# Mitigating Algorithmic Bias in Prostate Cancer Risk Stratification with Responsible Artificial Intelligence and Machine Learning

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Abstract: Prostate cancer (PCa) is the second most prevalent cancer among men worldwide, the majority affecting those over the age of 65. The Gleason Score (GS) remains the gold standard for diagnosing clinically significant prostate cancer (csPCa); however, traditional biopsy can lead to patient discomfort. Algorithmic bias in medical diagnostic models remains a critical challenge, impacting model reliability and generalizability across diverse patient populations. This study explores the potential of Machine Learning (ML) models—Logistic Regression (LR) and multiple DL models—as non-invasive alternatives for predicting the GS using Prostate Imaging Cancer AI challenge dataset . To the best of our knowledge, this is the first attempt to use two modalities with this dataset for risk stratification. We developed a LR model, excluding biopsy-derived features like GS, to predict clinically significant prostate cancer, alongside an image triage approach with convolutional neural networks to reduce biases in the ML workflow. Preliminary results from LR and ResNet50, showed test accuracies of 69.79% and 60%, respectively. These findings demonstrate the potential for explainable, trustworthy, and responsible risk stratification enhancing the robustness and generalizability of the prostate cancer risk stratification model.

# **1 INTRODUCTION**

Prostate cancer (PCa) resulted in approximately 1.5 million cases every year globally, out of which 397,000 had a fatal outcome in 2022 (Wang et al., 2022). With an increased number of aging population demographics in Organization for Economic Cooperation and Development countries, prostate cancer are supposed to rise in the coming future (oec, ). Traditional PCa diagnosis typically involves a combination of clinical evaluations such as the digital rectal examination (DRE), serum prostate-specific antigen (PSA) testing, and the gold standard of transrectal ultrasound-guided prostate biopsy. PSA testing, though widely used, has limitations, as it can yield false positives or negatives, leading to unnecessary

biopsies or missed diagnoses. The biopsy itself, while accurate, is invasive and carries risks like infection, bleeding, and pain. Moreover, biopsy results may not always provide a clear picture of the cancer's aggressiveness or extent (Mottet et al., 2017).

MRI-scanned images provide a clear picture of the prostate and nearby areas, allowing the identification of PCa spread and identifying high-risk patients. Multiparametric magnetic resonance imaging (mpMRI) is increasingly utilised globally as a noninvasive tool to detect, localise, and stage PCa, allowing prostate biopsy planning (Pecoraro et al., 2021). As mpMRI adoption grows, it offers a promising approach to streamline prostate cancer diagnosis and treatment decisions, reducing patient discomfort and overdiagnosis.

Deep learning (DL) methodologies, notably convolutional neural networks (CNNs), have become integral in artificial intelligence for computer vision tasks. These CNNs assist physicians by accelerating tumor detection processes while ensuring high perfor-

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mance and accuracy.

The application of deep learning has the potential to significantly enhance prostate cancer (PCa) detection rates, leading to more accurate and expedited diagnoses. This improvement can help prevent both over-diagnosis and under-diagnosis. By diminishing the reliance on costly diagnostic tests and invasive biopsies, deep learning also offers a means to reduce healthcare expenditures.

Interpreting MRI images presents substantial challenges due to their inherent complexity (He et al., 2023). This complexity contributes to considerable intra-observer and inter-observer variability in image readings, as documented in various studies (Pellicer-Valero et al., 2022; Brembilla et al., 2020). In this context, deep learning offers a promising solution by streamlining the interpretation process, enhancing the quality of image analysis, and reducing the potential for overtreatment.

In this article, we aim to address several types of bias through our image triage approach, including confirmation, sample, demographic, equipment, and protocol bias. Confirmation bias is mitigated by mixing slices from both positive and negative classes, preventing the model from being biased toward a specific class. Sample bias is reduced by including a large number of slices, ensuring diverse data representation. Demographic bias is addressed as the dataset encompasses a cohort with ages ranging from 35 to 92 years. Finally, equipment and protocol bias is minimized by incorporating slices obtained from different MRI sequencing types, ensuring a broader and more generalized representation of the data.

# 2 RELATED WORKS

This section highlights the latest advancements in the field of risk assessment in prostate cancer using machine learning (ML) and artificial intelligence (AI).

