apical surface.

A non-scutoid can only have neighbors of type 1.

However, we discovered that scutoids might not only have neighbors of the three types specified above, but also neighbors that only have a common feature with a cell between the apical and the basal surface but not on either of the surfaces. We call this an *internal neighbor*. Figure 15 shows the distribution of the different types of neighbors.

We observe an interesting trend. For small surface ratio, almost all neighbors are neighbors on both the apical and the basal surface, which complies with the observation that there are few to no scutoids in these tissues. However, for growing surface ratio, their number shrinks drastically and is then overtaken by the number of only apical and only basal neighbors, respectively. The number of only apical or only basal neighbors is almost identical on average. Both increase similarly with growing surface ratio. But what also increases, is the number of internal neighbors. For a surface ratio of 10, already 65% of cells had at least one internal neighbor. While the average number of internal neighbors does not go substantially above one, it was not clear before that the tubular epithelium structure would even allow for such neighbors. Thus it is interesting to observe their natural emergence in our implementation. Figure 16 shows the 3D configuration of two cells that are internal neighbors.



Figure 16: Two cells touching in the interior without being apical or basal neighbors. Left: view on the apical surface; Right: different views of the two cells.

5 CONCLUSIONS AND FUTURE WORK

We have proposed a new 3D cell-center model for monolayer epithelia associated with geometric constraints modulated by a parameter ϕ , which is expected to be small in real tissues. We proved that in tubular epithelia the maximum neighborhood size of a cell can be upper bounded in terms of ϕ . This allowed us to propose a new variant of the Metropolis-Hastings algorithm for tissue energy minimization, which is provably fast for small ϕ and also showed superior performance compared to the classical version in our experimental evaluation.

Furthermore, we studied the shape and connectivity of the cells and observed that surprisingly complex structures emerge, as scutoids with a large number of transition points as well as internal neighborhood relations. It will be interesting to see whether similar structures are formed in other types of epithelia, for example in spheroidal epithelia as studied in (Gómez-Gálvez et al., 2018).

It has been observed that in spite of smooth apical and basal surfaces, in some settings, cells might assume highly irregular shapes, which were named *punakoids* (Iber and Vetter, 2022). If a cell's volume is to be represented by a suitable Voronoi tesselation of space with respect to a cell center, straight cell backbones do not seem to be able to generate such punakoids. Our approach of representing the cell backbone by point samples in principle allows for non-straight backbones. In future work, we aim at identifying realistic constraints on the flexibility of cell backbones in order to be able to model even more cell shapes occurring in nature.

Our current implementation of the 3D Voronoi diagram is based on the exact geometric computation paradigm, which – while providing immunity to robustness issues – is quite time consuming. Alternatively one might consider GPU-based Voronoi constructions like (Ray et al., 2018) which promise faster iterations for the (IS-)MH algorithm.

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