The study by Amirhossein Jalali et al. (Jalali et al., 2023) focuses on developing and validating a risk calculator to assist in determining whether a prostate biopsy is necessary. The study was conducted at a rapid access prostate cancer clinic, where data from 3,531 men who were referred for a suspected prostate cancer diagnosis were collected. These patients were primarily referred due to elevated PSA levels, abnormal DRE findings, or a combination of both. The cohort included a wide range of men, from those at low risk of prostate cancer to those at high risk, providing a comprehensive dataset for developing and validating the risk calculator. Data collected included demographic information, clinical variables (e.g., age, PSA

The authors employed logistic regression analysis to identify key variables associated with the likelihood of a positive prostate biopsy. Variables considered included PSA levels, DRE findings, prostate volume, and patient age. These variables were chosen based on their established association with prostate cancer risk and availability in routine clinical practice. The risk calculator model was developed using a subset of the data and then validated on the remaining cohort. The model provided a risk score for each patient, indicating the probability of having a positive biopsy. This score could then be used by clinicians to make more informed decisions about whether to proceed with a biopsy. The performance of the risk calculator was assessed using several metrics, including the area under the receiver operating characteristic curve (AUC-ROC), sensitivity, specificity, and calibration. The AUC-ROC is a measure of the model's ability to discriminate between patients with and without prostate cancer, with a value of 1 indicating perfect discrimination and 0.5 indicating no discrimination.

One of the key advantages of the risk calculator is its ability to integrate multiple clinical variables into a single risk score, offering a more comprehensive assessment than relying on PSA levels or DRE findings alone. There were several limitations of the study. First, the study cohort was derived from a single institution, which may limit the generalizability of the findings to other populations or settings. Second, the model was developed using retrospective data, and prospective validation in a broader population is needed to confirm its utility. Another limitation is the reliance on biopsy results as the gold standard for prostate cancer diagnosis. Biopsy itself is not a perfect test, and some cancers may be missed, particularly those that are small or located in difficult-tosample areas of the prostate.

The authors in (Jalali et al., 2020) focus on improving the accuracy of prostate cancer detection by incorporating inflammatory serum biomarkers into existing risk calculators and evaluate their association with prostate cancer risk. These biomarkers were then integrated into a modified risk calculator, alongside traditional factors like PSA levels and patient demographics. This integration allowed for enhanced distinction between high-risk individuals and had superior performance compared to the traditional risk



Figure 1: Classification methodologies for csPCa using LR and CNN.

assessment tools. The authors concluded that incorporating inflammatory biomarkers has the promising potential to refine prostate cancer risk stratification. The biomarkers were integrated into a unified model to assess their combined predictive power.

The paper by (Jalali et al., 2020) focuses on improving the prediction of progression risk in patients with castration-resistant prostate cancer (CRPC) through the use of deep learning models that integrate multiple types of data. The researchers employed a multimodal deep learning model trained on data collected from multiple centers. This model integrates tabular clinical data, imaging features, and genomic information to predict the risk of disease progression in CRPC patients. The study demonstrated that the integration of multimodal data significantly improves the predictive accuracy of disease progression compared to models that rely on a single data type. This approach allows for a more personalized and precise risk assessment for patients with CRPC.

# **3 METHODOLOGY**

In this study, we employed two machine learning models to classify patients into binary categories: csPCa (Prostate Cancer) and non-csPCa (non-Prostate Cancer). The first model, logistic regression, uses patient characteristics—specifically age, PSA levels, PSAD (prostate-specific antigen density), and prostate volume—while excluding the Gleason score, a feature typically derived from biopsy analysis (Hooshmand, 2021).

For the deep learning (DL) approach, we trained

a basic Convolutional Neural Network (CNN) (Jiang et al., 2023) using the ResNet50 model on our image triage strategy. In this method, slices (2-D crosssectional images within a plane) from both classes across all patients were mixed. Each patient's imaging included captures from five different MRI sequences, giving a total of approximately  $1422 \times 5 \times 25$ images, based on the number of patients, MRI sequences, and average slices per sequence.

Both models output a binary decision, indicating the presence or absence of prostate cancer. Logistic regression is selected due to its clear separation between dependent and independent variables, and it outputs a predicted probability score based on the observation, which can be manually tuned to cater to different use cases. CNNs are employed for their proficiency in capturing spatial hierarchies within image data, thereby enabling accurate classification in the context of medical imaging.

# 3.1 Study Design

This study was a retrospective observational cohort study using publicly available real-world data, with the effect size estimated based on prior research. The ground truth for the machine learning models was established through histopathology-confirmed diagnoses of prostate cancer. Multiple data modalities were used, with tabular data analysed using logistic regression for binary classification, while MRI image data were processed using convolutional neural networks (CNN). Model alignment was compared using agreement statistics and confusion matrices. Figure 1 describes the ML model comparison methodology.

## 3.2 Study Population

The study population consisted of 1422 men between 35 and 92 years, including 1014 cases with benign tissue or indolent PCa and 408 cases diagnosed with csPCa. Patient inclusion required an abnormal rectal exam, a PSA  $\geq$  3 ng/mL, or both. Patient exclusion included a history of prostate-specific treatment. Patients underwent MRI-targeted biopsy, transrectal ultrasound-guided biopsies, a combination of both, or radical prostatectomy for confirmatory PCa diagnosis. Patients with confirmed diagnoses ranged from low to high-risk, stratified by Gleason score. The data did not include clinical staging.

## **3.3 Data Repository**

Data were acquired from the PI-CAI (Prostate Imaging: Cancer AI) challenge; specifically, the public training and development dataset (Saha et al., 2024). All data were fully anonymised and made available under a non-commercial CC BY-NC 4.0 license. The dataset included demographic and clinical data, histopathology results, and MRI scans from three centres (11 sites) collected between 2011 and 2021.

## 3.3.1 Tabular Data

Clinical, demographic, and histopathological data included patient age, PSA level, prostate volume, PSA density, Gleason grade, ISUP grade, type of biopsy procedure, and centre. The data does not differentiate between biopsy sample types.

### 3.3.2 Imaging Data

Table 1: Details of MRI Sequences.

MRI Sequence Type	Description
t2w	Axial T2-weighted Imaging
adc	Apparent Diffusion Coefficient map
hbv	Diffusion-Weighted Imaging or DWI
cor	Coronal T2-weighted Imaging
sag	Sagittal T2-weighted Imaging

This dataset consists of five MRI-scanned image types, as described in Table 1. This amounts to a total of 74,050 images for model training, in the ratio of 28.69% csPCa and 71.31% non-csPCa. All patient exams included bpMRI scans, axial T2-weighted imaging (T2W), axial high b-value ( $\geq 1000 \text{ s/mm}^2$ ) diffusion-weighted imaging (DWI), and axial apparent diffusion coefficient maps (ADC). In addition, mpMRI scans were available for 1422 patients with additional sagittal and coronal T2W scans. Scans were acquired using Siemens Healthineers or Philips Medical Systems-based scanners with surface coils. Notably, no patient case contained dynamic contrastenhanced (DCE) sequences. Data also included basic acquisition variables (scanner manufacturer, scanner model name, diffusion b-value).

## 3.4 Data Pre-Processing and Cleaning

### 3.4.1 Tabular

Data cleaning and pre-processing followed best practice guidelines. Missing values were imputed, categorical variables were encoded using one-hot encoding, and selected categories were summed and normalised using a min-max scaler.

### 3.4.2 Imaging

The dataset consisted of images from 1422 patients, each with slices captured from five different angles,



Figure 2: Sample of an T2W image for PCa: (a) positive and (b) negative class.

resulting in a variable number of slices per patient (19 to 31 slices). The images were organised into two classes: the "yes" folder for clinically significant prostate cancer (csPCa), which contained 21,250 images, and the "no" folder for non-significant prostate cancer (non-csPCa), containing 52,800 images. In total, 74,050 images were used for training and evaluation of the model.

In the pre-processing pipeline, the threedimensional MRI images (.mha files) were converted into two-dimensional .jpg images or slices from each .mha image using the SimpleITK library, a widely used tool for medical image processing (Biederer et al., 2016).

Image slices were resized to a uniform dimension of (256 x 256) and converted into NumPy arrays. Corresponding labels were encoded and organised to align with the processed images for subsequent analysis. Matplotlib, PIL, NumPy, and OpenCV were employed to resize and process the tasks.

The machine learning process consisted of three experiments: Experiments 1 and 2 used T2-weighted (T2W) images, while Experiment 3 included all image types. Each experiment followed an 85:15 split ratio for training and testing datasets, resulting in Xtrain and Ytrain for training and Xtest and Ytest for testing, with labels csPCa.

## 3.5 Exploratory Data Analysis

Image files were converted to .jpeg via a DICOM converter. The following Figure 2 shows prostate cancer positive (a) and negative (b) T2W images.

Exploratory Data Analysis was carried out to summarise the dataset, including missing values, sorting data types, measuring class imbalance, and understanding data distributions.

Figure 3 illustrate the class imbalance present within the tabular dataset, highlighting discrepancies in the representation of different PCa categories. The class imbalance for the combined MRI images was analysed, as shown in Figure 4. The class imbalance



Figure 3: Bar plot representing data distribution across the two classes for tabular dataset.



Figure 4: Bar plot representing the classes within the dataset within csPCA.

in the image labels posed a potential risk to model performance. While data augmentation techniques, such as horizontal flipping, could address this imbalance, they also risk distorting critical medical features. As a result, to address class imbalance, our approach mixes all images from both classes to train the CNN on a rich and diverse feature set containing patterns with subtle variations. The distribution of prostate volume in Figure 5 aligns with trends observed in other realworld datasets. Adjusting volume-related image differences and employing normalisation techniques in training can help mitigate the risk of overfitting to extreme cases, ultimately enhancing the utility of AI tools in real-world settings.

### 3.6 Machine Learning Models

The dataset was divided into independent (X) and dependent (Y) variables and split into training and testing sets with an 80:20 ratio. To address class im-



Figure 5: Boxplot of Prostate Volume. The median value of the prostate volume is 57 cubic centimetres (cc).

balance, SMOTE was applied to generate synthetic data for the minority class in the binary csPCa label. Once the data were split, class balance was achieved, and model training proceeded. A logistic regression model was employed to predict whether the patient has clinically significant prostate cancer.

### 3.6.1 Model Training

For the deep learning (DL) approach, we trained several models, including a standard Inception v3 and ResNet50 model, using an image triage strategy. Model training was performed using Python with TensorFlow, Sklearn, and SimpleITK libraries on NVIDIA A100 80GB PCIe to accelerate computation.

#### 3.6.2 Model Building

Transfer learning was used to train and optimise four CNN models based on Inception v3 (Szegedy et al., 2016) and ResNet 50 (He et al., 2016) architectures. Both models employed pre-trained ImageNet weights and were fine-tuned to extract deep feature representations specific to the MRI data. Each model was adapted to binary classification tasks, and the final layers were modified accordingly.

#### 3.6.3 Data Pipeline

Data augmentation was applied to the training set using the Image Data Generator, including re-scaling the pixel values by dividing by 255. Both test and train data were re-scaled. Horizontal flips were excluded to preserve the orientation of medical images. Models were trained with batch sizes of 64 across 40 epochs with a data split of training (64%), validation (16%), and test (20%), respectively. The experiments were conducted using a full image dataset.

### 3.6.4 Model Training

All models were trained with an Adam optimiser (learning rate = 0.0001), binary cross-entropy loss function, employing early stopping over 10 epochs to prevent overfitting.

## Setup 1: Inception v3

A pre-trained Inception v3 model from TensorFlow with ImageNet weights was employed, utilising transfer learning. Layers at both ends of the network were excluded to maintain the original feature extraction capability, with the remaining layers frozen to prevent updates during training. The output was flattened, followed by the application of a dense layer with a single neuron and a sigmoid function for binary classification. This configuration enabled the model to effectively classify data into two categories with minimal modification to the original Inception architecture.

### Setup 2: Inception v3 with Additional Layer Architecture

The second model builds on the Experiment 1 model setup by incorporating additional layers with three dense and three dropout layers following the Inception v3 output.

### Setup 3: ResNet 50

The third model employs the ResNet 50 pre-trained model, using a similar approach to the Inception v3 model from Experiment 1. The layers of ResNet 50 are frozen to retain the pre-learned features, while the final layers are adapted for the binary classification task.

### Setup 4: ResNet 50 with Additional Layer Architecture

The fourth model builds upon the ResNet 50 architecture used in Experiment 3, adding similar modifications made in the second model from Experiment 1. These modifications include the addition of layers after the output of the pre-trained ResNet model.

# 4 RESULTS

This section discusses the results from the tabular and image modalities.

## 4.1 Training Results

The LR model achieved a test accuracy of 69.79%. On the other hand, the test accuracies of the multiple setups with the image modality are shown in Figure 6. The ResNet1 model (Setup 3) outperforms all the setups. Therefore, ResNet1 was selected as the final model.



Figure 6: Comparative analysis between the experimental setups.

## 4.2 Confusion Matrix

The alignment of the model's predictions with actual outcomes, assessed through concordance percentage and as illustrated by the confusion matrix, highlights the model's performance in classifying csPCa and non-csPCa cases. The confusion matrix (Figure 7) indicates that the model has a high proportion of True Negatives (TN) (49.9%), suggesting it effectively identifies non-csPCa cases, while the True Positive (TP) rate (20.2%) shows reasonable detection of csPCa, and the False Positive (FP) rate (49.9%) is significant, indicating this could be due to the sparse data for the "Yes" class. However, to attain this level of competency as presented in the confusion matrix, a radiologist would require 3-5 years of experience and exposure to 2000-5000 patients and 15000-20000 images.

### 4.2.1 Concordance Score

The concordance score indicates a moderate level of agreement between the predicted values and the ground truth. This is consistent with inter-operator variability among two radiologists. The concordance score of the ResNet1 model is 0.5835, which reflects that the model performs reasonably well in predicting the target variable. The performance can further be improved by using various data augmentation methods for a balanced positive class.

# 5 DISCUSSION

Traditional risk stratification models have often relied on rule-based systems and expert judgment, using specific clinical factors or markers to estimate the likelihood of outcomes such as disease progression, complications, or mortality. These models have been foundational in clinical settings, helping to prioritize patient care, allocate resources, and guide testing and



Figure 7: Confusion Matrix of CNN validation dataset for csPCa and non-csPCa.

treatment decisions. However, with the emergence of ML, more dynamic, data-driven approaches have become available, offering the potential for greater accuracy and adaptability in risk assessment.

To develop a reliable and clinically useful model for patient risk stratification, we employed an image triage strategy that mixed images from both positive and negative classes spanning diverse population demographics with respect to age. Results from this study demonstrate that image-trained models using MRI images help to triage patients with clinically significant prostate cancer with 60% accuracy, highlighting the clinical value and effectiveness of CNNs in supervised learning. Additionally, machine learning models trained on patient data yield an accuracy of 69.79%, with both models drawing on information from the same patients but differing in data types. The image triage approach enabled the model to learn more generalizable patterns, capturing subtle variations and complex features, which enhanced overall robustness and is a step towards building responsible and trustworthy AI model.

The confusion matrix results suggest that, despite applying SMOTE to address the class imbalance in the dataset, the model's performance may still be affected. The low TP rate and high FP rate indicate difficulties in detecting csPCa and a tendency to misclassify non-csPCa cases as csPCa. This suggests that the imbalance, though mitigated by SMOTE, may still influence the model's bias toward the majority class (non-csPCa), and further techniques, such as class weighting or different resampling methods, may be needed to improve csPCa detection and reduce false alarms. By including slices from multiple patients in both classes, we minimized the risk of bias toward individual-specific characteristics, allowing the model to reflect patterns representative of the broader population. Additionally, this strategy helped to mitigate the underrepresentation of clinically significant cancer cases, balancing the training data more effectively. Finally, by incorporating images from different angles, the model could focus on learning core diagnostic features rather than being influenced by slice positions from different MRI sequences.

## 5.1 Future Directions

Multi-modal ML approaches, which combine clinical, imaging, and biomarker data, show great promise in enhancing diagnostic accuracy and patient outcomes. Studies have demonstrated that integrating clinical data, serum biomarkers, and imaging techniques can significantly improve diagnostic performance, as seen with models like the Irish Prostate Cancer Risk Calculator (IPRC) and other multiplatform integrations (Jalali et al., 2020; Mottet et al., 2021).

Despite these advances, multi-modal ML approaches for PCa remain underexplored, especially in the clinical setting. Currently, multi-modal decisionmaking occurs primarily in a one-dimensional manner during multidisciplinary team (MDT) meetings, lacking the integration of ML decision support sys-This gap highlights an opportunity for futems. ture research to fully integrate multi-modal data into ML models, offering a comprehensive and patientcentered care model. Future directions should focus on combining diverse data sources-clinical metrics, imaging data, serum biomarkers, and even patientreported outcomes-to refine diagnostic algorithms and improve the accuracy of distinguishing clinically significant prostate cancer. Additionally, segmentation techniques and fusion methods could be explored to enhance the model's ability to capture intricate patterns across modalities, further boosting diagnostic precision. Moreover, the integration of these models into clinical practice through user-friendly decision support systems will be critical to ensuring their real-world applicability, improving communication among healthcare teams, and ultimately leading to better patient outcomes.

# 6 CONCLUSION

The study explored two approaches for risk stratification of clinically significant prostate cancer without using biopsy-derived features. The research aimed to address algorithmic and data-driven biases related to patient-specific characteristics. We mitigated datadriven bias for the age feature and reduced algorithmic bias in their image triage approach by mixing imaging slices from positive and negative classes. This method allowed the machine learning model to learn generalizable features, enhancing robustness and minimizing patient-specific biases. Initial results suggest the image triage method's potential to create more accurate and unbiased classifiers. Future work will focus on developing a score-based triage system to assign relevance scores to images based on their informational value for detecting clinically significant prostate cancer and predicting prostate cancer risk using behavioral data, such as nutritional features.

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